

**APPENDIX D**  
**DATA SYSTEMS SURVEY**



Reference No.: 06863

**Evaluation of the Unemployment  
Compensation Provisions of the  
American Recovery and  
Reinvestment Act of 2009**

**Data Systems Survey**

*(Insert State Name)*\_\_\_\_\_

*October 11, 2012*

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 1225-0089. The time required to complete this information collection is estimated to average 30 minutes per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collected. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: U.S. Department of Labor, Rm. S2312, 200 Constitution Ave., NW, Washington, DC 20210. If you have comments or concerns regarding the status of your individual submission of this form, write directly to: The UCP Project.

Mathematica and the Urban Institute are conducting an evaluation for the U.S. Department of Labor (DOL) about the implementation and effectiveness of the Unemployment Compensation (UC) provisions contained in the American Recovery and Reinvestment Act of 2009 (ARRA) legislation. As part of this study, our research team will be visiting your state and 19 others to learn about how states decided which optional provisions to adopt and to learn about their experiences implementing all ARRA-related UC provisions. In this advance survey, we'd like to gather information about your state's experience implementing any information systems changes needed to comply with the UC ARRA requirements. If you have any questions regarding this survey, please contact Grace Roemer of Mathematica Policy Research at [GRoemer@mathematica-mpr.com](mailto:GRoemer@mathematica-mpr.com) or (609) 936-2782, or Brandon Kyler at [BKyler@mathematica-mpr.com](mailto:BKyler@mathematica-mpr.com) or (609) 716-4381.

**State Name:** \_\_\_\_\_

**Respondent(s):** \_\_\_\_\_

**Respondent Title(s):** \_\_\_\_\_

**Respondent Department(s):** \_\_\_\_\_

**Date:** \_\_\_\_\_

**(Prefilled by Mathematica here and in modules)**

**EMERGENCY UNEMPLOYMENT COMPENSATION (UC) TIERS  
3 AND 4 IN STATE SINCE 2008?**

1  Yes  
0  No → IF "NO," SKIP MODULE 4

**EXTENDED BENEFITS (EB) IN STATE SINCE 2008?**

1  Yes  
0  No → IF "NO," SKIP MODULE 5

**ANY AMERICAN RECOVERY AND REINVESTMENT ACT  
(ARRA) MODERNIZATION FUNDS RECEIVED?**

1  Yes  
0  No → IF "NO," SKIP MODULE 6

**Module 1—Federal Additional Compensation (FAC) (All Respondents)**

**1. What systems changes did your state make so that Federal Additional Compensation (FAC) benefits payments could be made, tracked, adjusted, and/or reported?**

**MARK ALL THAT APPLY**

- 1  No changes needed, systems capacity already existed → **GO TO Q.5**
- 2  Added fields/flags/indicators to state claims systems
- 3  Added screens to state claims systems
- 4  Made benefit payment control (BPC) system changes (for overpayments)
- 5  Handled outside state claims/BPC systems (for example, ad hoc spreadsheets, databases)
- 6  Unable to capture, track, report on FAC UC ARRA requirement
- 7  Other (*Specify*)  
\_\_\_\_\_

**2. What facilitated your state's efforts to make the changes needed for FAC?**

**MARK ALL THAT APPLY**

- 1  State political leadership/support
- 2  Agency leadership/support
- 3  Staff support
- 4  Leadership knowledge of UC ARRA requirements
- 5  Staff knowledge of UC ARRA requirements
- 6  Resources available
- 7  Alignment of UC ARRA requirements with existing state goals/initiatives
- 8  Nothing
- 9  Other (*Specify*)  
\_\_\_\_\_

**3. What challenges did you encounter in making these systems changes?**

**MARK ALL THAT APPLY**

- 1  Insufficient financial resources to make appropriate systems changes or establish alternate systems
- 2  Insufficient personnel
- 3  Insufficient understanding of UC ARRA requirements
- 4  Insufficient time to respond to legislative changes
- 5  Difficulty maintaining data quality (*including accuracy of benefit amounts*)
- 6  Unable to create, track and report FAC benefits payments
- 7  No challenges encountered
- 8  Other (*Specify*)  
\_\_\_\_\_

