Title: Special Temporary Authorization (STA) Requests; Notifications; and Informal Filings; Sections 1.5, 73.1615, 73.1635, 73.1740 and 73.3598; CDBS Informal Forms; Section 74.788; Low Power Television, TV Translator and Class A Television Digital Transition Notifications; FCC Form 337; Section 73.3700, Service Rule Waiver in Lieu of Reimbursement

SUPPORTING STATEMENT

A. Justification:

1. On October 2, 2012, the Commission released the Notice of Proposed Rulemaking, *Expanding the Economic and Innovative Opportunities of Spectrum Through Incentive Auctions*, Docket No. 12-268, FCC 12-118 ("IA NPRM"). The IA NPRM contains rules and policies for the incentive auction mandated by Congress in the Middle Class Tax Relief and Job Creation Act of 2012, Pub. L. No. 112-96, 125 Stat. 156 (2012). Following the completion of the incentive auction process, eligible stations that are repacked¹ to new channel assignments may request a waiver of the service rules in lieu of seeking reimbursement of their repacking expenses by submitting an informal filing. In addition, stations that need additional time to relocate to their new channel assignments may be required to submit a request for extension of time (FCC Form 337), tolling notification, or request for Special Temporary Authority (STA). **These new requirements are provided in 47 C.F.R. § 73.3700.**

There will be no changes to FCC Form 337 as a result of the proposed requirements adopted in FCC 12-118.

FCC Form 337.

The FCC Form 337, Application for Extension of Time to Construct a Digital Television Broadcast Station, is used by all low power television, TV translator and Class A television digital permittees to apply for extension of time within which to construct their digital facility. This form must be filed at least sixty, but not more than ninety, days prior to the applicable construction deadline. Applicants who file this form based on financial hardships must retain documentation fully detailing and supporting their financial representations as well as any steps taken to overcome the circumstances preventing construction.

Special Temporary Authority (STA) Requests (47 CFR § 73.1635). Broadcast stations (AM, FM, TV, Class A TV or LPTV licensees or permittees) may file a request for STA approval to permit a station to operate a broadcast facility for a limited period at a specified variance from the terms of the station's authorization or requirements of the FCC rules. Stations may file a request for STA approval for a variety of reasons. The request must describe the operating modes and facilities to be used. Types of STA requests include Engineering and Legal STAs.

¹ Repacking occurs when stations that are assigned a new operating channel following completion of the incentive auction process.

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Filings; Sections 1.5, 73.1615, 73.1635, 73.1740 and 73.3598; CDBS Informal Forms; Section 74.788; Low Power Television, TV Translator and Class A Television Digital Transition Notifications; FCC Form 337; Section 73.3700, Service Rule Waiver in Lieu of Reimbursement

Notifications:

- <u>Change in Official Mailing Address for Broadcast Station (47 CFR § 1.5)</u>. Broadcast stations may file this form to report any changes in the station's mailing address, but cannot use this form to correct or change the name of the licensee.
- **Consummation Notice.** Broadcast stations may file this form to notify the Commission when an assignment of license or transfer of control is consummated. The form also may be used by the station to request an extension of time to consummate.
- Silent Notifications (47 CFR § 73.1740). Broadcast stations (AM, FM, TV or Class A TV licensees) may file this form to notify the Commission of the station's suspension of broadcast operations. Broadcast stations may also use this form to request a silent STA or extension thereof. Types of Silent Notifications include Notification of Suspension and Resumption of Operations. Pursuant to Section 73.1740, broadcast station licensees must notify the Commission when events beyond their control make it impossible to continue operation or to adhere to the required operating schedules set forth in this rule. In addition, they must notify the Commission when they resume normal operations. (No further authority is needed for limited operation or discontinued operation for a period not exceeding 30 days.) Should events beyond the licensees control make it impossible for compliance within the required 30-day time period, broadcast station licensees must file an informal letter request for silent operations ("Silent STA," discussed below in informal filings section).
- Section 73.1615 notifications (47 CFR § 73.1615). Broadcast stations (AM, FM, TV or Class A TV licensees) must file a notification under 47 CFR 73.1615(c) when such a station is in the process of modifying existing facilities as authorized by a construction permit and determines it is necessary to either discontinue operation or to operate with temporary facilities to continue program service for a period not more than 30 days. Licensees or permittees of directional or nondirectional FM, TV or Class A TV or nondirectional AM must file a notification and comply with 47 CFR 73.1615(a). Licensees or permittees of a directional AM station whose modification does not involve a change in operating frequency must file a notification and comply with 47 CFR 73.1615(b). Licensees or permittees of a directional AM station whose modification does involve a change in frequency and determines it is necessary to discontinue operation for a period not more than 30 days must file a notification and comply with 47 CFR 73.1615(d)(2).

