PBGC ERISA 4010		
Account Sign-Up		
Error messages shown here		
First name:		
Last name:		
Company:		
Title:		
Work address:		
State:	•	
Province (if outside the		
USA):		
Zip code:	(ex. 22222-2222)	
Telephone:	Ext.	
Email address:		
Confirm email address:		
Secret question:		
Secret Answer:		
		Cancel Next >
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- User selects one of the following Secret Question options: •

 - In what city were you born?
 From what high school did you graduate?
 - 3. What is your mother's maiden name?
 - 4. What is your pet's name?



Log in

Error messages shown here

SECURITY NOTICE AND WARNING

Welcome to the ERISA 4010 ("e-4010") website. This website is a U.S. Government system and the PBGC reserves the right to monitor it for performance, stability, and security. This site, and the information on it, is protected by federal laws, including, but not limited to, federal privacy laws and any activity inconsistent with the protections provided by federal law may lead to civil and criminal sanctions. By logging into e-4010, you acknowledge that you understand that you are bound by these provisions. If you do not, please close your browser or enter another URL to leave the site entirely.

New to e-4010?	Apply for an Account	
Already Have an Acc	ount? Log in.	
User ID:		Forgot your User ID?
Password:		Forgot your password?
	Login	

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- Clicking "Apply for an Account" displays Screen 1.
- Clicking "Login"; If first time logging in, goes to Screen 3, else goes to screen 9.

PBGC ERISA 4010	Help
First Time Login	
Error messages shown here	
Step 1: Enter New User Id	
Your User ID can be any combination of letters and/or numbers and it must be betw 25 characters long. Your User ID is not case sensitive. This will be the last time that able to change your User ID.	veen 8 and t you will be
Step 2: Enter a New Password	
Your password must contain at least one letter and one number and it must be betw 12 characters long. Your password is case sensitive, so make sure to enter it exact do here when you log in to the e-4010 system.	veen 8 and tly as you
Confirm New Password	
Step 3: Enter Your Security Key	
Secret Question: In what city were you born? Secret Answer:	
Cancel	Save

- The Secret question field will be pre-populated with the Secret Question the filer has supplied which will be one of the following: 1) In what city were you born? 2) From what high school did you graduate? 3) What is your mother's maiden name? 4) What is your pet's name?
- Clicking "Cancel" goes to Back to Login Page (Screen 2)
- Clicking "Save" goes to the Home page (Screen 9)

PBGC ERISA 4010			
Forgot/Reset Pas	sword		
Error messages shown h	ere		
Email Address:		(ex. aa@a.com)	
Secret Question			
Secret Answer			
			Cancel Submit

- The selection options for the Secret Question will be one of the following:
 - 1. In what city were you born?
 - 2. From what high school did you graduate?
 - 3. What is your mother's maiden name?
 - 4. What is your pet's name?

PBGC ERISA 4010		
Forgot User ID		
Error messages shown here		
Email Address:	(ex. aa@a.com)	
Secret Question		
Secret Answer		
		Cancel Submit

- The selection options for the Secret Question will be one of the following:
 - 1. In what city were you born?
 - 2. From what high school did you graduate?
 - 3. What is your mother's maiden name?
 - 4. What is your pet's name?

PBGC ERISA 4	010		
Change Passw	vord		
Error messages show	wn here		
New Password:			
Confirm Password:			
Secret Question	In what city were you born?		
Secret Answer			
		Cancel	Submit

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• The question originally selected by the user during account sign-up is automatically displayed in the Secret Question field.

PBGC ERISA 401	0	Help
Change Tempor	ary Password	
Error messages shown	i here	
Step 1: Enter a New Pas	ssword	
Your password m 12 characters lon do here when you Confirm New Pas	ust contain at least one letter and one number and it must be betweer ng. Your password is case sensitive, so make sure to enter it exactly as u log in to the e-4010 system. ssword	8 and 9 you
Step 2: Enter Your Secu	urity Key	
Secret Question: Secret Answer:	In what city were you born?	
	Cancel	Save

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The Secret question field will be pre-populated with the Secret Question the filer has supplied ٠ which will be one of the following: 1) In what city were you born? 2) From what high school did you graduate? 3) What is your mother's maiden name? 4) What is your pet's name?

PBGC ERISA 4010	
Manage Account	
Error messages shown here First name: Last name: Company: Title: Work address:	
State: Province (if outside the USA): Country: Zip code: Telephone: Email address: Confirm email address: Secret question: Secret Answer:	
	Cancel Save

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PBGC ER	ISA 4010		Manage Acco	ount Change Passv	word Logout Help
e-4010 Ho	ome Page				
Controlled G	roup Filing Dr	rafts	Create New 4010 F	Filing	
Information Year-end	Date Created		Name		
12/31/2005	04/04/2006		ABC Holdings, Inc.		
			Edit Schedule P	Delete Filing	Reassign
12/31/2005	04/09/2006		XYZ Holdings, Inc.		
			Edit Filing	Delete Filing	Reassign
Controlled G	roup Filings S	Submitted to P	BGC		
Information Year-end	Original Submission Date	Amended Submission Date	Name		
12/31/2005	04/15/2006	01/15/2006	JKL Holdings, Inc.		
			View Filing	Amend Filing	Reassign
			View Attachement		