**4. Was your state able to complete necessary systems changes so that timely FAC benefits payments could be made?**

**MARK ONE ONLY**

- n  Not applicable, no systems changes needed
- 1  Yes, all benefits paid in timely manner
- 2  Somewhat, some benefits paid on time and some retroactively
- 3  No, all benefits paid retroactively
- 4  No, not all benefits due were paid
- 5  Other (*Specify*)  
\_\_\_\_\_

**5. Did FAC overpayments present any specific systems challenges?**

- 1  Yes
- 0  No → **GO TO Q.5b**

**5a. If yes, which FAC overpayments-related systems changes were challenging to implement?**

**MARK ALL THAT APPLY**

- 1  Calculating exact overpayments
- 2  Establishing overpayments
- 3  Applying overpayments in proper order
- 4  Offsetting overpayments
- 5  Distinguishing between existing and new overpayments
- 6  Offsetting fraud and nonfraud overpayments
- 7  Accounting and reporting of overpayments
- 8  None
- 9  Other (*Specify*)

\_\_\_\_\_

**5b. Please provide the total amount of FAC-related outstanding overpayments, not including write-offs or waived overpayments, as of 12/31/2012.**

\_\_\_\_\_  
\_\_\_\_\_

**6. Did FAC reporting present any specific challenges?**

**MARK ALL THAT APPLY**

- 1  Yes, lack of specific reporting guidance
- 2  Yes, lack of designated Unemployment Insurance Required Reports (UIRR) forms or cells on existing forms
- 3  Yes (*Other*)

\_\_\_\_\_

- 0  No

**7. What was the approximate cost of making the FAC-related systems changes?**

**MARK ONE ONLY**

- 1  \$0 (no FAC-related systems changes) → **GO TO MODULE 2, Q.1**
- 2  \$1 to \$50,000
- 3  \$50,001 to \$100,000
- 4  \$100,001 to \$250,000
- 5  \$250,001 to \$500,000
- 6  \$500,001 to \$1,000,000
- 7  Greater than \$1,000,000

**7a. Does this cost estimate include other UC ARRA improvements?**

- 1  Yes
- 0  No

*If yes, please specify which ones:*

\_\_\_\_\_  
\_\_\_\_\_

**7b. How were these systems changes financed?**

**MARK ALL THAT APPLY**

- 1  Modernization funds
- 2  State administrative grants
- 3  General revenue
- 4  Other (*Specify*)

\_\_\_\_\_

**Module 2— First \$2,400 in Benefits Free of Federal Income Tax (All Respondents)**

**1. What systems changes did your state make to ensure that the first \$2,400 in benefits payments in 2009 would be paid, tracked, adjusted, and/or reported as free of federal income tax?**

**MARK ALL THAT APPLY**

- 1  No changes needed, systems capacity already existed → **GO TO MODULE 3, Q.1**
- 2  Added fields/flags/indicators to state claims systems
- 3  Added screens to state claims systems
- 4  Unable to pay, track, adjust, or report on this UC ARRA requirement
- 5  Other (*Specify*)  
\_\_\_\_\_

**2. What facilitated your state's efforts to make the changes needed for this ARRA requirement?**

**MARK ALL THAT APPLY**

- 1  State political leadership/support
- 2  Agency leadership/support
- 3  Staff support
- 4  Leadership knowledge of UC ARRA requirements
- 5  Staff knowledge of UC ARRA requirements
- 6  Resources available
- 7  Alignment of UC ARRA requirements with existing state goals/initiatives
- 8  Nothing
- 9  Other (*Specify*)  
\_\_\_\_\_