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- Tolling Notifications (47 CFR § Section 73.3598). Broadcast stations (TV/DTV, 2 Class A TV, low power TV, TV translator, TV booster, AM, FM, International Broadcast, FM translator, FM booster, and LPFM permittees) may file this form to notify the Commission of an event that would toll their deadline to construct their TV facility. Section 73.3598 requires a permittee to notify the Commission as promptly as possible and, in any event, within 30 days of a tolling event, i.e., when construction is when construction is prevented due to (1) an act of God (e.g., floods, tornados, hurricanes, earthquakes, etc.); (2) the grant of the permit is the subject of administrative or judicial review (i.e., petitions for reconsideration and applications for review of the grant of a construction permit pending before the Commission and any judicial appeal); (3) construction is delayed by a cause of action pending in court related to requirements for construction or operation of the station (i.e., zoning or environmental requirements); or (4) international coordination where resolution of the international coordination issue is truly beyond the control of the station (e.g., where the failure to obtain coordination will not permit the station to construct facilities sufficient to replicate its analog coverage area). Stations must report progress at six-month intervals, detailing how the tolling event continues to cause delays in construction, any construction progress, and the steps it has taken and proposes to take to resolve any remaining impediments to construction. (Any construction permit for which construction has not been completed and which an application for license has not been filed will be automatically forfeited upon expiration of the construction permit.).
- Low Power Television, TV Translator and Class A Television Digital Transition Notification. Low power television, TV translator, and Class A television stations that have not already transitioned to digital must submit a notification to the Commission (through an informal filing) of their decision to either flash cut on their existing analog channel or to continue operating their digital companion channel and return their analog license.

Informal Filings:

• Section 73.1615 informal letter requests (47 CFR § 73.1615). Broadcast stations (AM, FM, TV or Class A TV licensees or permittees) must file an informal letter request under 47 CFR 73.1615(c)(1) when such a station is in the process of modifying existing facilities pursuant to 47 CFR 73.1615(a) or (b) and determines it is necessary to either discontinue operation or to operate with temporary facilities to continue program service for a period of more than 30 days. Licensees or permittees that filed notifications under 47 CFR 73.1615(d)(2) but which determine that it is necessary to discontinue operation for a period more than 30 days must file an informal letter request and comply with 47 CFR 73.1615(d)(1) and (2).

²As of June 12, 2009, a TV/DTV station permittee may obtain an extension of time to construct its DTV facility only pursuant to the tolling provision in Section 73.3598.

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- <u>Silent STAs and Extensions (47 CFR § 73.1740)</u>. Broadcast stations (AM, FM, TV or Class A TV licensees) that suspended broadcast operations (and filed a silent notification) pursuant to Section 73.1740 and which finds it necessary to continue its suspension of operations for more than 30 days must file an informal letter request ("Silent STA") with the Commission under 47 CFR § 73.1740(a) (4) for additional time. If necessary, the station may file a request for an extension of its Silent STA.
- <u>Service Rule Waiver in Lieu of Reimbursement (47 CFR § 73.3700).</u> Following the completion of the incentive auction process, eligible stations that are repacked to new channel assignments may request a waiver of the service rules in lieu of seeking reimbursement of their repacking expenses by submitting an informal filing.

Copies of all STA requests, notifications, informal filings and tolling notifications must also be retained in the station's local public inspection file. This recordkeeping requirement is contained in OMB Control No. 3060-0214. Sections 73.3526(e) and 73.3527(e) require broadcast station licensees and permittees to retain in the station's local public inspection file a copy of any application tendered for filing with the FCC, together with all related material.

This information collection does not affect individuals or households; thus, there are no impacts under the Privacy Act.

Statutory authority for this collection of information is contained in Sections 1, 4(i) and (j), 7, 154, 301, 302, 303, 307, 308, 309, 312, 316, 318, 319, 324, 325, 336, and 337 of the Communications Act of 1934, as amended.