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- The Create New 4010 Filing button goes to screen 10 and initiates a new 4010 filing.
- If user is the Filing Coordinator for this filing, the first button under the Controlled Group Filing Drafts headings will be labeled "Edit Filing" and will take the user to the Filing Summary (Screen 12). If user has been assigned as an actuary for this filing, the button will be labeled "Edit Schedule P" and will take the user to the Schedule P Summary which will display only those Schedule P's to which the actuary has been assigned.
- The delete button will only appear if the user is a Filing Coordinator for the filing. It will delete a 4010 filing in process.
- The Reassign button will only appear if the user is a Filing Coordinator for the filing. It goes to screen 49 to assign this filing to a new coordinator.
- If user is the Filing Coordinator for a filing in the Controlled Group Filings Submitted to PBGC section, the first button under the headers in this section will be labeled "View Filing" and the printable format of the filing will be displayed when the button is clicked. If the user has been assigned as an actuary, the button will be labeled "View Schedule P" and the printable format for those schedule P's completed by the current user will be displayed when the button is clicked.
- The View Attachment button allows user to view any/ all attachments attached to this filing.
- The Amend Filing button will only appear if the user is a Filing Coordinator for the filing. It will copy the data from the submitted filing and create a new one. The new filing will be marked as "amended". Go to filing summary (screen 12).



- When next is clicked, If answer is to "pre-populate", go to screen 11. If answer is "do not pre-populate", go to Schedule G (screen 13).
- When cancel is clicked, go to Home Page (Screen 9)



- When next is clicked, go to pre-populated Schedule G (screen 13).
- When cancel is clicked, go to Home Page (Screen 9)

This screen shown if *existing* filing is clicked from Home Page, or after a filing is deemed necessary in Schedule FG.

PBGC ERISA 4010	Manage Account Change Password Logout Help
Filing Summary	
Controlled Group: ABC Holdings, Inc.	
Schedules	
Schedule G goes to Screen 13.	Comments
Schedule FG goes to Screen 14.	Comments
Schedule I	Comments Attachments
Section I (Member Information) goes to Screer	n 21.
Section II (Plan Information) goes to Screen 2	2 <mark>4.</mark>
Section III (Prior member/plan information)	
Schedule F	Comments Attachments
Schedule P goes to Screen 39.	
e-4010 Homepage V	/alidate Filing View Draft Submit Filing

- The Section III link goes to Screen 31 (Will show message that this section is not required if no changes were made from last year.)
- The schedule F link goes to Screen 32 if first time through; to Screen 33 if "Consolidated/Individual not yet indicated OR if "Combined" and a new, non-exempt plan has been added. Otherwise, goes to Screen 34.
- Clicking the 'Comments' button goes to Screen 48.
- Clicking the 'Attachment' button goes to Screen 47.
- Clicking the 'e-4010 Home Page' button goes to Screen 9.
- Clicking the 'Validate Filing' button will display a page that contains a summary of all the missing information that is needed for submission to PBGC.
- Clicking the 'View Draft' button will display a page that contains a summary of the filing information entered thus far.
- Clicking the 'Submit Filing' button sets status of filing to "Submitted". Validations are performed to ensure that filing is complete. If validated, then the filling is marked as submitted. At this time, it is no longer editable, and you can now print the form as a receipt. This filing will also appear on the lower section of the homepage under the "Controlled Group Filings Submitted to PBGC" section.

If new filing created from a previous one, "Name", "Business Code", and "CUSIP number" will be pre-populated.

Schedule G – General Information Error messages shown here Controlled Group Information Name: Business Code:	PBGC ERISA 4010		
Error messages shown here Controlled Group Information Name: Business Code: CuSIP number: CuSIP number: Date current information year ends: Filing Contact General contact information: Cusic Cu	Schedule G – Gene	ral Information	
Controlled Group Information Name: Business Code: Business Code: CUSIP number: (enter first 6 digits only) Date current information year ends:	Error messages shown here	e	
Name: Business Code: CUSIP number: CUSIP number: (enter first 6 digits only) Date current information year ends: Filing Contact General contact information: General Filing Information Was a 4010 filing required last year? Yes No	Controlled Group Informatio	on	
Business Code: (ex. 11111) CUSIP number: (enter first 6 digits only) Date current information year ends: (ex. MM/DD/YYYY) Filing Contact General contact information: (ex. MM/DD/YYYY) General Filing Information Was a 4010 filing required last year? Yes No	Name:		
CUSIP number: (enter first 6 digits only) Date current information year ends: (ex. MM/DD/YYYY) Filing Contact General contact information: General Filing Information Was a 4010 filing required last year? Yes No	Business Code:	(ex. 111111)	
Date current information year ends: Filing Contact General contact information: General Filing Information Was a 4010 filing required last year? Yes No	CUSIP number:	(enter first 6 digits only)	
Filing Contact General contact information: General Filing Information Was a 4010 filing required last year? Yes No	Date current information year ends:	(ex. MM/DD/YYYY)	
General Filing Information Was a 4010 filing required last year? Yes No	Filing Contact General contact information:		
Was a 4010 filing required last year?	General Filing Information		
	Was a 4010 filing required la	ast year?	
Is a 4010 filing required this year? Yes No Help me determine	Is a 4010 filing required this y Yes No C Help me	year? e determine	
Check this box if §4010.6(c) exception for previously submitted materials applies (see instructions) Cancel Next >	Check this box if §4010.6(materials applies (see inst	c) exception for previously submitted tructions)	Cancel Next >

