

DEFENSE INDUSTRIAL BASE ASSESSMENT: Critical Facilities Survey



SCOPE OF ASSESSMENT

The U.S. Department of Commerce, Bureau of Industry and Security (BIS), Office of Technology Evaluation (OTE), in coordination with the U.S. Department of Defense (DOD), Defense Security Service (DSS) is conducting a survey and assessment of organizations responsible for the research, design, engineering, development, manufacture, test, and integration of defense and high-technology products, components, and related services. The resulting data will provide a baseline understanding of the structure and interdependencies of organizations that participate in DOD acquisition programs and their associated supply chains. This survey will cover all operations at respondents' locations, including but not limited to the DSS cleared areas. This effort will also assist DSS in its mission to provide security oversight and education on behalf of the DOD and other U.S. Government departments and agencies.

RESPONSE TO THIS SURVEY IS REQUIRED BY LAW

A response to this survey is required by law (50 U.S.C. app. Sec. 2155). Failure to respond can result in a maximum fine of \$10,000, imprisonment of up to one year, or both. Information furnished herewith is deemed confidential and will not be published or disclosed except in accordance with Section 705 of the Defense Production Act of 1950, as amended (50 U.S.C App. Sec. 2155). Section 705 prohibits the publication or disclosure of this information unless the President determines that its withholding is contrary to the national defense. Information will not be shared with any non-government entity, other than in aggregate form. The information will be protected pursuant to the appropriate exemptions from disclosure under the Freedom of Information Act (FOIA), should it be the subject of a FOIA request.

Notwithstanding any other provision of law, no person is required to respond to nor shall a person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a currently valid OMB Control Number.

BURDEN ESTIMATE AND REQUEST FOR COMMENT

Public reporting burden for this collection of information is estimated to average 10 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information to BIS Information Collection Officer, Room 6883, Bureau of Industry and Security, U.S. Department of Commerce, Washington, D.C. 20230, and to the Office of Management and Budget, Paperwork Reduction Project (OMB Control No. 0694-0119), Washington, D.C. 20503.

BUSINESS CONFIDENTIAL - Per Section 705(d) of the Defense Production Act

TABLE OF CONTENTS

I	General Instructions
II	Definitions
III	Reporting Level / Multiple Facility Instructions
1	Location and Organization Information
2	Mergers, Acquisitions, Divestitures, and Joint Ventures
3	Products and Services
4	U.S. Government Support
5	Critical Suppliers
6	Employment
7	Sales
8	Customers
9	Security Procedures
10	Classified Contract Information
11	Research and Development
12	Financial Information
13	Organizational Challenges and Outreach Information
14	Certification

Important Note:

The drop-down menus in several later sections are based on your responses in Section 3.

In order for all menus to work properly, you must complete these sections in order.

Section I**GENERAL INSTRUCTIONS**

- A. Your organization is required to complete this survey using an Excel template, which can be downloaded from the U.S. Department of Commerce, Bureau of Industry and Security (BIS) website: <http://www.bis.doc.gov/CriticalFacility>. For your convenience, a PDF version of the survey is available on the BIS website to aid your internal data collection. DO NOT submit the PDF version of your organization's response to BIS.
- B. This survey has been distributed on a CAGE/facility basis, but it should be completed at the location level. A location includes but is not limited to the DSS cleared area. A major goal of this assessment is to benchmark the operations, practices, and interdependencies of locations that contain DSS cleared facilities. For the purpose of this survey, a location is a single contiguous site. Locations may--and often will--contain multiple facilities.
- C. Respond to every question. Surveys that are not fully completed will be returned for completion. Use comment boxes to provide any information to supplement responses provided in the survey form. Make sure to record a complete answer in the cell provided, even if the cell does not appear to expand to fit all the information.
- C. **DO NOT COPY AND PASTE RESPONSES WITHIN THIS SURVEY.** Survey inputs should be made manually, by typing in responses or by use of a drop-down menu. The use of copy and paste can corrupt the survey template. If your survey response is corrupted as a result of copy and paste responses, a new survey will be sent to you for immediate completion.
- D. **Do not disclose any classified information in this survey form.**
- E. If information is not available from your organization's records in the form requested, you may furnish estimates.
- F. Questions related to this Excel survey should be directed to:
CriticalFacility@bis.doc.gov. (E-mail is the preferred method of contact).
You may also speak with a member of BIS survey support staff by calling (202) 482-7808.
- G. After completing, reviewing, and certifying the Excel survey, transmit the survey via e-mail attachment to: CriticalFacility@bis.doc.gov. Be sure to retain a copy for your records.
- H. For questions related to the overall scope of this Defense Industrial Base assessment, contact:
Brad Botwin, Director, Industrial Studies
Office of Technology Evaluation, Room 1093
U.S. Department of Commerce
1401 Constitution Avenue, NW
Washington, DC 20230
To contact Mr. Botwin, please call (202) 482-7808.
DO NOT submit completed surveys to Mr. Botwin's postal or personal e-mail address; all surveys must be submitted electronically to CriticalFacility@bis.doc.gov

Section II		Definitions
Term	Definition	
Applied Research	Systematic study to gain knowledge or understanding necessary to determine the means by which a recognized and specific need may be met. This activity includes work leading to the production of useful materials, devices and systems or methods, including design development and improvement of prototypes and new processes.	
Authorizing Official	Executive officer of the organization or business unit or other individual who has the authority to execute this survey on behalf of the organization.	
Basic Research	Systematic, scientific study directed toward greater knowledge or understanding of the fundamental aspects of phenomena and of observable facts.	
Classified Contract	Any contract that requires access to classified U.S. Government information. Not to be confused with a contract whose existence may be classified, i.e. a Special Access Program.	
Cleared Facility/Cleared CAGE	A facility possessing a facility clearance (FCL) under the National Industrial Security Program (NISP).	
Commercial and Government Entity (CAGE) Code	Commercial and Government Entity (CAGE) Code identifies companies doing or wishing to do business with the U.S. Federal Government. The code is used to support mechanized government systems and provides a standardized method of identifying a given facility at a specific location. Find CAGE codes at http://www.logisticsinformationservice.dla.mil/BINCS/begin_search.aspx	
Commercially Sensitive Information (CSI)	Privileged or proprietary information which, if compromised through alteration, corruption, loss, misuse, or unauthorized disclosure, could cause serious harm to the information's owners.	
Customer	An entity to which an organization directly delivers the product or service that the facility produces. A customer may be another company or another facility owned by the same parent organization. The customer may be the end user for the item but often will be an intermediate link in the supply chain, adding additional value before transferring the item to yet another customer.	
Cyber Security	The body of technologies, processes, and practices designed to protect networks, computers, programs, and data from attack, damage, or unauthorized access.	
Data Universal Numbering System (DUNS)	A nine-digit numbering system that uniquely identifies an individual businesses. Find DUNS numbers at http://fedgov.dnb.com/webform	
Facility	For the purpose of this survey, a facility is an area within an organization defined by a single CAGE code. An organization's physical location may contain multiple facilities.	
Full Time Equivalent (FTE) Employees	Employees who work for 40 hours in a normal work week. Convert part-time employees into "full time equivalents" by taking their work hours as a fraction of 40 hours.	
Location	For the purpose of this survey, a location is a single contiguous site. Locations may--and often will--contain multiple facilities.	
North American Industry Classification System (NAICS) Code	North American Industry Classification System (NAICS) codes identify the category of product(s) or service(s) provided by your organization. Find NAICS codes at http://www.census.gov/epcd/www/naics.html	
Product/Process Development	Conceptualization and development of a product prior to the production of the product for customers.	
Service	An intangible product (contrasted to a good, which is a tangible product). Services typically cannot be stored or transported, are instantly perishable, and come into existence at the time they are bought and consumed.	
Single Source	An organization that is designated as the only accepted source for the supply of parts, components, materials, or services, even though other sources with equivalent technical know-how and production capability may exist.	
Sole Source	A organization that is the only source for the supply of parts, components, materials, or services. No alternative U.S. or non-U.S. based suppliers exist other than the current supplier.	
Supplier	An entity from which your organization obtains inputs. A supplier may be another firm with which you have a contractual relationship, or it may be another facility owned by the same parent organization. The inputs may be goods or services.	
United States	The "United States" or "U.S." includes the 50 states, Puerto Rico, the District of Columbia, the island of Guam, the Trust Territories, and the U.S. Virgin Islands.	

