

CY 2014 Prior Authorization File Record Layout

Required File Format = ASCII File - Tab Delimited

Do not include a header record

Filename extension should be “.TXT”

During the initial formulary submission period the file must include all Prior Authorization Group Descriptions. All records must have ADD for the Change_Type.

After the initial formulary submission period the file must include only changes.

| Field Name | Field Type | Maximum Field Length | Field Description |
|--------------------------------|-------------------------|----------------------|--|
| PA_Change_Type | CHAR Always Required | 3 | <p>Defines the type of change that is being made to the Prior Authorization File.</p> <p>During the initial formulary submission period, all rows must be “ADD.”</p> <p>ADD = Add Group Description to file UPD = Change fields for an existing Group Description</p> |
| Prior_Authorization_Group_Desc | CHAR Always Required | 100 | Description of the prior authorization group as it appears on the submitted formulary file. This field must exactly match the value entered in the Prior_Authorization_Group_Desc field on the Formulary File. |
| PA_Criteria_Change_Indicator | CHAR Always Required | 1 | If the PA criteria content did not change for this group description compared to CY 2011, please place a “0” in this field. If this group description is new, or the criteria content changed in any way (e.g. additional restrictions), please place a “1” in this field”. |
| Covered_Uses | CHAR Always Required | 3000 | <p>Enter <u>both the FDA-approved and off-label indications</u> for which the drug(s) will be covered.</p> <p>At a minimum, you must enter the following in this field: “All FDA-approved indications not otherwise excluded from Part D.”</p> <p>You may enter the statement “All medically accepted indications not otherwise excluded from Part D” if the PA will be approved for all non-excluded off-label uses in addition to the labeled indications.</p> <p>If only certain off-label uses will be approved by prior authorization, you should list the specific uses following the “All FDA-approved indications not otherwise excluded from Part D” statement.</p> |
| Exclusion_Criteria | CHAR If applicable | 2000 | Describe any criteria (e.g. comorbid diseases, laboratory data, etc.) that would result in the exclusion of coverage for an enrollee. |

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| Field Name | Field Type | Maximum Field Length | Field Description |
|------------------------------|-------------------------|----------------------|--|
| Required_Medical_Information | CHAR If applicable | 2000 | Enter laboratory, diagnostic, or other medical information required for initiation or continuation of the drug(s). |
| Age_Restrictions | CHAR If applicable | 500 | Enter age limitations or restrictions required for prior authorization approval. |
| Prescriber_Restrictions | CHAR If applicable | 500 | Description of prescriber attribute necessary for PA to be considered, e.g. specialist in a field or registered under a certain program. |
| Coverage_Duration | CHAR Always Required | 100 | Enter the duration for which the prior authorization will be approved. |
| Other_Criteria | CHAR If applicable | 3000 | Enter any other relevant criteria. |

Please Note: Certain characters are restricted from HPMS. The submitted file will be rejected if any of the following characters are included in any field: 1) greater than sign (>), 2) less than sign (<), and 3) semi-colon (;).