- Condition 1: If answer is "No" to both questions, display message indicating that a filing does not need to be submitted.
- Condition 2: If choose "Help Me Determine," display Schedule FG to test need.
- Condition 3: If a filing was required last year and the user indicates that one is not required this year, then the filer must complete and submit Schedule FG.
- Condition 4: If "Yes," to second question, Schedule FG can be bypassed; display message that a 4010 filing is required.
- Cancel takes the user back to the Home Page (Screen 9)
- If 'Next ' is clicked: If Condition 1, go to Screen 20; if Condition 2 OR 3 go to Screen 14; if Condition 4, go to Screen 18.

PBGC ERISA 4010
Schedule FG – Filing Gateway
Controlled Group: ABC Holdings, Inc.
Error messages shown here
1. §4010.4(a)(1) - 4010 Funding target attainment percentage
Did any plan sponsored by a member of the controlled group have a 4010 funding target attainment percentage below 80%?
C Yes C No
Cancel Next >
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Cancel takes the user back to the Filing Summary (Screen 12)
If 'Next ' is clicked: If the answer was "Yes", go to Screen 15 (which is part 2 of question 1). If "No" go to Screen 16.

PBGC ERISA 4010
Schedule FG – Filing Gateway
Controlled Group: ABC Holdings, Inc.
Error messages shown here
1. §4010.4(a)(1) - 4010 Funding target attainment percentage
Does the total amount of 4010 funding shortfall in plans (including exempt plans) maintained by the members of the contributing sponsor's controlled group exceed \$15 million (disregarding those plans with no 4010 funding shortfall)?
Cancel Next >
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- Cancel takes the user back to the Filing Summary (Screen 12) If 'Next ' is clicked: If "Yes" go to message indicating a Filing is required (Screen 18). If "No" go to • Screen 16.

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PBGC ERISA 4010
Schedule FG – Filing Gateway
Controlled Group: ABC Holdings, Inc.
Error messages shown here
2. §4010.4(a)(2) - Failure to make required contributions
Did any member of the controlled group:
 fail to make a required contribution to a defined benefit plan during the information year within 10 days of its due date, and
 as a result of the missed contribution, the conditions for imposition of a lien under ERISA have been met?
C Yes C No
Cancel Next >
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Cancel takes the user back to the Filing Summary (Screen 12) ٠

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If 'Next ' is clicked: If "Yes" go to message indicating a Filing is required (Screen 16a). If "No" AND the information year begins 12/31/2007 or before, go to Screen 15. If "No" AND the information year begins 1/1/2008 or later, go to Screen 15a.

PBGC ERISA 4010
Schedule FG – Filing Gateway
Controlled Group: ABC Holdings, Inc.
Error messages shown here
3. §4010.4(a)(3) - Large waiver granted
Have one or more minimum funding waivers been granted for a plan maintained by a member of the controlled group:totaling in excess of \$1 million, and
 for which there is an outstanding balance at the end of the plan year ending within the information year (determined in accordance with § 1.4010.4(e))?
Yes No
< Back Cancel Next >

- Cancel takes the user back to the Filing Summary (Screen 12)
- If 'Next ' is clicked: If "Yes", display message indicating a Filing is required (Screen 18). If "No", and a filing was required last year, display message indicating that only Schedule FG needs to be submitted (Screen 19). If "No", and a filing was not required last year, display message indicating that a filing does not need to be submitted (Screen 20).

Second bullet under question 3 was reworded for Version 3.0 - only applies to 2008 and later filings



ERISA 4010 Filing Required

Controlled Group: ABC Holdings, Inc.

Error messages shown here

Based on the information you have provided, an e-4010 filing is required. Click on the button below to display the Filing Summary page.

Go to Filing Summary Page

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• Clicking "Go to Filing Summary Page" takes the user to Screen 12



ERISA 4010 Filing Not Required (Only Schedules G and FG)

Controlled Group: ABC Holdings, Inc.

Error messages shown here

Based on the information you have provided, an e-4010 filing is not required. However, you must submit Schedules G and FG that you have just completed. Click on the button below to display the Filing Summary page. From there, you can choose to submit the filing to PBGC or review the information entered into Schedules G and FG prior to submission.

Go to Filing Summary Page

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• Clicking "Go to Filing Summary Page" takes the user to Screen 12



No ERISA 4010 Filing Required

Controlled Group: ABC Holdings, Inc.

Error messages shown here

Based on the information you have entered, you do not have to submit a filing to PBGC.

Go to e-4010 Home Page

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• Clicking "Go to e-4010 Home Page" takes the user to Screen 9.

At least one "Yes" was entered on Filing Gateway test question for this screen to be necessary.



Manage Account | Change Password | Logout | Help

Schedule I - Identifying Information Section I - Controlled Group Members – Summary

Controlled Group: ABC Holdings, Inc.