BUSINESS CONFIDENTIAL - Per Section 705(d) of the Defense Production Act

Section 1a: Location Information

Provide the following information for your location.					
A.	Location Name				
	Organization Name				
	Street Address				
	City				
	State				
	Zip Code				
	Website				
	Phone Number				
Provide the following information for your parent organization(s), if applicable.					
B.		Parent Organization 1		Parent Organization 2	
	Organization Name				
	Street Address				
	City				
	State/Province				
	Country				
	Postal Code/Zip Code				
	Primary CAGE Code				
C.	Is your organization publicly traded or privately held?		If your organization is publicly traded, identify its stock ticker symbol.		
D.	Are any of the areas in your location that are authorized to store classified information or to perform on a classified contract shared and/or operated by another organization? If yes, provide the information below.				
	Other Organization Name		Purpose of Shared Area		
E.	Point of Contact regarding this survey:				
	Name	Title	Phone Number	E-mail Address	State
Comments:					
BUSINESS CONFIDENTIAL - Per Section 705(d) of the Defense Production Act					

Section 2: Mergers, Acquisitions, Divestitures, and Joint Ventures**Mergers, Acquisitions, Divestitures**

Identify your organization's ten most recent mergers, acquisitions, and divestitures, if applicable.

	Organization Name	Type of Activity	Country	Year	Primary Objective	Explain
A. 1.						
2.						
3.						
4.						
5.						
6.						
7.						
8.						
9.						
10.						

Joint Ventures

Identify your organization's current joint venture relationships, including public/private R&D partnerships. Be sure to provide a description of the joint venture's purpose (e.g. patent licensing, co-production, product integration, after-market support, etc.):

	Organization/Entity Name	Country	Year	Primary Purpose of Relationship	Explain
B. 1.					
2.					
3.					
4.					
5.					
6.					
7.					
8.					
9.					
10.					
11.					
12.					
13.					
14.					
15.					

Comments:

Section 3: Products and Services

In Section 3 you will be asked to identify the products and services your location manufactures, distributes, researches, and/or provides. For the purpose of this survey, products and services have been divided into 25 general categories, as detailed below.

Identify each general category in which your location has capabilities. In tabs 3b - 3f, you will select the individual type of products or services in which your location participates.

The list below contains links that can move you to a particular product and/or service segment. After completing this page you may skip to the sections with the products/services that pertain to your location, but be sure to review all segments to ensure you do not omit any required information.

Part	Product and Service Category	Participation
A	Raw Materials (Ores, Alloys, Chemicals, etc.)	
B	Electronics (Circuits, Processors, Diodes, etc.)	
C	Manufacturing Equipment and Processes (Machinery, Lathes, etc.)	
D	Lasers	
E	Directed Energy	
F	Optics (Optics, Coatings, Lenses, etc.)	
G	Acoustic Sensors (Sonar, other acoustic sensors, etc.)	
H	Positioning, Navigation, and Timing (Gyroscopes, Accelerometers, GPS, etc.)	
I	Radars	
J	Signature Control (Materials, Chemicals, and Processes for Signature Control)	
K	Aeronautic Systems (Aircraft, Airframes, Structural Components, etc.)	
L	Space Systems (Launch Vehicles, Satellites, Rocket Motors, Survivability Systems, etc.)	
M	Marine Systems (Ships, Landing Vessels, Submarines, etc.)	
N	Ground Vehicle Systems (Vehicles, Tanks, Unmanned Ground Systems, etc.)	
O	Armaments, Explosives, and Survivability (Munitions, Armor, Missiles, Launchers, etc.)	
P	Energy Systems & Energetics (Engines, Catapults, Batteries, Propellants, etc.)	
Q	Nuclear (Reactors, Design, Protection, Detection, Storage, etc.)	
R	Biological (Biological research, Biological Agent Detection/Destruction/Readiness, etc.)	
S	Chemical (Chemical research, Chemical Agent Detection/Destruction/Readiness, etc.)	
T	Emerging Technology (Nanotechnology, Synthetic Biology, Quantum Systems, Cognitive Neuroscience, etc.)	
U	Agricultural (Agricultural Machinery and Technology)	
V	Medical (MRI machinery, X-Radiation Machinery, Disease Research, Medicinal Distribution Technology, etc.)	
W	Command, Control, Communication, and Computer (C4)	
X	Software	
Y	Services and Other Products (Service and products not included above)	

Section 3: Product and Service List

For each area in which your location provides a product or service (including R&D), indicate the type of participation, whether your location performs R&D, and provide a description of the products or services. Then, identify the expected end usage of your product/service, and whether the product/service is subject to U.S. export control regulations. Finally, state whether the product/service has supported a classified contract within the past three years.

Do not disclose any classified information in this survey form.

A: Raw Materials

	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
A1 - Ores						
A2 - Rare earth minerals						
A3 - Alloys						
A4 - Chemicals						
A5 - Fiber-based materials						
A6 - Other raw materials						

B: Electronics

	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
B1 - Integrated circuits						
B2 - Application specific integrated circuits (ASICs)						
B3 - Radiation hardened integrated circuits						
B4 - Field programmable gate arrays (FPGAs)						
B5 - Programmable memory						
B6 - Optical filters						
B7 - Micro-sensors						
B8 - Vacuum tubes						
B9 - Capacitors						
B10 - Microprocessors						
B11 - Microcontrollers						
B12 - Digital signal processors						
B13 - Diodes						
B14 - Wafers (any molecular composition)						
B15 - Circuit boards						
B16 - Flexible circuit boards						
B17 - Other electronic products						

C: Manufacturing Equipment and Processes

	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
C1 - Additive manufacturing (3D printing)						
C2 - Computer Numerical Control (CNC) machines						
C3 - Lathes, grinding machines, planers, shapers						
C4 - Die press lines						
C5 - Robot work cells						
C6 - Bearings						
C7 - Transmission equipment (gears, pulleys, sprockets, belts, torque converters, etc.)						
C8 - Welding, soldering, and brazing equipment						
C9 - Other manufacturing equipment						

Comments:

Section 3: Product and Service List

For each area in which your location provides a product or service (including R&D), indicate the type of participation, whether your location performs R&D, and provide a description of the products or services. Then, identify the expected end usage of your product/service, and whether the product/service is subject to U.S. export control regulations. Finally, state whether the product/service has supported a classified contract within the past three years.

Do not disclose any classified information in this survey form.

