

## Pneumonia (PNEU)

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\*required for saving \*\*required for completion

Facility ID:	Event #:	
*Patient ID:	Social Security #:	
Secondary ID:	Medicare #:	
Patient Name, Last:	First:	Middle:
*Gender: F M Other	*Date of Birth:	
Ethnicity (Specify):	Race (Specify):	
*Event Type: PNEU	*Date of Event:	
Post-procedure PNEU: Yes No	Date of Procedure:	
NHSN Procedure Code:	ICD-9-CM Procedure Code:	

**\*MDRO Infection Surveillance:**

- Yes, this infection's pathogen & location are in-plan for Infection Surveillance in the MDRO/CDI Module  
 No, this infection's pathogen & location are **not** in-plan for Infection Surveillance in the MDRO/CDI Module

\*Date Admitted to Facility: \*Location:

**Risk Factors**

\*Ventilator: Yes No Location of Device Insertion: \_\_\_\_\_ Date of Device Insertion: \_\_\_ / \_\_\_ / \_\_\_  
 \*For NICU only: Birth weight: \_\_\_\_\_ grams

**Event Details**

\*Specific Event:  PNU1  PNU2  PNU3 \*Immunocompromised: Yes No

\*Specific Criteria Used: (check all that apply)

X-Ray

New or progressive and persistent infiltrate  Consolidation  Cavitation  Pneumatoceles (in ≤1 y.o.)

Signs & Symptoms

Fever

Leukopenia or leukocytosis

Altered mental status (in ≥70 y.o.)

New onset/change in sputum

New onset/worsening cough, dyspnea, tachypnea

Rales or bronchial breath sounds<sup>†</sup>

Worsening gas exchange

Hemoptysis

Pleuritic chest pain

Temperature instability

Apnea, tachycardia, nasal flaring with retraction of chest wall or grunting

Hypothermia

Wheezing, rales, or rhonchi<sup>†</sup>

Cough

Bradycardia or tachycardia

Laboratory

Positive blood culture

Positive pleural fluid culture

Positive quantitative culture from LRT specimen

≥5% BAL cells w/ bacteria

Histopathologic exam w/ abscess formation, positive quantitative culture of lung parenchyma, or lung parenchyma invasion by fungal hyphae

Positive culture of virus or *Chlamydia*

Positive detection of viral antigen or antibody

4-fold rise in paired sera for pathogen

Positive PCR for *Chlamydia* or *Mycoplasma*

Positive micro-IF test for *Chlamydia*

Positive culture or micro-IF of *Legionella* spp.

*L pneumophila* serogroup 1 antigens in urine

4-fold rise in *L pneumophila* antibody titer

Matching positive blood & sputum cultures w/ *Candida* spp.

Fungi or *Pneumocystis carinii* from LRT specimen

<sup>†</sup> There are two criteria referring to rales in the PNU 1 signs and symptoms list. Please choose the one that corresponds to the specific algorithm used to identify this pneumonia (Any Patient or Alternate Criteria based on age).

\*Secondary Bloodstream Infection: Yes No

\*\*Died: Yes No PNEU Contributed to Death: Yes No

Discharge Date: \*Pathogens Identified: Yes No \*If Yes, specify on pages 2-3

Assurance of Confidentiality: The voluntarily provided information obtained in this surveillance system that would permit identification of any individual or institution is collected with a guarantee that it will be held in strict confidence, will be used only for the purposes stated, and will not otherwise be disclosed or released without the consent of the individual, or the institution in accordance with Sections 304, 306 and 308(d) of the Public Health Service Act (42 USC 242b, 242k, and 242m(d)).

Public reporting burden of this collection of information is estimated to average 32 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to CDC, Reports Clearance Officer, 1600 Clifton Rd., MS D-74, Atlanta, GA 30333, ATTN: PRA (0920-0666).