Member Company	EIN	
ABC Holdings, Inc.	10-7894561	Delete
ABC Manufacturing, Inc.	10-7894562	Delete
ABC Distribution, Inc.	10-7894563	Delete
ABC Technologies Corp.	10-7894564	Delete
ABC Enterprises, Inc.	10-7894565	Delete
ABC Healthcare, LLP	10-7894566	Delete
ABC Resources, Inc.	10-7894567	Delete
Enter Member Company		Back to Filing Summary

- Clicking "Enter Member Company" Displays Screen 12
- Clicking "Delete" Deletes the Member
- Clicking "Back to Filing Summary" Displays Screen 12

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PBGC ERISA 4010	Help
Schedule I - Identifying Section I - Controlled G	Information roup Members
Controlled Group: ABC Holding	js, Inc.
Error messages shown here	
Company name: EIN: Address:	
City:	
State:	
Province (if outside the USA):	
Country:	
Zip code:	(ex. 22222-2222)
Telephone:	Ext.
Relationship: Check box if th Controlled Gro	is is an exempt member of the up that sponsors an exempt plan:

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- Clicking "Cancel" displays Screen 12. Clicking "Next" displays Screen 23. •
- •

PBGC ERISA 4010	Help
Schedule I - Identifying Information Section I - Controlled Group Members	
Controlled Group: ABC Holdings, Inc. Member: ABC Financials, Inc.	
Error messages shown here	
Information on new members	
Was this member a member of the controlled group immediately before the current information year began? Yes	
No, member joined controlled group during information year	
No No	
< Back Cancel Save Enter Next Mem	ber

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- Clicking "Cancel" displays Screen 21, data is not saved. Clicking "Save" displays Screen 21. Clicking "Enter Next Member" displays Screen 22. •
- •

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PBGC ERISA 4010

Manage Account | Change Password | Logout | Help

Schedule I - Identifying Information Section II - Plans – Summary

Controlled Group: ABC Holdings, Inc.

Plan Name	Sponsor	EIN/PN	Schedule P Requirement Waived?*		
Plan A	ABC Financials Inc.	10-7894561/001	No	Delete	Assign to Actuary
<u>Plan B</u>	ABC Financials Inc.	10-7894561/002	No	Delete	Assign to Actuary
Plan C	ABC Textiles, Inc.	10-7894563/001	No	Delete	Unassign Actuary
<u>Plan D</u>	ABC Wheels, Inc.	10-7894564/001	Yes	Delete	

* A yes in this column indicated that a Schedule P (Plan Actuarial Information) does not need to be included with this filing. This is the case if the plan is an exempt plan or if the plan is a multiple employer plan and:

- Another sponsor of the multiple is proving the relevant actuarial information, and
- This filing includes information on that other sponsor in the Schedule I comment box.

Enter Plan

Modify Member Information

Back to Filing Summary

- The Asterisk in the "Schedule P Requirement Waived?" column and its related footnote are displayed only if at least one plan in the list is a multiple employer plan.
- Clicking the "Enter Plan" button displays Screen 25 (enter new).
- Clicking "Modify Member Information" displays Screen 21.
- Clicking "Back to Filing Summary" displays Screen 12.
- As users enter new plans, they appear in this list.
- The "plan name" hyperlinks will open Screen 25 with the data pre-populated for further editing if necessary.
- The Actuary buttons will only appear next to plans that were designated not-exempt (on Screen 25).
- If assigning actuary, go to screen 50.
- If removing an assigned actuary, show confirmation screen and remove actuary from Schedule P.
- If actuary was assigned and has completed and pressed the "Notify FC" button (on screen 39), the label will revert to "Assign to Actuary".

PBGC ERISA 4010	elp		
Schedule I - Identifying Information Section II- Plans			
Controlled Group: ABC Holdings, Inc.			
Error messages shown here			
Information for current year Plan name: Enter New Member Plan sponsor: Image: Comparison of the sponsor of			
Is this plan a multiple employer plan?			
Is the requirement to submit actuarial information waived either because the plan is an exempt plan (as defined by § 1.4010.8(c)) or because the actuarial information is being reported by another filer accordance with § 1.4010.8(f))?	(in		
C Yes C No			
Is this plan frozen for eligibility or benefit accrual purposes (see instructions)?			
C Yes C No			
Cancel Next >	>		

- The Plan sponsor drop down list includes a list of members from Screen 21 (Schedule I.I) the list will be formatted as "Member Name/EIN".
- Clicking "Enter New Member" displays Screen 21 (Schedule I.I entry page).
- All plans that answer "No" to the second question will show up on the Schedule P Summary (Screen 39).
- Clicking "Cancel" goes back to Schedule I, Section II Summary (Screen 24).
- Clicking "Next" will: If frozen, display screen 26; If not frozen display 27.

PBGC ERISA 4010		Help
Schedule I - Identifying Information Section II- Plans		
Controlled Group: ABC Holdings, Inc. Member: ABC Financials, Inc.		
Plan: Plan A		
Information related to plan freezes		
Date of freeze: (ex. MM/DD/YYYY)		
Nature of freeze:		
C Plan closed to new entrants		
Both nav and service are frozen		
Service is frozen, but pay is not		
Cther/combination, enter explanation:		
	Cancel	Next >

- Clicking "Cancel" goes back to Screen 24 (no save).Clicking "Next" displays Screen 27.

