DEPARTMENT OF HOMELAND SECURITY

SENSITIVE SECURITY INFORMATION

Cover Sheet

Know It
Using SSI
ID guides

Mark It
Using SSI
header and footer

Lock It
Wherever SSI
is left unattended

Share It
Only with covered persons
with a need to know

Shred It
Using a crosscut
shredder
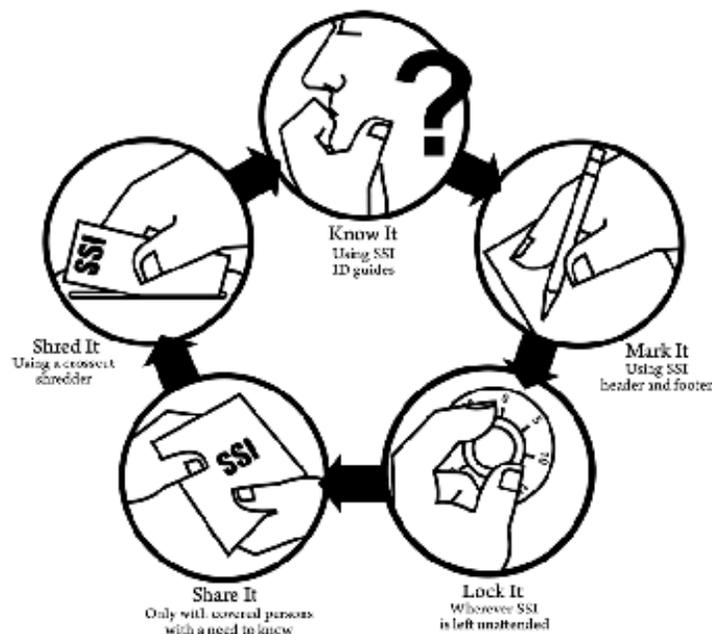
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DHS Form 11054 (8/10)   Reference: 49 CFR § 1520.13, Marking SSI

Transportation Security Administration

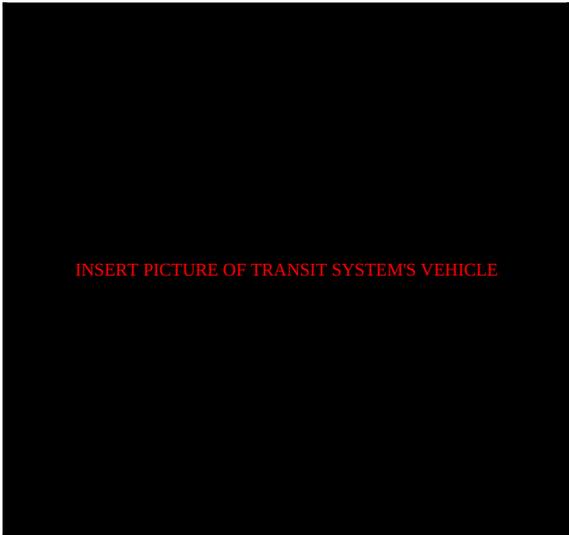## **B**aseline **A**ssessment & **S**ecurity **E**nhancement Review Checklist

<<Agency Name>>
<<Street Address>>
<<City, State>>

Assessment Started -	<<date>>
Assessment Completed -	<<date>>
Outbrief Conducted -	<<date>>

Not Governed By 49 CFR Part 659	**X**
System Ranking:	1 - 50

Types of Service	
Light Rail	
Heavy Rail	
Rapid Rail	
Monorail	
Inclined Plane	
Funicular	
Trolley	
Automated Guideway	
Tourist	
Historic	
Scenic	
Excursion	
Commuter	
Regional	
Intercity	
Bus	

INSERT PICTURE OF TRANSIT SYSTEM'S VEHICLE

Transit System receives Grant Funding under Section 5311 of Title 49:	☐ Yes ☐ No	System Grant Ranking:

Agency Points of Contact				
Name:	Title:	Phone	Phone	Email Address

Additional Points of Contact				
Name:	Title:	Phone	Phone	Email Address

Paperwork Reduction Act Statement: This is a voluntary collection of information. TSA estimates that the total average burden per assessment and response associated with this collection is approximately 46 hours. An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a valid OMB control number. The control number assigned to this collection is OMB 1652-XXXX, which expires on XX/XX/XXXX.

Surface Field Office

FSD AOR Field Office (Optional)
Fill in only if Applicable

Agency Assessed
<<Agency Name>>

Assessment Dates	
	Date
Stakeholder In-Brief	
Assessment Started	<<date>>
Assessment Completed	<<date>>
Out-Brief	<<date>>
Local Approval Date	
HQ Approval Date	
Delivery of Executive Summary	

In-Brief Team				
Name:	Title:	Airport Code	Phone	Email Address

Assessment Team				
Name:	Title:	Airport Code	Phone	Email Address
	Lead Inspector			

Out-Brief Team				
Name:	Title:	Airport Code	Phone	Email Address

Supervisory Approval				
Name:	Title:	Airport Code	Date	Email Address
	STSI			
	AFSD-I			

Headquarters Approval				
Name:	Title:	Airport Code	Date	Email Address

Baseline **A**ssessment & **S**ecurity **E**nhancement Review Checklist

STSI Inspector:	0
Telephone:	0
Completed:	<<date>>

<<Agency Name>>

Section	Description	Findings			Verification	References		
		Score	SP	Rec. Action	Justification	Regulatory Reference	TSF #	Other Documents
	MANAGEMENT AND ACCOUNTABILITY							
1.000	**Establish Written System Security Plans (SSPs) and Emergency Response Plans (ERPs)**							
1.100	**System Security Plan (SSP)**							
1.101	Does the transit agency have a System Security Plan (SSP)?							FTA SEPP Chapter 3
1.102	Does the SSP identify the goals and objectives for the security program?							FTA SEPP, Chapter 3 & FTA Resource Toolkit, Appendix G
1.103	Does a written policy statement exist that endorses and adopts the policies and procedures of the SSP that is approved and signed by top management, including the agency's chief executive?							FTA SEPP, Chapter 3 & FTA Resource Toolkit, Appendix G
1.104	Is the SSP separate from the agency's System Safety Program Plan (SSPP)?							
1.105	Do the Security and Emergency Response Plans address protection and response for critical underwater tunnels, underground stations/ tunnels and critical systems, where applicable?						T1	FTA SEPP, Chapter 3 & FTA Resource Toolkit, Appendix G
1.106	Does the SSP contain or reference other documents establishing procedures for the management of security incidents by the operations control center?							FTA SEPP, Chapter 3 & FTA Resource Toolkit, Appendix G
1.107	Does the SSP contain or reference other documents establishing plans, procedures, or protocols for responding to security events with external agencies (such as law enforcement, local EMA, fire departments, etc.)?							FTA SEPP, Chapter 3 & FTA Resource Toolkit, Appendix G
1.108	Does the SSP contain or reference other documents that establish protocols addressing specific threats from (i) Improvised Explosive Devices (IED) and (ii) Weapons of Mass Destruction (chemical, biological, radiological hazards)?							
1.109	Are visible, random security measures integrated into security plans to introduce unpredictability into security activities for deterrent effect?						T3	
1.110	Does the SSP include provisions requiring that security be addressed in extensions, major projects, new vehicles and equipment procurement and other capital projects, and including integration with the transit agency's safety certification process?							FTA SEPP, Chapter 8 & FTA Resource Toolkit, Appendix G
1.111	Does the SSP include or reference other documents adopting Crime Prevention Through Environmental Design (CPTED) principles as part of the agency's engineering practices?							FTA *Security Design Considerations*
1.112	Does the SSP require an annual review?							FTA Resource Toolkit, Appendix G
1.113	Does the transit agency produce periodic reports reviewing its progress in meeting its SSP goals and objectives?							FTA Resource Toolkit, Appendix G
1.114	Has an annual review of the SSP been performed and documented in the preceding 12 months?							
1.115	N/A Not Governed By 49 CFR Part 659	4			N/A			FTA Resource Toolkit, Appendix G
1.116	N/A Not Governed By 49 CFR Part 659	4			N/A			
1.117	N/A Not Governed By 49 CFR Part 659	4			N/A			
1.200	**Emergency Response Plan (ERP)**							
1.201	Does the transit agency have an Emergency Response Plan (ERP)?							
1.202	Does a written policy statement exist that endorses and adopts the policies and procedures of the ERP that is approved and signed by top management, including the agency's chief executive?							
1.203	Does the ERP require an annual review to determine if it needs to be updated?							
1.204	Has an annual review of the ERP been performed and documented in the preceding 12 months?							
1.205	Does the ERP include a process or review provision to ensure coordination with the rail transit agency's SSPP and SSP?							
1.206	N/A Not Governed By 49 CFR Part 659	4			N/A			
1.207	Does the ERP contain or reference other documents establishing plans, procedures, or protocols for responding to emergency events with external agencies (such as law enforcement, local EMA, fire departments, etc.)?							
1.208	Does the ERP contain or reference other documents that establish procedures for the management of emergency events, including those to be employed by the operations control center?							FTA Resource Toolkit, Appendix G
1.209	Does the ERP contain or reference other documents to provide for Continuity of Operations while responding to emergency events?							TCRP/NCHRP Continuity of Operations (COOP) Planning Guidelines for Transportation Agencies
1.210	Does the agency have a written Business Recovery Plan to guide restoration of facilities and services following an emergency event?							
1.211	Does the agency have a written Business Continuity Plan and COOP to guide restoration of facilities and services following an emergency event?							
1.212	Does the agency have a back-up operations control center capability?							
2.000	**Define Roles and Responsibilities for Security and Emergency Management**							
2.100	**System Security Plan (SSP)**							
2.101	Does the SSP establish and assign responsibility for implementation of the security program to a Senior Manager who is a "direct report" to the agency's Chief Executive Officer?							FTA SEPP, Chapter 3 & FTA Resource Toolkit, Appendix G
2.102	Has the agency established lines of delegated authority/succession of security responsibilities and, if so, has that information been distributed to agency managers?							
2.103	Are roles and responsibilities for security and/or law enforcement personnel assigned by title and/or position established in the SSP or other documents?							
2.104	Are security-related roles and responsibilities for non-security and/or law enforcement personnel (i.e., operators, conductors, maintenance workers and station attendants) established in the SSP or other documents?							FTA SEPP, Chapter 3 & FTA Resource Toolkit, Appendix G
2.105	Do senior staff and middle management conduct security meetings on a regular basis to review recommendations for changes to plans and processes?						T2	FTA SEPP, Chapter 3 & FTA Resource Toolkit, Appendix G
2.106	Does a Security Review Committee (or other designated group) regularly review security incident reports, trends, and program audit findings?							FTA SEPP, Chapter 3 & FTA Resource Toolkit, Appendix G
2.107	Are informational briefings with appropriate personnel held whenever security protocols, threat levels, or protective measures are updated or as security conditions warrant?							FTA SEPP, Chapter 3 & FTA Resource Toolkit, Appendix G
2.108	Have appropriate reference guides or other written instructions or procedures been distributed to transit employees to implement the requirements of the SSP?							FTA SEPP, Chapter 6 & FTA Resource Toolkit, Appendix G
2.109	Has the agency appointed a Primary and Alternate Security Coordinator to serve as its primary and immediate 24-hr contact for intelligence and security-related contact with TSA and are the names of those Coordinators on file with TSA OSPIE office correct?							FTA SEPP, Chapter 3 & FTA Resource Toolkit, Appendix G
2.110	Does the agency maintain a record of security related incidents that are reported within the agency?							
2.200	**Emergency Response Plan (ERP)**							

#	Question						Code	Reference
2.201	Does the ERP establish and assign responsibility for implementation of the security program to a Senior Manager who is a "direct report" to the agency's Chief Executive Officer?							FTA *Critical Incident Management Guidelines* & TCRP *Public Transportation*
2.202	Are emergency response roles and responsibilities for all departments identified in the ERP or other supporting documents?							
2.203	Are roles and responsibilities for front-line personnel (i.e. system law enforcement, system security officials, train or vehicle operators, conductors, station attendants, maintenance workers) described in the system's Emergency Response Plan (ERP)?						T5	
2.204	Has the ERP been distributed to appropriate departments in the organization?							FTA Resource Toolkit, Appendix G
2.205	Have appropriate reference guides or other written instructions or procedures been distributed to transit employees to implement the requirements of the ERP?							FTA *Critical Incident Management Guidelines* & TCRP *Public Transportation Emergency Mobilization and Emergency Operations Guide*
2.206	Are senior staff and middle management ERP coordination meetings held on a regular basis?							
2.207	Are informational briefings with appropriate personnel held whenever emergency response protocols are substantially changed or updated?							
2.208	Does the agency use National Fire Protection Association (NFPA) Standard 130 or equivalent local codes to evaluate fire/life safety in station design or modification (including fire detection systems, firewalls and flame-resistant materials, back-up powered emergency lighting, defaults in turnstile and other systems supporting emergency exists, and pre-recorded public announcements)?							
3	**Ensure that operations and maintenance supervisors, forepersons and managers are held accountable for security issues under their control**							
3.101	Do managers and supervisors routinely provide information to front-line personnel regarding security and emergency response issues?							FTA SEPP, Chapter 6 & FTA Resource Toolkit, Appendix G
3.102	Are regular supervisor, manager, and/or foreperson security review and coordination briefings held? If so, detail frequency and subjects covered in the justification.							FTA SEPP, Chapter 6 & FTA Resource Toolkit, Appendix G
3.103	Does the agency have a program for confirming that personnel have a working knowledge of security protocols? If so, summarize program in the justification.							FTA SEPP, Chapter 6 & FTA Resource Toolkit, Appendix G
3.104	Are managers and/or supervisors required to debrief front-line employees regarding their involvement in or management of any security or emergency incidents?							
4.000	**Coordinate Security and Emergency Management Plan(s) with local and regional agencies**							
4.101	Have Mutual Aid agreements been established between the transit agency and entities in the area that would be called upon to supplement the agency's resources in the event of an emergency event?							FTA SEPP, Chapter 3 & FTA Resource Toolkit, Appendix G. The security section of the SSPP "should also specify how state and local law enforcement and
4.102	Does the agency participate in a regional Emergency Management Working Group or similar regional coordinating body for emergency preparedness and response?							FTA SEPP, Chapter 3 & FTA Resource Toolkit, Appendices E & G
4.103	Have regional incident management protocols been shared with the agency and incorporated into the agency's ERP?							FTA SEPP, Chapter 3 & FTA Resource Toolkit, Appendices E & G
4.104	Have agency resources been appropriately identified and provided to the regional EMA?							FTA SEPP, Chapter 3 & FTA Resource Toolkit, Appendices E & G
4.105	Does the agency have a designated point-of-contact or liaison with the local/regional Emergency Operations Center (EOC)?							FTA SEPP, Chapter 3 & FTA Resource Toolkit, Appendices E & G
4.106	Does the agency send a representative to the local/regional EOC, should it be activated?							FTA SEPP, Chapter 3 & FTA Resource Toolkit, Appendices E & G
4.107	Does the agency have information sharing capabilities with the regional/local EOC (i.e., contacts, procedures, resource inventories, etc.)?							FTA SEPP, Chapter 3 & FTA Resource Toolkit, Appendices E & G
4.108	Has the agency developed internal incident management protocols that comply with the National Response Plan and the National Incident Management System?							FTA SEPP, Chapter 3 & FTA Resource Toolkit, Appendices E & G
4.109	Have the agency's emergency response protocols been shared with the EMA and appropriate first responder agencies?							FTA SEPP, Chapter 3 & FTA Resource Toolkit, Appendices E & G
4.110	Has the transit system tested its communications systems for interoperability with appropriate emergency response agencies?						T5	FTA SEPP, Chapter 3 & FTA Resource Toolkit, Appendices E & G
4.111	If the agency's communications systems are NOT inter-operable with appropriate emergency response agencies, have alternate communication protocols been established? Describe the alternate communication protocols in the justification.							FTA SEPP, Chapter 3 & FTA Resource Toolkit, Appendices E & G
	SECURITY AND EMERGENCY RESPONSE TRAINING							
5.000	**Establish and Maintain a Security and Emergency Training Program**							
5.101	Is initial training provided to all new agency employees regarding security orientation/awareness?						T4	FTA SEPP, Chapter 7 & FTA Resource Toolkit, Appendices E & G
5.102	Is annual refresher training provided regarding security orientation/awareness to Senior Management staff, managers and supervisors?						T4	FTA SEPP, Chapter 7 & FTA Resource Toolkit, Appendices E & G
5.103	Is annual refresher training provided regarding security orientation/awareness to managers and supervisors?						T4	
5.104	Is annual refresher training provided regarding security orientation/awareness to front-line employees?						T4	
5.105	Is ongoing advanced security training focused on job function provided at least annually?							FTA SEPP, Chapter 7 & FTA Resource Toolkit, Appendices E & G
5.106	Is initial training provided to all new transit employees regarding emergency response?						T4	FTA SEPP, Chapter 7 & FTA Resource Toolkit, Appendices E & G
5.107	Is annual refresher training provided regarding emergency response to Senior Management staff, supervisors, and managers?						T4	FTA SEPP, Chapter 7 & FTA Resource Toolkit, Appendices E & G
5.108	Is annual refresher training provided regarding emergency response to Managers and Supervisors?						T4	
5.109	Is annual refresher training provided regarding emergency response to front-line Employees?						T4	
5.110	Have agency employees received general training on Incident Command System (ICS) procedures in accordance with National Incident Management System at least annually?						T4	
5.111	Has ICS and NIMS training appropriate to the position been provided to Senior Management staff, supervisors, and managers at least annually?							
5.112	Has ICS and NIMS training appropriate to the position been provided to managers and supervisors at least annually?							
5.113	Has ICS and NIMS training appropriate to the position been provided to front-line employees at least annually?							
5.114	Has the agency developed a program and provided annual training on its own incident response protocols?							
5.115	as training on the agency's incident response protocols appropriate to the position been provided to Senior Management staff, managers and supervisors at least annually?						T4	
5.116	Has training on the agency's incident response protocols appropriate to the position been provided to managers and supervisors?						T4	
5.117	Has training on the agency's incident response protocols appropriate to the position been provided to front-line employees at least annually?						T4	
5.118	Has the transit system implemented an annual training program for personnel regarding response to terrorism, including (i) Improvised Explosive Devices and ii) Weapons of Mass Destruction (chemical, biological, radiological, nuclear)? If so, summarize the relevant programs in the justification.						T4	FTA SEPP, Chapter 7 & FTA Resource Toolkit, Appendices E & G
5.119	Has training focused on IEDs and WMDs appropriate to the position been provided to Senior Management staff, managers, and supervisors at least annually?							
5.120	Has training focused on IEDs and WMDs appropriate to the position been provided to manager and supervisors?							
5.121	Has training focused on IEDs and WMDs appropriate to the position been provided to front-line employees at least annually?							
5.122	Do law enforcement/security department personnel at the agency receive specialized training in counter-terrorism annually? Summarize program in the justification.							FTA SEPP, Chapter 7 & FTA Resource Toolkit, Appendices E & G
5.123	Do law enforcement/security department personnel at the agency receive specialized training supporting their incident management and emergency response roles at least annually? Summarize program in the justification.							FTA SEPP, Chapter 7 & FTA Resource Toolkit, Appendices E & G