D: Lasers						
	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
D1 - Gas lasers						
D2 - Solid state lasers						
D3 - Chemical lasers						
D4 - Excimer lasers						
D5 - Fiber lasers						
D6 - Photonic crystal lasers						
D7 - Semiconductor lasers						
D8 - Dye lasers						
D9 - Free electron lasers						
D10 - Bio lasers						
D11 - Other laser products						

E: Directed Energy						
	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
E1 - Directed electromagnetic radiation (not lasers)						
E2 - Directed particles with mass						
E3 - Directed sound						
E4 - Other directed energy products						

F: Optics						
	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
F1 - Optics						
F2 - Fiber optics						
F3 - Polarization						
F4 - Reflective coatings						
F5 - Refractive coatings						
F6 - Lenses						
F7 - Other optics products						

G: Acoustic Sensors						
	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
G1 - Active sonar						
G2 - Passive sonar						
G3 - Sonobuoys						
G4 - Commercial off-the-shelf equipment used for sonar						
G5 - Other sensor products						

H: Positioning, Navigation, and Timing						
	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
H1 - Inertial measuring units						
H2 - Gyroscopes						
H3 - Global Positioning Systems						
H4 - Atomic clocks						
H5 - Accelerometers						
H6 - Other positioning, navigation, or timing products						

I: Radars						
	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
I1 - Mechanically steered radars						
I2 - Electronically steered radars						
I3 - Phase adaptive radars						
I4 - Pulse radars						
I5 - Continuous wave radars						
I6 - Other radar products						

J: Signature Control						
	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
J1 - Compounds or materials engineered to reduce or eliminate a signature						
J2 - Chemical compositions of signature control compounds						
J3 - Processes used to apply signature control compounds						
J4 - Other signature control products						

Comments:

Section 3: Product and Service List

For each area in which your location provides a product or service (including R&D), indicate the type of participation, whether your location performs R&D, and provide a description of the products or services. Then, identify the expected end usage of your product/service, and whether the product/service is subject to U.S. export control regulations. Finally, state whether the product/service has supported a classified contract within the past three years.

Do not disclose any classified information in this survey form.

K: Aeronautic Systems

	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
K1 - Fixed wing combat aircraft						
K2 - Other fixed wing aircraft, including cargo and transport						
K3 - Rotary wing aircraft (including helicopters)						
K4 - Unmanned aerial vehicles and drones						
K5 - Airframes and structural components (including rotor blades, landing gear, hydraulics, etc.)						
K6 - Other aeronautic products						

L: Space Systems

	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
L1 - Space launch vehicles						
L2 - Satellites and satellite buses						
L3 - Space survivability systems and equipment						
L4 - Solid rocket motors and related equipment						
L5 - Liquid rocket motors and related equipment						
L6 - Other space system products						

M: Marine Systems

	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
M1 - Combat ships and landing vessels						
M2 - Transport vessels						
M3 - Cargo and tanker vessels						
M4 - Submarines						
M5 - Other marine systems						

N: Ground Vehicle Systems

	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
N1 - Light armored vehicles & designs						
N2 - Medium tactical vehicles & designs						
N3 - M1 Abrams variations of heavy tanks & designs						
N4 - Transport vehicles & designs						
N5 - Wheeled or tracked vehicles not specified above						
N6 - Unmanned ground systems						
N7 - Other ground system products						

O: Armaments, Explosives, and Survivability

	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
O1 - Conventional small arms (5.56mm to .50 cal)						
O2 - Automatic and semi-automatic weapons						
O3 - Mortar rounds						
O4 - Artillery rounds						
O5 - Rockets (endo and exoatmospheric)						
O6 - Missiles						
O7 - Hypervelocity weapons						
O8 - Launchers (missile, torpedo, rocket, etc.)						
O9 - Underwater explosives (mines, torpedos, etc.)						
O10 - Fire control systems and components						
O11 - Body armor						
O12 - Other armor						
O13 - Other armaments, explosives, or survivability products						

Comments:

Section 3: Product and Service List

For each area in which your location provides a product or service (including R&D), indicate the type of participation, whether your location performs R&D, and provide a description of the products or services. Then, identify the expected end usage of your product/service, and whether the product/service is subject to U.S. export control regulations. Finally, state whether the product/service has supported a classified contract within the past three years.

Do not disclose any classified information in this survey form.

P: Energy Systems & Energetics						
	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
P1 - Gas turbine engines						
P2 - Rocket engines						
P3 - Generators						
P4 - Combustion engines						
P5 - Turbo fan engines						
P6 - Catapults						
P7 - Batteries						
P8 - Propellants						
P9 - Other energy systems products						

Q: Nuclear						
	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
Q1 - Nuclear reactors						
Q2 - Nuclear weapon design and capabilities						
Q3 - Nuclear weapon destruction and demilitarization technology						
Q4 - Protective clothing						
Q5 - Radiation detective devices (e.g. Geiger counters)						
Q6 - Radioactive material storage						
Q7 - Nuclear disaster response equipment						
Q8 - Other nuclear products						

R: Biological						
	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
R1 - DNA research						
R2 - RNA research						
R3 - Biological or physiological research						
R4 - Biological weapons destruction and demilitarization technology						
R5 - Biological agent detection equipment						
R6 - Biological disaster response equipment						
R7 - Other biological products						

S: Chemical						
	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
S1 - Chemical research						
S2 - Chemical weapons destruction and demilitarization technology						
S3 - Chemical agent detection equipment						
S4 - Chemical disaster response equipment						
S5 - Other chemical products						

T: Emerging Technology						
	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
T1 - Nanotechnology						
T2 - Synthetic biology						
T3 - Energetic materials						
T4 - Quantum systems						
T5 - Computational modeling of human behavior						
T6 - Cognitive neuroscience						
T7 - Other emerging technology						

U: Agricultural						
	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
U1 - Soil cultivation machinery						
U2 - Planting machinery						
U3 - Irrigation machinery						
U4 - Produce sorters						
U5 - Harvesting technology						
U6 - Other agricultural products						

V: Medical						
	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
V1 - Electron microscopes						
V2 - Sonograms						
V3 - Magnetic Resonance Image (MRI) machines						
V4 - X-radiation machines						
V5 - Medicine distribution technology						
V6 - Disease and vector research						
V7 - Other medical products						

Comments:

Section 3: Product and Service List

For each area in which your location provides a product or service (including R&D), indicate the type of participation, whether your location performs R&D, and provide a description of the products or services. Then, identify the expected end usage of your product/service, and whether the product/service is subject to U.S. export control regulations. Finally, state whether the product/service has supported a classified contract within the past three years.

Do not disclose any classified information in this survey form.

W: Command, Control, Communication, and Computer (C4)						
	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
W1 - Common data links						
W2 - Monitors						
W3 - Printers						
W4 - Telecommunication devices (phones, cell phones, radios, radio mounts)						
W5 - Commercial off-the-shelf equipment used for C4						
W6 - Computers and CPUs						
W7 - Antennas						
W8 - Beyond the line of sight C2						
W9 - Line of sight C2						
W10 - Other C4 products and IT equipment						

X: Software						
	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
X1 - Computer aided design (CAD) software						
X2 - Modeling and simulation software						
X3 - Artificial intelligence software						
X4 - Swarm software						
X5 - Microelectronic design software						
X6 - System development kits (SDK)						
X7 - Software algorithms						
X8 - Correlation software						
X9 - Database						
X10 - Computer language software						
X11 - Operating systems						
X12 - Finance and accounting software						
X13 - Other software products						

Y: Services and Other Products						
	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
Y1 - Analytic services						
Y2 - Canine training services						
Y3 - Construction						
Y4 - Consulting services						
Y5 - Delivery and freight services						
Y6 - Education and training services						
Y7 - Engineering services						
Y8 - Financial and banking services						
Y9 - General contracting						
Y10 - Graphic arts, video, digital production services						
Y11 - Information technology services						
Y12 - Internet service provision						
Y13 - Janitorial services						
Y14 - Legal services						
Y15 - Logistical services						
Y16 - Marketing services						
Y17 - Medical services						
Y18 - Professional Services						
Y19 - Property management services						
Y20 - Security and protection services						
Y21 - Staffing services						
Y22 - Telecommunication services						
Y23 - Translation services						
Y24 - Transportation services						
Y25 - Other services						
Y26 - Products not listed						

Primary Product/Service

From the list of products/services your location provides, identify this location's primary product or service based on total sales.