PBGC ERISA 4010	D	Help		
Schedule I - Iden Section II- Plans	tifying Information			
Controlled Group:	ABC Holdings, Inc.			
Member:	ABC Financials, Inc.			
Plan:	Plan A			
Error messages shown he	re			
Information on changes in EIN/PN Has the EIN or PN changed since the beginning of the current information year? Yes No N/A (new plan)				
	< Back Cancel Nex	rt >		

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Clicking "Cancel" goes back to Screen 24 (no save). •

Clicking "Next" - depends on answer on this page: If "Yes", will require that Screen 29 be displayed; If "No", then finished with this plan, go to Screen 28; If "N/A", will require that screen 30 • be displayed.



- Clicking "Cancel" goes back to Screen 24 (no save).
- Clicking "Save" goes back to Screen 24.
- Clicking "Enter Next Plan" goes back to Screen 25.

This page displayed if changes were made since the beginning of the year ("Yes" to the question on Screen 27)

PBGC ERISA 401	0	Help
Schedule I - Ider	ntifying Information	
Section II- Plans		
Controlled Group:	ABC Holdings, Inc.	
Member:	ABC Financials, Inc.	
Plan:	Plan A	
Error messages shown	i here	
Information on changes	s in EIN/PN	
Prior EIN:	(ex. 11-111111)	
Prior PN:	(1,1,222)	
	(ex. 333)	
	< Back Cancel Save Enter Next Pla	an

- Clicking "Cancel" goes back to Screen 24 (no save).
- Clicking "Save" goes back to Screen 24.
- Clicking "Enter Next Plan" goes back to Screen 25.

This screen will appear only if "N/A (new plan)" was selected on Screen 27.

PBGC	ERISA 4010	0	Help
Schedu Section	ule I - Iden n II- Plans	ntifying Information	
Contro	olled Group:	ABC Holdings, Inc.	
	Member: Plan:	ABC Financials, Inc. Plan B	
Error mes	sages shown	here	
New plan Date plan Exp	information n was first main by controlled planation	group: (ex. MM/DD/YYYY)	
	Newly establis	shed plan	
C	Spun-off or tra	ansferred from plan sponsored by member outside controlled group	
C	Spun-off from	plan sponsored by member within controlled group	
C	Other, enter ex	xplanation:	
		< Back Cancel Save Enter Next I	Plan

- Clicking "Cancel" goes back to Screen 24 (no save).
- Clicking "Save" goes back to Screen 24.
 Clicking "Enter Next Plan" goes back to Screen 25.

PBGC ERISA 4010	Help
Schedule I - Identifying Information Section III- Prior Members/Prior Plans	
Controlled Group: ABC Holdings, Inc.	
Error messages shown here	
Former controlled group members	
If any entity, other than an exempt entity, ceased to be a member of the controlled group durin information year, enter required information with respect to that entity (see instructions).	ng the d group ons).
Cancel	Save

- Clicking "Cancel" goes back to Screen 12 (no save). Clicking "Save" goes back to Screen 12. •
- •

This page will be displayed by clicking on "Schedule F" from the filing summary if user has not yet indicated consolidated or individual filings. User can come back to this screen at any time to change settings by pressing the "Change Consolidated or Individual Submission Status" button on Screen 34.

PBGC ERISA 4010	Help
Schedule F - Financial Information Type of Submission	
Controlled Group: ABC Holdings, Inc.	
Error messages shown here	
Which of the permissible filing alternatives is being used?	
§4010.9(a) - separate financial information (financial statements or tax returns) for each non- exempt controlled group member will be provided	
§4010.9(b) - consolidated financial information that includes combined information for all non exempt controlled group members will be provided	-
Consolidated financial information that includes combined information for some, but not all, controlled group members will be provided, along with separate financial information for those no exempt members whose information is not included in the consolidated information.	n-
Cancel Nex	<t></t>

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- If all are separate or all are consolidated, will not need Screen 33; go to Screen 34. If there are some individual and some consolidated, Screen 33 will be displayed next.
- Clicking "Cancel" goes back to Screen 34 (no save).
- Clicking "Next" goes to Screen 33 or 34.

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This screen will appear only if a combination of consolidated and individual is being reported.



- Show only those members that were marked as "non-exempt" on Schedule I.I (last question on Screen 10)
- Clicking "Cancel" goes back to Screen 34 (no save).
- Clicking "Save" goes to Screen 34.

PBGC ERISA 4010		Manage Account Chan	ge Password Logout Help
Schedule F - Fina	ncial Informat	ion - Summary	
Controlled Group: ABC	Holdings, Inc.		
Change Consolidated or Inc	lividual Submission Stat	us	
Consolidated Members			_
Member Company	EIN	Complete	Edit
ABC Holdings, Inc.	10-7894561	Yes	
ABC Distribution, Inc.	10-7894563	No	
Individually Listed Membe	rs		
Member Company	EIN	Complete	
ABC Manufacturing, Inc.	10-7894562	Yes	Edit
ABC Distribution, Inc.	10-7894564	No	Edit
	Ν	Nodify Member Information	Back to Filing Summary

- These tables display all members on Schedule I.I Clicking "Edit" goes to Screen 35. •
- •
- Clicking "Modify Member Information" goes to Screen 21. Clicking "Back to Filing Summary" goes to Screen 12. •
- •