- **2.** FCC staff use the data to determine whether to grant and/or accept the requested special temporary authority ("STA") (or other request for FCC action), the required notification, informal filing, application filings or other non-form submission.³ FCC staff will review for compliance with legal and technical regulations, including but not limited to ensuring that impermissible interference will not be caused to other stations.
- **3.** All STA requests, applications, notifications, informal filings and other "non-form" submissions *may* be filed electronically via the FCC's Consolidated Database System ("CDBS"). These filings may also be filed by letter, but only if expressly authorized by rule or Commission approval. (We estimate than less than 15% of these filings will be made by paper).
- **4.** No other agency imposes a similar information collection on the respondents. There is no similar data available.

³ Generally, "non-form" filings are notifications or requests filed with the Commission that are submitted by informal letter as opposed to a specific Commission form.

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- **5.** This information collection will not have a significant economic impact on a substantial number of small entities/businesses.
- **6.** The frequency for this collection of information is determined by respondents, as necessary.
- **7.** This collection of information is consistent with the guidelines in 5 CFR 1320.5(d)(2).
- **8.** The Commission published a notice in the Federal Register on November 21, 2012 seeking public comment on the information collection requirements contained in this supporting statement, *see*77 FR 69934. To date, no comments have been received from the public.
- **9.** No payment or gift was provided to the respondents.
- **10.** There is no need for confidentiality with this collection of information.
- **11.** This information collection does not address any private matters of a sensitive nature.
- **12.** We make the following estimates for STA requests, notifications, informal filings, and FCC Form 337 applications.

a) STA Requests:

- **Legal STA Requests.** We estimate that a total of 200 Legal STA requests (all broadcast services AM, FM, TV, Class A TV or LPTV and including STA extension requests) will be filed with the Commission annually. We estimate that 10% of these filings (or 20 filings) will be made by the station licensee/permittee without outside consultation and will require four (4) hours preparation, while 90% of these filings (or 180 filings) will be contracted out to outside attorneys and will require one (1) hour of consultation with these outside parties.
- **Engineering STA Requests.** We estimate that a total of 1,000 Engineering STA requests (all broadcast services AM, FM, TV, Class A TV or LPTV and including STA extension requests) will be filed with the Commission annually. We estimate that 10% of these filings (or 100 filings) will be made by the station licensee/permittee without outside consultation and will require four (4) hours preparation, while 90% of these filings (or 900 filings) will be contracted out to outside consulting engineers and will require one (1) hour of consultation with these outside parties.
- **Band Transition STA Requests.** We estimate that a total of 230 STA requests will be filed by full

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power and Class A television stations in conjunction with the band transition that will occur following the incentive auction/repacking process. We estimate that 10% of these filings (or 23 filings) will be made by the station licensee/permittee without outside consultation and will require four (4) hours preparation, while 90% of these filings (or 207 filings) will be contracted out to outside consulting engineers and will require one (1) hour of consultation with these outside parties.

b) Notifications:

- Change in Official Mailing Address for Broadcast Station (47 CFR § 1.5). We estimate that 200 of these notices will be filed with the Commission annually. We estimate that these filings will be made by the station licensee/permittee without outside consultation and will require 30 minutes (0.5 hours) preparation.
- **Consummation Notices.** We estimate that 400 of these notices will be filed with the Commission annually. We estimate that 10% (40) of these filings will be made by the station licensee/permittee without outside consultation and will require 30 minutes (0.5 hours) preparation and 90% (360) of these filings will be contracted out to outside attorneys and will require one (1) hour of consultation with these outside parties.
- **Silent Notifications (47 CFR § 73.1740).** We estimate that 400 of these notices (which include Notification of Suspension and Resumption of Operations) will be filed with the Commission annually. We estimate that 75% (300) of these filings will be made by the station licensee/permittee without outside consultation and will require 30 minutes (0.5 hours) preparation and 25% (100) of these filings will be contracted out to outside attorneys and/or consulting engineers and will require one (1) hour of consultation with these outside parties.
- **Section 73.1615 notifications (47 CFR § 73.1615).** We estimate that 300 of these notices will be filed with the Commission annually. We estimate that about 67% (200) filings will be made by the station licensee/permittee without outside consultation and will require 30 minutes (0.5 hours) preparation and the remaining one-third (100) of these filings will be contracted out to attorneys and/or consulting engineers and will require one (1) hour of consultation with these outside parties.
- **Tolling Notifications (47 CFR § 73.3598).** We estimate that 60 notifications and 60 waivers for additional time to construct will be filed with the Commission annually. We estimate that (50%) of the filings (30 notifications and 30 waivers) will be made by the station licensee/permittee without outside consultation and will require 30 minutes (0.5 hours) preparation and the remaining of these filings (50%)(30 notifications and 30 waivers) will be contracted out to attorneys and/or consulting

engineers and will require one (1) hour of consultation with these outside parties.