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Pathogen #	Gram-positive Organisms							
	<i>Staphylococcus</i> coagulase-negative (specify):							
		VANC SIRN						
	<i>Enterococcus</i> spp. (specify):	AMP SIRN	CIPRO/LEVO/MOXI SIRN	DAPTO SNS N	DOXY/MINO SIRN	GENTHL <sup>s</sup> SRN	LNZ SIRN	
		STREPHL <sup>s</sup> SRN	TETRA SIRN	TIG SNS N	VANC SIRN			
	<i>Enterococcus faecium</i>	AMP SIRN	CIPRO/LEVO/MOXI SIRN	DAPTO SNS N	DOXY/MINO SIRN	GENTHL <sup>s</sup> SRN	LNZ SIRN	
		QUIDAL SIRN	STREPHL <sup>s</sup> SRN	TETRA SIRN	TIG SNS N	VANC SIRN		
	<i>Staphylococcus aureus</i>	CHLOR SIRN	CIPRO/LEVO/MOXI SIRN	CLIND SIRN	DAPTO SNS N	DOXY/MINO SIRN	ERYTH SIRN	GENT SIRN
		LNZ SRN	OX/CEFOX/METH SIRN	QUIDAL SIRN	RIF SIRN	TETRA SIRN	TIG SNS N	TMZ SIRN
Pathogen #	Gram-negative Organisms							
	<i>Acinetobacter</i> spp. (specify):	AMK SIRN	AMPSUL SIRN	AZT SIRN	CEFEP SIRN	CEFTAZ SIRN	CIPRO/LEVO SIRN	COL/PB SIRN
		GENT SIRN	IMI SIRN	MERO/DORI SIRN		PIP/PIPTAZ SIRN		TETRA/DOXY/MINO SIRN
		TMZ SIRN	TOBRA SIRN					
	<i>Escherichia coli</i>	AMK SIRN	AMP SIRN	AMPSUL/AMXCLV SIRN	AZT SIRN	CEFAZ SIRN	CEFEP SIRN	CEFOT/CEFTRX SIRN
		CEFTAZ SIRN	CEFUR SIRN	CEFOX/CETET SIRN	CHLOR SIRN	CIPRO/LEVO/MOXI SIRN		COL/PB SIRN
		ERTA SIRN	GENT SIRN	IMI SIRN	MERO/DORI SIRN	PIPTAZ SIRN		TETRA/DOXY/MINO SIRN
		TIG SIRN	TMZ SIRN	TOBRA SIRN				
	<i>Enterobacter</i> spp. (specify):	AMK SIRN	AMP SIRN	AMPSUL/AMXCLV SIRN	AZT SIRN	CEFAZ SIRN	CEFEP SIRN	CEFOT/CEFTRX SIRN
		CEFTAZ SIRN	CEFUR SIRN	CEFOX/CETET SIRN	CHLOR SIRN	CIPRO/LEVO/MOXI SIRN		COL/PB SIRN
		ERTA SIRN	GENT SIRN	IMI SIRN	MERO/DORI SIRN	PIPTAZ SIRN		TETRA/DOXY/MINO SIRN
		TIG SIRN	TMZ SIRN	TOBRA SIRN				
	<i>Klebsiella</i> spp. (specify):	AMK SIRN	AMP SIRN	AMPSUL/AMXCLV SIRN	AZT SIRN	CEFAZ SIRN	CEFEP SIRN	CEFOT/CEFTRX SIRN
		CEFTAZ SIRN	CEFUR SIRN	CEFOX/CETET SIRN	CHLOR SIRN	CIPRO/LEVO/MOXI SIRN		COL/PB SIRN
		ERTA SIRN	GENT SIRN	IMI SIRN	MERO/DORI SIRN	PIPTAZ SIRN		TETRA/DOXY/MINO SIRN
		TIG SIRN	TMZ SIRN	TOBRA SIRN				