**3. What challenges did you encounter in making these systems changes?**

**MARK ALL THAT APPLY**

- 1  Insufficient financial resources to make appropriate systems changes or establish alternate systems
- 2  Insufficient personnel
- 3  Insufficient understanding of UC ARRA requirements
- 4  Insufficient time to respond to legislative changes
- 5  Difficulty maintaining data quality (*including accuracy of benefit amounts*)
- 6  No challenges encountered
- 7  Other (*Specify*)  
\_\_\_\_\_

**4. Was your state able to complete necessary systems changes so that benefits payments could be properly adjusted in a timely manner?**

**MARK ONE ONLY**

- n  Not applicable, no systems changes needed
- 1  Yes, all benefits properly adjusted in timely manner
- 2  Somewhat, some benefits properly adjusted on time and some retroactively
- 3  No, all benefits properly adjusted retroactively
- 4  No, not all benefits due were properly adjusted
- 5  Other (*Specify*)  
\_\_\_\_\_

**5. What was the approximate cost of making these systems changes?**

**MARK ONE ONLY**

- 1  \$0 (no systems changes) → **GO TO MODULE 3, Q.1**
- 2  \$1 to \$50,000
- 3  \$50,001 to \$100,000
- 4  \$100,001 to \$250,000
- 5  \$250,001 to \$500,000
- 6  \$500,001 to \$1,000,000
- 7  Greater than \$1,000,000

**5a. Does this cost estimate include other UC ARRA improvements?**

- 1  Yes
- 0  No

*If yes, please specify which ones:*

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**5b. How were these systems changes financed?**

**MARK ALL THAT APPLY**

- 1  Modernization funds
- 2  State administrative grants
- 3  General revenue
- 4  Other (*Specify*)

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**Module 3—EUC08 Tiers 1 and 2 (All Respondents)**

**1. What systems changes did your state make so that EUC08 Tier 1/Tier 2 benefits eligibility and payments could be made, tracked, adjusted, and/or reported?**

**MARK ALL THAT APPLY**

- 1  No changes needed, systems capacity already existed → **GO TO Q.6**
- 2  Unable to capture, track, report on EUC08 Tier 1/Tier 2 requirements → **GO TO Q.6**
- 3  Added fields/flags/indicators to state claims systems
- 4  Added screens to state claims systems
- 5  Benefit payment control (BPC) system changes (for overpayments)
- 6  Linked UC and Employment Service (ES) data or data systems to comply with UIPL 20-11
- 7  Handled outside state claims/BPC systems (for example, ad hoc spreadsheets, databases)
- 8  Used TEUC system/screens as foundation for capturing, tracking, and reporting EUC08 information
- 9  Other (*Specify*)

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**2. What facilitated your state's efforts to make the changes needed for EUC08 Tier 1/Tier 2?**

**MARK ALL THAT APPLY**

- 1  State political leadership/support
- 2  Agency leadership/support
- 3  Staff support
- 4  Leadership knowledge of UC ARRA requirements
- 5  Staff knowledge of UC ARRA requirements
- 6  Resources available
- 7  Alignment of UC ARRA requirements with existing state goals/initiatives
- 8  Nothing
- 9  Other (*Specify*)

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**3. What challenges did you encounter in making these systems changes?**

**MARK ALL THAT APPLY**

- 1  Insufficient financial resources to make appropriate systems changes or establish alternate systems
- 2  Insufficient personnel
- 3  Insufficient understanding of UC ARRA requirements
- 4  Insufficient time to respond to legislative changes
- 5  Difficulty maintaining data quality (*including accuracy of benefit amounts*)
- 6  Tracking more than 26 weeks (up to 34 weeks) of payments
- 7  Tracking EUC08 tiers within modified (tierless) TEUC system
- 8  Lack of staff knowledge of TEUC/EUC08
- 9  Augmenting Tier 1 with Tier 2 (applying supplements)
- 10  Paying on proper benefits claims (no reachbacks)
- 11  Allocating overpayment reconciliation activities to general revenue instead of trust fund
- 12  Establishing proper weekly benefit amounts
- 13  Checking for wages/benefits at benefit year end and quarter change for regular UI
- 14  Checking for wages/benefits at benefit year end and quarter change for CWC-IS
- 15  Withholding federal income tax
- 16  Matching individual identifiers across UI and ES systems
- 17  No challenges encountered
- 18  Other (*Specify*)  
\_\_\_\_\_