Comments:

Section 4a: Support of USG Agencies

Indicate all USG departments and agencies your location has supported, directly or indirectly, in the past three years. Estimate the percentage of your location's total sales that supported each agency over the past three years.

Agency Name	Type of Support	Estimated Percent of Your Location's Sales Attributable to USG Agency
U.S. Navy - DOD - Department of the Navy		
U.S. Army - DOD - Department of the Army		
U.S. Air Force - DOD - Department of the Air Force		
U.S. Marine Corps - DOD - United States Marine Corps		
DOD Defense Advanced Research Projects Agency (DARPA)		
DOD Missile Defense Agency (MDA)		
DOD National Geospatial-Intelligence Agency (NGA)		
DOD National Reconnaissance Office (NRO)		
DOD National Security Agency (NSA)		
Department of Homeland Security (DHS)		
National Aeronautics and Space Administration (NASA)		
Central Intelligence Agency (CIA)		
A. Department of Energy (DOE)		
Director of National Intelligence (DNI)		
Other Agency (Select from list)		
Other Agency (Select from list)		
Other Agency (Select from list)		
Other Agency (Select from list)		
Other Agency (Select from list)		
Other Agency (Select from list)		
Other Agency (Select from list)		
Other Agency (Select from list)		
Other Agency (Select from list)		
Other (Specify via write-in)		
Other (Specify via write-in)		
Other (Specify via write-in)		
Comments:		

Section 4b: Participation in USG Programs

A. Estimate the total number of USG programs your location has directly or indirectly supported in the past three years (including those not listed below):

From the list of select U.S. Government programs provided below, identify each program your location has directly or indirectly supported in the past three years.

Then, select the top five products/services your location provides for each program supported. The product/service drop-down list is based on your responses to Section 3. If your product or service does not appear in the drop-down, ensure that you have indicated in Section 3 that your location provides the product or service.

If you participate in a USG program that is not listed below, you may enter it manually at the bottom of this page (entries 211-230). In these cases, identify the relevant agency in the "U.S. Government Agency" column and then identify the program name in the "Program Name" column. If you would like to list more programs than space allows, contact survey support staff at CriticalFacility@hhs.doc.gov for instructions.

B. If your location does not support any of the listed U.S. Government programs, select "Not Applicable" from the box to the right and skip this section.

Note: For your convenience, you may utilize the built-in filters to isolate particular U.S. Government agencies or programs from the list (press the down facing arrow in the top right corner of the relevant column below).

USG Program Identification							
U.S. Government Agency	Program Name	Participation	Product/Service List Code Supporting USG Programs				
			1	2	3	4	5
1	Air Force	Advanced Extremely High Frequency Satellite (AEHF)					
2	Air Force	Advanced Pilot Trainer (APT)					
3	Air Force	AIM-120 Advanced Medium Range Air-to-Air Missile (AMRAAM)					
4	Air Force	Air and Space Operations Center-Weapon System Increment 10.2 (AOC-WS Inc 10.2)					
5	Air Force	Air Force Integrated Personnel and Pay System (AF IPPS)					
6	Air Force	Air Force Intercontinental Ballistic Missile Fuze Modernization (ICBM Fuze Mod)					
7	Air Force	Airborne Warning and Control System Block 40/45 Upgrade (AWACS Blk 40/45 Upgrade)					
8	Air Force	B-2 Defensive Management System Modernization (B-2 DMS Mod)					
9	Air Force	B-2 Extremely High Frequency SATCOM and Computer Increment 1 (B-2 EHF Inc 1)					
10	Air Force	B61 Mod 12 Life Extension Program Tailkit Assembly (B61 Mod 12 LEP TKA)					
11	Air Force	Base Information Transport Infrastructure Wired (BITI Wired)					
12	Air Force	Business Systems Modernization - Energy (BSM-E)					
13	Air Force	C-130J Hercules Transport Aircraft (C-130J)					
14	Air Force	C-27J (C-27J)					
15	Air Force	C-5 Reliability Enhancement and Re-engining Program (C-5 RERP)					
16	Air Force	Combat Identification/Identification Friend or Foe (CID/IFF)					
17	Air Force	Combat Rescue Helicopter (CRH)					
18	Air Force	Defense Enterprise Accounting and Management System-Increment 1 (DEAMS Inc 1)					
19	Air Force	Deliberate and Crisis Action Planning and Execution Segments Increment 2A (DCAPES Inc 2A)					
20	Air Force	Deliberate and Crisis Action Planning and Execution Segments Increment 2B (DCAPES Inc 2B)					
21	Air Force	Enhanced Polar System (EPS)					
22	Air Force	Evolved Expendable Launch Vehicle (EELV)					
23	Air Force	F-15 Eagle Passive Active Warning Survivability System (F-15 EPAWSS)					
24	Air Force	F-22 Increment 3.2B Modernization (F-22 Inc 3.2B Mod)					
25	Air Force	Family of Beyond Line-of-Sight - Terminals (FAB-T)					
26	Air Force	Global Broadcast Service (GBS)					
27	Air Force	Global Positioning System III (GPS III)					
28	Air Force	Ground Based Strategic Deterrent (GBSD)					
29	Air Force	Hard Target Munition (HTM)					
30	Air Force	HC/MC-130 Recapitalization Aircraft (HC/MC-130 Recap)					
31	Air Force	Integrated Strategic Planning and Analysis Network Increment 4 (ISPAN Inc 4)					
32	Air Force	Joint Air-to-Surface Standoff Missile (JASSM)					
33	Air Force	Joint Direct Attack Munition (JDAM)					
34	Air Force	Joint Space Operations Center (JSPOC) Mission System Increment 2 (JMS Inc 2)					
35	Air Force	KC-46A Tanker Modernization (KC-46A)					
36	Air Force	Long Range Stand Off Weapon (LRSO)					
37	Air Force	Military Global Positioning System (GPS) User Equipment (MGUE Inc 1)					
38	Air Force	Military Global Positioning System (GPS) User Equipment Increment 2 (MGUE Inc 2)					
39	Air Force	Mission Planning System Increment 4 (MPS Inc 4)					
40	Air Force	MQ-9 Reaper Unmanned Aircraft System (MQ-9 Reaper)					
41	Air Force	Next Generation Long Range Strike (NGLRS) (NGLRS)					
42	Air Force	Next Generation Operational Control System (OCS)					
43	Air Force	North Atlantic Treaty Organization Alliance Ground Surveillance (NATO AGS)					
44	Air Force	Nuclear Detonation Detection System (NDS)					
45	Air Force	Presidential Aircraft Recapitalization (PAR)					
46	Air Force	Protected Satellite Communication Services (PSCS)					
47	Air Force	RQ-4A/B Global Hawk Unmanned Aircraft System (RQ-4A/B Global Hawk)					
48	Air Force	Small Diameter Bomb Increment II (SDB II)					
49	Air Force	Space Based Infrared System Follow-On (SBIRS Follow-On)					
50	Air Force	Space Based Infrared System High (SBIRS High)					
51	Air Force	Space Fence Ground-Based Radar System Increment 1 (Space Fence Inc 1)					
52	Air Force	Three-Dimensional Expeditionary Long-Range Radar (3DELRR)					
53	Air Force	Weather Satellite Follow-on (WCSF)					
54	Air Force	Wideband Global SATCOM (WGS)					
55	Army	AH-64E Apache New Build (AH-64E New Build)					
56	Army	AH-64E Apache Remanufacture (AH-64E Remanufacture)					
57	Army & Maritime Forces	Station Joint Tactical Radio System (AMF-JTRS)					
58	Army	Armored Multi-Purpose Vehicle (AMPV)					
59	Army	Biometrics Enabling Capability Increment 1 (BEC Inc 1)					
60	Army	CH-47F Improved Cargo Helicopter (CH-47F)					
61	Army	Common Infrared Countermeasure (CIRCM)					
62	Army	Distributed Common Ground System - Army Increment 2 (DCGS-A Inc 2)					
63	Army	Distributed Common Ground System-Army Increment 1 (DCGS-A Inc 1)					
64	Army	Excalibur Precision 155mm Projectiles (Excalibur)					
65	Army	Global Combat Support System - Army Increment 2 (GCSS-A Inc 2)					
66	Army	Global Combat Support System-Army Increment 1 (GCSS-A Inc 1)					
67	Army	Guided Multiple Launch Rocket System/Guided Multiple Launch Rocket System Alternative Warhead (GMLRS/GMLRS AW)					
68	Army	Improved Turbine Engine Program (ITEP)					
69	Army	Indirect Fire Protection Capability Increment 2 - Intercept (IFPC Inc 2 - I)					
70	Army	Integrated Air and Missile Defense (IAMD)					
71	Army	Integrated Personnel and Pay System-Army Increment 1 (IPPS-A Inc 1)					
72	Army	Integrated Personnel and Pay System-Army Increment 2 (IPPS-A Inc 2)					
73	Army	Joint Air-to-Ground Missile (JAGM)					
74	Army	Joint Personnel Identification Version 2 (JPI V2)					
75	Army	Joint Tactical Networks (JTN)					
76	Army	Joint Tactical Radio System Handheld, Manpack, and Small Form Fit Radios (JTRS HMS)					
77	Army	Logistics Modernization Program Increment 2 (LMP Inc 2)					
78	Army	Long Range Precision Fires (LRPF)					
79	Army	Mid-Tier Networking Vehicular Radio (MNVr)					
80	Army	MQ-1C Gray Eagle Unmanned Aircraft System (MQ-1C Gray Eagle)					
81	Army	NETT WARRIOR (NETT WARRIOR)					
82	Army	Non-Standard Rotary Wing Aircraft (NSRWA)					
83	Army	Paladin Integrated Management (PIM)					
84	Army	Patriot Advanced Capability-3 Missile Segment Enhancement (PAC-3 MSE)					
85	Army	Patriot/Medium Extended Air Defense System Combined Aggregate Program (Patriot/MEADS CAP)					
86	Army	RQ-7 Unmanned Aircraft System Shadow (RQ-7 UAS SHADOW)					
87	Army	Tactical Mission Command (TMC)					
88	Army	UH-60M Black Hawk Helicopter (UH-60M Black Hawk)					
89	Army	Warfighter Information Network-Tactical Increment 2 (WIN-T Inc 2)					
90	Army	Warfighter Information Network-Tactical Increment 3 (WIN-T Inc 3)					
91	DoD	Advanced Anticountermeasures Systems (CBDP-MEDSYS - AAS)					
92	DoD	Analytical Laboratory System (CBDP-GUARD - ALS)					
93	DoD	Anthrax Vaccine Adsorbed (CBDP-MEDSYS - AVA)					
94	DoD	Ballistic Missile Defense System (BMDS)					
95	DoD	Ballistic Missile Technical Collection (BMTC)					
96	DoD	Bioscavenger I (CBDP-MEDSYS - BSCAV I)					
97	DoD	Bioscavenger Increment II (CBDP-MEDSYS - BSCAV II)					
98	DoD	CBDP-GUARD-CALS (CBDP-GUARD - CALS)					
99	DoD	Chemical and Biological Protective Shelter (CBPS) (CBDP-CP - CBPS)					
100	DoD	Chemical Biological Defense Small Project Acquisition (CBDP-GUARD - CSPA)					
101	DoD	Chemical Demilitarization-Assembled Chemical Weapons Alternatives (Chem Demil-ACWA)					
102	DoD	Collectively Protected Field Hospital (CBDP-CP - CPFH)					
103	DoD	Defense Agencies Initiative Increment 2 (DAI Inc 2)					
104	DoD	Department of Defense Healthcare Management System Modernization (DHMSM)					
105	DoD	F-35 Joint Strike Fighter Aircraft (F-35)					
106	DoD	Global Combat Support System - Joint Increment 8 (GCSS-J Inc 8)					
107	DoD	Global Combat Support System-Joint Increment 7 (GCSS-J Inc 7)					
108	DoD	Human Remains Decontamination System (CBDP-DECON - HRDS)					