• **Band Transition Tolling Notifications.** We estimate that 230 notifications will be filed with the Commission annually. We estimate that (10%) of the filings (23 filings) will be made by the station

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licensee/permittee without outside consultation and will require 30 minutes (0.5 hours) preparation and the remaining 90% of these filings (207) will be contracted out to attorneys and/or consulting engineers and will require one (1) hour of consultation with these outside parties.

• Low Power Television, TV Translator and Class A Television Digital Transition Notification. We estimate that a total of 2,439 low power television digital transition notifications will be filed with the Commission. We estimate that 90% of these filings (or 2,195 filings) will be made by the station licensee/permittee without outside consultation and will require 30 minutes (0.5 hours) preparation, while 10% of these filings (or 244 filings) will be contracted out to outside attorneys and/or consulting engineers and will require 30 minutes (0.5 hours) of consultation with these outside parties.

c) Informal Filings:

- Section 73.1615 informal letter requests (47 CFR § 73.1615). We estimate that 150 of these informal letter requests will be filed with the Commission annually. We estimate that 20% of these filings (or 30 informal letters) will be made by the station licensee/permittee without outside consultation and will require four (4) hours preparation, while 80% of these filings (or 120 filings) will be contracted out to outside attorneys and/or consulting engineers and will require one (1) hour consultation with these outside parties.
- **Silent STAs and Extensions (47 CFR § 73.1740).** We estimate that 300 of these filings (which include requests for Silent STA and Extension of Silent STA) will be filed with the Commission annually. We estimate that 10% (30) of these filings will be made by the station licensee/permittee without outside consultation and will require 30 minutes (0.5 hours) preparation and 90% (270) of these filings will be contracted out to outside attorneys and/or consulting engineers and will require one (1) hour of consultation with these outside parties.
- **Service Rule Waiver in Lieu of Reimbursement.** We estimate that 225 of these filings will be filed with the Commission. We estimate that 10% (23) of these filings will be made by the station licensee/permittee without outside consultation and will require 30 minutes (0.5 hours) preparation and 90% (202) of these filings will be contracted out to outside attorneys and/or consulting engineers and will require one (1) hour of consultation with these outside parties.

d) FCC Form 337 Applications:

• The Commission estimates that 1,000 FCC Form 337 applications will be filed with the Commission annually. We estimate that respondents will spend an average of 1 hour to complete

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each application and to retain financial hardship documentation, if applicable.

Reimbursement

• The Commission estimates that 230 FCC Form 337 applications will be filed with the Commission by stations in the band transition that will occur following the incentive auction/repacking process. We estimate that (10%) of the applications (23 filings) will be made by the station licensee/permittee without outside consultation and will require three hours (3 hours) preparation and the remaining 90% of these applications (207) will be contracted out to attorneys and will require one (1) hour of consultation with these outside parties.

| Туре | # of Respondents | # of Responses | Burden Hours of Respondents | Annual Burden Hours | Hourly Salary of Respondents ⁴ | Annual In- House Cost |
|---|---------------------|-------------------|--------------------------------|---------------------------|--|--------------------------|
| 12a. STA Requests (47 CFR § | § 73.1635) | | - | | | |
| Legal STA filed by | | | | | | |
| Licensee/ Permittee | 20 | 20 | 4 hrs | 80 hrs | \$48.08 | \$3,846.40 |
| Legal STA filed by outside attorney but requiring the respondent to consult with | | | | | | |
| said attorney | 180 | 180 | 1 hr consultation | 180 hrs | \$48.08 | \$8,654.40 |
| Engineering STA filed by Licensee/ Permittee | 100 | 100 | 4 hrs | 400 hrs | \$48.08 | \$19,232.00 |
| Licensee/ Fermittee | 100 | 100 | 4 1115 | 400 1115 | \$40.00 | \$13,232.00 |
| Engineering STA filed by consulting engineer but requiring the respondent to consult with said engineer | 900 | 900 | 1 hr consultation | 900 hrs | \$48.08 | \$43,272.00 |
| Band Transition Engineering STA filed by Licensee/ Permittee | 23 | 23 | 4 hours | 92 hrs | \$48.08 | \$4,423.36 |
| Band Transition Engineering STA filed by consulting engineer but requiring the respondent to consult with | 23 | 23 | 4 Hours | 92 1115 | \$40.00 | #4,423.30 |
| said engineer | 207 | 207 | 1 hr consultation | 207 | \$48.08 | \$9,952.56 |
| Total STA Requests | 1,430 | 1,430 | 1 III CONSUITATION | 1,679 hrs | ψ+0.00 | \$80,726.32 |
| 2000 | 2,122 | | 1 | | I. | 40.7. |
| 12b. Notifications (47 CFR Pa | art 73) | | | | | |
| Change in Official Mailing Address for Broadcast | | | | | | |
| Station | 200 | 200 | 0.5 hrs | 100 hrs | \$48.08 | \$4,808.00 |