PBGC ERISA 4010	lelp.
Schedule F - Financial Information	
Controlled Group: ABC Holdings, Inc. Member: ABC Distribution, Inc.	
Error messages shown here	
Reporting Method	
What type of information is being reported?	
Audited financial statements	
Unaudited financial statements	
E Federal tax returns	
< Back Cancel Next >	>

- The header line displays either "Consolidated" or "Member: Plan Name". •
- Clicking Cancel" goes back to Screen 34 (no save) Clicking "Next" goes to Screen 36. •
- •

PBGC ERISA 4010			Help
Schedule F - Financial Information			
Controlled Group: ABC Holdings, Inc. Member: ABC Distribution, Inc.			
Error messages shown here			
Reporting Method			
Will financial information be attached to this filing? Will consolidated financial information be attached to this filing?			
C Yes			
No, because it is publicly available			
	< Back	Cancel	Next >

- The header line displays either "Consolidated" or "Member: Plan Name".
- If individually submitting financial information, first question is displayed.
- If consolidated financial information, second question is displayed.
- Selecting 'No' will require that Screen 37 be displayed.
- Clicking Cancel" goes back to Screen 34 (no save).
- Clicking "Next" goes to Screen 37 if information is publicly available. If not, and is for consolidated, goes to Screen 33. If not and individual, displays message indicating that Schedule F is complete.

PBGC ERISA 4010			Help
Schedule F - Financial Information			
Controlled Group: ABC Holdings, Inc.			
Member: ABC Distribution, Inc.			
Error messages shown here			
Reporting Method			
Where can the publicly available information be obtained?			
SEC SEC			
Elsewhere, enter explanation:			
Date information was made available to the public:			
(ex. MM/DD/YYYY)			
	< Back	Cancel	Next >

- The header line displays either "Consolidated" or "Member: Plan Name". Clicking "Cancel" goes back to Screen 29 (no save). •
- •
- Clicking "Next" if consolidated, goes to Screen 38. If individual, displays message indicating that Schedule F is complete. •

PBGC ERISA 4010 Help
Schedule F - Financial Information
Controlled Group: ABC Holdings, Inc. Consolidated
Error messages shown here
Additional Required Financial Information for each Non-Exempt Member of the Controlled Group
ABC Holdings, Inc. / 10-7894561
Revenues for the current information year: \$
Operating income for the current information year: \$
Net assets at the end of the current information year: \$
ABC Distribution, Inc. / 10-7894562
Revenues for the current information year: \$
Operating income for the current information year: \$
Net assets at the end of the current information year: \$
< Back Cancel Save

- The header line displays either "Consolidated" or "Member: Plan Name". Lists out each member that is indicated as "Consolidated" on Screen 34 of Schedule F.
- Clicking "Cancel" goes back to Screen 34 (no save).
- Clicking "Save" goes back to Schedule F Summary (Screen 34).



Manage Account | Change Password | Logout | Help

Schedule P – Plan Actuarial Information - Summary

Controlled Group: ABC Holdings, Inc.

Plan Name		Sponsor		EIN/PN		Complete?
<u>Plan A</u>		ABC Holdings Inc.		10-789	4561/001	Y
Comments	Attachments	Print Schedule P Certification	Notify FC			
<u>Plan B</u>		ABC Holdings Inc.		10-789	4561/002	N
Comments	Attachments	Print Schedule P Certification	Notify FC			
<u>Plan C</u>		ABC Technologies, Inc.		10-789	4563/001	Ν
Comments	Attachments	Print Schedule P Certification	Notify FC			
<u>Plan D</u>		ABC Distribution, Inc.		10-789	4564/001	N
Comments	Attachments	Print Schedule P Certification	Notify FC			
		Back to Members	Back to Plan	S	Back to Fil	ing Summary

- Clicking "Comments" goes to Screen 48.
- Clicking "Attachments" goes to Screen 47.
- Plan Name hyperlinks to: Screen 40 (pre-populated if already filled out). Displays only those plans that were marked as "non-exempt" on Schedule I.II (Screen 24).
- Clicking "Back to Members" goes to Screen 21.
- Clicking the "Print Schedule P Certification" buttons will open this plan's Schedule P for printing.
- Clicking "Back to Plans" goes to Screen 24.
- The "Notify FC" buttons will only appear if this schedule P has been assigned to an actuary. When clicked, a confirmation page will be displayed asking the actuary if they are sure they want to notify the FC that the Schedule has been completed.
- Clicking "Back to Filing Summary" goes back to Screen 12.

PBGC ERISA 4010	Help
Schedule P – Plan A Basic Plan Info	Actuarial Information Frmation
Controlled Group: ABC	Holdings, Inc.
Plan Sponsor: ABC	Financials, Inc.
Error messages shown here	
Enrolled Actuary Information	l
First name:	
Last name:	
EA number :	(ex. 02-1111)
Telephone:	Ext.
Email address (optional):	(ex. <u>aa@a.com</u>)
Enter the following informati	on with respect to the plan year ending within the information year
Date plan year begins:	(ex. MM/DD/YYYY)
Date plan year ends:	(ex. MM/DD/YYYY)
Is this plan year	a short plan year?
🖸 _{Yes} 🗖 _{No})
	< Back Cancel Next >