Section 5: Critical Suppliers

For the purposes of this survey, a supplier may be considered **CRITICAL** if it meets any of the following criteria:

- Is used to support multiple U.S. Government programs;
- Is a sole and/or single source supplier;
- Contributes a significant portion to the end product's value;
- Provides a product/service that has a long lead-time;
- Provides an important obsolete or out of production part; and/or
- Meets any other criteria for criticality that your location utilizes.

For each type of input provided to your location by a critical supplier, first select the general category of the input supplied (Raw Materials, Electronics, Manufacturing Equipment, etc.), then select the specific type of input and provide a description of the input.

Next, provide supplier information, and select from the dropdown menu the primary product/service in which your location uses the input. For each input, indicate how the input is critical to your location.

	Input Details			Supplier Information			Input Utilization	Criticality					
	General Category Provided By Supplier	Input Area Provided By Supplier	Input Description	Supplier Name	Supplier State (if applicable)	Supplier Country	Primary Product/Service at Your Location in Which the Input is Utilized	Supports Multiple USG Programs	Single/Sole Supplier?	Contributes Significantly to End Value	Long Lead Time	Obsolete/ Out of Production Part	Other Critical Measure
1.	B: Electronics	B5 - Programmable memory											(specify)
2.	W: Command, Control, Communication, and Computer												(specify)
3.	K: Aeronautic Systems												(specify)
4.													specify)
5.													(specify)
6.													(specify)
7.													(specify)
8.													(specify)
9.													(specify)
10.													(specify)
11.													(specify)
12.													(specify)
13.													(specify)
14.													(specify)
15.													(specify)
16.													(specify)
17.													(specify)
18.													(specify)
19.													(specify)
20.													(specify)
21.													(specify)
22.													(specify)
23.													(specify)
24.													(specify)
25.													(specify)

Comments:

Section 6: Employment

Record the total number of full time equivalent (FTE) employees and contractors at your location for the past three years.

		2013	2014	2015
A.	FTE Employees			
	FTE Contractors			

Identify the number of each type of non-U.S. citizen workers currently employed at your location.

	H-1B	H-2B	F-1	Green Card	Other
FTE Employees					
FTE Contractors					

List each country (other than the U.S.) from which you employ a non-U.S. citizen, and identify the number of each type of visa or green card holder associated with each country.

		H-1B	H-2B	F-1	Green Card	Other
B	Country					

		Top Secret	Secret	Confidential
C.	Provide the number of FTE employees and contractors at this location that hold active security clearances at the following levels:			

Comments:

Section 7: Sales

Provide your location's total sales information for the past three years to U.S. and non-U.S. customers. If location level data is not available, contact survey support staff at CriticalFacility@bis.doc.gov before entering higher level data.

In Part B, indicate your location's total sales for defense purposes (including commercial and government sales).

In Part C, indicate your location's total sales from classified contracts.

In Part D, indicate your location's total sales to all governments.

Note: "U.S." means U.S. domestic sales; "Non-U.S." means export sales from U.S. locations.