#	Question						Threat	Reference
5.124	Does the agency have an established program to monitor employee training and to schedule employees for training as needed?							FTA SEPP, Chapter 7 & FTA Resource Toolkit, Appendices E & G
5.125	Does the agency have a system that records and tracks personnel training for all security-related courses (including initial, annual, periodic and other)?							FTA SEPP, Chapter 7 & FTA Resource Toolkit, Appendices E & G
5.126	Does the transit agency have a system that records and tracks personnel training for emergency response courses (including initial, periodic and other)?							FTA SEPP, Chapter 7 & FTA Resource Toolkit, Appendices E & G
5.127	Does the agency have a program to regularly review and update security awareness and emergency response training materials?							FTA SEPP, Chapter 7 & FTA Resource Toolkit, Appendices E & G
5.128	Are all appropriate personnel notified via briefings, email, voicemail, or signage of changes in threat condition, protective measures or the employee watch programs?						T4	
5.129	Do the agency's security awareness and emergency response training programs cover response and recovery operations in critical facilities and infrastructure? If so, summarize relevant provisions of program in the justification.						T1	
5.130	Has the agency provided training to regional first responders (law enforcement agencies, firefighters, and emergency medical response teams) to enable them to operate in critical facilities and infrastructure?						T1	
5.131	Does training of transit system law enforcement and/or security personnel integrate the concept and employment of visible, random security measures?						T3	
5.132	Has the agency implemented a program to annually train or orient first responders (law enforcement, firefighters, emergency medical teams) and other potential supporting assets (e.g., TSA regional personnel for VIPR exercises)on railroad familiarization?						T4	
NATIONAL TERRORISM ADVISORY SYSTEM (NTAS)								
6.000	**Establish plans and protocols to respond to the DHS National Terrorism Advisory System (NTAS).**							
6.101	Does the SSP contain or reference other documents identifying incremental actions (imminent or elevated) to be implemented for a NTAS threat?							FTA Protective Measures
6.102	Does the agency have actionable operational response protocols for the specific threat scenarios from NTAS?						T2	FTA Protective Measures
6.103	Has the agency provided annual training and/or instruction focused on job function regarding the incremental activities to be performed by employees?							
PUBLIC AWARENESS								
7.000	**Implement and reinforce a Public Security and Emergency Awareness program:**							
7.101	Has the transit agency developed and implemented a public security and emergency awareness program?							FTA's Transit Watch
7.102	Does the agency provide active public outreach for security awareness and emergency preparedness (e.g., Transit Watch, "If You See Something, Say Something", message boards, brochures, channel cards, posters, fliers)?						T6	FTA's Transit Watch
7.103	Is the above consistent with agency's overall announcement program?						T6	
7.104	Are general security awareness and emergency preparedness messages included in public announcement messages at stations and on board vehicles?						T6	
7.105	Are passengers urged to report unattended property, suspicious behavior, and security concerns to uniformed crew members, law enforcement or security personnel, and/or a contact telephone number? If so, summarize the type of materials used and content in the justification.						T6	
7.106	Does the transit agency use Transit Watch materials in its security and emergency awareness program?							
7.107	Does the agency have an appropriate mechanism in place for passengers to communicate an (e.g., 1-800 number, smart phone applications, social media, etc.) that can be called or used to report security concerns? If so, is this information indicated in public awareness materials and messages?						T6	FTA's Transit Watch
7.108	Does the agency issue public service announcements or press releases to local media (e.g. newspaper, radio, television, social media, QRC codes, and/or apps for smart phones) regarding security and emergency protocols?							FTA's Transit Watch
7.109	Does the agency issue public service announcements or press releases to local media (e.g. newspaper, radio and/or television) regarding security and emergency protocols?						T6	FTA's Transit Watch
7.110	Does the transit agency conduct a volunteer training program for non-employees to aid with system evacuations and emergency response?							FTA's Transit Watch
7.111	Does the transit agency conduct an outreach program to enlist members of the public as security awareness volunteers, similar to Neighborhood Watch programs?							
7.112	Do public awareness materials and/or messages inform passengers on the means to evacuate safely from transit vehicles and underwater/underground facilities?						T1	
7.113	Does the system integrate randomness and unpredictability into its security activities to enhance deterrent effect?						T3	
7.114	Does the agency track and monitor customer complaints reported by passengers?							
ESTABLISH A RISK ASSESSMENT AND INFORMATION SHARING PROCESS								
8.000	**Establish and use a Risk Management Process to assess and manage threats, vulnerabilities and consequences**							
8.101	Does the agency have a risk assessment process approved by its management, for managing threats and vulnerabilities? If so, summarize the process in the justification.						T2	FTA's SEPP, Chapter 5, FTA's Resource Toolkit Appendix G
8.102	Has the agency identified facilities and systems it considers to be its critical assets?							
8.103	Has the agency had an internal or external risk or vulnerability assessment on its critical assets within the past 3 years? Specify the dates of the most recent assessments and the entity(ies) that conducted the assessment(s).						T2	
8.104	Based on the results of question 9.103, has a Risk Assessment, analyzing threat, vulnerability, & consequence, been conducted for critical assets and infrastructure, and systems within the past 3 years? Have management and staff responsible for the risk assessment process been properly trained to manage the process?						T1	
8.105	Has the system implemented procedures to limit and monitor authorized access to underground and underwater tunnels? If so, summarize procedures in the justification.						T2	
8.106	Are security investments prioritized using information developed in the risk assessment process?							
8.107	Has the date of the most recent vulnerability assessment been provided to TSA at sd.masstransit@dhs.gov?							
8.108	Upon request, has TSA been provided access to the agency's vulnerability assessments, Security Plan and related documents?						T1	
9.000	**Establish and use an information sharing process for threat and intelligence information**							
9.101	Does the agency have a formalized process and procedures for reporting and exchange of threat and intelligence information with Federal, State, and/or local law enforcement agencies?							
9.102	Does the system report threat and intelligence information directly to FBI Joint Terrorism Task Force (JTTF) or other regional anti-terrorism task force?						T2	
9.103	Does the system have a protocol to report threats or significant security concerns to appropriate law enforcement authorities, and TSA's Transportation Security Operations Center (TSOC)?						T2	
9.104	Does the agency routinely receive threat and intelligence information directly from any Federal government agency, State Homeland Security Office, Regional or State Intelligence Fusion Center, PT-ISAC, or other transit agencies?							
9.105	Does the agency report their NTD security data to FTA as required by 49 CFR 659?							
DRILLS AND EXERCISES								
10.000	**Conduct Tabletop and Functional Drills**							
10.101	Does the agency's System Safety Program Plan (SSPP) contain or reference a document describing the process used by the agency to develop an approved, coordinated schedule for all emergency management program activities, including local/regional emergency planning and participation in exercises and drills?							FTA's SEPP, Chapter 7, FTA's Resource Toolkit Appendices E & G

#	Question					Tier	Reference
10.102	Does the agency's SSPP or SSP describe or reference how the agency performs its emergency planning responsibilities and requirements regarding emergency drills and exercises?						FTA's SEPP, Chapter 7, FTA's Resource Toolkit Appendices E & G
10.103	Does the agency evaluate its emergency preparedness by using annual field exercises, tabletop exercises, and/or drills? If so, please summarize the exercise events held in the past year.					T5	FTA's SEPP, Chapter 7, FTA's Resource Toolkit Appendices E & G
10.104	Does the agency's SSPP or a related document include a requirement for annual field exercises, tabletops and drills?						FTA's SEPP, Chapter 7, FTA's Resource Toolkit Appendices E & G
10.105	Does the agency's SSPP or SSP describe or reference how the agency documents the results of its emergency preparedness evaluations (i.e., briefings, after action reports and implementation of findings)?						FTA's SEPP, Chapter 7, FTA's Resource Toolkit Appendices E & G
10.106	Does the agency's SSPP or a related document describe or reference its program for providing employee training on emergency response protocols and procedures?						FTA's SEPP, Chapter 7, FTA's Resource Toolkit Appendices E & G
10.107	Does the agency participate as an active player in full-scale, regional exercises held at least annually?						
10.108	In the last year, has the agency conducted and/or participated in a drill, tabletop exercise, and/or field exercise including scenarios involving (i) IED's and (ii) WMD (chemical, biological, radiological, nuclear) with other transit agencies and first responders (e.g., NTAS scenarios)?					T5	
10.109	In the last year, has the agency reviewed results and prepared after-action reports to assess performance and develop lessons learned for all drills, tabletop, and/or field exercises?					T5	TCRP/NCHRP Guidelines for Transportation Emergency Training Exercises
10.110	In the last 12 months, has the agency updated plans, protocols and processes to incorporate after-action report recommendations/findings and corrective actions? If so, summarize the actions taken in the justification.					T5	TCRP/NCHRP Guidelines for Transportation Emergency Training Exercises
10.111	Has the agency established metrics to assess its performance during emergency exercises and to measure improvements?						
10.112	Does the system conduct drills and exercises of its security and emergency response plans to test capabilities of i) employees and ii) first responders to operate effectively in underwater/underground infrastructure?					T1	
10.113	Does the transit system integrate local and regional first responders (law enforcement, firefighters, emergency medical teams) in drills, tabletop exercises, and/or field exercises? If so, summarize each joint event and state when it took place.					T5	
11.000	**Developing a Comprehensive Cyber Security Strategy**						
11.101	Has the agency conducted a risk assessment to identify operational control and communication/business enterprise IT assets and potential vulnerabilities?						FTA's SEPP, Chapter 6, FTA's Resource Toolkit G
11.102	Has the agency implemented protocols to ensure that all IT facilities (e.g., data centers, server rooms, etc) and equipment are properly secured to guard against internal or external threats or attacks?						FTA's SEPP, Chapter 6, FTA's Resource Toolkit Appendix G
11.103	Has a written strategy been developed and integrated into the overall security program to mitigate the cyber risk identified?						
11.104	Does the agency have a designated representative to secure the internal network through appropriate access controls for employees, a strong authentication (i.e., password) policy, encrypting sensitive data, and employing network security infrastructure (example: firewalls, intrusion detection systems, IT security audits, antivirus, etc)?						FTA's SEPP, Chapter 6, FTA's Resource Toolkit Appendix G
11.105	Does the agency ensure that recurring cyber security training reinforces security roles, responsibilities, and duties of employees at all levels to protect against and recognize cyber threats?						FTA's SEPP, Chapter 6, FTA's Resource Toolkit Appendix G
11.106	Has the agency established a cyber-incident response and reporting protocol?						FTA's SEPP, Chapter 7
11.107	Is the agency aware of and using available resources (e.g., standards, PT-ISAC, US CERT, National Cyber Security Communication and Integration Center, etc)?						
	FACILITY SECURITY AND ACCESS CONTROLS						
12.000	**Control Access to Security Critical Facilities with ID badges for all visitors, employees and contractors**						
12.101	Have assets and facilities requiring restricted access been identified?						FTA's SEPP, Chapter 8 & Resource Toolkit, Appendix G
12.102	Are ID badges or other measures employed to restrict access to facilities not open to the public?						FTA's SEPP, Chapter 8 & Resource Toolkit, Appendix G
12.103	Has the transit agency developed and implemented procedures to monitor, update and document access control (e.g. card key, ID badges, keys, safe combinations, etc.)?					T2	FTA's SEPP, Chapter 8 & Resource Toolkit, Appendix G
12.104	Does the agency have procedures to issue ID badges for visitors and contractors?						FTA's SEPP, Chapter 8 & Resource Toolkit, Appendix G
12.105	Does the agency require escorts for visitors accessing non-public areas?						FTA's SEPP, Chapter 8 & Resource Toolkit, Appendix G
12.106	Is CCTV equipment installed in transit agency facilities?						FTA's SEPP, Chapter 8 & Resource Toolkit, Appendix G
12.107	Is CCTV equipment protecting critical assets interfaced with an access control system?						
12.108	Is CCTV equipment installed on transit vehicles?						FTA's SEPP, Chapter 8 & Resource Toolkit, Appendix G
12.109	Are Crime Prevention through Environmental Design (CPTED) and technology (e.g., CCTV, access control, intrusion detection, bollards, etc) incorporated into design criteria for all new and/or existing capital projects?						FTA's SEPP, Chapter 8 & Resource Toolkit, Appendix G
12.110	Based on the risk assessment, does the agency use fencing, barriers, and/or intrusion detection to protect against unauthorized entry into stations, facilities, and other identified critical assets?						FTA's SEPP, Chapter 8 & Resource Toolkit, Appendix G
12.111	Has the system implemented protective measures to secure high risk/high consequence assets and systems identified in risk assessments? Examples of protective measures include but are not limited to CCTV, intrusion detection systems, smart camera technology, fencing, enhanced lighting, access control, LE patrols, K-9s, protection of ventilation systems. If protective measures for this infrastructure are employed, summarize type and location in in the justification.					T2	FTA's SEPP, Chapter 8 & Resource Toolkit, Appendix G
12.112	Does the transit agency monitor a network of security, fire, duress, intrusion, utility and internal 911 alarm systems?						FTA's SEPP, Chapter 8 & Resource Toolkit, Appendix G
12.113	Are emergency call boxes provided for passengers?						FTA's SEPP, Chapter 8 & Resource Toolkit, Appendix G
12.114	Do transit agency personnel administer an automated employee access control system and perform corrective analysis of security breaches?						FTA's SEPP, Chapter 8 & Resource Toolkit, Appendix G
12.115	Does the agency have policies and procedures for screening of mail and/or outside deliveries?						FTA's SEPP, Chapter 8 & Resource Toolkit, Appendix G
12.116	Have locks, bullet resistant materials and anti-fragmentation materials been installed/used at critical locations?						FTA's SEPP, Chapter 8 & Resource Toolkit, Appendix G
12.117	Does the agency use National Fire Protection Association (NFPA) Standard 130 or equivalent to evaluate fire/life safety in station design or modification (including fire detection systems, firewalls and flame-resistant materials, back-up powered emergency lighting, defaults in turnstile and other systems supporting emergency exists, and pre-recorded public announcements)?						FTA's SEPP, Chapter 8 & Resource Toolkit, Appendix G
12.118	Is directional signage with adequate lighting provided in a consistent manner in all stations, both to provide orientation and to support emergency evacuation?						FTA's SEPP, Chapter 8 & Resource Toolkit, Appendix G
12.119	Are gates and locks used on all facility doors to prevent unauthorized access?						FTA's SEPP, Chapter 8 & Resource Toolkit, Appendix G
12.120	Are keys controlled through an established program managed by the security/police function?						FTA's SEPP, Chapter 8 & Resource Toolkit, Appendix G
12.121	Are gates and locks also used to close down system facilities after operating hours?						FTA's SEPP, Chapter 8 & Resource Toolkit, Appendix G

