

Release notes for Data Submission Specifications for Utilizing HL7  
Quality Reporting Document Architecture Based on HL7 CDA Release  
2.0, version 4.1  
*April 2012*

Guidelines for Using Release Notes: Release 2.0, version 4.1 provides modifications to the Data Submission Specifications for Utilizing HL7 Quality Reporting Document Architecture Based on HL7 CDA, the Header Errors and Edits and the Body Errors and Edits. The Release Notes are provided as a reference tool and are not intended to be used to program abstraction tools.

**Changes to Technical Specifications Document “Data Submission Specifications Utilizing HL7 QRDA Implementation Guide Based on HL7 CDA Release 2.0 version 4.1”**

**Global Edits:**

Added references to International Classification of Diseases Tenth Revision, Clinical Modifications (ICD-10 (CM))

**Updated the following figures:**

Figure 9 was revised to update the example  
Figure 14 was revised to update the example  
Figure 17 was revised to update the example  
Figure 19 was revised to update the example  
Figure 38 was revised to update the example

**Added the following sections:**

(No changes)

**1.1 Purpose**

Added reference to International Classification of Diseases, Tenth Edition, Clinical Modifications (ICD-10-CM)

**1.4.1 Logical Observation Identifier Names and Codes (LOINC®)**

Updated contact information for LOINC database.

**1.4.2 SNOMED Clinical Terms (SNOMED-CT®)**

Updated references to SNOMED's trademark ownership from SNOMED International to IHTSDO.  
Updated the approximate number of concepts contained in SNOMED CT.

Updated SNOMED contact information.

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## **1.4.3 Current Procedural Terminology, Fourth Edition (CPT4®)**

Updated copyright information for CPT4.

## **1.4.4 International Classification of Diseases**

Updated section to make specific reference to the International Classification of Diseases, Ninth Revision (ICD-9) and Tenth Revision (ICD-10).

Updated contact site for questions regarding ICD-9 and ICD-10.

### **2.1.2.1 recordTarget**

**CONF-QRDA1-25:** ethnicGroupCode element changed from SHALL to SHOULD. No longer mandatory.

**CONF-QRDA1-27:** raceCode element changed from SHALL to SHOULD. No longer mandatory.

**CONF-QRDA1-41.1:** providerOrganization/telecom element changed from SHALL to SHOULD. No longer mandatory. Also updated text to specify inclusion of email address in this location. (*April 2012, version 4.1*)

**CONF-QRDA1-41.2:** wholeOrganization/telecom element changed from SHALL to SHOULD. No longer mandatory. Also updated text to specify inclusion of email address in this location. (*April 2012, version 4.1*)

## **2.2 CMS EHR QRDA Report Body Constraints**

Updated reference to figure 16. (*April 2012, version 4.1*)

### **3.1.4.2.1.2 Policy Activity**

#### **CONF-QRDA1-216:**

Removed reference to Social Security Number.

(*April 2012, version 4.1*) - Updated statement on covered party in a policy participantRole/id from SHOULD to SHALL in order to require a HIC number. Updated to state: "A covered party in a policy activity SHALL contain one or more participant / participantRole / id, to represent the patient's member or subscriber identifier with respect to the payer."

## **References**

Added link to ICD-10 Implementation Guide

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**Changes to the Header and Body Errors and Edits Documents**

Document Name	Tab	Number	Description of Change	Version
Header Errors and Edits	Participants_Record_Target	10104	Updated the edit to read:  The patient's legal 'name' element SHALL be submitted at least once.	4.0
Header Errors and Edits	Participants_Record_Target	10105	Updated the edit to read:  The patient's legal 'given' (first name) element SHALL be submitted at least once.	4.0
Header Errors and Edits	Participants_Record_Target	10107	Updated the edit to read:  The patient's legal 'family' (last name) element SHALL be submitted at least once.	4.0
Header Errors and Edits	Participants_Record_Target	10109	Updated edit: Changed Error to Warning.	4.0
Header Errors and Edits	Participants_Record_Target	10115	Updated edit: Changed Error to Warning.	4.0
Header Errors and Edits	Participants_Record_Target	10137	Updated edit to read:  The report SHOULD contain exactly one recordTarget/patientRole/providerOrganization/telecom. Changed to Warning.	4.1
Header Errors and Edits	Participants_Record_Target	10138	Updated edit to read:  The report SHOULD contain exactly one recordTarget/patientRole/providerOrganization/asOrganizationPartOf/wholeOrganization/telecom. Changed to Warning.	4.1
Header Errors and Edits	Relationships_Documentation_of	11023	Updated the edit to read:  If the serviceEvent performer's assignedEntity 'streetAddressLine' element is present, it SHALL be submitted exactly once.	4.0

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Document Name	Tab	Number	Description of Change	Version
Header Errors and Edits	Relationships_Documentation_of	11025	Updated the edit to read:  If the serviceEvent performer's assignedEntity 'city' element is present, it SHALL be submitted exactly once.	4.0
Header Errors and Edits	Relationships_Documentation_of	11027	Updated the edit to read:  If the serviceEvent performer's assignedEntity 'state' element is present, it SHALL be submitted exactly once and be valid according to Appendix_AK-State in the posted Downloadable Resource table.	4.0
Header Errors and Edits	Relationships_Documentation_of	11029	Updated the edit to read:  If the serviceEvent performer's assignedEntity 'postalcode' element is present, it SHALL be submitted exactly once.	4.0
Header Errors and Edits	Relationships_Documentation_of	11031	Updated the edit to read:  If the serviceEvent performer's assignedEntity 'assignedPerson' element is present, it shall be submitted exactly once.	4.0
Body Errors and Edits	Payers	13440	Updated the edit to read:  A covered party in a policy activity SHALL contain one or more participant / participantRole / id, to represent the patient's member or subscriber identifier with respect to the payer.	4.1
Body Errors and Edits	Payers	13441	Updated edit to read:  For participant/participantRole/id, HIC Number SHALL be submitted and id/@root SHALL be 2.16.840.1.113883.3.249.13. Changed from Conditional to Required.	4.1
Body Errors	Payers	13442	Updated the edit to read:	4.0

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Document Name	Tab	Number	Description of Change	Version
and Edits			If participant/participantRole/id@root is 2.16.840.1.113883.3.249.13, the id@extension SHALL be a valid HIC number format.	
Body Errors and Edits	Payers	13443	Removed Edit	4.0
Body Errors and Edits	Results	14232	Updated the edit to read:  The Results observation 'value' SHALL be greater than or equal to the MINIMUM VALUE and less than or equal to the MAXIMUM VALUE (as referenced in Appendix_F-Results of the Downloadable Resource table) for the observation 'code' submitted.	4.0
Body Errors and Edits	Vital_Signs	14433	Updated the edit to read:  The Results observation 'value' SHALL be greater than or equal to the MINIMUM VALUE and less than or equal to the MAXIMUM VALUE (as referenced in Appendix_E-Vital_Signs of the Downloadable Resource table) for the observation 'code' submitted.	4.0