Source of Sales Data:

Reporting Schedule:

Record in \$ Thousands, e.g. \$12,000.00 = survey input \$12

	2013		2014		2015*	
	U.S.	Non-U.S.	U.S.	Non-U.S.	U.S.	Non-U.S.
A. Total Sales, all Customers (in \$)						
Lines B-D need not sum to 100%						
B. Total Defense-Related Sales (as a % of A)						
C. Total Sales from Classified Contracts (as a % of A)						
D. Total Sales from all Government Contracts (as a % of A)						

* Furnish full year **estimates** for 2015.

Comments:

BUSINESS CONFIDENTIAL - Per Section 705(d) of the Defense Production Act

Section 8: Top Customers

Identify your location's top 10 U.S. and top 10 non-U.S. direct customers by sales for the past three years. A direct customer is the immediate entity to which you sell your products/services. This can include other business units/divisions within your parent organization. Indicate the type of customer and their location.

Top U.S.-Based Customers

	Customer Name	Type of Customer	Customer City	Customer State
1.				
2.				
3.				
4.				
5.				
6.				
7.				
8.				
9.				
10.				

Top Non-U.S. Based Customers

	Customer Name	Type of Customer	Customer City	Customer Country
1.				
2.				
3.				
4.				
5.				
6.				
7.				
8.				
9.				
10.				

Comments:	
-----------	--

Section 9a: Physical and Cyber Security Procedures

Once your location identifies a suspected/confirmed security incident do you know what authorities to contact?

Which organizations does this location notify in response to a suspected/confirmed security incident?

A.	Defense Security Service (DSS)		Government-Industry Data Exchange Program (GIDEP)	
	Defense Logistics Agency (DLA)		National Aeronautics & Space Administration (NASA)	
	Defense-Related Investigative Services (e.g. DCIS, NCIS, etc.)		National Security Agency (NSA)	
	Department of Commerce, Bureau of Industry and Security (BIS)		Nuclear Regulatory Commission (NRC)	
	Department of Energy (DOE)		Secret Service Electronic Crimes Task Force	
	Department of Homeland Security (DHS)		State & Local Authorities	
	Department of Justice (DOJ)		US-CERT	
	Federal Bureau of Investigation (FBI)		None at All	
	Federal Communications Commission (FCC)		Other	(specify here)
	Federal Trade Commission (FTC)		Other	(specify here)

B.	State the number of security incidents your location has recorded in each year:	Year	Physical Incidents	Cyber Incidents
		2013		
		2014		
		2015		

Indicate which of the following security measures are in place at this location:

Physical Security		Cyber Security			
After hours inspections of cleared areas		Account Monitoring and Control		Inventory of Authorized/Unauthorized Software	
CCTV monitoring		Application Software Security		Limitation/Control of Network Ports and Services	
Control of facility points of entry		Boundary Defense		Maintenance, Monitoring, & Analysis of Audit Logs	
Intrusion alarms		Continuous Vulnerability Assessment		Malware Defenses	
Perimeter barriers around facility		Controlled Access Based on Need to Know		Penetration Tests and Red Team Exercises	
Personnel physical access cards		Controlled Use of Administrative Privileges		Secure Configurations on Hardware	
Physical separation of cleared areas		Data Protection		Secure Configurations of Network Devices	
Security guards		Data Recovery Capability		Secure Network Engineering	
Other (specify here)		Incident Response and Management		Security Skills Assessments and Training	
Other (specify here)		Inventory of Authorized/Unauthorized Devices		Wireless Access Control	
Other (specify here)		Other (specify here)		Other (specify here)	

Is this location familiar with the National Institute of Standards and Technology's (NIST) Cyber Security Framework? (<http://www.nist.gov/cyberframework/>):

Does this location employ any of the NIST Cyber Security Framework's recommended controls?

D. Provide any explanations of the controls your location has in place or feedback you may have on potential improvements, clarifications, or other changes:

Comments:

Section 9b: Physical and Cyber Security Procedures (Cont.)

Estimate your location's spending on physical and cyber security, in thousands of dollars:					
			Record \$ in Thousands, e.g. \$12,000.00 = survey input of \$12		
A.			2013	2014	2015*
	1	Physical Security			
	2	Cyber Security			

* Furnish full year **estimates** for 2015.

Identify all network operation centers that provide network management services to this location.						
		Operations Center Name/Operator	Operated By	City	State/Region	Country
B.	1					
	2					
	3					
	4					
	5					

Provide the following information on data centers and storage:					
C.	1	Does this location or parent organization operate its own data center in the U.S.?		If yes, how many?	
	2	Does this location or parent organization operate its own data center outside the U.S.?		If yes, how many?	
	3	Does this location use a U.S.-based cloud service or external data storage provider?			
	4	Does this location use a non-U.S.-based cloud service or external data storage provider?			
	5	Estimate the percentage of your location's Commercially Sensitive Information that is stored with cloud services or external data storage providers:			
Explain:					

D.	As a condition of doing business with a non-U.S. entity, has your location ever been requested or required to grant access to:	Commercially Sensitive Information?		Explain:	
		Internal Networks?		Explain:	

Identify all of your location's external facing Internet Protocol (IP) addresses in the boxes below. Note: IP ranges may be provided.		
E.		

Comments:	
-----------	--

Section 10: Classified Contract Information

Provide the following for active contracts with active DD-254s at this location:

Do not disclose any classified information in this survey form.

A.	Number of classified prime contracts awarded to this location	
	Number of classified subcontracts awarded to this location	
	Number of classified subcontracts this location awarded to contractors	
	Number of classified foreign contracts awarded to this location	

Identify the top ten contracts with active DD-254s your location managed in descending order of total contract award dollars.

	Contract ID Number	CAGE Associated with Contract	USG Customer	USG Program	Product/Service 1	Product/Service 2	Product/Service 3
B.	1.						
	2.						
	3.						
	4.						
	5.						
	6.						
	7.						
	8.						
	9.						
	10.						

Comments:

Section 11: Research & Development

A. Does your location conduct research and development (R&D)?		If No, proceed to Section 12.
---	--	-------------------------------

In Question B, record your location's total R&D dollar expenditures and type of R&D expenditure for the previous three years.
 In Question C, identify your location's R&D funding sources, by percent of total R&D dollars sourced.

Note: Location level data is strongly preferred. If you do not keep this information at a location level, provide data at the next highest level available.

	Source of R&D data:			
	Reporting Schedule:			

		Record \$ in Thousands, e.g. \$12,000.00 = survey input of \$12		
		2013	2014	2015*
B.	1. Total R&D Expenditures			
	2. Basic Research (as a percent of A1)			
	3. Applied Research (as a percent of A1)			
	4. Product/Process Development (as a percent of A1)			
	5. Total of 2 - 4 (must equal 100%)	0%	0%	0%

		Record \$ in Thousands, e.g. \$12,000.00 = survey input of \$12		
		2013	2014	2015*
C.	1. Total R&D Funding Sources			
	2. Internal/Self-Funded/IRAD (as a percent of B1)			
	3. Total Federal Government (as a percent of B1)			
	4. Total State and Local Government (as a percent of B1)			
	5. Universities - Public and Private (as a percent of B1)			
	6. U.S. Industry, Venture Capital, Non-Profit (as a percent of B1)			
	7. Non-U.S. Investors (as a percent of B1)			
	8. Other (specify)			

* Furnish full year estimates for 2015.

Comments:	
-----------	--

Section 12: Financials

Provide the following financial line items for your location for the last three years below.

Note: Location level data is strongly preferred. If you do not keep this information at a location level, provide data at the next highest level available.