#	Question					Tier	Reference
12.122	Do transit vehicles have radios, silent alarms, and/or passenger communication systems?						FTA's SEPP, Chapter 8 & Resource Toolkit, Appendix G
12.123	Does the transit agency use graffiti-resistant/etch-resistant materials for walls, ceilings, and windows?						FTA's SEPP, Chapter 8 & Resource Toolkit, Appendix G
12.124	Are Uninterruptible Power Supply (UPS) or redundant power sources provided for safety and security of critical equipment, such as but not limited to: exit and platform lighting; parking lot lighting; ancillary space and shop lighting; intrusion detection (alarmed rooms and spaces, fare collection equipment, etc.); fire detection, alarm and suppression systems; public address (shop and public areas); call-for-aid telephones; CCTV; emergency trip stations; vital train control functions; etc.?						FTA's SEPP, Chapter 8 & Resource Toolkit, Appendix G
12.125	At passenger stations at which a vulnerability assessment has identified a significant risk, and to the extent practicable, has the owner/operator removed trash receptacles and other non-essential receptacles or containers (with the exception of bomb resistant receptacles or clear plastic containers) from the platform areas of passenger terminals and stations?						
12.126	Does the agency employ specific protective measures for all critical infrastructure (e.g., tunnels, bridges, stations, control centers, etc) identified through the risk assessment particularly at access points and ventilation infrastructure in place and maintained in optimal condition? Examples of protective measures include, but are not limited to, CCTV, intrusion detection systems, smart camera technology, fencing, lighting, access control, law enforcement patrols, canine patrols, physical protection for ventilation systems. If protective measures for this infrastructure are employed, summarize type and location in the justification.						
12.127	Does the agency have or utilize explosive detection canine teams, either maintained by the system or made available from other law enforcement agencies? If so, has the system implemented procedures for reporting of and response to positive reactions by the canine?					T1	
12.128	Is there a process in place, with necessary training provided to personnel, to ensure that in service rail cars are inspected at regular periodic intervals for suspicious or unattended items? Specify type and frequency of inspections.						
12.129	Is there a process in place, with necessary training provided to personnel, to ensure that all critical infrastructure are inspected at regular periodic intervals for suspicious or unattended items? Specify type and frequency of inspections.						
13.000	**Conduct Physical Security Inspections**						
13.101	Does the agency conduct frequent inspections of key facilities, stations, terminals, trains and vehicles, or other critical assets for persons, materials, and items that do not belong?					T1	
13.102	Has the transit agency established procedures for inspecting/sweeping vehicles and stations to identify and manage suspicious items, based on HOT characteristics (hidden, obviously suspicious, not typical) or equivalent system?						FTA's SEPP, Chapter 6 & Resource Toolkit, Appendix G
13.103	Has the transit agency developed a form or quick reference guide for operations and personnel for the conduct of pre-trip, post-trip, and within trip inspections?						FTA's SEPP, Chapter 6 & Resource Toolkit, Appendix G
13.104	Has the transit agency developed a form or quick reference guide for station attendants and others regarding station and facility inspections?						FTA's SEPP, Chapter 6 & Resource Toolkit, Appendix G
13.105	Does the system document the results of inspections and implement any changes to policies and procedures or implement corrective actions, based on the findings?					T2	
13.106	Does the agency conduct frequent inspections of access points, ventilation systems, and the interior of underground/underwater assets and systems for indications of suspicious activity?					T2	
13.107	Does the system integrate randomness and unpredictability into its security activities to enhance deterrent effect?						
	BACKGROUND INVESTIGATIONS						
14.000	**Conduct Background Investigations of Employees and Contractor**						
14.101	Does the agency conduct background investigations (i.e., criminal history and motor vehicle records) on all new front-line operations and maintenance employees, and employees with access to sensitive security information, facilities and systems?					T2	
14.102	To the extent allowed by agency policy or law, does the agency conduct background investigations on contractors, including vendors, with access to critical facilities, sensitive security systems, and sensitive security information?					T2	
14.103	Has counsel for the agency reviewed the process for conducting employee background investigations to confirm that procedures are consistent with applicable statutes and regulations?						
14.104	Is the background investigation process documented?						
14.105	Is the criteria for background investigations based on employee type (senior management staff, law enforcement officers, managers/supervisors, operators, maintenance, safety/security sensitive, contractor, etc.) and/or responsibility and access documented?						
	DOCUMENT CONTROL						
15.000	**Control Access to documents of security critical systems and facilities**						
15.101	Does the agency keep documentation of its security critical systems, such as tunnels, bridges, HVAC systems and intrusion alarm detection systems (i.e. plans, schematics, etc.) protected from unauthorized access?					T2	
15.102	Has the agency designated a department/person responsible for administering the access control policy with respect to agency documents?						
15.103	Does the security review committee (or other designated group) review document control practices, assess compliance applicable procedures, and identify discrepancies and necessary corrective action?						
16.000	**Process for handling and access to Sensitive Security Information (SSI)**						
16.101	Does the agency have a documented policy for identifying and controlling the distribution of and access to documents it considers to be Sensitive Security Information (SSI) pursuant to 49 CFR Part 15 or 1520?						
16.102	Does the agency have a documented policy for proper handling, control, and storage of documents labeled as or otherwise determined to be Sensitive Security Information (SSI) pursuant to 49 CFR Part 15 or 1520?						
16.103	Are employees who may be provided SSI materials per 49 CFR Part 15 or 1520) familiar with the documented policy for the proper handling of such materials?						49 CFR Parts 15 and 1520
16.104	Have employees provided access to SSI material per 49 CFR Part 15 or 1520 received training on proper labeling, handling, dissemination, and storage (such as through the TSA on-line SSI training program)?						
	SECURITY PROGRAM AUDITS						
17.000	**Audit Program**						
17.101	Has the agency established a schedule for conducting its internal security audit process?						FTA Resource Toolkit, Appendices E and G
17.102	Does the SSP contain a description of the process used by the agency to audit its implementation of the SSP over the course of the agency's published schedule?						FTA Resource Toolkit, Appendices E and G
17.103	Has the transit agency established checklists and procedures to govern the conduct of its internal security audit process?						FTA Resource Toolkit, Appendices E and G
17.104	Is the transit agency complying with its internal security audit schedule?						FTA Resource Toolkit, Appendices E and G
17.105	Is each internal security audit documented in a written report, which includes evaluation of the adequacy and effectiveness of the SSP element and applicable implementing procedures audited, needed corrected actions, needed recommendations, an implementation schedule for corrective actions and status reporting?						FTA Resource Toolkit, Appendices E and G

17.106	In the last 12 months, has the Security Review Committee (or other designated group) addressed the findings and recommendations from the internal security audits, and updated plans, protocols and processes as necessary?						FTA Resource Toolkit, Appendices E and G
17.107	Does the transit agency's internal security audit process ensure that auditors are independent from those responsible for the activity being audited?						
17.108	N/A Not Governed By 49 CFR Part 659	4			N/A	§ 659.23 (e) & § 659.27(a)	
17.109	N/A Not Governed By 49 CFR Part 659	4			N/A	§ 659.27(e)	
17.110	N/A Not Governed By 49 CFR Part 659	4			N/A	§ 659.27 (c)	
17.111	N/A Not Governed By 49 CFR Part 659	4			N/A	§ 659.27 (f)	
17.112	N/A Not Governed By 49 CFR Part 659	4			N/A	§ 659.27 (g)	
17.113	N/A Not Governed By 49 CFR Part 659	4			N/A	§ 659.27 (g)	
17.114	N/A Not Governed By 49 CFR Part 659	4			N/A	§ 659.27 (h)	FTA Resource Toolkit, Appendices E and G
	Should be 0 if all Line Items were rated	195	0	0	Total SPs and RAs		
				0	# of 0,1 and 2		
				0	**This should be 0 if the # of RAs match the # of ratings ≤ 2**		

OBJECTIVELY MESSURED RISK REDUCTION (OMRR)

Total Explosive-detection Canine Teams:	
Total Number of Canine Teams:	
Number of TSA-funded Teams:	
Number of TSA-legacy Teams:	
Number of Other (non-TSA) Teams:	
Total Number of Behavior Detection Officers:	
Total Number of Officers in Department:	
Total Number of BDOs on Specialized Units:	

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Total High Visibility Operations (last 12 months				

SENSITIVE SECURITY INFORMATION

Smart Security Practice Addendums (SP)

Instructions: For each item identified as a possible "Smart Practice", copy the cells in columns A thru D from the referenced line item in the "Checklist" into the "<<copy and paste from checklist>> line below, then provide a description of the program or practice. For additional entries, copy and paste additional rows. For additional entries, copy and paste additional rows.

Item	Description	Score	SP
<<copy and paste from checklist>>			
Smart Security Practice Description			
<<Enter SP here>>			

Item	Description	Score	SP
<<copy and paste from checklist>>			
Smart Security Practice Description			
<<Enter SP here>>			

Item	Description	Score	SP
<<copy and paste from checklist>>			
Smart Security Practice Description			
<<Enter SP here>>			

Total SPs	0

EXAMPLE

Item	Description	Score	SP
1.101	Does Amtrak have a System Security Plan (SSP)?	4	X

Smart Security Practice Description

Amtrak's SSP is wonderful and should be a model for all transit systems.

SENSITIVE SECURITY INFORMATION

<<Agency Name>>

Recommended Action Addendums

Instructions: For each item where "Recommended Action" is suggested, copy the cells in columns A thru E from the referenced line item in the "Checklist" Tab into the "<<copy and paste from checklist>>" line below, then provide a description of the recommended corrective action. Include the planned timing for completion in the description. For additional entries, copy and paste additional rows.

Item	Description	Score	SP	RA
<<Copy and paste from checklist>>				
Recommended Action Description				
Option for consideration:				

Item	Description	Score	SP	RA
<<Copy and paste from checklist>>				
Recommended Action Description				
Option for consideration:				

Item	Description	Score	SP	RA
<<Copy and paste from checklist>>				
Recommended Action Description				
Option for consideration:				

Item	Description	Score	SP	RA
<<Copy and paste from checklist>>				
Recommended Action Description				
Option for consideration:				

Total RAs	**0**

EXAMPLE

Item	Description	Score	SP	RA
1.101	Does Amtrak have a System Security Plan (SSP)?	0		X

Recommended Action Description

Option for consideration: Develop an SSP. Utilize FTA's SSP template posted on FTA's website.

SENSITIVE SECURITY INFORMATION

Assessment of Transportation Security Fundamentals (TSF)

	<<Agency Name>>		
BASE Section	**Description**	**Score (0-4)**	**Explanatory Notes / Justification**
TSF-1: Protection of High Risk / High Consequence Underwater / Underground Assets and Systems.			
1.105	Do the Security and Emergency Response Plans address protection and response for critical underwater tunnels, underground stations/ tunnels and critical systems, where applicable?	NOT SCORED	0
5.129	Do the agency's security awareness and emergency response training programs cover response and recovery operations in critical facilities and infrastructure? If so, summarize relevant provisions of program in the justification.	NOT SCORED	0
5.130	Has the agency provided training to regional first responders (law enforcement agencies, firefighters, and emergency medical response teams) to enable them to operate in critical facilities and infrastructure?	NOT SCORED	0
7.112	Do public awareness materials and/or messages inform passengers on the means to evacuate safely from transit vehicles and underwater/underground facilities?	NOT SCORED	0
8.104	Based on the results of question 9.103, has a Risk Assessment, analyzing threat, vulnerability, & consequence, been conducted for critical assets and infrastructure, and systems within the past 3 years? Have management and staff responsible for the risk assessment process been properly trained to manage the process?	NOT SCORED	0
8.108	Upon request, has TSA been provided access to the agency's vulnerability assessments, Security Plan and related documents?	NOT SCORED	0
10.112	Does the system conduct drills and exercises of its security and emergency response plans to test capabilities of i) employees and ii) first responders to operate effectively in underwater/underground infrastructure?	NOT SCORED	0
12.127	Does the agency have or utilize explosive detection canine teams, either maintained by the system or made available from other law enforcement agencies? If so, has the system implemented procedures for reporting of and response to positive reactions by the canine?	NOT SCORED	0
13.101	Does the agency conduct frequent inspections of key facilities, stations, terminals, trains and vehicles, or other critical assets for persons, materials, and items that do not belong?	NOT SCORED	0
TSF-2. Protection of other high risk/high consequence assets identified by system risk assessments.			
2.105	Do senior staff and middle management conduct security meetings on a regular basis to review recommendations for changes to plans and processes?	NOT SCORED	0

6.102	Does the agency have actionable operational response protocols for the specific threat scenarios from NTAS?	NOT SCORED	0
8.101	Does the agency have a risk assessment process approved by its management, for managing threats and vulnerabilities? If so, summarize the process in the justification.	NOT SCORED	0
8.103	Has the agency had an internal or external risk or vulnerability assessment on its critical assets within the past 3 years? Specify the dates of the most recent assessments and the entity(ies) that conducted the assessment(s).	NOT SCORED	0
8.105	Has the system implemented procedures to limit and monitor authorized access to underground and underwater tunnels? If so, summarize procedures in the justification.	NOT SCORED	0
9.102	Does the system report threat and intelligence information directly to FBI Joint Terrorism Task Force (JTTF) or other regional anti-terrorism task force?	NOT SCORED	0
9.103	Does the system have a protocol to report threats or significant security concerns to appropriate law enforcement authorities, and TSA's Transportation Security Operations Center (TSOC)?	NOT SCORED	0
12.103	Has the transit agency developed and implemented procedures to monitor, update and document access control (e.g. card key, ID badges, keys, safe combinations, etc.)?	NOT SCORED	0
12.111	Has the system implemented protective measures to secure high risk/high consequence assets and systems identified in risk assessments? Examples of protective measures include but are not limited to CCTV, intrusion detection systems, smart camera technology, fencing, enhanced lighting, access control, LE patrols, K-9s, protection of ventilation systems. If protective measures for this infrastructure are employed, summarize type and location in in the justification.	NOT SCORED	0
13.105	Does the system document the results of inspections and implement any changes to policies and procedures or implement corrective actions, based on the findings?	NOT SCORED	0
13.106	Does the agency conduct frequent inspections of access points, ventilation systems, and the interior of underground/underwater assets and systems for indications of suspicious activity?	NOT SCORED	0
14.101	Does the agency conduct background investigations (i.e., criminal history and motor vehicle records) on all new front-line operations and maintenance employees, and employees with access to sensitive security information, facilities and systems?	NOT SCORED	0
14.102	To the extent allowed by agency policy or law, does the agency conduct background investigations on contractors, including vendors, with access to critical facilities, sensitive security systems, and sensitive security information?	NOT SCORED	0

15.101	Does the agency keep documentation of its security critical systems, such as tunnels, bridges, HVAC systems and intrusion alarm detection systems (i.e. plans, schematics, etc.) protected from unauthorized access?	NOT SCORED	0

TSF-3. Use of visible, unpredictable deterrence.