**4. Was your state able to implement a process by March 2012 for confirming EUC08 Tier 1/Tier 2 benefits eligibility in accordance with UIPL 20-11?**

**MARK ONE ONLY**

- 1  Yes, direct link between UI and ES in real time
- 2  Yes, periodic matching of UI and ES data
- 3  Yes, periodic matching of samples of claimants between UI and ES
- 4  Yes, self-attestation by claimants
- 5  No, process implemented, but not timely
  - 5a  Identify process:  
\_\_\_\_\_
- 6  No, process still not implemented

**5. Was your state able to complete necessary systems changes so that timely EUC08 Tier 1/Tier 2 benefits payments could be made?**

**MARK ONE ONLY**

- n  Not applicable, no systems changes needed
- 1  Yes, all benefits paid in timely manner
- 2  Somewhat, some benefits paid on time and some retroactively
- 3  No, all benefits paid retroactively
- 4  No, not all benefits due were paid
- 5  Other (*Specify*)  
\_\_\_\_\_

6. Did EUC08 Tier 1/Tier 2 overpayments present any specific systems challenges?

- 1  Yes
- 0  No → GO TO Q.6b

6a. If yes, which EUC08 Tier 1/Tier 2 overpayments-related systems changes have been challenging to implement?

MARK ALL THAT APPLY

- 1  Calculating exact overpayments
- 2  Establishing overpayments
- 3  Applying overpayments in proper order
- 4  Offsetting overpayments
- 5  Distinguishing between existing and new overpayments
- 6  Offsetting fraud and nonfraud overpayments
- 7  Reporting of overpayments
- 8  Tracking overpayment adjustments to general revenue instead of trust fund
- 9  None
- 10  Other (Specify)

\_\_\_\_\_

6b. Please provide the total amount of EUC08 Tier 1/Tier 2-related outstanding overpayments, not including write-offs or waived overpayments, as of 12/31/2012.

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

7. Did reporting EUC08 Tier 1/Tier 2 benefits present any specific challenges?

MARK ALL THAT APPLY

- 1  Yes, lack of specific reporting guidance
- 2  Yes, lack of designated UIRR forms or cells on existing forms
- 3  Yes, revising prior ETA 218 and ETA 5159 reports to properly report for Tier 1 benefits
- 4  Yes, programming to complete new ETA 9128U
- 5  Yes (Other)

\_\_\_\_\_

- 0  No

8. What was the approximate cost of making these systems changes?

MARK ONE ONLY

- 1  \$0 (no systems changes) → GO TO MODULE 4, Q.1
- 2  \$1 to \$50,000
- 3  \$50,001 to \$100,000
- 4  \$100,001 to \$250,000
- 5  \$250,001 to \$500,000
- 6  \$500,001 to \$1,000,000
- 7  Greater than \$1,000,000

8a. Does this cost estimate include other UC ARRA improvements?

- 1  Yes
- 0  No

If yes, please specify which ones:

\_\_\_\_\_

\_\_\_\_\_

8b. How were these systems changes financed?

MARK ALL THAT APPLY

- 1  Modernization funds
- 2  State administrative grants
- 3  General revenue
- 4  Other (Specify)

\_\_\_\_\_

Module 4—EUC08 Tiers 3 and 4 (Some Respondents)

**NO EUC08 TIERS 3 AND 4 IN STATE.  
IF CHECKED, SKIP MODULE 4**

1. **What additional systems changes did your state make so that EUC08 Tier 3/Tier 4 benefits payments could be made, tracked, adjusted, and/or reported?**

**MARK ALL THAT APPLY**

- 1  No changes needed, systems capacity already existed → **GO TO Q.5**
- 2  Added fields/flags/indicators to state claims systems
- 3  Added screens to state claims systems
- 4  Benefit payment control (BPC) system changes (for overpayments)
- 5  Handled outside state claims/BPC systems (for example, ad hoc spreadsheets, databases)
- 6  Unable to capture, track, report on EUC08 Tier 3/Tier 4 requirement
- 7  Other (*Specify*)  
\_\_\_\_\_