⁴ This estimate is based on an average salary for a station manager of \$100,000 per year or \$48.08 per hour.

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Reimbursement

| Toma | # of | # of | Burden Hours | Annual Burden | Hourly Salary | Annual In- |
|---|-------------|-----------|----------------------|------------------|----------------|-------------------|
| Type Consummation Notices filed | Respondents | Responses | of Respondents | Hours | of Respondents | House Cost |
| by Licensee/ Permittee | 40 | 40 | 0.5 hrs | 20 hrs | \$48.08 | \$961.60 |
| Consummation Notices filed | 40 | 40 | 0.5 1115 | 20 1113 | ψ40.00 | ψ501.00 |
| by outside attorney but | | | | | | |
| requiring the respondent to | | | | | | |
| consult with said attorney | 360 | 360 | 1 hr consultation | 360 hrs | \$48.08 | \$17,308.80 |
| consult with said accorney | 1 300 | 1 300 | 1 III CONSULTATION | 1 300 1110 | ψ .0,00 | 417,000,00 |
| Silent Notifications filed by | | | | | | |
| Licensee/ Permittee | 300 | 300 | 0.5 hrs | 150 hrs | \$48.08 | \$7,212.00 |
| Silent Notifications filed by | | | | | | |
| outside attorney or | | | | | | |
| consulting engineer but | | | | | | |
| requiring the respondent to | | | | | | |
| consult with said | 100 | 100 | 1 hr consultation | 100 hrs | \$48.08 | \$4,808.00 |
| attorney/engineer | | | | | | |
| | | | | | | |
| Rule 73.1615 Notifications | | | | _ | | |
| filed by Licensee/Permittee | 200 | 200 | 0.5 hrs | 100 hrs | \$48.08 | \$4,808.00 |
| Rule 73.1615 Notifications filed by outside engineer/attorney but requiring the respondent to consult with said engineer/attorney | 100 | 100 | 1 hr consultation | 100 hrs | \$48.08 | \$4,808.00 |
| Tolling Notifications and | | | | | | |
| Waivers for Extension of | | | | | | |
| Time to Construct filed by | | | | | | |
| Licensee/Permittee | 60 | 60 | 0.5 hrs | 30 hrs | \$48.08 | \$1,442.40 |
| Tolling Notifications and | | | | | | |
| Waivers for Extension of | | | | | | |
| Time to Construct filed by | | | | | | |
| engineer/attorney but will | | | | | | |
| require the respondent to | | | | | | |
| consult with outside parties | | | 1 hr | | | |
| regarding the filings | 60 | 60 | consultation | 60 hrs | \$48.08 | \$2,884.80 |
| Band Transition Tolling | | | | | | |
| Notifications filed by | 22 | 22 | 0.5 has | 12 | ¢40.00 | ¢570.00 |
| Licensee/Permittee | 23 | 23 | 0.5 hrs | 12 | \$48.08 | \$576.96 |
| Band Transition Tolling Notifications filed by | | | | | | |
| attorney but will require the | | | | | | |
| attorney but will require the | | | | | | |