1.109	Are visible, random security measures integrated into security plans to introduce unpredictability into security activities for deterrent effect?	NOT SCORED	0
5.131	Is annual refresher training provided regarding security orientation/awareness to managers and supervisors?	NOT SCORED	0
7.113	Does the system integrate randomness and unpredictability into its security activities to enhance deterrent effect?	NOT SCORED	0

TSF-4. Targeted counter-terrorism training for key front-line staff.

5.101	Is initial training provided to all new agency employees regarding security orientation/awareness?	NOT SCORED	0
5.102	Is annual refresher training provided regarding security orientation/awareness to Senior Management staff, managers and supervisors?	NOT SCORED	0
5.103	Is annual refresher training provided regarding security orientation/awareness to managers and supervisors?	NOT SCORED	0
5.104	Is annual refresher training provided regarding security orientation/awareness to front-line employees?	NOT SCORED	0
5.106	Is initial training provided to all new transit employees regarding emergency response?	NOT SCORED	0
5.107	Is annual refresher training provided regarding emergency response to Senior Management staff, supervisors, and managers?	NOT SCORED	0
5.108	Is annual refresher training provided regarding emergency response to Managers and Supervisors?	NOT SCORED	0
5.109	Is annual refresher training provided regarding emergency response to front-line Employees?	NOT SCORED	0
5.110	Have agency employees received general training on Incident Command System (ICS) procedures in accordance with National Incident Management System at least annually?	NOT SCORED	0
5.115	as training on the agency's incident response protocols appropriate to the position been provided to Senior Management staff, managers and supervisors at least annually?	NOT SCORED	0
5.116	Has training on the agency's incident response protocols appropriate to the position been provided to managers and supervisors?	NOT SCORED	0
5.117	Has training on the agency's incident response protocols appropriate to the position been provided to front-line employees at least annually?	NOT SCORED	0
5.118	Has the transit system implemented an annual training program for personnel regarding response to terrorism, including (i) Improvised Explosive Devices and ii) Weapons of Mass Destruction (chemical, biological, radiological, nuclear)? If so, summarize the relevant programs in the justification.	NOT SCORED	0

5.128	Are all appropriate personnel notified via briefings, email, voicemail, or signage of changes in threat condition, protective measures or the employee watch programs?	NOT SCORED	0
5.132	Has the agency implemented a program to annually train or orient first responders (law enforcement, firefighters, emergency medical teams) and other potential supporting assets (e.g., TSA regional personnel for VIPR exercises)on railroad familiarization?	NOT SCORED	0

TSF-5. Emergency preparedness drills and exercises.

2.203	Are roles and responsibilities for front-line personnel (i.e. system law enforcement, system security officials, train or vehicle operators, conductors, station attendants, maintenance workers) described in the system's Emergency Response Plan (ERP)?	NOT SCORED	0
4.110	Has the transit system tested its communications systems for interoperability with appropriate emergency response agencies?	NOT SCORED	0
10.103	Does the agency evaluate its emergency preparedness by using annual field exercises, tabletop exercises, and/or drills? If so, please summarize the exercise events held in the past year.	NOT SCORED	0
10.108	In the last year, has the agency conducted and/or participated in a drill, tabletop exercise, and/or field exercise including scenarios involving (i) IED's and (ii) WMD (chemical, biological, radiological, nuclear) with other transit agencies and first responders (e.g., NTAS scenarios)?	NOT SCORED	0
10.109	In the last year, has the agency reviewed results and prepared after-action reports to assess performance and develop lessons learned for all drills, tabletop, and/or field exercises?	NOT SCORED	0
10.110	In the last 12 months, has the agency updated plans, protocols and processes to incorporate after-action report recommendations/findings and corrective actions? If so, summarize the actions taken in the justification.	NOT SCORED	0
10.113	Does the transit system integrate local and regional first responders (law enforcement, firefighters, emergency medical teams) in drills, tabletop exercises, and/or field exercises? If so, summarize each joint event and state when it took place.	NOT SCORED	0

TSF-6. Public awareness and preparedness campaigns.

7.102	Does the agency provide active public outreach for security awareness and emergency preparedness (e.g., Transit Watch, "If You See Something, Say Something", message boards, brochures, channel cards, posters, fliers)?	NOT SCORED	0
7.103	Is the above consistent with agency's overall announcement program?	NOT SCORED	0
7.104	Are general security awareness and emergency preparedness messages included in public announcement messages at stations and on board vehicles?	NOT SCORED	0

7.105	Are passengers urged to report unattended property, suspicious behavior, and security concerns to uniformed crew members, law enforcement or security personnel, and/or a contact telephone number? If so, summarize the type of materials used and content in the justification.	NOT SCORED	0
7.107	Does the agency have an appropriate mechanism in place for passengers to communicate an (e.g., 1-800 number, smart phone applications, social media, etc.) that can be called or used to report security concerns? If so, is this information indicated in public awareness materials and messages?	NOT SCORED	0
7.109	Does the agency issue public service announcements or press releases to local media (e.g. newspaper, radio and/or television) regarding security and emergency protocols?	NOT SCORED	0

PIAP Tool Guidance

PIAP Checklist Tab:

Column "A" represents the BASE checklist question category and line item

Column "B" represents the line item question

Column "C" represents the score (do not change score values)

Columns "D-F" identify SP, RA, and Justification

Guide for answering dropdown boxes:

Column "H" - HQs will pre-select "Yes" from the drop down box as to whether the PIAP is required within a category.
Column "I" select yes/no from the drop down box as to whether the PIAP toolkit was utilized. Only applicable to those items identified in Column "I".
Column "J" select from the drop down box whether the vulnerability was addressed or unaddressed. Only applicable to those items identified in Column "I".
Column "K" provide additional comments. Only applicable to those items identified in Column "I".

PIAP Conclusion:

Complete the PIAP, update the BASE (on the checklist tab) and resubmit to HQs via the STSIP@dhs.gov email box every three months.

Document Library:

Click on the URL address below to access the document library.

https://team.ishare.tsa.dhs.gov/sites/OpsNet/Inspectors/Surface/BASE/Forms/AllItems.aspx?RootFolder=%2fsites%2fOpsNet%

Color Key:

Transportation Security Fundamentals Questions

Baseline Security Questions

Baseline "Yes" response

Baseline score 2 or below

Please remember this a work in progress!! Your assistance and suggestions for improvements will be appreciated.

For further information or to offer suggestions, please contact:

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Performance Improvement Action Plan (PIAP) Checklist

<<Agency Name>>

Section		Score (do NOT change scoring values)	Smart Security Practice	Rec. Action	Justification	PIAP Required? (Yes/No)	Referenced PIAP Toolkit (Yes/No)	Improvement Status: (Addressed/Unaddressed)	Additional Comments:
	MANAGEMENT AND ACCOUNTABILITY								
1.000	Establish Written System Security Plans (SSPs) and Emergency Response Plans (ERPs)								
1.100	**System Security Plan (SSP)**								
1.101	Does the agency have a System Security Plan (SSP)?	NOT SCORED	0	0	0				
1.102	Does the SSP identify the goals and objectives for the security program?	NOT SCORED	0	0	0				
1.103	Does a written policy statement exist that endorses and adopts the policies and procedures of the SSP that is approved and signed by top management, including the agency's chief executive?	NOT SCORED	0	0	0				
1.104	Is the SSP separate from the agency's System Safety Program Plan (SSPP)?	NOT SCORED	0	0	0				
1.105	Do the Security and Emergency Response Plans address protection and response for critical underwater tunnels, underground stations/ tunnels and critical systems, where applicable?	NOT SCORED	0	0	0				
1.106	Does the SSP contain or reference other documents establishing procedures for the management of security incidents by the operations control center?	NOT SCORED	0	0	0				
1.107	Does the SSP contain or reference other documents establishing plans, procedures, or protocols for responding to security events with external agencies (such as law enforcement, local EMA, fire departments, etc.)?	NOT SCORED	0	0	0				
1.108	Does the SSP contain or reference other documents that establish protocols addressing specific threats from (i) Improvised Explosive Devices (IED) and (ii) Weapons of Mass Destruction (chemical, biological, radiological hazards)?	NOT SCORED	0	0	0				
1.109	Are visible, random security measures integrated into security plans to introduce unpredictability into security activities for deterrent effect?	NOT SCORED	0	0	0				
1.110	Does the SSP include provisions requiring that security be addressed in extensions, major projects, new vehicles and equipment procurement and other capital projects, and including integration with the agency's safety certification process?	NOT SCORED	0	0	0				
1.111	Does the SSP include or reference other documents adopting Crime Prevention Through Environmental Design (CPTED) principles as part of the agency's engineering practices?	NOT SCORED	0	0	0				
1.112	Does the SSP require an annual review?	NOT SCORED	0	0	0				
1.113	Does the agency produce periodic reports reviewing its progress in meeting its SSP goals and objectives?	NOT SCORED	0	0	0				
1.114	Has an annual review of the SSP been performed and documented in the preceding 12 months?	NOT SCORED	0	0	0				
1.115	**N/A Not Governed By 49 CFR Part 659**	4	0	0	N/A				
1.116	**N/A Not Governed By 49 CFR Part 659**	4	0	0	N/A				
1.117	**N/A Not Governed By 49 CFR Part 659**	4	0	0	N/A				
1.200	**Emergency Response Plan (ERP)**								
1.201	Does the agency have an Emergency Response Plan (ERP)?	NOT SCORED	0	0	0				
1.202	Does a written policy statement exist that endorses and adopts the policies and procedures of the ERP that is approved and signed by top management, including the agency's chief executive?	NOT SCORED	0	0	0				
1.203	Does the ERP require an annual review to determine if it needs to be updated?	NOT SCORED	0	0	0				
1.204	Has an annual review of the ERP been performed and documented in the preceding 12 months?	NOT SCORED	0	0	0				
1.205	Does the ERP include a process or review provision to ensure coordination with the agency's SSPP and SSP?	NOT SCORED	0	0	0				
1.206	**N/A Not Governed By 49 CFR Part 659**	4	0	0	N/A				
1.207	Does the ERP contain or reference other documents establishing plans, procedures, or protocols for responding to emergency events with external agencies (such as law enforcement, local EMA, fire departments, etc.)?	NOT SCORED	0	0	0				
1.208	Does the ERP contain or reference other documents that establish procedures for the management of emergency events, including those to be employed by the operations control center?	NOT SCORED	0	0	0				
1.209	Does the ERP contain or reference other documents to provide for Continuity of Operations while responding to emergency events?	NOT SCORED	0	0	0				
1.210	Does the agency have a written Business Recovery Plan to guide restoration of facilities and services following an emergency event?	NOT SCORED	0	0	0				
1.211	Does the agency have a written Business Continuity Plan and COOP to guide restoration of facilities and services following an emergency event?	NOT SCORED	0	0	0				
1.212	Does the agency have a back-up operations control center capability?	NOT SCORED	0	0	0				
2.000	Define Roles and Responsibilities for Security and Emergency Management								
2.100	**System Security Plan (SSP)**								
2.101	Does the SSP establish and assign responsibility for implementation of the security program to a Senior Manager who is a "direct report" to the agency's Chief Executive Officer?	NOT SCORED	0	0	0				
2.102	Has the agency established lines of delegated authority/succession of security responsibilities and, if so, has that information been distributed to agency managers?	NOT SCORED	0	0	0				
2.103	Are roles and responsibilities for security and/or law enforcement personnel assigned by title and/or position established in the SSP or other documents?	NOT SCORED	0	0	0				
2.104	Are security-related roles and responsibilities for non-security and/or law enforcement personnel (i.e., operators, conductors, maintenance workers and station attendants) established in the SSP or other documents?	NOT SCORED	0	0	0				
2.105	Do senior staff and middle management conduct security meetings on a regular basis to review recommendations for changes to plans and processes?	NOT SCORED	0	0	0				
2.106	Does a Security Review Committee (or other designated group) regularly review security incident reports, trends, and program audit findings?	NOT SCORED	0	0	0				

#	Question								
2.107	Are informational briefings with appropriate personnel held whenever security protocols, threat levels, or protective measures are updated or as security conditions warrant?	NOT SCORED	0	0	0				
2.108	Have appropriate reference guides or other written instructions or procedures been distributed to transit employees to implement the requirements of the SSP?	NOT SCORED	0	0	0				
2.109	Has the agency appointed a Primary and Alternate Security Coordinator to serve as its primary and immediate 24-hr contact for intelligence and security-related contact with TSA and are the names of those Coordinators on file with TSA OSPIE office correct?	NOT SCORED	0	0	0				
2.110	Does the agency maintain a record of security related incidents that are reported within the agency?	NOT SCORED	0	0	0				
2.200	**Emergency Response Plan (ERP)**								
2.201	Does the ERP establish and assign responsibility for implementation of the security program to a Senior Manager who is a "direct report" to the agency's Chief Executive Officer?	NOT SCORED	0	0	0				
2.202	Are emergency response roles and responsibilities for all departments identified in the ERP or other supporting documents?	NOT SCORED	0	0	0				
2.203	Are roles and responsibilities for front-line personnel (i.e. system law enforcement, system security officials, train or vehicle operators, conductors, station attendants, maintenance workers) described in the system's Emergency Response Plan (ERP)?	NOT SCORED	0	0	0				
2.204	Has the ERP been distributed to appropriate departments in the organization?	NOT SCORED	0	0	0				
2.205	Have appropriate reference guides or other written instructions or procedures been distributed to transit employees to implement the requirements of the ERP?	NOT SCORED	0	0	0				
2.206	Are senior staff and middle management ERP coordination meetings held on a regular basis?	NOT SCORED	0	0	0				
2.207	Are informational briefings with appropriate personnel held whenever emergency response protocols are substantially changed or updated?	NOT SCORED	0	0	0				
2.208	Does the agency use National Fire Protection Association (NFPA) Standard 130 or equivalent local codes to evaluate fire/life safety in station design or modification (including fire detection systems, firewalls and flame-resistant materials, back-up powered emergency lighting, defaults in turnstile and other systems supporting emergency exists, and pre-recorded public announcements)?	NOT SCORED	0	0	0				
3	**Ensure that operations and maintenance supervisors, forepersons and managers are held accountable for security issues under their control**								
3.101	Do managers and supervisors routinely provide information to front-line personnel regarding security and emergency response issues?	NOT SCORED	0	0	0				
3.102	Are regular supervisor, manager, and/or foreperson security review and coordination briefings held? If so, detail frequency and subjects covered in the justification.	NOT SCORED	0	0	0				
3.103	Does the agency have a program for confirming that personnel have a working knowledge of security protocols? If so, summarize program in the justification.	NOT SCORED	0	0	0				
3.104	Are managers and/or supervisors required to debrief front-line employees regarding their involvement in or management of any security or emergency incidents?	NOT SCORED	0	0	0				
4.000	**Coordinate Security and Emergency Management Plan(s) with local and regional agencies**								
4.101	Have Mutual Aid agreements been established between the agency and entities in the area that would be called upon to supplement the agency's resources in the event of an emergency event?	NOT SCORED	0	0	0				
4.102	Does the agency participate in a regional Emergency Management Working Group or similar regional coordinating body for emergency preparedness and response?	NOT SCORED	0	0	0				
4.103	Have regional incident management protocols been shared with the agency and incorporated into the agency's ERP?	NOT SCORED	0	0	0				
4.104	Have agency resources been appropriately identified and provided to the regional EMA?	NOT SCORED	0	0	0				
4.105	Does the agency have a designated point-of-contact or liaison with the local/regional Emergency Operations Center (EOC)?	NOT SCORED	0	0	0				
4.106	Does the agency send a representative to the local/regional EOC, should it be activated?	NOT SCORED	0	0	0				
4.107	Does the agency have information sharing capabilities with the regional/local EOC (i.e., contacts, procedures, resource inventories, etc.)?	NOT SCORED	0	0	0				
4.108	Has the agency developed internal incident management protocols that comply with the National Response Plan and the National Incident Management System?	NOT SCORED	0	0	0				
4.109	Have the agency's emergency response protocols been shared with the EMA and appropriate first responder agencies?	NOT SCORED	0	0	0				
4.110	Has the transit system tested its communications systems for interoperability with appropriate emergency response agencies?	NOT SCORED	0	0	0				
4.111	If the agency's communications systems are NOT inter-operable with appropriate emergency response agencies, have alternate communication protocols been established? Describe the alternate communication protocols in the justification.	NOT SCORED	0	0	0				
	SECURITY AND EMERGENCY RESPONSE TRAINING								
5.000	**Establish and Maintain a Security and Emergency Training Program**								
5.101	Is initial training provided to all new agency employees regarding security orientation/awareness?	NOT SCORED	0	0	0				
5.102	Is annual refresher training provided regarding **security** orientation/awareness to Senior Management staff, managers and supervisors?	NOT SCORED	0	0	0				
5.103	Is annual refresher training provided regarding **security** orientation/awareness to managers and supervisors?	NOT SCORED	0	0	0				
5.104	Is annual refresher training provided regarding **security** orientation/awareness to front-line employees?	NOT SCORED	0	0	0				
5.105	Is ongoing advanced **security** training focused on job function provided at least annually?	NOT SCORED	0	0	0				
5.106	Is initial training provided to all new agency employees regarding emergency response?	NOT SCORED	0	0	0				
5.107	Is annual refresher training on emergency response provided to Senior Management staff, supervisors, and manager?	NOT SCORED	0	0	0				
5.108	Is annual refresher training on emergency response provided Managers and Supervisors?	NOT SCORED	0	0	0				
5.109	Is annual refresher training provided regarding emergency response to Front-line Employees?	NOT SCORED	0	0	0				
5.110	Have agency employees received general training on Incident Command System (ICS) procedures in accordance with National Incident Management System at least annually?	NOT SCORED	0	0	0				
5.111	Has ICS and NIMS training appropriate to the position been provided to Senior Management staff, supervisors, and managers at least annually?	NOT SCORED	0	0	0				
5.112	Has ICS and NIMS training appropriate to the position been provided to managers and supervisors at least annually?	NOT SCORED	0	0	0				

