Appendix A 1998, 2001, 2005, AND 2008 OMB TERMS OF CLEARANCE FOR EHR GENERIC (3145-0136)

1998 Terms of Clearance

-----Original Message-----From: OIRA_DOCKET@a1.eop.gov [mailto:OIRA_DOCKET@a1.eop.gov] Sent: Friday, September 04, 1998 8:37 AM To: NSF-RCO@nsf.gov; Daniel_I._Werfel@oa.eop.gov Subject: 3145-0136 OMB NOTICE OF ACTION

NOTICE OF OFFICE OF MANAGEMENT AND BUDGET ACTION

Gail McHenry Sr. Policy Analyst National Science Foundation 4201 Wilson Boulevard Suite 245 Arlington, VA 22230 09/03/1998

In accordance with the Paperwork Reduction Act, OMB has taken the following action on your request for the extension of approval of an information collection received on 07/02/1998.

TITLE: Generic Survey Clearance of the EHR Impact Data Base

AGENCY FORM NUMBER(S): None

ACTION : APPROVED OMB NO.: 3145-0136 EXPIRATION DATE: 09/30/2001

BURDEN	RESPONSES	BURDEN	HOURS	BURDEN COSTS
Previous	19	4,590	0	
New	25,507	24,900	0	
Difference	25,488	20,310	0	
Program Change	9	20,310	0	
Adjustment		0	0	

TERMS OF CLEARANCE:

SEE PAGE 2 FOR TERMS OF CLEARANCE

NOTICE OF OFFICE OF MANAGEMENT AND BUDGET ACTION PAGE 2 OF 2

OMB NO.: 3145-0136 09/03/1998

TERMS OF CLEARANCE:

Approved as amended by and consistent with NSF's memos to OMB of 8/20/98, 9/2/98, 9/3/98. In addition, NSF agrees to the following terms of clearance: 1) To clarify the new process for clearing individual packages under this generic clearance as outlined in the 9/2 memo -- (a) With this action OMB approves the overall generic process as well as the 5 individual tasks submitted to OMB as attachments to this generic package for three years. The 5 individual tasks included as attachments are EPSCOR, AMP, DUE-PIR, LSC Cross-Project Monitoring, and Eng-CRCD.

(b) All other individual tasks associated with this generic (see exhibit 1 of supporting statement) must be submitted to OMB for clearance prior to implementation. If approved those individual approvals will expire, at the latest, when this generic expires in 9/2001. (c) When NSF seeks to add additional tasks to 3145-0136 other than those previously mentioned, the additional request will be accompanied by an 83-C burden change sheet so that the appropriate burden total for the generic clearance can be changed accordingly. Further, each additional request shall contain a cover memo which describes why the specific task is appropriate to include in the generic. (d) Consistent with past procedures under this generic clearance, submission of individual task are done informally (i.e., sent directly to the desk officer rather than to the docket library) and OMB will attempt to complete the review expeditiously. (2) NSF will include as part of the race ethnicity reporting for the EPSCOR and the AMP a category entitled more than one race reported.

NOTE: The agency is required to display the OMB control number and inform respondents of its legal significance (see 5 CFR 1320.5(b)).

OMB Authorizing Official Title

Donald R. Arbuckle Deputy Administrator, Office of Information and Regulatory Affairs

2001 Terms of Clearance

-----Original Message-----From: OIRA_DOCKET@omb.eop.gov [SMTP:OIRA_DOCKET@omb.eop.gov] Sent: Tuesday, November 06, 2001 3:22 AM To: splimpto@nsf.gov Cc: Lauren_Wittenberg@omb.eop.gov Subject: 3145-0136 OMB NOTICE OF ACTION

NOTICE OF OFFICE OF MANAGEMENT AND BUDGET ACTION

Suzanne Plimpton 4201 Wilson Boulevard Arlington, VA 22030 11/05/2001

In accordance with the Paperwork Reduction Act, OMB has taken the following action on your request for approval of the reinstatement of an information collection received on 09/12/2001.

TITLE: EHR Generic Clearance

AGENCY FORM NUMBER(S): None

ACTION : APPROVED OMB NO.: 3145-0136 EXPIRATION DATE: 11/30/2004

BURDEN	RESPO	NSES	BURDE	N HOURS	BURDEN COSTS
Previous	0	0	0		
New	39,245	50,06	63	0	
Difference	39,245			0	
Program Cha	nge			0	
Adjustment	-	0	0		

TERMS OF CLEARANCE:

SEE PAGE 2 FOR TERMS OF CLEARANCE

NOTICE OF OFFICE OF MANAGEMENT AND BUDGET ACTION PAGE 2 OF 2 OMB NO.: 3145-0136 11/05/2001

TERMS OF CLEARANCE:

Approved as amended by NSF memos dated 10/24/01, 10/30/01, and 11/5/01. In addition, NSF agrees to the following terms of clearance given in 1998: (1) To clarify the process for clearing individual packages under this generic clearance-- (a) With this action OMB approves the overall generic process as well as the individual tasks submitted to OMB (and determined to be "monitoring" projects, not program evaluations) as attachments to this generic package for three years. The individual tasks included as attachments and determined to be covered by the generic clearance are: Attach. A, ESR; Attach. B, CTEP; Attach. C, CSEMS; Attach. D, GRT; Attach. E, IGERT; Attach F, LSAMP; Attach. G, REC PI; Attach. I, CCLI; Attach. N, CREST; and Attach. O, G-K12. (b) All other individual tasks associated with this generic must be submitted to OMB for clearance prior to implementation. If approved these individual approvals will expire, at the latest, when this generic expires in 11/2004. (c) When NSF seeks to add additional tasks to 3145-0136 other than those previously mentioned (or wants to change those tasks previously mentioned),

the additional request will be accompanied by an 83-C burden change sheet so that the appropriate burden total for the generic clearance can be changed accordingly. Further, each additional request shall contain a cover memo which describes why the specific task is appropriate to include in the generic. Given the updated cross-walk that was provided by NSF on 11/6, the memo should contain a similar crosswalk that details how the new questions fit into the 3 categories given. (d) Consistent with past procedures under this generic clearance, submission of individual tasks are done informally (i.e. sent directly to the desk officer rather than to the docket library) and OMB will attempt to complete the review expeditiously. In addition to the terms of clearance for 9/98, NSF has agreed to consider this clearance to encompass only "monitoring" surveys, and no program evaluations will be completed under this generic clearance. Evaluations will need to go through a full clearance review under the PRA. All monitoring studies must conform to the 3-category configuration explained in the memo of 10/24.

NOTE: The agency is required to display the OMB control number and inform respondents of its legal significance (see 5 CFR 1320.5(b)).

OMB Authorizing Official Title

Donald R. Arbuckle Deputy Administrator, Office of Information and Regulatory Affairs

2005 Terms of Clearance

-----Original Message-----From: <u>oira_docket@omb.eop.gov</u> [mailto:oira_docket@omb.eop.gov] Sent: Monday, January 31, 2005 2:52 PM To: <u>splimpto@nsf.gov</u> Subject: 3145-0136 OMB NOTICE OF ACTION

NOTICE OF OFFICE OF MANAGEMENT AND BUDGET ACTION

Suzanne Plimpton 4201 Wilson Boulevard Arlington, VA 22030 01/31/2005

In accordance with the Paperwork Reduction Act, OMB has taken the following action on your request for the extension of approval of an information collection received on 10/29/2004.

TITLE: EHR Generic Clearance

AGENCY FORM NUMBER(S): None

ACTION : APPROVED WITH CHANGE OMB NO.: 3145-0136 EXPIRATION DATE: 01/31/2008

BURDEN:	RESPONSES	HOURS	COSTS(\$,000)
Previous	16,517	49,733	50,000
New	19,522	39,188	0
Difference	3,005	-10,545	-50,000
Program Cha	ange	-10,545	-50,000
Adjustment	-	0	0

TERMS OF CLEARANCE:

SEE PAGE 2 FOR TERMS OF CLEARANCE

NOTICE OF OFFICE OF MANAGEMENT AND BUDGET ACTION PAGE 2 OF 2 OMB NO.: 3145-0136 01/31/2005

TERMS OF CLEARANCE:

Approved consistent with the following terms: 1) With this action OMB approves the overall generic process as well as the 11 individual tasks submitted to OMB as attachments to this generic package for three years 2) the process for clearing all other individual packages under this generic clearance shall be as follows (a) Individual requests clearly outlining the purpose, methodology, and relationship to the overall generic clearance must be submitted to OMB and receive OMB clearance prior to implementation, these submissions should include a running tally of the total hours in use under the generic clearance. If, approved those individual approvals will expire, at the latest, when this generic expires. (b) When NSF seeks to add additional tasks to 3145-0136 which would influence the requested burden total the additional request will be accompanied by an, 83-C burden change sheet so that the appropriate burden total for the generic clearance can be changed accordingly. (c) Submission of individual task under this clearance shall be submitted to OMB through the docket library and OMB will attempt to provide comments within 10 days of official receipt. (2) NSF will include as part of the race ethnicity reporting for all relevant collections a category entitled "more than one race reported", not "more than one race."

OMB Authorizing Official Title

Donald R. Arbuckle Deputy Administrator, Office of Information and Regulatory Affairs

2008 Terms of Clearance

NOTICE OF OFFICE OF MANAGEMENT AND BUDGET ACTION

Date 03/24/2008

National Science Foundation

FOR CERTIFYING OFFICIAL: George Strawn FOR CLEARANCE OFFICER: Suzanne Plimpton

In accordance with the Paperwork Reduction Act, OMB has taken action on your request received 12/10/2007

ACTION REQUESTED: Revision of a currently approved collection TYPE OF REVIEW REQUESTED: Regular ICR REFERENCE NUMBER: 200712-3145-001 AGENCY ICR TRACKING NUMBER: TITLE: EHR Generic Clearance LIST OF INFORMATION COLLECTIONS: See next page

OMB ACTION: Approved without change OMB CONTROL NUMBER: 3145-0136 The agency is required to display the OMB Control Number and inform respondents of its legal significance in accordance with 5 CFR 1320.5(b).

EXPIRATION DATE:	03/31/2011	DISCONTINUE	DATE:
BURDEN:	RESPONSES	l I	HOURS
COSTS			
Previous	24,792		56,948
0			
New	24,792		56,948
1,000,000			
Difference			
Change due to New S	Statute	0	0
0		_	_
Change due to Agency Discretion		0	0
1,000,000		•	
Change due to Agency Adjustment		0	0
0			-
Change Due to Potential Violation of the PRA 0			0
0			

TERMS OF CLEARANCE: Approved consistent with the following terms of clearance: approved for purposes of gathering descriptive program information, results will not be substituted for program evaluations, OMB will review and must approve collections submitted under this generic prior to fielding, review will generally be conducted within 10 business days.

OMB Authorizing Official:

Kevin F. Neyland, Deputy Administrator, Office Of Information And Regulatory Affairs