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| | | | | Annual | | |
|--|---------------------|-------------------|--------------------|-----------------|------------------------------|--------------------------|
| Tyme | # of Respondents | # of Responses | Burden Hours | Burden Hours | Hourly Salary of Respondents | Annual In- House Cost |
| Type respondent to consult with | Respondents | Responses | of Respondents | Hours | of Respondents | House Cost |
| outside parties regarding the | | | | | | |
| filings | 207 | 207 | 1 hr consultation | 207 | \$48.08 | \$9,952.56 |
| Low Power Television, TV | 207 | 207 | 1 III Consultation | 207 | ψ40.00 | \$3,332.30 |
| Translator and Class A | | | | | | |
| Digital Transition | | | | | | |
| Notification filed by | | | | | | |
| Licensee/Permittee | 2,195 | 2,195 | 0.5 hrs | 1,098 | \$48.08 | \$52,791.84 |
| Low Power Television, TV | 2,155 | 2,100 | 0.0 1115 | 1,000 | ψ 10.00 | ψ32,751.51 |
| Translator and Class A | | | | | | |
| Digital Transition | | | | | | |
| Notification filed by | | | | | | |
| engineer/attorney but will | | | | | | |
| require the respondent to | | | | | | |
| consult with outside parties | | | 0.5 hrs | | | |
| regarding the filings | 244 | 244 | consultation | 122 | \$48.08 | \$5,865.76 |
| Total Notifications | 4,089 | 4,089 | | 2,459 hrs | | \$118,228.72 |
| | • | • | • | • | • | |
| 12c. Informal Filings (47 CF | R Part 73) | | | | | |
| Rule 73.1615 Informal | | | | | | |
| Letters filed by Licensee/ | | | | | | |
| Permittee | 30 | 30 | 4 hrs | 120 hrs | \$48.08 | \$5,769.60 |
| Rule 73.1615 Informal | | | | | | |
| Letters filed by outside | | | | | | |
| engineer/attorney but will | | | | | | |
| require the respondent to | | | | | | |
| consult with outside parties | | | 1 hr | _ | | |
| regarding the filings | 120 | 120 | consultation | 120 hrs | \$48.08 | \$5,769.60 |
| | T | T | T | ı | 1 | T |
| Silent STA or Extension | 20 | 20 | 0.5 loss | 15 1 | ¢40.00 | ф 7 24 20 |
| filed by Licensee/ Permittee | 30 | 30 | 0.5 hrs | 15 hrs | \$48.08 | \$721.20 |
| Silent STA or Extension | | | | | | |
| filed by outside engineer/attorney but will | | | | | | |
| | | | | | | |
| require the respondent to consult with outside parties | | | 1 hr | | | |
| regarding the filings | 270 | 270 | consultation | 270 hrs | \$48.08 | \$12,981.60 |
| Service Rule Waivers filed | 2/0 | 2/0 | CONSUMATION | 2/01115 | ψ40.00 | \$12,301.00 |
| by Licensee/ Permittee | 23 | 23 | 0.5 hrs | 12 hrs | \$48.08 | \$576.96 |
| Service Rule Waivers filed | 20 | 23 | 0.5 1115 | 12 1113 | ψ+0.00 | ψ3/0.30 |
| by outside engineer/attorney | | | | | | |
| but will require the | | | | | | |
| respondent to consult with | | | | | | |
| outside parties regarding the | | | | | | |
| outside purites regulating the | 1 | | | | | 1 |

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Filings; Sections 1.5, 73.1615, 73.1635, 73.1740 and 73.3598; CDBS Informal Forms;

Section 74.788; Low Power Television, TV Translator and Class A Television Digital Transition Notifications; FCC Form 337; Section 73.3700, Service Rule Waiver in Lieu of Paimbursement

| Reiniburseinent | | | | | | |
|---------------------------|-------------|-----------|---------------------|--------------------|----------------|-------------|
| | | | | Annual | | |
| | # of | # of | Burden Hours | Burden | Hourly Salary | Annual In- |
| Type | Respondents | Responses | of Respondents | Hours | of Respondents | House Cost |
| filings | 202 | 202 | 1 hr consultation | 202 hrs | \$48.08 | \$9,712.16 |
| Total Informal Filings | 675 | 675 | | 739 hrs. | | \$35,531.12 |
| | | | | | | |
| 12d. FCC Form 337 Applica | ntions | | | | | |
| FCC Form 337 Applications | | | | | | |
| 2- | 1,000 | 1,000 | 1 hr. | 1,000 ⁵ | \$48.08 | \$48,080.00 |
| Band Transition FCC Form | | | | | | |

| FCC Form 337 Applications | | | | | | |
|------------------------------|--------------------|-------|-------------------|--------------------|---------|--------------|
| | 1,000 | 1,000 | 1 hr. | 1,000 ⁵ | \$48.08 | \$48,080.00 |
| Band Transition FCC Form | | | | | | |
| 337 Applications filed by | | | | | | |
| Licensee/Permittee | 23 | 23 | 3 hrs | 69 | \$48.08 | \$3,317.52 |
| Band Transition FCC Form | | | | | | |
| 337 filed by outside | | | | | | |
| engineer/attorney but will | | | | | | |
| require the respondent to | | | | | | |
| consult with outside parties | | | | | | |
| regarding the filings | 207 | 207 | 1 hr consultation | 207 | \$48.08 | \$9,952.56 |
| Total Form 337s | 1,230 | 1,230 | | 1,276 hrs | | \$61,350.08 |
| TOTAL ANNUAL | | | | | | |
| FILINGS/HOURS | 7,424 ⁶ | 7,424 | | 6,153 hrs. | | \$295,836.24 |
| | | · | · | | · | |