#	Question	Status							
5.113	Has ICS and NIMS training appropriate to the position been provided to front-line employees at least annually?	NOT SCORED	0	0	0				
5.114	Has the agency developed a program and provided annual training on its own incident response protocols?	NOT SCORED	0	0	0				
5.115	Has training on the agency's incident response protocols appropriate to the position been provided to Senior Management staff, managers and supervisors at least annually?	NOT SCORED	0	0	0				
5.116	Has training on the agency's incident response protocols appropriate to the position been provided to managers and supervisors at least annually?	NOT SCORED	0	0	0				
5.117	Has training on the agency's incident response protocols appropriate to the position been provided to front-line employees at least annually?	NOT SCORED	0	0	0				
5.118	Has the transit system implemented an annual training program for personnel regarding response to terrorism, including (i) Improvised Explosive Devices and ii) Weapons of Mass Destruction (chemical, biological, radiological, nuclear)? If so, summarize the relevant programs in justification.	NOT SCORED	0	0	0				
5.119	Has training focused on IEDs and WMDs appropriate to the position been provided to Senior Management staff, managers, and supervisors at least annually?	NOT SCORED	0	0	0				
5.120	Has training focused on IEDs and WMDs appropriate to the position been provided to manager and supervisors at least annually?	NOT SCORED	0	0	0				
5.121	Has training focused on IEDs and WMDs appropriate to the position been provided to front-line employees at least annually?	NOT SCORED	0	0	0				
5.122	Do law enforcement/security department personnel at the agency receive specialized training in counter-terrorism annually? Summarize program in the justification.	NOT SCORED	0	0	0				
5.123	Do law enforcement/security department personnel at the agency receive specialized training supporting their incident management and emergency response roles at least annually? Summarize program in justification.	NOT SCORED	0	0	0				
5.124	Does the agency have an established program to monitor employee training and to schedule employees for training as needed?	NOT SCORED	0	0	0				
5.125	Does the agency have a system that records and tracks personnel training for all security-related courses (including initial, annual, periodic and other)?	NOT SCORED	0	0	0				
5.126	Does the agency have a system that records and tracks personnel training for emergency response courses (including initial, annual, periodic and other)?	NOT SCORED	0	0	0				
5.127	Does the agency have a program to regularly review and update security awareness and emergency response training materials?	NOT SCORED	0	0	0				
5.128	Are all appropriate personnel notified via briefings, email, voicemail, or signage of changes in threat condition, protective measures or the employee watch programs?	NOT SCORED	0	0	0				
5.129	Do the agency's security awareness and emergency response training programs cover response and recovery operations in critical facilities and infrastructure? If so, summarize relevant provisions of program in the justification.	NOT SCORED	0	0	0				
5.130	Has the agency provided training to regional first responders (law enforcement agencies, firefighters, and emergency medical response teams) to enable them to operate in critical facilities and infrastructure?	NOT SCORED	0	0	0				
5.131	Does training of agency law enforcement and/or security personnel integrate the concept and employment of visible, random security measures?	NOT SCORED	0	0	0				
5.132	Has the agency implemented a program to annually train or orient first responders (law enforcement, firefighters, emergency medical teams) and other potential supporting assets (e.g., TSA regional personnel for VIPR exercises) on railroad familiarization?	NOT SCORED	0	0	0				

NATIONAL TERRORISM ADVISORY SYSTEM (NTAS)

6.000	Establish plans and protocols to respond to the DHS National Terrorism Advisory System (NTAS).								
6.101	Does the SSP contain or reference other documents identifying incremental actions (imminent or elevated) to be implemented for a NTAS threat?	NOT SCORED	0	0	0				
6.102	Does the agency have actionable operational response protocols for the specific threat scenarios from NTAS?	NOT SCORED	0	0	0				
6.103	Has the agency provided annual training and/or instruction focused on job function regarding the incremental activities to be performed by employees?	NOT SCORED	0	0	0				

PUBLIC AWARENESS

7.000	Implement and reinforce a Public Security and Emergency Awareness program:								
7.101	Has the agency developed and implemented a public security and emergency awareness program?	NOT SCORED	0	0	0				
7.102	Does the agency provide active public outreach for security awareness and emergency preparedness (e.g., Transit Watch, "If You See Something, Say Something", message boards, brochures, channel cards, posters, fliers)?	NOT SCORED	0	0	0				
7.103	Is the above consistent with agency's overall announcement program?	NOT SCORED	0	0	0				
7.104	Are general security awareness and emergency preparedness messages included in public announcement messages at stations and on board vehicles?	NOT SCORED	0	0	0				
7.105	Are passengers urged to report unattended property, suspicious behavior, and security concerns to uniformed crew members, law enforcement or security personnel, and/or a contact telephone number? If so, summarize the type of materials used and content in the justification.	NOT SCORED	0	0	0				
7.106	Does the agency use Transit Watch materials in its security and emergency awareness program?	NOT SCORED	0	0	0				
7.107	Does the agency have an appropriate mechanism in place for passengers to communicate an (e.g., 1-800 number, smart phone applications, social media, etc.) that can be called or used to report security concerns? If so, is this information indicated in public awareness materials and messages?	NOT SCORED	0	0	0				
7.108	Does the agency issue public service announcements or press releases to local media (e.g. newspaper, radio, television, social media, QRC codes, and/or apps for smart phones) regarding security and emergency protocols?	NOT SCORED	0	0	0				
7.109	Does the agency issue public service announcements or press releases to local media (e.g. newspaper, radio, television, social media, QRC codes, and/or apps for smart phones) regarding security and emergency protocols?	NOT SCORED	0	0	0				
7.110	Does the agency conduct a volunteer training program for non-employees to aid with system evacuations and emergency response?	NOT SCORED	0	0	0				

#	Question	Status							
7.111	Does the agency conduct an outreach program to enlist members of the public as security awareness volunteers, similar to Neighborhood Watch programs?	NOT SCORED	0	0	0				
7.112	Do public awareness materials and/or messages inform passengers on the means to evacuate safely from transit vehicles and/or from underwater/underground facilities?	NOT SCORED	0	0	0				
7.113	Does the system integrate randomness and unpredictability into its security activities to enhance deterrent effect?	NOT SCORED	0	0	0				
7.114	Does the agency track and monitor customer complaints reported by passengers?	NOT SCORED	0	0	0				
ESTABLISH A RISK ASSESSMENT AND INFORMATION SHARING PROCESS									
8	**Establish and use a Risk Management Process to assess and manage threats, vulnerabilities and consequences**								
8.101	Does the agency have a risk assessment process approved by its management, for managing threats and vulnerabilities? If so, summarize the process in the justification.	NOT SCORED	0	0	0				
8.102	Has the agency identified facilities and systems it considers to be its critical assets?	NOT SCORED	0	0	0				
8.103	Has the agency had an internal or external risk or vulnerability assessment on its critical assets within the past 3 years? Specify the dates of the most recent assessments and the entity(ies) that conducted the assessment(s).	NOT SCORED	0	0	0				
8.104	Based on the results of question 9.103, has a Risk Assessment, analyzing threat, vulnerability, & consequence, been conducted for critical assets and infrastructure, and systems within the past 3 years? Have management and staff responsible for the risk assessment process been properly trained to manage the process?	NOT SCORED	0	0	0				
8.105	Has the system implemented procedures to limit and monitor authorized access to underground and underwater tunnels? If so, summarize procedures in the justification.	NOT SCORED	0	0	0				
8.106	Are security investments prioritized using information developed in the risk assessment process?	NOT SCORED	0	0	0				
8.107	Has the date of the most recent vulnerability assessment been provided to TSA at sd.masstransit@dhs.gov?	NOT SCORED	0	0	0				
8.108	Upon request, has TSA been provided access to the agency's vulnerability assessments, Security Plan and related documents?	NOT SCORED	0	0	0				
ESTABLISH A RISK ASSESSMENT AND INFORMATION SHARING PROCESS									
9.000	**Establish and use a Risk Management Process to assess and manage threats, vulnerabilities and consequences**								
9.101	Does the agency have a formalized process and procedures for reporting and exchange of threat and intelligence information with Federal, State, and/or local law enforcement agencies?	NOT SCORED	0	0	0				
9.102	Does the system report threat and intelligence information directly to FBI Joint Terrorism Task Force (JTTF) or other regional anti-terrorism task force?	NOT SCORED	0	0	0				
9.103	Does the system have a protocol to report threats or significant security concerns to appropriate law enforcement authorities, and TSA's Transportation Security Operations Center (TSOC)?	NOT SCORED	0	0	0				
9.104	Does the agency routinely receive threat and intelligence information directly from any Federal government agency, State Homeland Security Office, Regional or State Intelligence Fusion Center, PT-ISAC, or other transit agencies?	NOT SCORED	0	0	0				
9.105	Does the agency report their NTD security data to FTA as required by 49 CFR 659?	NOT SCORED	0	0	0				
10.000	**Conduct Tabletop and Functional Drills**								
10.101	Does the agency's System Safety Program Plan (SSPP) contain or reference a document describing the process used by the agency to develop an approved, coordinated schedule for all emergency management program activities, including local/regional emergency planning and participation in exercises and drills?	NOT SCORED	0	0	0				
10.102	Does the agency's SSPP or SSP describe or reference how the agency performs its emergency planning responsibilities and requirements regarding emergency drills and exercises?	NOT SCORED	0	0	0				
10.103	Does the agency evaluate its emergency preparedness by using annual field exercises, tabletop exercises, and/or drills? If so, please summarize the exercise events held in the past year.	NOT SCORED	0	0	0				
10.104	Does the agency's SSPP or a related document include a requirement for annual field exercises, tabletops and drills?	NOT SCORED	0	0	0				
10.105	Does the agency's SSPP or SSP describe or reference how the agency documents the results of its emergency preparedness evaluations (i.e., briefings, after action reports and implementation of findings)?	NOT SCORED	0	0	0				
10.106	Does the agency's SSPP or a related document describe or reference its program for providing employee training on emergency response protocols and procedures?	NOT SCORED	0	0	0				
10.107	Does the agency participate as an active player in full-scale, regional exercises held at least annually?	NOT SCORED	0	0	0				
10.108	In the last year, has the agency conducted and/or participated in a drill, tabletop exercise, and/or field exercise including scenarios involving (i) IED's and (ii) WMD (chemical, biological, radiological, nuclear) with other transit agencies and first responders (e.g., NTAS scenarios)?	NOT SCORED	0	0	0				
10.109	In the last year, has the agency reviewed results and prepared after-action reports to assess performance and develop lessons learned for all drills, tabletop, and/or field exercises?	NOT SCORED	0	0	0				
10.110	In the last 12 months, has the agency updated plans, protocols and processes to incorporate after-action report recommendations/findings and corrective actions? If so, summarize the actions taken in the justification.	NOT SCORED	0	0	0				
10.111	Has the agency established metrics to assess its performance during emergency exercises and to measure improvements?	NOT SCORED	0	0	0				
10.112	Does the system conduct drills and exercises of its security and emergency response plans to test capabilities of i) employees and ii) first responders to operate effectively in underwater/underground infrastructure?	NOT SCORED	0	0	0				
10.113	Does the transit system integrate local and regional first responders (law enforcement, firefighters, emergency medical teams) in drills, tabletop exercises, and/or field exercises? If so, summarize each joint event and state when it took place.	NOT SCORED	0	0	0				
11.000	**Developing a Comprehensive Cyber Security Strategy**								
11.101	Has the agency conducted a risk assessment to identify operational control and communication/business enterprise IT assets and potential vulnerabilities?	NOT SCORED	0	0	0				