2. **What facilitated your state's efforts to make the changes needed for EUC08 Tier 3/Tier 4?**

**MARK ALL THAT APPLY**

- 1  State political leadership/support
- 2  Agency leadership/support
- 3  Staff support
- 4  Leadership knowledge of UC ARRA requirements
- 5  Staff knowledge of UC ARRA requirements
- 6  Resources available
- 7  Alignment of UC ARRA requirements with existing state goals/initiatives
- 8  Nothing
- 9  Other (*Specify*)  
\_\_\_\_\_

3. **What challenges did you encounter in making these systems changes?**

**MARK ALL THAT APPLY**

- 1  Insufficient financial resources to make appropriate systems changes or establish alternate systems
- 2  Insufficient personnel
- 3  Insufficient understanding of UC ARRA requirements
- 4  Insufficient time to respond to legislative changes
- 5  Difficulty maintaining data quality (*including accuracy of benefit amounts*)
- 6  Tracking more than 26 weeks (up to 53 weeks) of payments
- 7  Allocating overpayment reconciliation amounts to stimulus funding instead of trust fund
- 8  Establishing proper weekly benefit amounts
- 9  Checking for wages/benefits at benefit year end and quarter change for regular UI
- 10  Checking for wages/benefits at benefit year end and quarter change for CWC-IS
- 11  Withholding federal income tax
- 12  No challenges encountered
- 13  Other (*Specify*)  
\_\_\_\_\_

4. **Was your state able to complete necessary systems changes so that timely EUC08 Tier 3/Tier 4 benefits payments could be made?**

**MARK ONE ONLY**

- n  Not applicable, no systems changes needed
- 1  Yes, all benefits paid in timely manner
- 2  Somewhat, some benefits paid on time and some retroactively
- 3  No, all benefits paid retroactively
- 4  No, not all benefits due were paid
- 5  Other (*Specify*)  
\_\_\_\_\_

5. Did EUC08 Tier 3/Tier 4 overpayments present any specific systems challenges?

- 1  Yes
- 0  No → GO TO Q.5b

5a. If yes, which EUC08 Tier 3/Tier 4 overpayments-related systems changes have been challenging to implement?

MARK ALL THAT APPLY

- 1  Calculating exact overpayments
- 2  Establishing overpayments
- 3  Applying overpayments in proper order
- 4  Offsetting overpayments
- 5  Distinguishing between existing and new overpayments
- 6  Offsetting fraud and nonfraud overpayments
- 7  Reporting of overpayments
- 8  Tracking overpayment adjustments to stimulus funding instead of trust fund
- 9  None
- 10  Other (Specify)  
\_\_\_\_\_

5b. Please provide the total amount of EUC08 Tier 3/Tier 4-related outstanding overpayments, not including write-offs or waived overpayments, as of 12/31/2012.  
\_\_\_\_\_  
\_\_\_\_\_

6. Did reporting EUC08 Tier 3/Tier 4 benefits present any specific challenges?

- 1  Yes, lack of specific reporting guidance
- 2  Yes, lack of designated UIRR forms or cells on existing forms
- 3  Yes (Other)  
\_\_\_\_\_
- 0  No

7. What was the approximate cost of making these systems changes?

MARK ONE ONLY

- 1  \$0 (no systems changes) → GO TO MODULE 5, Q.1
- 2  \$1 to \$50,000
- 3  \$50,001 to \$100,000
- 4  \$100,001 to \$250,000
- 5  \$250,001 to \$500,000
- 6  \$500,001 to \$1,000,000
- 7  Greater than \$1,000,000

7a. Does this cost estimate include other UC ARRA improvements?

- 1  Yes
- 0  No

If yes, please specify which ones:  
\_\_\_\_\_  
\_\_\_\_\_

7b. How were these systems changes financed?