Source of Financial Line Items:				
Reporting Schedule:				
Income Statement (Select Line Items)		Record \$ in Thousands, e.g. \$12,000.00 = survey input of \$12		
		2013	2014	2015*
A.	Net Sales (and other revenue)			
B.	Cost of Goods Sold			
C.	Total Operating Income (Loss)			
D.	Earnings Before Interest and Taxes			
E.	Net Income			
Balance Sheet (Select Line Items)		Record \$ in Thousands, e.g. \$12,000.00 = survey input of \$12		
		2013	2014	2015*
A.	Cash			
B.	Inventories			
C.	Total Current Assets			
D.	Total Assets			
E.	Total Current Liabilities			
F.	Total Liabilities			
G.	Retained Earnings			
H.	Total Owner's Equity			

* Furnish full year **estimates** for 2015.

Comments:	
-----------	--

BUSINESS CONFIDENTIAL - Per Section 705(d) of the Defense Production Act

Section 13: Challenges and Outreach

Identify the issues that have impacted your location in the past three years.
 In column A, select YES/NO from the dropdown menu.
 In column B, rank your top five issues (one being the most important) by writing in numbers one through five, using each rank exactly once.
 In column C, provide an explanation for the relevant issues.

Type of Issue	A	B	C
	-Yes/No-	Rank Top 5	Explanation of Issue:
Aging equipment, facilities, or infrastructure			
Aging workforce			
Counterfeit parts			
Cybersecurity			
Domestic Competition			
Environmental regulations/remediation			
Export Controls/ITAR & EAR			
Foreign Competition			
Government Acquisition Process			
Government Purchasing Volatility			
Government Regulatory Burden			
Healthcare			
Intellectual Property/Patent Infringement			
Labor Availability/Costs			
Non-U.S. Input Availability			
Pension Costs			
Proximity to Customers			
Proximity to Suppliers			
Qualifications/Certifications			
Quality of inputs			
R&D Costs			
Reduction in USG Demand			
Taxes			
U.S. Input Availability			
Worker/Skills Retention			
Other			(specify here)

There are many federal and state government programs and services available to assist your organization to better compete in the global marketplace. If your organization would like more information regarding these government programs, select the specific areas of interest below. The Commerce Department will follow-up with your organization regarding your selections.

Continuous Improvement/ Lean Manufacturing	<input type="checkbox"/>	Market Expansion/Business Growth	<input type="checkbox"/>
Cybersecurity	<input type="checkbox"/>	Product Design	<input type="checkbox"/>
Design for Assembly	<input type="checkbox"/>	Prototyping	<input type="checkbox"/>
Design for Manufacturability	<input type="checkbox"/>	Quality Management and Control	<input type="checkbox"/>
Energy and Environmentally Conscious Manufacturing	<input type="checkbox"/>	Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) contracts	<input type="checkbox"/>
Export Assistance	<input type="checkbox"/>	Supply Chain Optimization	<input type="checkbox"/>
Export Licensing (ITAR/EAR)	<input type="checkbox"/>	Technology Acceleration	<input type="checkbox"/>
Government Procurement Guidelines	<input type="checkbox"/>	Vendor/Material Sourcing	<input type="checkbox"/>

Comments:

[Previous Page](#)

[Table of Contents](#)

Section 14

Certification

The undersigned certifies that the information herein supplied in response to this questionnaire is complete and correct to the best of his/her knowledge. It is a criminal offense to willfully make a false statement or representation to any department or agency of the United States Government as to any matter within its jurisdiction (18 U.S.C.A. 1001 (1984 & SUPP. 1197))

Organization Name	
Organization's Internet Address	
Name of Authorizing Official	
Title of Authorizing Official	
E-mail Address	
Phone Number and Extension	
Date Certified	

In the box below, provide any additional comments or any other information you wish to include regarding this survey assessment.

How many hours did it take to complete this survey?	
---	--

BUSINESS CONFIDENTIAL - Per Section 705(d) of the Defense Production Act

List of U.S. Government Agencies for Agency Section Drop-Down

DOD - DON -- Department of the Navy
DOD - USA -- Department of the Army
DOD - USAF -- Department of the Air Force
DOD - USMC -- United States Marine Corps
DOD Defense Advanced Research Projects Agency
DOD Defense Finance and Accounting Service
DOD Defense Threat Reduction Agency
DOD Department of Defense
DOD Joint Chiefs of Staff
DOD Missile Defense Agency
DOD National Geospatial-Intelligence Agency
DOD National Reconnaissance Office
DOD National Security Agency
DOD Office of the Secretary of Defense
DOD Washington Headquarters Service
DOD Other
Department of Agriculture
Department of Commerce
Department of Education
Department of Health and Human Services
Department of Homeland Security
Department of Justice
Department of Labor
Department of State
Department of the Interior
Department of the Treasury
Department of Transportation
Environmental Protection Agency
Federal Communications Commission
General Services Administration
National Aeronautics and Space Administration
National Archives and Records Administration
Nuclear Regulatory Commission
Office of Personnel Management
United States International Trade Commission
United States Trade Representative
Central Intelligence Agency
Department of Energy
Department of Veterans Affairs
Director of National Intelligence
White House Communications Agency
Other

List Name	MAILING YEAR	YesNo	YesNoUnk	YesNoNA	PubPriv	State	Country	PrimAdd
	2013	Yes	Yes	Yes	Publicly Tr	Alabama	United Sta	Primary Bu
		No	No	No	Privately H	Alaska	Afghanista	Additional
			Unknown	Not Applicable		Arizona	Aland Islands	
						Arkansas	Albania	
						California	Algeria	
						Colorado	American Samoa	
						Connecticu	Andorra	
						Delaware	Angola	
						District of	Anguilla	
						Florida	Antarctica	
						Georgia	Antigua And Barbuda	
						Hawaii	Argentina	
						Idaho	Armenia	
						Illinois	Aruba	
						Indiana	Australia	
						Iowa	Austria	
						Kansas	Azerbaijan	
						Kentucky	Bahamas	
						Louisiana	Bahrain	
						Maine	Bangladesh	
						Maryland	Barbados	
						Massachus	Belarus	
						Michigan	Belgium	
						Minnesota	Belize	
						Mississippi	Benin	
						Missouri	Bermuda	
						Montana	Bhutan	
						Nebraska	Bolivia	
						Nevada	Bosnia And Herzegovi	
						New Hamp	Botswana	
						New Jersey	Bouvet Island	
						New Mexic	Brazil	
						New York	British Indian Ocean T	
						North Carc	Brunei Darussalam	
						North Dakc	Bulgaria	
						Ohio	Burkina Faso	
						Oklahoma	Burundi	
						Oregon	Cambodia	
						Pennsylvr	Cameroon	
						Rhode Isla	Canada	
						South Carc	Cape Verde	
						South Dakc	Cayman Islands	
						Tennessee	Central African Repub	
						Texas	Chad	

Utah Chile
Vermont China
Virginia Christmas Island
Washington Cocos (Keeling) Island
West Virginia Colombia
Wisconsin Comoros
Wyoming Congo
Congo, The Democratic Republic
Cook Islands
Costa Rica
Côte D'Ivoire
Croatia
Cuba
Cyprus
Czech Republic
Denmark
Djibouti
Dominica
Dominican Republic
Ecuador
Egypt
El Salvador
Equatorial Guinea
Eritrea
Estonia
Ethiopia
Falkland Islands (Malvinas)
Faroe Islands
Fiji
Finland
France
French Guiana
French Polynesia
French Southern Territories
Gabon
Gambia
Georgia
Germany
Ghana
Gibraltar
Greece
Greenland
Grenada
Guadeloupe
Guam