#	Question								
11.102	Has the agency implemented protocols to ensure that all IT facilities (e.g., data centers, server rooms, etc) and equipment are properly secured to guard against internal or external threats or attacks?	NOT SCORED	0	0	0				
11.103	Has a written strategy been developed and integrated into the overall security program to mitigate the cyber risk identified?	NOT SCORED	0	0	0				
11.104	Does the agency have a designated representative to secure the internal network through appropriate access controls for employees, a strong authentication (i.e., password) policy, encrypting sensitive data, and employing network security infrastructure (example: firewalls, intrusion detection systems, IT security audits, antivirus, etc)?	NOT SCORED	0	0	0				
11.105	Does the agency ensure that recurring cyber security training reinforces security roles, responsibilities, and duties of employees at all levels to protect against and recognize cyber threats?	NOT SCORED	0	0	0				
11.106	Has the agency established a cyber-incident response and reporting protocol?	NOT SCORED	0	0	0				
11.107	Is the agency aware of and using available resources (e.g., standards, PT-ISAC, US CERT, National Cyber Security Communication and Integration Center, etc)?	NOT SCORED	0	0	0				
	FACILITY SECURITY AND ACCESS CONTROLS								
12.000	**Control Access to Security Critical Facilities with ID badges for all visitors, employees and contractors**								
12.101	Have assets and facilities requiring restricted access been identified?	NOT SCORED	0	0	0				
12.102	Are ID badges or other measures employed to restrict access to facilities not open to the public?	NOT SCORED	0	0	0				
12.103	Has the agency developed and implemented procedures to monitor, update and document access control (e.g. card key, ID badges, keys, safe combinations, etc.)?	NOT SCORED	0	0	0				
12.104	Does the agency have procedures to issue ID badges for visitors and contractors?	NOT SCORED	0	0	0				
12.105	Does the agency require escorts for visitors accessing non-public areas?	NOT SCORED	0	0	0				
12.106	Is CCTV equipment installed at the agency's facilities?	NOT SCORED	0	0	0				
12.107	Is CCTV equipment protecting critical assets interfaced with an access control system?	NOT SCORED	0	0	0				
12.108	Is CCTV equipment installed on transit vehicles?	NOT SCORED	0	0	0				
12.109	Are Crime Prevention through Environmental Design (CPTED) and technology (e.g., CCTV, access control, intrusion detection, bollards, etc) incorporated into design criteria for all new and/or existing capital projects?	NOT SCORED	0	0	0				
12.110	Based on the risk assessment, does the agency use fencing, barriers, and/or intrusion detection to protect against unauthorized entry into stations, facilities, and other identified critical assets?	NOT SCORED	0	0	0				
12.111	Has the system implemented protective measures to secure high risk/high consequence assets and systems identified in risk assessments? Examples of protective measures include but are not limited to CCTV, intrusion detection systems, smart camera technology, fencing, enhanced lighting, access control, LE patrols, K-9s, protection of ventilation systems. If protective measures for this infrastructure are employed, summarize type and location in the justification.	NOT SCORED	0	0	0				
12.112	Does the agency monitor a network of security, fire, duress, intrusion, utility and internal 911 alarm systems?	NOT SCORED	0	0	0				
12.113	Are emergency call boxes provided for passengers?	NOT SCORED	0	0	0				
12.114	Do agency personnel administer an automated employee access control system and perform corrective analysis of security breaches?	NOT SCORED	0	0	0				
12.115	Does the agency have policies and procedures for screening of mail and/or outside deliveries?	NOT SCORED	0	0	0				
12.116	Have locks, bullet resistant materials and anti-fragmentation materials been installed/used at critical locations?	NOT SCORED	0	0	0				
12.117	Does the agency use National Fire Protection Association (NFPA) Standard 130 or equivalent local codes to evaluate fire/life safety in station design or modification (including fire detection systems, firewalls and flame-resistant materials, back-up powered emergency lighting, defaults in turnstile and other systems supporting emergency exists, and pre-recorded public announcements)?	NOT SCORED	0	0	0				
12.118	Is directional signage with adequate lighting provided in a consistent manner in all **stations,** both to provide orientation and to support emergency evacuation?	NOT SCORED	0	0	0				
12.119	Are gates and locks used on all facility doors to prevent unauthorized access?	NOT SCORED	0	0	0				
12.120	Are keys controlled through an established program managed by the security/police function?	NOT SCORED	0	0	0				
12.121	Are gates and locks also used to close down system facilities after operating hours?	NOT SCORED	0	0	0				
12.122	Do passenger vehicles have radios, silent alarms, and/or passenger communication systems?	NOT SCORED	0	0	0				
12.123	Does the agency use graffiti-resistant/etch-resistant materials for walls, ceilings, and windows?	NOT SCORED	0	0	0				
12.124	Are Uninterruptible Power Supply (UPS) or redundant power sources provided for safety and security of critical equipment, such as but not limited to: exit and platform lighting; parking lot lighting; ancillary space and shop lighting; intrusion detection (alarmed rooms and spaces, fare collection equipment, etc.); fire detection, alarm and suppression systems; public address (shop and public areas); call-for-aid telephones; CCTV; emergency trip stations; vital train control functions; etc.?	NOT SCORED	0	0	0				
12.125	At passenger stations at which a vulnerability assessment has identified a significant risk, and to the extent practicable, has the owner/operator removed trash receptacles and other non-essential receptacles or containers (with the exception of bomb resistant receptacles or clear plastic containers) from the platform areas of passenger terminals and stations?	NOT SCORED	0	0	0				
12.126	Does the agency employ specific protective measures for all critical infrastructure (e.g., tunnels, bridges, stations, control centers, etc), identified through the risk assessment particularly at access points and ventilation infrastructure in place and maintained in optimal condition? Examples of protective measures include, but are not limited to, CCTV, intrusion detection systems, smart camera technology, fencing, lighting, access control, law enforcement patrols, canine patrols, physical protection for ventilation systems. If protective measures for this infrastructure are employed, summarize type and location in the justification.	NOT SCORED	0	0	0				
12.127	Does the agency have or utilize explosive detection canine teams, either maintained by the system or made available from other law enforcement agencies? If so, has the system implemented procedures for reporting of and response to positive reactions by the canine?	NOT SCORED	0	0	0				

#	Question								
12.128	Is there a process in place, with necessary training provided to personnel, to ensure that in service rail cars are inspected at regular periodic intervals for suspicious or unattended items? Specify type and frequency of inspections.	NOT SCORED	0	0	0				
12.129	Is there a process in place, with necessary training provided to personnel, to ensure that all critical infrastructure are inspected at regular periodic intervals for suspicious or unattended items? Specify type and frequency of inspections.	NOT SCORED	0	0	0				
13.000	**Conduct Physical Security Inspections**								
13.101	Does the system conduct frequent inspections of key facilities, stations, terminals, trains and vehicles, or other key assets for persons, materials, and items that do not belong?	NOT SCORED	0	0	0				
13.102	Has the agency established procedures for inspecting/sweeping vehicles and stations to identify and manage suspicious items, based on HOT characteristics (hidden, obviously suspicious, not typical) or equivalent system?	NOT SCORED	0	0	0				
13.103	Has the agency developed a form or quick reference guide for operations and personnel for the conduct of pre-trip, post-trip, and within trip inspections?	NOT SCORED	0	0	0				
13.104	Has the agency developed a form or quick reference guide for station attendants and others regarding station and facility inspections?	NOT SCORED	0	0	0				
13.105	Does the system document the results of inspections and implement any changes to policies and procedures or implement corrective actions, based on the findings?	NOT SCORED	0	0	0				
13.106	Does the agency conduct frequent inspections of access points, ventilation systems, and the interior of underground/underwater assets and systems for indications of suspicious activity?	NOT SCORED	0	0	0				
13.107	Does the system integrate randomness and unpredictability into its security activities to enhance deterrent effect?	NOT SCORED	0	0	0				
	BACKGROUND INVESTIGATIONS								
14.000	**Conduct Background Investigations of Employees and Contractors**								
14.101	Does the agency conduct background investigations (i.e., criminal history and motor vehicle records) on all new front-line operations and maintenance employees, and employees with access to sensitive security information, facilities and systems?	NOT SCORED	0	0	0				
14.102	To the extent allowed by agency policy or law, does the agency conduct background investigations on contractors, including vendors, with access to critical facilities, sensitive security systems, and sensitive security information?	NOT SCORED	0	0	0				
14.103	Has counsel for the agency reviewed the process for conducting employee background investigations to confirm that procedures are consistent with applicable statutes and regulations?	NOT SCORED	0	0	0				
14.104	Is the background investigation process documented?	NOT SCORED	0	0	0				
14.105	Are the criteria for background investigations based on employee type (senior management staff, law enforcement officers, managers/supervisors, operators, maintenance, safety/security sensitive, contractor, etc.) and/or responsibility and access documented?	NOT SCORED	0	0	0				
	DOCUMENT CONTROL								
15.000	**Control Access to documents of security critical systems and facilities**								
15.101	Does the agency keep documentation of its security critical systems, such as tunnels, bridges, HVAC systems and intrusion alarm detection systems (i.e. plans, schematics, etc.) protected from unauthorized access?	NOT SCORED	0	0	0				
15.102	Has the agency designated a department/person responsible for administering the access control policy with respect to agency documents?	NOT SCORED	0	0	0				
15.103	Does the security review committee (or other designated group) review document control practices, assess compliance applicable procedures, and identify discrepancies and necessary corrective action?	NOT SCORED	0	0	0				
16.000	**Process for handling and access to Sensitive Security Information (SSI)**								
16.101	Does the agency have a documented policy for identifying and controlling the distribution of and access to documents it considers to be Sensitive Security Information (SSI) pursuant to 49 CFR Part 15 or 1520?	NOT SCORED	0	0	0				
16.102	Does the agency have a documented policy for proper handling, control, and storage of documents labeled as or otherwise determined to be Sensitive Security Information (SSI) pursuant to 49 CFR Part 15 or 1520?	NOT SCORED	0	0	0				
16.103	Are employees who may be provided SSI materials per 49 CFR Part 15 or 1520) familiar with the documented policy for the proper handling of such materials?	NOT SCORED	0	0	0				
16.104	Have employees provided access to SSI material per 49 CFR Part 15 or 1520 received training on proper labeling, handling, dissemination, and storage (such as through the TSA on-line SSI training program)?	NOT SCORED	0	0	0				
	SECURITY PROGRAM AUDITS								
17.000	**Audit Program**								
17.101	Has the agency established a schedule for conducting its internal security audit process?	NOT SCORED	0	0	0				
17.102	Does the SSP contain a description of the process used by the agency to audit its implementation of the SSP over the course of the agency's published schedule?	NOT SCORED	0	0	0				
17.103	Has the agency established checklists and procedures to govern the conduct of its internal security audit process?	NOT SCORED	0	0	0				
17.104	Is the agency complying with its internal security audit schedule?	NOT SCORED	0	0	0				
17.105	Is each internal security audit documented in a written report, which includes evaluation of the adequacy and effectiveness of the SSP element and applicable implementing procedures audited, needed corrected actions, needed recommendations, an implementation schedule for corrective actions and status reporting?	NOT SCORED	0	0	0				
17.106	In the last 12 months, has the Security Review Committee (or other designated group) addressed the findings and recommendations from the internal security audits, and updated plans, protocols and processes as necessary?	NOT SCORED	0	0	0				
17.107	Does the agency's internal security audit process ensure that auditors are independent from those responsible for the activity being audited?	NOT SCORED	0	0	0				
17.108	**N/A Not Governed By 49 CFR Part 659**	4	0	0	N/A				
17.109	**N/A Not Governed By 49 CFR Part 659**	4	0	0	N/A				
17.110	**N/A Not Governed By 49 CFR Part 659**	4	0	0	N/A				
17.111	**N/A Not Governed By 49 CFR Part 659**	4	0	0	N/A				
17.112	**N/A Not Governed By 49 CFR Part 659**	4	0	0	N/A				
17.113	**N/A Not Governed By 49 CFR Part 659**	4	0	0	N/A				
17.114	**N/A Not Governed By 49 CFR Part 659**	4	0	0	N/A				
	This should be 0 if all Line Items were rated	**195**	0	0	Total SPs and RAs				

Number of Yes responses Number of Yes responses Addressed responses

0	# of 0,1 and 2
0	**This should be 0 if the # of RAs match the # of ratings ≤ 2**

Color Key:

○ Transportation Security Fundamentals Questions

○ Baseline Security Questions

○ Baseline "Yes" response

● Baseline score 2 or below

SENSITIVE SECURITY INFORMATION

Baseline **A**ssessment & **S**ecurity **E**nhancement Review

Transit Agency Overview

<<Agency Name>>		

Action Item Description	Performance	Baseline Performance
1. Establish written Security Programs and Emergency Management Plans.	12%	0%
2. Define roles and responsibilities for security and emergency management.	0%	0%
3. Ensure that operations and maintenance supervisors, forepersons, and managers are held accountable for security issues under their control.	0%	0%
4. Coordinate Security and Emergency Management Plan(s) with local and regional agencies.	0%	0%
5. Establish and maintain a Security and Emergency Training Program	0%	0%
6. Establish plans and protocols to respond to the National Terrorism Advisory System (NTAS) alert system.	0%	0%
7. Implement and reinforce a Public Security and Emergency Awareness program.	0%	0%
8. Establish and use a risk management process.	0%	0%
9. Establish and use an information sharing process for threat and intelligence information.	0%	0%
10. Conduct Tabletop and Functional Drills.	0%	0%
11. Developing a Comprehensive Cyber Security Strategy.	0%	0%
12. Control access to security critical facilities with ID badges for all visitors, employees and contractors.	0%	0%
13. Conduct physical security inspections.	0%	0%
14. Conduct background investigations of employees and contractors.	0%	0%
15. Control access to documents of security-critical systems and facilities.	0%	0%
16. Ensure existence of a process for handling and access to Sensitive Security Information (SSI).	0%	0%
17. Conduct Security Program audits.	42%	0%

Category Score Average   **3%**

Color Key:

🟢 Requirements have been met.	🔵 Requirements have been met and substantially exceeded with actions or programs that may be considered to be "Best Practices."
🟡 Requirements are partially met and/or are in the process of being completed.	🔶 Requirements only partially met but agency has program that may be considered to be a "Best Practice."
🔴 Does not meet requirements as described in reference materials.	🔴 Requirements not met but agency has program that may be considered to be a "Best Practice."

SENSITIVE SECURITY INFORMATION

Baseline Assessment & Security Enhancement Review

Transit Agency Overview

<<Agency Name>>

Transit Security Fundamentals	**Performance**	
TSF-1. Protection of high risk/high consequence underwater/underground assets and systems.		0%
TSF-2. Protection of other high risk/high consequence assets that have been identified through system-wide risk assessments.		0%
TSF-3. Use of visible, unpredictable deterrence.		0%
TSF-4. Targeted counter-terrorism training for key front-line staff.		0%
TSF-5. Emergency preparedness drills and exercises.		0%
TSF-6. Public awareness and preparedness campaigns.		0%

Color Key:

🟢	Requirements have been met.	🔵	Requirements have been met and substantially exceeded with actions or programs that may be considered to be "Best Practices."
🟡	Requirements are partially met and/or are in the process of being completed.	🔷	Requirements only partially met but agency has program that may be considered to be a "Best Practice."
🔴	Does not meet requirements as described in reference materials.	🔴	Requirements not met but agency has program that may be considered to be a "Best Practice."

01/30/2021

BASE Technical Scoring Sheet   This sheet is for data analysis only.