MARK ALL THAT APPLY

- 1  Modernization funds
- 2  State administrative grants
- 3  General revenue
- 4  Other (Specify)  
\_\_\_\_\_

**Module 5—Extended Benefits (EB)  
(Some Respondents)**

**NO EXTENDED BENEFITS (EB) IN STATE. IF CHECKED, SKIP MODULE 5**

**1. What systems changes did your state make so that Extended Benefits (EB) payments could be made, tracked, adjusted, and/or reported?**

**MARK ALL THAT APPLY**

- 1  No changes needed, systems capacity already existed → **GO TO Q.5**
- 2  Added fields/flags/indicators to state claims systems
- 3  Added screens to state claims systems
- 4  Benefit payment control (BPC) system changes (for overpayments)
- 5  Handled outside state claims/BPC systems (for example, ad hoc spreadsheets, databases)
- 6  Unable to capture, track, report on UC ARRA EB requirement
- 7  Other (*Specify*)  
\_\_\_\_\_

**2. What facilitated your state's efforts to make the changes needed for EB?**

**MARK ALL THAT APPLY**

- 1  State political leadership/support
- 2  Agency leadership/support
- 3  Staff support
- 4  Leadership knowledge of UC ARRA requirements
- 5  Staff knowledge of UC ARRA requirements
- 6  Resources available
- 7  Alignment of UC ARRA requirements with existing state goals/initiatives
- 8  Nothing
- 9  Other (*Specify*)  
\_\_\_\_\_

**3. What challenges did you encounter in making these systems changes?**

**MARK ALL THAT APPLY**

- 1  Insufficient financial resources to make appropriate systems changes or establish alternate systems
- 2  Insufficient personnel
- 3  Insufficient understanding of UC ARRA requirements
- 4  Insufficient time to respond to legislative changes
- 5  Difficulty maintaining data quality (*including accuracy of benefit amounts*)
- 6  Tracking more than 26 weeks (up to 99 weeks) of payments
- 7  Implementing both EUC08 and EB
- 8  Taking applications for EB
- 9  Tracking payments to interstate claimants
- 10  Collecting and verifying EB work search documents
- 11  Establishing proper weekly benefit amounts
- 12  Checking for wages/benefits at benefit year end and quarter change for regular UI
- 13  Checking for wages/benefits at benefit year end and quarter change for CWC-IS
- 14  Withholding federal income tax
- 15  No challenges encountered
- 16  Other (*Specify*)  
\_\_\_\_\_

4. Was your state able to complete necessary systems changes so that timely EB payments could be made?

MARK ONE ONLY

- Not applicable, no systems changes needed
- Yes, all benefits paid in timely manner
- Somewhat, some benefits paid on time and some retroactively
- No, all benefits paid retroactively
- No, not all benefits due were paid
- Other (Specify)  
\_\_\_\_\_

5. Did EB overpayments present any specific systems challenges?

- Yes
- No → GO TO Q.5b

5a. If yes, which EB overpayments-related systems changes have been challenging to implement?

MARK ALL THAT APPLY

- Calculating exact overpayments
- Establishing overpayments
- Applying overpayments in proper order
- Offsetting overpayments
- Distinguishing between existing and new overpayments
- Offsetting fraud and nonfraud overpayments
- Reporting of overpayments
- None
- Other (Specify)  
\_\_\_\_\_

5b. Please provide the total amount of EB-related outstanding overpayments, not including write-offs or waived overpayments, as of 12/31/2012.  
\_\_\_\_\_  
\_\_\_\_\_

6. Did reporting EB present any specific challenges?

- Yes, lack of specific reporting guidance
- Yes, lack of designated UIRR forms or cells on existing forms
- Yes, reporting both EUC08 and EB
- Yes (Other)  
\_\_\_\_\_
- No

7. What was the approximate cost of making these systems changes?

MARK ONE ONLY

- \$0 (no systems changes) → GO TO MODULE 6, Q.1
- \$1 to \$50,000
- \$50,001 to \$100,000
- \$100,001 to \$250,000
- \$250,001 to \$500,000
- \$500,001 to \$1,000,000
- Greater than \$1,000,000

7a. Does this cost estimate include other UC ARRA improvements?