13. ANNUAL COST BURDEN:

a) STA Requests. An attorney would prepare and submit the request for a Legal STA, estimated above to be 180 filings, and a consulting engineer will prepare and submit the request for an Engineering STA, estimated above to be 1,107 filings (including Band Transition filings). We estimate that the average salary for the attorney is \$300/hour and for a consulting engineer is \$250/hour. In addition, a licensee must submit a fee for each request by a commercial broadcast station for special temporary authority at \$170/request. We estimate that 85% of all requests filed (1,200) will be for commercial stations (1,200 x 85% = 1,020 requests).

| Annual Cost Burden = | \$1,496,400 |
|---|------------------|
| 1,020 Feeable STA requests x \$170 Fee/ request = | <u>\$173,400</u> |
| 1,107 Engineering STA requests x 4 hours x \$250/hour = | \$1,107,000 |
| 180 Legal STA requests x 4 hours x \$300/hour = | \$216,000 |

b) Notifications. An attorney and/or consulting engineer would prepare and submit the various types of notifications depending on the circumstances. We estimate that the average salary for the attorney is

⁵ This burden also accounts for the time needed to retain necessary financial hardship documentation, if applicable.

⁶ This estimate is based on the entire universe of respondents for this collection.

Title: Special Temporary Authorization (STA) Requests; Notifications; and Informal Filings; Sections 1.5, 73.1615, 73.1635, 73.1740 and 73.3598; CDBS Informal Forms; Section 74.788; Low Power Television, TV Translator and Class A Television Digital Transition Notifications; FCC Form 337; Section 73.3700, Service Rule Waiver in Lieu of Reimbursement

\$300/hour and for a consulting engineer is \$250/hour. Because these filings may be reviewed and/or filed by either or both an outside attorney or engineer consultant, we estimate the average salary to be \$275/hour. There are no filing fees attached to these notification filings with the Commission.

| 360 Consummation Notices x 1 hour x \$275/hour = | \$99,000 |
|---|-----------------|
| 100 Silent Notifications x 1 hour x \$275/hour = | \$27,500 |
| 100 Rule 73.1615 notifications x 1 hour x \$275/hour = | \$27,500 |
| 267 Tolling Notifications and Waivers x 1 hour x \$275/hour = | \$73,425 |
| 244 Digital Transition Notifications x 1 hours x \$275/hour = | <u>\$67,100</u> |
| Annual Cost Burden = | \$240,525 |

c) Informal Filings. A consulting engineer and/or an attorney would prepare and submit the informal letter depending on the circumstances. We estimate that the average salary for a consulting engineer is \$250/hour and for the attorney is \$300/hour. Because these filings may be reviewed and/or filed by either or both an outside attorney or engineer consultant, we estimate the average salary to be \$275/hour. In addition, a licensee must submit a fee for each request by a commercial broadcast station for special temporary authority at \$170/request. We estimate that 85% of all requests filed will the Commission will be for commercial stations ($150 \times 85\% = 128$ requests rounded up).

| Annual Cost Burden = | \$283,560 |
|---|------------------|
| 202 Service Rule Waivers x 1 hour x \$275/hour = | <u>\$ 55,550</u> |
| 128 informal letter requests x \$170 Fee / request = | <u>\$ 21,760</u> |
| 270 Silent STA requests x 1 hour x \$275/hour = | \$ 74,250 |
| 120 informal letter requests x 4 hours x \$275/hour = | \$132,000 |

d) FCC Form 337 Applications. The Commission assumes that respondents would consult with an attorney before completing the FCC Form 337 and the attorney would review the completed form before it is transmitted to the Commission. It will take the attorney an hour per extension request to complete the review of each form.