Top 17 Scoring Detail

Category		Questions	Score	Weight	Points	Possible	Grade
		MANAGEMENT AND ACCOUNTABILITY					
1.000		Establish Written System Security Plans (SSPs) and Emergency Response Plans (ERPs)		0.0071720	0.0601740		12%
1.100		System Security Plan (SSP)		0.0048320	0.0300840		16%
1.101	B	Does the transit agency have a System Security Plan (SSP)?	NOT SCORED	0.000472	NOT SCORED	0.001888	NOT SCORED
1.102		Does the SSP identify the goals and objectives for the security program?	NOT SCORED	0.000465	NOT SCORED	0.001860	NOT SCORED
1.103	B	Does a written policy statement exist that endorses and adopts the policies and procedures of the SSP that is approved and signed by top management, including the agency's chief executive?	NOT SCORED	0.000466	NOT SCORED	0.001864	NOT SCORED
1.104	B	Is the SSP separate from the agency's System Safety Program Plan (SSPP)?	NOT SCORED	0.000385	NOT SCORED	0.001540	NOT SCORED
1.105	B T1	Do the Security and Emergency Response Plans address protection and response for critical underwater tunnels, underground stations/ tunnels and critical systems, where applicable?	NOT SCORED	0.000459	NOT SCORED	0.001836	NOT SCORED
1.106		Does the SSP contain or reference other documents establishing procedures for the management of security incidents by the operations control center?	NOT SCORED	0.000445	NOT SCORED	0.001780	NOT SCORED
1.107	B	Does the SSP contain or reference other documents establishing plans, procedures, or protocols for responding to security events with external agencies (such as law enforcement, local EMA, fire departments, etc.)?	NOT SCORED	0.000450	NOT SCORED	0.00180	NOT SCORED
1.108		Does the SSP contain or reference other documents that establish protocols addressing specific threats from (i) Improvised Explosive Devices (IED) and (ii) Weapons of Mass Destruction (chemical, biological, radiological hazards)?	NOT SCORED	0.000463	NOT SCORED	0.001852	NOT SCORED
1.109	T3	Are visible, random security measures integrated into security plans to introduce unpredictability into security activities for deterrent effect?	NOT SCORED	0.000454	NOT SCORED	0.001816	NOT SCORED
1.110		Does the SSP include provisions requiring that security be addressed in extensions, major projects, new vehicles and equipment procurement and other capital projects, and including integration with the transit agency's safety certification process?	NOT SCORED	0.000465	NOT SCORED	0.001860	NOT SCORED
1.111		Does the SSP include or reference other documents adopting Crime Prevention Through Environmental Design (CPTED) principles as part of the agency's engineering practices?	NOT SCORED	0.000454	NOT SCORED	0.001816	NOT SCORED
1.112		Does the SSP require an annual review?	NOT SCORED	0.000449	NOT SCORED	0.00180	NOT SCORED
1.113		Does the transit agency produce periodic reports reviewing its progress in meeting its SSP goals and objectives?	NOT SCORED	0.000438	NOT SCORED	0.001752	NOT SCORED
1.114		Has an annual review of the SSP been performed and documented in the preceding 12 months?	NOT SCORED	0.000447	NOT SCORED	0.001788	NOT SCORED
1.115		N/A Not Governed By 49 CFR Part 659	4	0.000400	0.0016	0.001600	100%
1.116		N/A Not Governed By 49 CFR Part 659	4	0.000406	0.001624	0.001624	100%
1.117		N/A Not Governed By 49 CFR Part 659	4	0.000402	0.001608	0.001608	100%
1.200		Emergency Response Plan (ERP)		0.00234	0.03009		8%
1.201	B	Does the transit agency have an Emergency Response Plan (ERP)?	NOT SCORED	0.000652	NOT SCORED	0.002608	NOT SCORED
1.202	B	Does a written policy statement exist that endorses and adopts the policies and procedures of the ERP that is approved and signed by top management, including the agency's chief executive?	NOT SCORED	0.000643	NOT SCORED	0.002572	NOT SCORED
1.203	B	Does the ERP require an annual review to determine if it needs to be updated?	NOT SCORED	0.000631	NOT SCORED	0.002524	NOT SCORED
1.204		Has an annual review of the ERP been performed and documented in the preceding 12 months?	NOT SCORED	0.000631	NOT SCORED	0.002524	NOT SCORED

Transit Security Fundamentals Scoring Detail

Line	Element 1		Element 2		Element 3		Element 4		Element 5		Element 6	
Grade:	0%		0%		0%		0%		0%		0%	
	Points	Possible	Points	Possible	Points	Possible	Points	Possible	Points	Possible	Points	Possible
Totals:	0	0.039533	0	0.123892	0	0.00834889	0	0.02809735	0	0.0315	0	0.02622518
1.101												
1.102												
1.103												
1.104												
1.105	NOT SCORED	0.001836										
1.106												
1.107												
1.108												
1.109					NOT SCORED	0.001816						
1.110												
1.111												
1.112												
1.113												
1.114												
1.115												
1.116												
1.117												
1.200												
1.201												
1.202												
1.203												
1.204												

Baseline Security Scoring Detail

Line	Element 1	
Grade:	0%	
	0.000000	0.026592
Totals:	0.000000	0.008928
1.101	NOT SCORED	0.001888
1.102		
1.103	NOT SCORED	0.001864
1.104	NOT SCORED	0.001540
1.105	NOT SCORED	0.001836
1.106		
1.107	NOT SCORED	0.001800
1.108		
1.109		
1.110		
1.111		
1.112		
1.113		
1.114		
1.115		
1.116		
1.117		
1.200	0.000000	0.017664
1.201	NOT SCORED	0.002608
1.202	NOT SCORED	0.002572
1.203	NOT SCORED	0.002524
1.204		

SENSITIVE SECURITY INFORMATION

Top 17 Scoring Detail

Category		Questions	Score	Weight	Points	Possible	Grade
		MANAGEMENT AND ACCOUNTABILITY					
1.205	B	Does the ERP include a process or review provision to ensure coordination with the rail transit agency's SSPP and SSP?	NOT SCORED	0.000612	NOT SCORED	0.002448	NOT SCORED
1.206		N/A Not Governed By 49 CFR Part 659	4	0.000585	0.00234	0.00234	100%
1.207	B	Does the ERP contain or reference other documents establishing plans, procedures, or protocols for responding to emergency events with external agencies (such as law enforcement, local EMA, fire departments, etc.)?	NOT SCORED	0.000629	NOT SCORED	0.002516	NOT SCORED
1.208	B	Does the ERP contain or reference other documents that establish procedures for the management of emergency events, including those to be employed by the operations control center?	NOT SCORED	0.000623	NOT SCORED	0.002492	NOT SCORED
1.209		Does the ERP contain or reference other documents to provide for Continuity of Operations while responding to emergency events?	NOT SCORED	0.000634	NOT SCORED	0.002536	NOT SCORED
1.210		Does the agency have a written Business Recovery Plan to guide restoration of facilities and services following an emergency event?	NOT SCORED	0.000628	NOT SCORED	0.002512	NOT SCORED
1.211		Does the agency have a written Business Continuity Plan and COOP to guide restoration of facilities and services following an emergency event?	NOT SCORED	0.000629	NOT SCORED	0.002516	NOT SCORED
1.212	B	Does the agency have a back-up operations control center capability?	NOT SCORED	0.000626	NOT SCORED	0.002504	NOT SCORED
2.000		Define Roles and Responsibilities for Security and Emergency Management			0	0.059566	0%
2.100		System Security Plan (SSP)			0	0.029446	0%
2.101		Does the SSP establish and assign responsibility for implementation of the security program to a Senior Manager who is a "direct report" to the agency's Chief Executive Officer?	NOT SCORED	0.000761	NOT SCORED	0.003044	NOT SCORED
2.102		Has the agency established lines of delegated authority/succession of security responsibilities and, if so, has that information been distributed to agency managers?	NOT SCORED	0.000730	NOT SCORED	0.002920	NOT SCORED
2.103	B	Are roles and responsibilities for security and/or law enforcement personnel assigned by title and/or position established in the SSP or other documents?	NOT SCORED	0.000744	NOT SCORED	0.002976	NOT SCORED
2.104	B	Are security-related roles and responsibilities for non-security and/or law enforcement personnel (i.e., operators, conductors, maintenance workers and station attendants) established in the SSP or other documents?	NOT SCORED	0.000731	NOT SCORED	0.00292	NOT SCORED
2.105	T2	Do senior staff and middle management conduct security meetings on a regular basis to review recommendations for changes to plans and processes?	NOT SCORED	0.000727	NOT SCORED	0.002908	NOT SCORED
2.106		Does a Security Review Committee (or other designated group) regularly review security incident reports, trends, and program audit findings?	NOT SCORED	0.000718	NOT SCORED	0.002872	NOT SCORED
2.107	B	Are informational briefings with appropriate personnel held whenever security protocols, threat levels, or protective measures are updated or as security conditions warrant?	NOT SCORED	0.000757	NOT SCORED	0.00303	NOT SCORED
2.108	B	Have appropriate reference guides or other written instructions or procedures been distributed to transit employees to implement the requirements of the SSP?	NOT SCORED	0.000694	NOT SCORED	0.002776	NOT SCORED
2.109	B	Has the agency appointed a Primary and Alternate Security Coordinator to serve as its primary and immediate 24-hr contact for intelligence and security-related contact with TSA and are the names of those Coordinators on file with TSA OSPIE office correct?	NOT SCORED	0.000758	NOT SCORED	0.0030	NOT SCORED
2.11		Does the agency maintain a record of security related incidents that are reported within the agency?	NOT SCORED	0.000744	NOT SCORED	0.0030	NOT SCORED
2.200		2.b. Emergency Response Plan (ERP):			0.00000	0.03012	0%
2.201		Does the ERP establish and assign responsibility for implementation of the security program to a Senior Manager who is a "direct report" to the agency's Chief Executive Officer?	NOT SCORED	0.00098	NOT SCORED	0.00392	NOT SCORED

Transit Security Fundamentals Scoring Detail

Line	Element 1		Element 2		Element 3		Element 4		Element 5		Element 6	
Grade:	0%		0%		0%		0%		0%		0%	
1.205												
1.206												
1.207												
1.208												
1.209												
1.210												
1.211												
1.212												
2												
2.100												
2.101												
2.102												
2.103												
2.104												
2.105			NOT SCORED	0.002908								
2.106												
2.107												
2.108												
2.109												
2.200												
2.201												

Baseline Security Scoring Detail

Line	Element 1	
Grade:	0%	
1.205	NOT SCORED	0.002448
1.206		
1.207	NOT SCORED	0.002516
1.208	NOT SCORED	0.002492
1.209		
1.210		
1.211		
1.212	NOT SCORED	0.002504
2	0	0.033742
2.100	0.000000	0.014702
2.101		
2.102		
2.103	NOT SCORED	0.002976
2.104	NOT SCORED	0.002920
2.105		
2.106		
2.107	NOT SCORED	0.003030
2.108	NOT SCORED	0.002776
2.109	NOT SCORED	0.003000
2.200	0.000000	0.019040
2.201		

SENSITIVE SECURITY INFORMATION

Top 17 Scoring Detail

Category		Questions	Score	Weight	Points	Possible	Grade
		MANAGEMENT AND ACCOUNTABILITY					
2.202	B	Are emergency response roles and responsibilities for all departments identified in the ERP or other supporting documents?	NOT SCORED	0.00095	NOT SCORED	0.00380	NOT SCORED
2.203	B T5	Are roles and responsibilities for front-line personnel (i.e. system law enforcement, system security officials, train or vehicle operators, conductors, station attendants, maintenance workers) described in the system's Emergency Response Plan (ERP)?	NOT SCORED	0.00095	NOT SCORED	0.00380	NOT SCORED
2.204	B	Has the ERP been distributed to appropriate departments in the organization?	NOT SCORED	0.00096	NOT SCORED	0.00384	NOT SCORED
2.205	B	Have appropriate reference guides or other written instructions or procedures been distributed to transit employees to implement the requirements of the ERP?	NOT SCORED	0.00095	NOT SCORED	0.00380	NOT SCORED
2.206		Are senior staff and middle management ERP coordination meetings held on a regular basis?	NOT SCORED	0.00093	NOT SCORED	0.00372	NOT SCORED
2.207	B	Are informational briefings with appropriate personnel held whenever emergency response protocols are substantially changed or updated?	NOT SCORED	0.00095	NOT SCORED	0.00380	NOT SCORED
2.208		Does the agency use National Fire Protection Association (NFPA) Standard 130 or equivalent local codes to evaluate fire/life safety in station design or modification (including fire detection systems, firewalls and flame-resistant materials, back-up powered emergency lighting, defaults in turnstile and other systems supporting emergency exists, and pre-recorded public announcements)?	NOT SCORED	0.00086	NOT SCORED	0.00344	NOT SCORED
3.000		Ensure that operations and maintenance supervisors, forepersons and managers are held accountable for security issues under their control			0.0000	0.0576	0%
3.101	B	Do managers and supervisors routinely provide information to front-line personnel regarding security and emergency response issues?	NOT SCORED	0.0037	NOT SCORED	0.0148	NOT SCORED
3.102		Are regular supervisor, manager, and/or foreperson security review and coordination briefings held? If so, detail frequency and subjects covered in the justification.	NOT SCORED	0.0036	NOT SCORED	0.0144	NOT SCORED
3.103	B	Does the agency have a program for confirming that personnel have a working knowledge of security protocols? If so, summarize program in the justification.	NOT SCORED	0.0036	NOT SCORED	0.0144	NOT SCORED
3.104	B	Are managers and/or supervisors required to debrief front-line employees regarding their involvement in or management of any security or emergency incidents?	NOT SCORED	0.0036	NOT SCORED	0.014	NOT SCORED
4.000		Coordinate Security and Emergency Management Plan(s) with local and regional agencies			0.0000	0.0584	0%
4.101		Have Mutual Aid agreements been established between the transit agency and entities in the area that would be called upon to supplement the agency's resources in the event of an emergency event?	NOT SCORED	0.001331	NOT SCORED	0.005324	NOT SCORED
4.102	B	Does the agency participate in a regional Emergency Management Working Group or similar regional coordinating body for emergency preparedness and response?	NOT SCORED	0.001336	NOT SCORED	0.005344	NOT SCORED
4.103	B	Have regional incident management protocols been shared with the agency and incorporated into the agency's ERP?	NOT SCORED	0.001293	NOT SCORED	0.005172	NOT SCORED
4.104		Have agency resources been appropriately identified and provided to the regional EMA?	NOT SCORED	0.001263	NOT SCORED	0.005052	NOT SCORED
4.105	B	Does the agency have a designated point-of-contact or liaison with the local/regional Emergency Operations Center (EOC)?	NOT SCORED	0.001394	NOT SCORED	0.005576	NOT SCORED
4.106		Does the agency send a representative to the local/regional EOC, should it be activated?	NOT SCORED	0.001347	NOT SCORED	0.005388	NOT SCORED
4.107		Does the agency have information sharing capabilities with the regional/local EOC (i.e., contacts, procedures, resource inventories, etc.)?	NOT SCORED	0.001343	NOT SCORED	0.005372	NOT SCORED
4.108	B	Has the agency developed internal incident management protocols that comply with the National Response Plan and the National Incident Management System?	NOT SCORED	0.001314	NOT SCORED	0.005256	NOT SCORED

Transit Security Fundamentals Scoring Detail

Line	Element 1	Element 2	Element 3	Element 4	Element 5	Element 6
Grade:	0%	0%	0%	0%	0%	0%
2.202						
2.203					NOT SCORED 0.0038	
2.204						
2.205						
2.206						
2.207						
2.208						
3.000						
3.101						
3.102						
3.103						
3.104						
4.000						
4.101						
4.102						
4.103						
4.104						
4.105						
4.106						
4.107						
4.108						

Baseline Security Scoring Detail

Line	Element 1	
Grade:		0%
2.202	NOT SCORED	0.003800
2.203	NOT SCORED	0.003800
2.204	NOT SCORED	0.003840
2.205	NOT SCORED	0.003800
2.206		
2.207	NOT SCORED	0.003800
2.208		
3.000	0.000000	0.043200
3.101	NOT SCORED	0.014800
3.102		
3.103	NOT SCORED	0.014400
3.104	NOT SCORED	0.014000
4.000	0.000000	0.032136
4.101		
4.102	NOT SCORED	0.005344
4.103	NOT SCORED	0.005172
4.104		
4.105	NOT SCORED	0.005576
4.106		
4.107		
4.108	NOT SCORED	0.005256