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Pathogen #	<b>Gram-negative Organisms (continued)</b>								
	<i>Serratia marcescens</i>	AMK SIR N	AMP SIR N	AMPSUL/AMXCLV SIR N	AZT SIR N	CEFAZ SIR N	CEFEP SIR N	CEFOT/CEFTRX SIR N	
		CEFTAZ SIR N	CEFUR SIR N	CEFOX/CETET SIR N	CHLOR SIR N	CIPRO/LEVO/MOXI SIR N		COL/PB SIR N	
		ERTA SIR N	GENT SIR N	IMI SIR N	MERO/DORI SIR N	PIPTAZ SIR N	TETRA/DOXY/MINO SIR N		
		TIG SIR N	TMZ SIR N	TOBRA SIR N					
	<i>Pseudomonas aeruginosa</i>	AMK SIR N	AZT SIR N	CEFEP SIR N	CEFTAZ SIR N	CIPRO/LEVO SIR N	COL/PB SIR N	GENT SIR N	
		IMI SIR N	MERO/DORI SIR N	PIP/PIPTAZ SIR N	TOBRA SIR N				
	<i>Stenotrophomonas maltophilia</i>	LEVO SIR N	TETRA/MINO SIR N		TICLAV SIR N	TMZ SIR N			
Pathogen #	<b>Fungal Organisms</b>								
	<i>Candida</i> spp. (specify):	ANID SIR N	CASPO S NS N	FLUCO S S-DD R N	FLUCY SIR N	ITRA S S-DD R N	MICA S NS N	VORI S S-DD R N	
Pathogen #	<b>Other Organisms</b>								
	Organism 1 (specify)	Drug 1 SIR N	Drug 2 SIR N	Drug 3 SIR N	Drug 4 SIR N	Drug 5 SIR N	Drug 6 SIR N	Drug 7 SIR N	Drug 8 SIR N
	Organism 1 (specify)	Drug 1 SIR N	Drug 2 SIR N	Drug 3 SIR N	Drug 4 SIR N	Drug 5 SIR N	Drug 6 SIR N	Drug 7 SIR N	Drug 9 SIR N
	Organism 1 (specify)	Drug 1 SIR N	Drug 2 SIR N	Drug 3 SIR N	Drug 4 SIR N	Drug 5 SIR N	Drug 6 SIR N	Drug 7 SIR N	Drug 9 SIR N

**Result Codes**

S = Susceptible I = Intermediate R = Resistant NS = Non-susceptible S-DD = Susceptible-dose dependent N = Not tested

§ GENTHL and STREPHL results: S = Susceptible/Synergistic and R = Resistant/Not Synergistic

**Drug Codes:**

AMK = amikacin	CEFTRX = ceftriaxone	ERYTH = erythromycin	MICA = micafungin	STREPHL = streptomycin – high level test
AMP = ampicillin	CEFUR= cefuroxime	FLUCO = fluconazole	MINO = minocycline	TETRA = tetracycline
AMPSUL = ampicillin/sulbactam	CETET= cefotetan	FLUCY = flucytosine	MOXI = moxifloxacin	TICLAV = ticarcillin/clavulanic acid
AMXCLV = amoxicillin/clavulanic acid	CHLOR= chloramphenicol	GENT = gentamicin	OX = oxacillin	TIG = tigecycline
ANID = anidulafungin	CIPRO = ciprofloxacin	GENTHL = gentamicin –high level test	PB = polymyxin B	TMZ = trimethoprim/sulfamethoxazole
AZT = aztreonam	CLIND = clindamycin	IMI = imipenem	PIP = piperacillin	TOBRA = tobramycin
CASPO = caspofungin	COL = colistin	ITRA = itraconazole	PIPTAZ = piperacillin/tazobactam	VANC = vancomycin
CEFAZ= cefazolin	DAPTO = daptomycin	LEVO = levofloxacin	QUIDAL = quinupristin/dalfopristin	VORI = voriconazole
CEFEP = cefepime	DORI = doripenem	LNZ = linezolid	RIF = rifampin	
CEFOT = cefotaxime	DOXY = doxycycline	MERO = meropenem		
CEFOX= cefoxitin	ERTA = ertapenem	METH = methicillin		
CEFTAZ = ceftazidime				

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