1,207 FCC Form 337 Applications x 1 hour/application x \$300/hour = \$362,100

Total Annual Cost Burden = \$1,496,400 + \$240,525 + \$283,560 + \$362,100 = **\$2,382,585**

14. Cost to the Federal Government:

a) <u>STA Requests.</u> We estimate the government will use legal and engineer staff at the GS-14, step 5 level (\$57.13/hour), paraprofessional staff at the GS-11, step 5 level (\$33.92/hour) and clerical staff at the GS-5, step 5 level (\$18.50/hour). We expect the processing for the STAs to take from 0.50 to 2 hours to process.

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| Cost to the Federal Government: | \$273,630.50 |
|--|---------------------|
| 1,430 STAs x \$18.50 x 0.50 hour = | <u>\$ 13,227.50</u> |
| 1,430 STAs x $$33.92 \times 2 \text{ hours} =$ | \$ 97,011.20 |
| 1,430 STAs x $$57.13 \times 2 \text{ hours} =$ | \$163,391.80 |

b) Notifications and Waivers. We estimate the government will use legal and engineer staff at the GS-14, step 5 level (\$57.13/hour), paraprofessional staff at the GS-11, step 5 level (\$33.92/hour) and clerical staff at the GS-5, step 5 level (\$18.50/hour). We expect the processing for the notifications and waivers to take from 0.50 to 1 hour to process.

| Cost to the Federal Government: | \$188,650.44 |
|---|--------------------|
| 2,439 Digital Transition Notifications x 33.92 x .50 hour = | <u>\$41,365.44</u> |
| 1,450 notifications x \$18.50 x 0.50 hours = | \$13,412.50 |
| 1,450 notifications x 33.92 x 1 hour = | \$49,184.00 |
| 1,450 notifications x 57.13 x 1 hour = | \$82,838.50 |
| 200 change of address notices x \$18.50 x 0.50 hours = | \$ 1,850.00 |

c) <u>Informal Filings, Silent STAs</u>, <u>Extensions or Service Rule Waivers</u>. We estimate the government will use legal and engineer staff at the GS-14, step 5 level (\$57.13/hour), paraprofessional staff at the GS-11, step 5 level (\$33.92/hour) and clerical staff at the GS-5, step 5 level (\$18.50/hour). We expect the processing for the informal filings, silent STAs, extensions or service rule waivers, to take from 0.50 to 2 hours to process.

| 150 Rule 73.1615 Informal Letters x \$57.13 x 2 hours = | \$17,139.00 |
|--|--------------|
| 150 Rule 73.1615 Informal Letters x \$33.92 x 2 hours = \$10,176 | 6.00 |
| 150 Rule 73.1615 Informal Letters x \$18.50 x 0.50 hours = | \$ 1,387.50 |
| 300 Silent STAs or Extensions x 57.13 x 2 hours = | \$34,278.00 |
| 300 Silent STAs or Extensions x \$33.92 x 2 hours = | \$20,352.00 |
| 300 Silent STAs or Extensions x \$18.50 x 0.50 hours = | \$ 2,775.00 |
| 225 Service Rule Waivers x 57.13 x 2 hours = | \$25,708.50 |
| 225 Service Rule Waivers x \$33.92 x 2 hours = | \$15,264.00 |
| 225 Service Rule Waivers x \$18.50 x 0.50 hours = | \$ 2,081.25 |
| Cost to the Federal Government: | \$129,161.25 |

d) <u>FCC Form 337 Applications.</u> We estimate the government will use professionals at the GS-13, step 5 (\$57.13/hour) to process FCC Form 337 and processing will take four hours per application.

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4 hours x 57.13/hour x 1,230 FCC Form 337 applications = $281,079.60
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Total Cost to the Federal Government: \$273,630.50 + \$188,650.44 + \$129,161.25 + 281,079.60 = \$872,521.79

OMB Control Number: 3060-0386

November 2012
Title: Special Temporary Authorization (STA) Requests; Notifications; and Informal Filings; Sections 1.5, 73.1615, 73.1635, 73.1740 and 73.3598; CDBS Informal Forms; Section 74.788; Low Power Television, TV Translator and Class A Television Digital Transition Notifications; FCC Form 337; Section 73.3700, Service Rule Waiver in Lieu of Reimbursement

- 15. If the proposed requirements contained in FCC 12-118 are adopted in a final rulemaking 915 respondents, 915 responses, 828 burden hours and \$256,075 in annual cost will be added to OMB's inventory.
- **16.** The data will not be published.
- **17.** OMB approval of the expiration of the information collection will be displayed at 47 C.F.R. Section 0.408.
- **18.** There are no exceptions to the Certification Statement.
- **B.** Collections of Information Employing Statistical Methods

This information collection does not employ any statistical methods.