- Clicking "Cancel" goes back to Screen 39.Clicking "Next" goes to Screen 41

		Help		
Actuarial Information s Information (§4044 bas	is)			
C Holdings, Inc. C Financials, Inc. A : 10-7894562/001				
TA. 10-7004002/001				
nefit Liabilities				
Number of Participants	Benefit Lia at plan ye (Before reflecting e	i bilities ar-end expense load)		
###,###	\$	###,###,###		
###,###	\$	###,###,###		
###,###	\$	###,###,###		
###,###	\$	 ###,###,###		
Benefit Liabilities after reflecting expense load				
d)	\$	###,###,###		
	\$	###,###,###		
* Determined using retirement age, interest, mortality, expense load provided in § 4044.51-57 and other assumptions as provided in § 4010.8(d)(2). Calculate Totals Calculate Totals				
	Actuarial Information s Information (§4044 bas C Holdings, Inc. Financials, Inc. A: 10-7894562/001	Actuarial Information \$ Information (§4044 basis) C Holdings, Inc. Financials, Inc. In A: 10-7894562/001 mefit Liabilities Number of Participants Benefit Lia at plan ye (Before reflecting of ####################################		

- Clicking "Calculate Totals" will calculate and display total benefit liabilities before and after expense load as been factored in.
- Clicking "Cancel" goes back to Screen 39.Clicking "Next" goes to Screen 42.

PBGC ERISA 401	0	Help .
Schedule P – Pla Funded Sta	an Actuarial Information tus Information (§4044 basis)	
Controlled Group:	ABC Holdings, Inc.	
Plan Sponsor:	ABC Financials, Inc.	
Plan Name:	Plan A: 10-7894562/001	
Error messages shown h	ere	
Census Data used to de Projected from a date As of the end of the projected to de	etermine benefit liabilities: e within the plan year ending within the information year plan year ending within the information year or the beginning of the subsequent ye termine benefit liabilities	ar
Period 1: % fo	or first Years (ex. 4.21% for first 20 years)	
Period 2: % fc	or all years thereafter	
Fair market value of asse	ets (excluding receivables) at plan year-end: \$	###,###
	< Back Cancel Nex	<t></t>

- Clicking "Cancel" goes back to Screen 39. Clicking "Next" goes to Screen 43. ٠
- ٠

PBGC ERISA 4010	Help .
Schedule P – Plan Actuarial Information Other Information	
Controlled Group: ABC Holdings, Inc.	
Plan Sponsor: ABC Financials, Inc.	
Plan Name: Plan A: 10-7894562/001	
Error messages shown here	
The following items relate to the 1/1/2008 – 12/31/2008 plan year:	
Funding target (as of the valuation date) determined as if the plan has been \$ ###,###,### in at-risk status for a consecutive period of at least 5 plan years:	
Funding target attainment percentage (as of valuation date):	
Adjusted funding target attainment percentage (as of valuation date): % (ex. 92.21)	
Did any benefit limitations apply under ERISA 206(g) at any time during the $\Gamma_{ m Yes}$ $\Gamma_{ m No}$ plan year?	
Has one or more minimum funding waivers been granted totaling in excess of \$1 million for which there is an outstanding balance at the end of the plan vear?	
The following item relates to the information year ending 12/31/2008:	
Has a statutory lien arisen during the information year as the result of missed contributions in excess of \$1 million (that were not made within 10 days of the due date)?	
< Back Cancel Ne	ext >

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- The first set of dates listed are those for "Date plan year begins" and "Date plan year ends" entered by user on an earlier Schedule P screen (Screen 40).
- The second date listed is that for "Date current information year ends" entered by user on the Schedule G (screen 13).
- Clicking "Cancel" goes back to Screen 39.
- Clicking "Next" : If the answer to the benefit limitations question is "Yes, goes to Screen 44. If No, goes to Screen 45.

PBGC ERISA 401	0			Help .
Schedule P – Plan Actuarial Information Other Information				
Controlled Group:	ABC Holdings, Inc.			
Plan Sponsor:	ABC Financials, Inc.			
Plan Name:	Plan A: 10-7894562/001			
Error messages shown h	ere			
Benefit limitations expl	anation			
Enter required inform under ERISA 206(g)	ation with respect to the benefit limitations applied (see instructions).			
		< Back	Cancel	Next >
	PBGC.gov Paperwork Reduction Act Not	ce		

- Clicking "Cancel" goes back to Screen 39. Clicking "Next" goes to Screen 45. •
- •

PBGC	ERISA 4010	Help .
Schedul Additior	le P – Plan Actuarial Information nal Actuarial Information	•
Control	lled Group: ABC Holdings, Inc.	
Flar	Plan Name: Plan A: 10-7894562/001	
Error messag	iges shown here	
Which of the under §4010	e following five statements describes the method under which the additional information required 0.8(a)(3) will be provided?	
All of the info	ormation is included in one actuarial valuation report. It is my understanding that the report will b	e
0	As an attachment to this filing	
0	Electronically within 15 days of the IRS Form 5500 filing deadline for the plan year ending withir information year	n the
The actuaria information v submitted:	al valuation report does not contain all of the additional required information. Therefore, suppleme will also be provided. It is my understanding that the report and the supplemental information will	ntal be
C	As an attachment to this filing	
C	Electronically within 15 days of the IRS Form 5500 filing deadline for the plan year ending withir information year	n the
0	A combination of the two above	
	< Back Cancel Next	>
	PBGC.gov Paperwork Reduction Act Notice	

- Clicking "Cancel" goes back to Screen 39 (no save). Clicking "Next" goes to Screen 46. ٠
- ٠

PBGC ERISA 401)			Help .
Schedule P – Pla	n Actuarial Information			
Controlled Group:	ABC Holdings, Inc.			
Plan Sponsor:	ABC Financials, Inc.			
Plan Name:	Plan A: 10-7894562/001			
Actuarial Information Co	ompleted			
You have reached the end	of this schedule.			
		< Back	Cancel S	ave
	PBGC.gov Paperwork Reduction Act Notice	2		

- Clicking "Cancel" goes back to Screen 39 (no save).Clicking "Save" goes to Screen 39.