Guatemala
Guernsey
Guinea
Guinea-Bissau
Guyana
Haiti
Heard Island And McE
Holy See (Vatican City
Honduras
Hong Kong
Hungary
Iceland
India
Indonesia
Iran, Islamic Republic
Iraq
Ireland
Isle Of Man
Israel
Italy
Jamaica
Japan
Jersey
Jordan
Kazakhstan
Kenya
Kiribati
Korea, Democratic Pe
Korea, Republic Of
Kuwait
Kyrgyzstan
Lao People's Democra
Latvia
Lebanon
Lesotho
Liberia
Libyan Arab Jamahiri
Liechtenstein
Lithuania
Luxembourg
Macao
Macedonia, The Form
Madagascar
Malawi
Malaysia

Maldives
Mali
Malta
Marshall Islands
Martinique
Mauritania
Mauritius
Mayotte
Mexico
Micronesia, Federated
Moldova
Monaco
Mongolia
Montenegro
Montserrat
Morocco
Mozambique
Myanmar
Namibia
Nauru
Nepal
Netherlands
Netherlands Antilles
New Caledonia
New Zealand
Nicaragua
Niger
Nigeria
Niue
Norfolk Island
Northern Mariana Islands
Norway
Oman
Pakistan
Palau
Palestinian Territory, Occupied
Panama
Papua New Guinea
Paraguay
Peru
Philippines
Pitcairn
Poland
Portugal
Puerto Rico

Qatar
Réunion
Romania
Russian Federation
Rwanda
Saint Barthélemy
Saint Helena
Saint Kitts And Nevis
Saint Lucia
Saint Martin
Saint Pierre And Miqu
Saint Vincent And The
Samoa
San Marino
Sao Tome And Princip
Saudi Arabia
Senegal
Serbia
Seychelles
Sierra Leone
Singapore
Slovakia
Slovenia
Solomon Islands
Somalia
South Africa
South Georgia And Th
Spain
Sri Lanka
Sudan
Suriname
Svalbard And Jan May
Swaziland
Sweden
Switzerland
Syrian Arab Republic
Taiwan, Province Of C
Tajikistan
Tanzania, United Rept
Thailand
Timor-Leste
Togo
Tokelau
Tonga
Trinidad And Tobago

Tunisia
Turkey
Turkmenistan
Turks And Caicos Islan
Tuvalu
Uganda
Ukraine
United Arab Emirates
United Kingdom
United States Minor C
Uruguay
Uzbekistan
Vanuatu
Vatican City State
Venezuela
Viet Nam
Virgin Islands, British
Virgin Islands, U.S.
Wallis And Futuna
Western Sahara
Yemen
Zambia
Zimbabwe

MAD	Objectives	JVReason	Participati	EndUse	ExportConi	SupportTy	OtherAgen	AllAgencie	NA
Acquisitio	Access to	Access to f	Product Or	Defense	ITAR	Direct	DOD Defer	(Identify Agency)	
Merger	Access to i	Access to s	Service On	Commerci	EAR	Indirect	DOD Defer	DOD - DON	Not Applic
Divestiture	Access to s	Access to t	Both	Both	Both	Both	DOD Joint	DOD - USA --	Departm
	Bankruptcy	Creation of new	techr	Unknown	No	None	DOD Office	DOD - USAF --	Departm
	Broaden c	Diversification			Other	Unknown	DOD Wash	DOD - USMC --	United
	Develop ne	Improved access to	foreign mark	Unknown			DOD Other	DOD Defense	Advance
	Diversifica	Improved access to	U.S. markets				Departmer	DOD Defense	Finance
	Improve ac	Product improvements					Departmer	DOD Defense	Threat F
	Improve pr	Reduced costs					Departmer	DOD Department of D	
	Increase c	Reduced lead times					Departmer	DOD Joint Chiefs of St	
	Increase m	Risk sharing					Departmer	DOD Missile Defense	
	Overcome	Shared/improved	technology or	skills			Departmer	DOD National Geospa	
	Political/g	Other objective/purpose	(Explain)				Departmer	DOD National Recon	
	Product line	improvements					Departmer	DOD National Security	
	R&D access/	coordination					Departmer	DOD Office of the Sec	
	Reduce overhead	costs					Departmer	DOD Washington Hea	
	Reduce raw material	costs					Environme	DOD Other	
	Tax-related						Federal C	Department of Agricul	
	Other objective/purpose	(Explain)					General Se	Department of Comm	
							National A	Department of Educat	
							Nuclear Re	Department of Health	
							Office of	Department of Homel	
							United Sta	Department of Justice	
							United Sta	Department of Labor	
							Departmer	Department of State	
							White Hou	Department of the Int	
							Other	Department of the Tre	
								Department of Transp	
na								Environmental Protec	
								Federal Communicati	
								General Services Adm	
								National Aeronautics	
erritory								National Archives and	
								Nuclear Regulatory C	
								Office of Personnel M	
								United States Internat	
								United States Trade R	
								Central Intelligence Ag	
								Department of Energy	
								Department of Vetera	
								Director of National In	
								White House Commur	
lic								Other	

s

ic Republic Of The

inas)

tories

Donald Islands
State)

Of

ople's Republic Of

rtic Republic

a

er Yugoslav Republic Of

1 States Of

inds

Occupied

elon
: Grenadines

e

e South Sandwich Islands

en

hina

ublic Of

ids

Outlying Islands

PrimeSub	ProductCal	Groups	group	SoleSingle	Level	YearType	CustomerT	HowMany	OpType
Prime Contr	A: Raw Ma	Agroup	Bgroup	Sole Sourc	Location	Calendar Y	Commerci	All	Store
Sub-Contr	B: Electron	Bgroup	Wgroup	Single Sou	Division/Bt	Fiscal Year	Governme	Most	Perform
Other Supp	C: Manufa	Cgroup	Kgroup	Neither	Corporate/Whole	Org	Governme	Some	Both
ment of the	D: Lasers	Dgroup	#N/A	Unknown			University	Few	Neither
l States Ma	E: Directed	Egroup	#N/A				Other	None	
ed Research	F: Optics	Fgroup	#N/A					Unknown	
and Accou	G: Acoustic	Ggroup	#N/A						
Reduction	H: Position	Hgroup	#N/A						
Defense	I: Radars	Igroup	#N/A						
aff	J: Signatur	Jgroup	#N/A						
Agency	K: Aeronau	Kgroup	#N/A						
tial-Intelli	L: Space S	Lgroup	#N/A						
aissance Of	M: Marine	Mgroup	#N/A						
/ Agency	N: Ground	Ngroup	#N/A						
retary of D	O: Armam	Ogroup	#N/A						
dquarters	P: Energy	Pgroup	#N/A						
	Q: Nuclear	Qgroup	#N/A						
lture	R: Biologic	Rgroup	#N/A						
erce	S: Chemica	Sgroup	#N/A						
tion	T: Emergin	Tgroup	#N/A						
and Huma	U: Agricult	Ugroup	#N/A						
and Securit	V: Medical	Vgroup	#N/A						
	W: Comm	Wgroup	#N/A						
	X: Softwar	Xgroup	#N/A						
	Y: Services	Ygroup	#N/A						

erior
 easury
 ortation
 tion Agency
 ons Commission
 inistration
 and Space Administration
 Records Administration
 ommission
 anagement
 ional Trade Commission
 epresentative
 gency
 /
 ins Affairs
 rtelligence
 rications Agency

LocPar	NetOps
Location	Internal IT Department
Parent	Internal IT Department and U.S. external provider(s)
Both	Internal IT Department and non-U.S. external provider(s)
Neither	Internal IT Department and U.S. and non-U.S. external provider(s) Only U.S. external provider(s) Only non-U.S. external provider(s) U.S. and non-U.S. external provider(s) Not Applicable