

PROPOSED  
(COMPLETED)

Modified 08-31-2009

### APPLICATION SUMMARY and CERTIFICATION

**RRB Claim Number** A 813-11-1920  
**Name** Lance Carter  
**Social Security Number** 813-11-1920

The following information was either supplied by or verified by you in support of your application for an Employee Annuity under the Railroad Retirement Act. After you have reviewed the information, make any changes on the summary, initial the change and sign the certification on the last page. Return the certification and all pages of the summary to the RRB.

#### Military Service

I was in active military service after September 7, 1939.

#### Recent Employment

I did not work for an employer outside the railroad industry in the last 6 months or since leaving the railroad industry.

#### Railroad Employment

You have a current connection with the railroad industry.

I have worked for the following railroad or other employer in the railroad industry.

<u>Railroad Name</u>	<u>Date Last Worked</u>	<u>Date Rights Relinquished</u>
Union Pacific Railroad	08/24/2009	08/24/2009

#### Name and Address

Lance Carter  
215 Backstreet Market  
Marathon FI 02202

#### Date of Birth

08/28/1944

#### Daytime Telephone Number

219-201-8552

#### Type of Application Filed Employee Annuity

I am applying for a benefit based on my age and railroad service

You have requested that any payment due you be sent to the following bank account:

Bank Name	Citibank
Routing Number	213111920

Account Number            1855202152220  
Account Type                Savings

**Marriages**

I am currently married.

**Family**

I do not have unmarried qualifying children.

**Other Government Benefits**

I am currently receiving a social security benefit.

I have not filed nor do I plan to file the next three months for Social Security benefits on an additional account number.

In the past month I have not filed nor do I plan to file in the next three months for Railroad Retirement benefits on any other account number.

I am not receiving nor do I expect to receive a pension or lump-sum payment based on my earnings after 1956 for an employer not covered by Social Security or Railroad Retirement.

**Other Benefits**

I expect to receive a railroad pension from Union Pacific Railroad.

**Miscellaneous Information**

The RRB has not been furnished with a court order to enforce my child support or alimony obligation.

The RRB has not been furnished with a court order to pay part of my present or future railroad retirement benefit to a spouse or former spouse as part of a property settlement in a divorce or legal separation proceeding.

I have not received nor do I expect to receive pay for time lost from my last railroad employer.

I have not received nor do I expect to receive sick pay, under a wage continuation plan established through company policy or a labor agreement, for a period after the actual date I last worked.

**Earnings Information**

In 2009, you expect your total nonrailroad earnings will be less than \$14,160.

### **Criminal Offense Information**

Within the past 12 months I have not been imprisoned or been given a sentence of confinement due to a conviction for a criminal offense.

### **Beginning Dates and Filing Dates**

You requested your annuity begin on the earliest date permitted by law, even if you will receive a reduced annuity.

This application will protect your filing date for Social Security benefits.

## **Application for Employee Annuity - Certification**

<b>RR Claim Number</b>	A 813-11-1920
<b>Name</b>	Lance Carter
<b>Social Security Number</b>	813-11-1920

I certify that the information I have given to the Railroad Retirement Board (RRB) in relation to this application is true to the best of my knowledge. I know that if I make a false or fraudulent statement or withhold information, in order to receive benefits from the RRB, I am committing a crime which is punishable under Federal law.

I have received and reviewed a summary of the information I provided. I understand that I have an obligation to advise the RRB immediately if there are any errors in the summary I received, and have made and initialed any corrections on the Summary being returned to the RRB.

I have received and reviewed the booklets *RB-1 Age and Service Employee Annuity*, *RB-9 Employee and Spouse Annuities - Events that Must be Reported* and form *G-77a How Work Affects Your Railroad Retirement Benefits*. I understand that I am responsible for reporting events that would affect my annuity. Failure to report any of the events that may affect my annuity, may result in a penalty deduction from my annuity, criminal and/or civil prosecution.

I agree to immediately notify the RRB, if

- I go to work for a railroad or railroad labor organization or return to work in any capacity in the railroad industry;
- I begin to receive a pension based on earnings that are not covered by the Social Security Administration or Railroad Retirement Board;
- I file for social security benefits on **any** person's account;
- Benefits I receive directly from the Social Security Administration are adjusted for a

reason other than normal cost-of-living increases;

- I earn over the annual earnings exempt amount;
- I perform work, including self-employment, for a family owned controlled or managed business, including a business operated, managed or owned by me, a family member, friend or close associate whether for pay or not and without regard to how the business is organized (e.g., sole proprietorship, partnership, corporation, LLC, etc.);
- I become a corporate officer of, own or operate a corporation, (including a corporation owned by a family member or friend), whether for pay or not;
- I receive anything of value in lieu of salary or wages for any work that I perform;
- I receive a settlement with credit for railroad service as "pay-for-time-lost" for months after 8/24/2009;
- My address changes even if my payments are sent to a financial institution;
- My bank account changes;
- I am confined to a jail, prison, penal institution, or correctional institution due to a conviction for a criminal offense;
- My spouse who is receiving a benefit dies or our marriage ends in divorce or annulment;
- A qualifying child marries or leaves my custody or residence.

\_\_\_\_\_  
**Signature** (First Name, Middle Initial, Last Name)

\_\_\_\_\_  
**Date** (Month/Day/Year)

If this certification is signed by mark ("X"), two witnesses who know the person signing must sign below, giving their full addresses and daytime telephone numbers.

\_\_\_\_\_  
**Signature of Witness**

\_\_\_\_\_  
**Signature of Witness**

\_\_\_\_\_  
**Address** (Street, City, State and ZIP Code)

\_\_\_\_\_  
**Address**(Street, City, State and ZIP Code)

(\_\_\_\_\_) \_\_\_\_\_  
**Daytime Telephone Number**

(\_\_\_\_\_) \_\_\_\_\_  
**Daytime Telephone Number**