- Yes
- No

If yes, please specify which ones:  
\_\_\_\_\_  
\_\_\_\_\_

7b. How were these systems changes financed?

MARK ALL THAT APPLY

- Modernization funds
- State administrative grants
- General revenue
- Other (Specify)  
\_\_\_\_\_

**Module 6—UI Modernization Incentives  
(Some Respondents)**

**NO MODERNIZATION INCENTIVES IN STATE. IF CHECKED, SKIP MODULE 6**

1. What systems changes did your state make so that modernization provisions could be made, tracked, adjusted, and/or reported?

MARK ALL THAT APPLY

	Provision not applicable in this state	No changes needed, systems capacity already existed	Added fields to state claims systems	Added flags/ indicators to state claims systems	Added screens to state claims systems	Made Benefit payment control (BPC) system changes (for overpays)	Handled outside state claims/BPC systems (for example, ad hoc spreadsheets, databases)	Unable to capture, track, and/or report on UC ARRA requirement	Other (Specify)
a. ABP eligibility calculations.....	n <input type="checkbox"/>	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>	6 <input type="checkbox"/>	7 <input type="checkbox"/>	8 <input type="checkbox"/> _____
b. UC benefits payments for workers seeking part-time employment.....	n <input type="checkbox"/>	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>	6 <input type="checkbox"/>	7 <input type="checkbox"/>	8 <input type="checkbox"/> _____
c. UC benefits payments for workers with voluntary job quits for compelling family reasons.....	n <input type="checkbox"/>	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>	6 <input type="checkbox"/>	7 <input type="checkbox"/>	8 <input type="checkbox"/> _____
d. UC benefits payments for an additional 26 weeks for workers enrolled and making satisfactory progress in certain training programs.....	n <input type="checkbox"/>	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>	6 <input type="checkbox"/>	7 <input type="checkbox"/>	8 <input type="checkbox"/> _____
e. Dependency allowances .....	n <input type="checkbox"/>	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>	6 <input type="checkbox"/>	7 <input type="checkbox"/>	8 <input type="checkbox"/> _____

**2. What helped your state make the systems changes needed for the UI modernization provisions adopted by your state?**

**MARK ALL THAT APPLY**

- 1  State political leadership/support
- 2  Agency leadership/support
- 3  Staff support
- 4  Leadership knowledge of UC ARRA requirements
- 5  Staff knowledge of UC ARRA requirements
- 6  Resources available
- 7  Alignment of UC ARRA requirements with existing state goals/initiatives
- 8  Nothing
- 9  Other (*Specify*)  
\_\_\_\_\_

**3. What challenges did you encounter in making these systems changes for UI modernization?**

**MARK ALL THAT APPLY**

- 1  Insufficient financial resources to make appropriate systems changes or establish alternate systems
- 2  Insufficient personnel
- 3  Insufficient understanding of UC ARRA requirements
- 4  Not enough to respond to legislative changes
- 5  Difficulty maintaining data quality (*including accuracy of benefit amounts*)
- 6  Accessing most recent wage records for ABP calculations
- 7  None
- 8  Other (*Specify*)  
\_\_\_\_\_

**4. What was the approximate cost of making the UI modernization systems changes made by your state?**

**MARK ONE ONLY**

- 1  \$0 (no systems changes) → **GO TO Q.6.2**
- 2  \$1 to \$50,000
- 3  \$50,001 to \$100,000
- 4  \$100,001 to \$250,000
- 5  \$250,001 to \$500,000
- 6  \$500,001 to \$1,000,000
- 7  Greater than \$1,000,000

**4a. Does this cost estimate include other UC ARRA improvements?**

- 1  Yes
- 0  No

*If yes, please specify which ones:*

\_\_\_\_\_  
\_\_\_\_\_

**4b. How were the UI modernization systems changes financed?**

**MARK ALL THAT APPLY**

- 1  Modernization funds
- 2  State administrative grants
- 3  General revenue
- 4  Other (*Specify*)  
\_\_\_\_\_