PBGC ERI	SA 4010		Help
Schedule	/F/P - Attachments		
Controlled	Group: ABC Holdings, Inc.		
Attachments	Description		
org_chart1.pdf	org chart for the controlled group.	View	Delete
sal_avg.doc	valuation report for the salaried plan	View	Delete
File:	Browse	_	
Description:			
	Attach		
It may take a minute or two to attach large files. Please click only once.			
		Go to Schedu	le P Summary
		Back to Filing S	Summary

- This screen shows the appropriate Schedule depending on button clicked on Filing Summary (Screen 12) or Schedule P Summary (Screen 39).
- Files are displayed after the "Attach" button has been clicked.
- If Schedule I or Schedule F attachment, click the "Back to Filing Summary" button to go back to Filing Summary (Screen 12).
- If Schedule P attachment, click the "go to Schedule P Summary" button to go to Schedule P Summary (Screen 39).

PBGC ERI	SA 4010	Help
Schedule	G/FG/I/F/P – Comments	
Comments:		
		Cancel Save
	PBGC.gov Paperwork Reduction Act Notice	

- This screen shows the appropriate Schedule depending on appropriate "Comments" button from Screen 12 on Screen 39.
- Clicking "Cancel" goes back to Screen 12 (no save).
- Clicking "Save" goes back to Screen 12.

PBGC ERISA 40	10	Help
Reassign Filing		
Controlled Group	: ABC Holdings, Inc.	
Error messages shown	here	
Enter the email addre	ss of the person you would like to assign this filing:	
Email address:	(ex. aa@a.com)	
	Note: You may only assign a filing to someone who has an e-4010 account.	
		Cancel Next >
_	PBGC.gov Paperwork Reduction Act Notice	

• Clicking the "Next" button will first check that the entered email is associated with an existing e-4010 account and If not, display an error message. If account with the entered email exists, then the system assigns this filing to the new filing coordinator and returns to screen 9.

PBGC ERISA 4010		Help
Assign to Actuary		
Controlled Group: Al	3C Holdings, Inc.	
Error messages shown here		
Actuaries currently assigned: Enter the email address of the Email address:	e actuary you would like to assign this filing: (ex. aa@a.com) Note: You may only assign an actuary who has an e-4010 account.	
	PBGC.gov Paperwork Reduction Act Notice	Assign

- The drop-down list will be populated with the first name, last name, and email addresses of those actuaries already assigned by the current FC.
- Clicking the "Assign" button will first check that the entered email is associated with an existing e-4010 account. If not, display error message. If account with the entered email exists, then assign this schedule P to the actuary and return to screen 39.



Help

Paperwork Reduction Act Notice

The PBGC needs this information, which is required to be submitted under part 4010, to enable it (1) to detect and monitor financial problems with the contributing sponsors that maintain severely underfunded pension plans and their controlled group members, (2) to respond quickly when it learns that a controlled group with severely underfunded pension plans intends to engage in a transaction that may significantly reduce the assets available to pay plan liabilities, and (3) to take action to protect participant and premium-payer interests.

The information provided to the PBGC under part 4010 is protected from disclosure as provided in 29 CFR § 4010.12. That section provides that in accordance with 29 CFR § 4901.21(a)(3) (part of the PBGC's regulation on Examination and Copying of PBGC Records) and section 4010(c) of ERISA, any information or documentary material that is not publicly available and is submitted to the PBGC pursuant to part 4010 shall not be made public, except as may be relevant to any administrative or judicial action or proceeding or for disclosures to either body of Congress or to any duly authorized committee or subcommittee of the Congress.

The PBGC estimates that the average annual burden of this collection of information is 8.7 hours and \$13,750 per controlled group. These are estimates and the actual time will vary depending on the circumstances of a given filing. If you have any comments concerning the accuracy of these burden estimates or suggestions for making it simpler to submit the information, please send your comments to the Pension Benefit Guaranty Corporation, Office of the General Counsel, 1200 K Street, N.W., Washington, D.C. 20005-4026.

This collection of information has been approved by the Office of Management and Budget (OMB) under control number 1212-0049 (expires February 29, 2008). Under the Paperwork Reduction Act, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

Close Window

PBGC ERISA 4010

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PBGC may disclose information you submit if we are required to do so by law or we in good faith believe that such action is necessary to: (1) comply with the law or with legal process; (2) protect and defend our rights and property; (3) protect against misuse or unauthorized use of e-4010; or (4) protect the personal safety or property of our users or the public. If you provide false information, attempt to pose as someone else, or otherwise are believed to have committed any other illegal act, information about you may be disclosed as part of any investigation into your actions).

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Close Window