5. Was your state able to complete necessary systems changes so that the state could make timely...?

MARK ONE ONLY

	Provision not applicable in this state	Not applicable, no systems changes needed	Yes, all benefits paid in timely manner	Somewhat, some benefits paid on time and some retroactively	No, all benefits paid retroactively	No, not all benefits due were paid	Other (Specify)
a. ABP eligibility calculations.....	n <input type="checkbox"/>	na <input type="checkbox"/>	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/> _____
b. UC benefits payments for workers seeking part-time employment.....	n <input type="checkbox"/>	na <input type="checkbox"/>	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/> _____
c. UC benefits payments for workers with voluntary job quits for compelling family reasons....	n <input type="checkbox"/>	na <input type="checkbox"/>	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/> _____
d. UC benefits payments for an additional 26 weeks for workers enrolled and making satisfactory progress in certain training programs .....	n <input type="checkbox"/>	na <input type="checkbox"/>	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/> _____
e. Dependency allowances .....	n <input type="checkbox"/>	na <input type="checkbox"/>	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/> _____

**6.1 Did overpayments of UC benefits payments related to the modernization provisions listed below present any specific systems challenges?**

**MARK ALL THAT APPLY**

	Provision not applicable in this state	Calculating exact overpayments	Establishing overpayments	Applying overpayments in proper order	Offsetting overpayments	Distinguishing between existing and new overpayments	Offsetting fraud and nonfraud overpayments	Accounting of and reporting overpayment differences	Other (Specify)
a. Workers seeking part-time employment.....	n <input type="checkbox"/>	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>	6 <input type="checkbox"/>	7 <input type="checkbox"/>	8 <input type="checkbox"/> _____
b. Workers with voluntary job quits for compelling family reasons ...	n <input type="checkbox"/>	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>	6 <input type="checkbox"/>	7 <input type="checkbox"/>	8 <input type="checkbox"/> _____
c. Additional 26 weeks for workers enrolled and making satisfactory progress in certain training programs .....	n <input type="checkbox"/>	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>	6 <input type="checkbox"/>	7 <input type="checkbox"/>	8 <input type="checkbox"/> _____
d. Dependency allowances.....	n <input type="checkbox"/>	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>	6 <input type="checkbox"/>	7 <input type="checkbox"/>	8 <input type="checkbox"/> _____

6.2 Please provide the total amount of outstanding UC benefits overpayments, before deductions for write-offs and waived overpayments, as of December 31, 2012, for each of the following modernization provisions.

MARK ONE ONLY

	Provision not applicable in this state	Unable to calculate	Enter dollar amount
a. Workers seeking part-time employment.....	n <input type="checkbox"/>	1 <input type="checkbox"/>	\$ _____
b. Workers with voluntary job quits for compelling family reasons.....	n <input type="checkbox"/>	1 <input type="checkbox"/>	\$ _____
c. Additional 26 weeks for workers enrolled and making satisfactory progress in certain training programs	n <input type="checkbox"/>	1 <input type="checkbox"/>	\$ _____
d. Dependency allowances .....	n <input type="checkbox"/>	1 <input type="checkbox"/>	\$ _____

7. Did reporting on the following modernization provisions present any specific challenges?

MARK ALL THAT APPLY

	Yes, lack of specific reporting guidance	Yes, lack of designated UIRR forms or cells on existing forms	Yes (Other)	No
a. UC benefits payments for workers seeking part-time employment.....	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	0 <input type="checkbox"/>
b. UC benefits payments for workers with voluntary job quits for compelling family reasons .....	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	0 <input type="checkbox"/>
c. UC benefits payments for an additional 26 weeks for workers enrolled and making satisfactory progress in certain training programs.....	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	0 <input type="checkbox"/>
d. Dependency allowances .....	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	0 <input type="checkbox"/>