Top 17 Scoring Detail

Category			Questions	Score	Weight	Points	Possible	Grade
			MANAGEMENT AND ACCOUNTABILITY					
4.109			Have the agency's emergency response protocols been shared with the EMA and appropriate first responder agencies?	NOT SCORED	0.001286	NOT SCORED	0.005144	NOT SCORED
4.110	B	T5	Has the transit system tested its communications systems for interoperability with appropriate emergency response agencies?	NOT SCORED	0.001325	NOT SCORED	0.005300	NOT SCORED
4.111	B		If the agency's communications systems are NOT inter-operable with appropriate emergency response agencies, have alternate communication protocols been established? Describe the alternate communication protocols in the justification.	NOT SCORED	0.001372	NOT SCORED	0.005488	NOT SCORED
			SECURITY AND EMERGENCY RESPONSE TRAINING					
5.000			Establish and Maintain a Security and Emergency Training Program			0.0000	0.0597	0%
5.101	B	T4	Is initial training provided to all new agency employees regarding security orientation/awareness?	NOT SCORED	0.0004879287	NOT SCORED	0.001951715	NOT SCORED
5.102		T4	Is annual refresher training provided regarding security orientation/awareness to Senior Management staff, managers and supervisors?	NOT SCORED	0.0004731258	NOT SCORED	0.001892503	NOT SCORED
5.103	B	T4	Is annual refresher training provided regarding security orientation/awareness to managers and supervisors?	NOT SCORED	0.0004755414	NOT SCORED	0.001902166	NOT SCORED
5.104	B	T4	Is annual refresher training provided regarding security orientation/awareness to front-line employees?	NOT SCORED	0.0004724569	NOT SCORED	0.001889827	NOT SCORED
5.105			Is ongoing advanced security training focused on job function provided at least annually?	NOT SCORED	0.0004678834	NOT SCORED	0.001871533	NOT SCORED
5.106	B	T4	Is initial training provided to all new transit employees regarding emergency response?	NOT SCORED	0.0004844891	NOT SCORED	0.001937956	NOT SCORED
5.107		T4	Is annual refresher training provided regarding emergency response to Senior Management staff, supervisors, and managers?	NOT SCORED	0.0004723312	NOT SCORED	0.001889325	NOT SCORED
5.108	B	T4	Is annual refresher training provided regarding emergency response to Managers and Supervisors?	NOT SCORED	0.0004772006	NOT SCORED	0.001908802	NOT SCORED
5.109	B	T4	Is annual refresher training provided regarding emergency response to front-line Employees?	NOT SCORED	0.0004721768	NOT SCORED	0.001888707	NOT SCORED
5.110	B	T4	Have agency employees received general training on Incident Command System (ICS) procedures in accordance with National Incident Management System at least annually?	NOT SCORED	0.0004620408	NOT SCORED	0.001848163	NOT SCORED
5.111			Has ICS and NIMS training appropriate to the position been provided to Senior Management staff, supervisors, and managers at least annually?	NOT SCORED	0.0004401906	NOT SCORED	0.001760762	NOT SCORED
5.112	B		Has ICS and NIMS training appropriate to the position been provided to managers and supervisors at least annually?	NOT SCORED	0.0004655049	NOT SCORED	0.001862020	NOT SCORED
5.113			Has ICS and NIMS training appropriate to the position been provided to front-line employees at least annually?	NOT SCORED	0.0004498054	NOT SCORED	0.001799222	NOT SCORED
5.114	B		Has the agency developed a program and provided annual training on its own incident response protocols?	NOT SCORED	0.000473801	NOT SCORED	0.001895204	NOT SCORED
5.115		T4	Has training on the agency's incident response protocols appropriate to the position been provided to Senior Management staff, managers and supervisors at least annually?	NOT SCORED	0.0004649697	NOT SCORED	0.001859879	NOT SCORED
5.116	B	T4	Has training on the agency's incident response protocols appropriate to the position been provided to managers and supervisors?	NOT SCORED	0.0004705526	NOT SCORED	0.00188221	NOT SCORED
5.117	B	T4	Has training on the agency's incident response protocols appropriate to the position been provided to front-line employees at least annually?	NOT SCORED	0.0004678563	NOT SCORED	0.001871425	NOT SCORED
5.118		T4	Has the transit system implemented an annual training program for personnel regarding response to terrorism, including (i) Improvised Explosive Devices and ii) Weapons of Mass Destruction (chemical, biological, radiological, nuclear)? If so, summarize the relevant programs in the justification.	NOT SCORED	0.0004304554	NOT SCORED	0.001721822	NOT SCORED

Transit Security Fundamentals Scoring Detail

Line	Element 1		Element 2		Element 3		Element 4		Element 5		Element 6	
Grade:	0%		0%		0%		0%		0%		0%	
4.109												
4.110									NOT SCORED	0.0053		
4.111												
5.000												
5.101							NOT SCORED	0.00195172				
5.102							NOT SCORED	0.0018925				
5.103							NOT SCORED	0.00190217				
5.104							NOT SCORED	0.00188983				
5.105												
5.106							NOT SCORED	0.00193796				
5.107							NOT SCORED	0.00188933				
5.108							NOT SCORED	0.0019088				
5.109							NOT SCORED	0.00188871				
5.110							NOT SCORED	0.00184816				
5.111												
5.112												
5.113												
5.114												
5.115							NOT SCORED	0.00185988				
5.116							NOT SCORED	0.00188221				
5.117							NOT SCORED	0.00187143				
5.118							NOT SCORED	0.00172182				

Baseline Security Scoring Detail

Line	Element 1	
Grade:		0%
4.109		
4.110	NOT SCORED	0.005300
4.111	NOT SCORED	0.005488
5.000	0.000000	0.029914
5.101	NOT SCORED	0.001952
5.102		
5.103	NOT SCORED	0.001902
5.104	NOT SCORED	0.001890
5.105		
5.106	NOT SCORED	0.001938
5.107		
5.108	NOT SCORED	0.001909
5.109	NOT SCORED	0.001889
5.110	NOT SCORED	0.001848
5.111		
5.112	NOT SCORED	0.001862
5.113		
5.114	NOT SCORED	0.001895
5.115		
5.116	NOT SCORED	0.001882
5.117	NOT SCORED	0.001871
5.118		

SENSITIVE SECURITY INFORMATION

Top 17 Scoring Detail

Category			Questions	Score	Weight	Points	Possible	Grade
			MANAGEMENT AND ACCOUNTABILITY					
5.119			Has training focused on IEDs and WMDs appropriate to the position been provided to Senior Management staff, managers, and supervisors at least annually?	NOT SCORED	0.0004603006	NOT SCORED	0.001841202	NOT SCORED
5.120			Has training focused on IEDs and WMDs appropriate to the position been provided to manager and supervisors?	NOT SCORED	0.0004755921	NOT SCORED	0.001902368	NOT SCORED
5.121			Has training focused on IEDs and WMDs appropriate to the position been provided to front-line employees at least annually?	NOT SCORED	0.000470337	NOT SCORED	0.001881348	NOT SCORED
5.122			Do law enforcement/security department personnel at the agency receive specialized training in counter-terrorism annually? Summarize program in the justification.	NOT SCORED	0.0004839312	NOT SCORED	0.001935725	NOT SCORED
5.123			Do law enforcement/security department personnel at the agency receive specialized training supporting their incident management and emergency response roles at least annually? Summarize program in the justification.	NOT SCORED	0.0004855209	NOT SCORED	0.001942083	NOT SCORED
5.124	B		Does the agency have an established program to monitor employee training and to schedule employees for training as needed?	NOT SCORED	0.000464592	NOT SCORED	0.001858368	NOT SCORED
5.125			Does the agency have a system that records and tracks personnel training for all security-related courses (including initial, annual, periodic and other)?	NOT SCORED	0.000460541	NOT SCORED	0.001842164	NOT SCORED
5.126			Does the transit agency have a system that records and tracks personnel training for emergency response courses (including initial, periodic and other)?	NOT SCORED	0.0004613165	NOT SCORED	0.001845266	NOT SCORED
5.127			Does the agency have a program to regularly review and update security awareness and emergency response training materials?	NOT SCORED	0.0004573624	NOT SCORED	0.00182945	NOT SCORED
5.128	B	T4	Are all appropriate personnel notified via briefings, email, voicemail, or signage of changes in threat condition, protective measures or the employee watch programs?	NOT SCORED	0.0004789105	NOT SCORED	0.00191564	NOT SCORED
5.129	B	T1	Do the agency's security awareness and emergency response training programs cover response and recovery operations in critical facilities and infrastructure? If so, summarize relevant provisions of program in the justification.	NOT SCORED	0.0004592505	NOT SCORED	0.001837002	NOT SCORED
5.130	B	T1	Has the agency provided training to regional first responders (law enforcement agencies, firefighters, and emergency medical response teams) to enable them to operate in critical facilities and infrastructure?	NOT SCORED	0.0004320796	NOT SCORED	0.0017283	NOT SCORED
5.131		T3	Does training of transit system law enforcement and/or security personnel integrate the concept and employment of visible, random security measures?	NOT SCORED	0.0004807451	NOT SCORED	0.001922980	NOT SCORED
5.132	B	T4	Has the agency implemented a program to annually train or orient first responders (law enforcement, firefighters, emergency medical teams) and other potential supporting assets (e.g., TSA regional personnel for VIPR exercises) on railroad familiarization?	NOT SCORED	0.0004343031	NOT SCORED	0.001737212	NOT SCORED
			HOMELAND SECURITY ADVISORY SYSTEM (HSAS)					
6.000			**Establish plans and protocols to respond to the National Terrorism Advisory System (NTAS) alert system**			0.0000	0.0558	0%
6.101	B		Does the SSP contain or reference other documents identifying incremental actions (imminent or elevated) to be implemented for a NTAS threat?	NOT SCORED	0.00439	NOT SCORED	0.01756	NOT SCORED
6.102	B	T2	Does the agency have actionable operational response protocols for the specific threat scenarios from NTAS?	NOT SCORED	0.00474	NOT SCORED	0.01896	NOT SCORED
6.103			Has the agency provided annual training and/or instruction focused on job function regarding the incremental activities to be performed by employees?	NOT SCORED	0.00483	NOT SCORED	0.01932	NOT SCORED
			PUBLIC AWARENESS					
7.000			**Implement and reinforce a Public Security and Emergency Awareness program**			0.0000	0.0609	0%
7.101	B		Has the transit agency developed and implemented a public security and emergency awareness program?	NOT SCORED	0.0011954039	NOT SCORED	0.004781615	NOT SCORED

Transit Security Fundamentals Scoring Detail

Line	Element 1		Element 2		Element 3		Element 4		Element 5		Element 6	
Grade:	0%		0%		0%		0%		0%		0%	
5.119												
5.120												
5.121												
5.122												
5.123												
5.124												
5.125												
5.126												
5.127												
5.128							NOT SCORED	0.00191564				
5.129	NOT SCORED	0.001837										
5.130	NOT SCORED	0.001728										
5.131					NOT SCORED	0.00192298						
5.132							NOT SCORED	0.00173721				
6.000												
6.101												
6.102			NOT SCORED	0.01896								
6.103												
7.000												
7.101												

Baseline Security Scoring Detail

Line	Element 1	
Grade:	0%	
5.119		
5.120		
5.121		
5.122		
5.123		
5.124	NOT SCORED	0.001858
5.125		
5.126		
5.127		
5.128	NOT SCORED	0.001916
5.129	NOT SCORED	0.001837
5.130	NOT SCORED	0.001728
5.131		
5.132	NOT SCORED	0.001737
6.000	0.000000	0.03652
6.101	NOT SCORED	0.017560
6.102	NOT SCORED	0.018960
6.103		
7.000	0.000000	0.032156
7.101	NOT SCORED	0.004782

Top 17 Scoring Detail

Category			Questions	Score	Weight	Points	Possible	Grade
			MANAGEMENT AND ACCOUNTABILITY					
7.102	B	T6	Does the agency provide active public outreach for security awareness and emergency preparedness (e.g., Transit Watch, "If You See Something, Say Something", message boards, brochures, channel cards, posters, fliers)?	NOT SCORED	0.0011855708	NOT SCORED	0.004742283	NOT SCORED
7.103		T6	Is the above consistent with agency's overall announcement program?	NOT SCORED	0.0010196385	NOT SCORED	0.004078554	NOT SCORED
7.104	B	T6	Are general security awareness and emergency preparedness messages included in public announcement messages at stations and on board vehicles?	NOT SCORED	0.0010649991	NOT SCORED	0.004259996	NOT SCORED
7.105	B	T6	Are passengers urged to report unattended property, suspicious behavior, and security concerns to uniformed crew members, law enforcement or security personnel, and/or a contact telephone number? If so, summarize the type of materials used and content in the justification.	NOT SCORED	0.0011935343	NOT SCORED	0.004774137	NOT SCORED
7.106			Does the transit agency use Transit Watch materials in its security and emergency awareness program?	NOT SCORED	0.0008782366	NOT SCORED	0.003512947	NOT SCORED
7.107	B	T6	Does the agency have an appropriate mechanism in place for passengers to communicate an (e.g., 1-800 number, smart phone applications, social media, etc.) that can be called or used to report security concerns? If so, is this information indicated in public awareness materials and messages?	NOT SCORED	0.0010689693	NOT SCORED	0.004275877	NOT SCORED
7.108			Does the agency issue public service announcements or press releases to local media (e.g. newspaper, radio, television, social media, QRC codes, and/or apps for smart phones) regarding security and emergency protocols?	NOT SCORED	0.0010393544	NOT SCORED	0.004157418	NOT SCORED
7.109		T6	Does the agency issue public service announcements or press releases to local media (e.g. newspaper, radio and/or television) regarding security and emergency protocols?	NOT SCORED	0.0010235837	NOT SCORED	0.004094335	NOT SCORED
7.110			Does the transit agency conduct a volunteer training program for non-employees to aid with system evacuations and emergency response?	NOT SCORED	0.001090416	NOT SCORED	0.004361664	NOT SCORED
7.111			Does the transit agency conduct an outreach program to enlist members of the public as security awareness volunteers, similar to Neighborhood Watch programs?	NOT SCORED	0.0010891143	NOT SCORED	0.004356457	NOT SCORED
7.112	B	T1	Do public awareness materials and/or messages inform passengers on the means to evacuate safely from transit vehicles and underwater/underground facilities?	NOT SCORED	0.0011780789	NOT SCORED	0.004712316	NOT SCORED
7.113	B	T3	Does the system integrate randomness and unpredictability into its security activities to enhance deterrent effect?	NOT SCORED	0.0011524762	NOT SCORED	0.004609905	NOT SCORED
7.114			Does the agency track and monitor customer complaints reported by passengers?	NOT SCORED	0.0010422635	NOT SCORED	0.004169054	NOT SCORED
			RISK MANAGEMENT					
8.000			Establish and use a risk management process			0.0000	0.0560	0%
8.101	B	T2	Does the agency have a risk assessment process approved by its management, for managing threats and vulnerabilities? If so, summarize the process in the justification.	NOT SCORED	0.00180	NOT SCORED	0.0072000	NOT SCORED
8.102	B		Has the agency identified facilities and systems it considers to be its critical assets?	NOT SCORED	0.00179	NOT SCORED	0.0071600	NOT SCORED
8.103	B	T2	Has the agency had an internal or external risk or vulnerability assessment on its critical assets within the past 3 years? Specify the dates of the most recent assessments and the entity(ies) that conducted the assessment(s).	NOT SCORED	0.00175	NOT SCORED	0.0070000	NOT SCORED
8.104	B	T1	Based on the results of question 9.103, has a Risk Assessment, analyzing threat, vulnerability, & consequence, been conducted for critical assets and infrastructure, and systems within the past 3 years? Have management and staff responsible for the risk assessment process been properly trained to manage the process?	NOT SCORED	0.00177	NOT SCORED	0.0070800	NOT SCORED
8.105	B	T2	Has the system implemented procedures to limit and monitor authorized access to underground and underwater tunnels? If so, summarize procedures in the justification.	NOT SCORED	0.00172	NOT SCORED	0.0068800	NOT SCORED

Transit Security Fundamentals Scoring Detail

Line	Element 1		Element 2		Element 3		Element 4		Element 5		Element 6	
Grade:		0%		0%		0%		0%		0%		0%
7.102											NOT SCORED	0.00474228
7.103											NOT SCORED	0.00407855
7.104											NOT SCORED	0.00426
7.105											NOT SCORED	0.00477414
7.106												
7.107											NOT SCORED	0.00427588
7.108												
7.109											NOT SCORED	0.00409434
7.110												
7.111												
7.112	NOT SCORED	0.004712										
7.113					NOT SCORED	0.00460991						
7.114												
8.000												
8.101			NOT SCORED	0.0072								
8.102												
8.103			NOT SCORED	0.007								
8.104	NOT SCORED	0.007080										
8.105			NOT SCORED	0.00688								

Baseline Security Scoring Detail

Line	Element 1	
Grade:		0%
7.102	NOT SCORED	0.004742
7.103		
7.104	NOT SCORED	0.004260
7.105	NOT SCORED	0.004774
7.106		
7.107	NOT SCORED	0.004276
7.108		
7.109		
7.110		
7.111		
7.112	NOT SCORED	0.004712
7.113	NOT SCORED	0.004610
7.114		
8.000	0.000000	0.049000
8.101	NOT SCORED	0.007200
8.102	NOT SCORED	0.007160
8.103	NOT SCORED	0.007000
8.104	NOT SCORED	0.007080
8.105	NOT SCORED	0.006880

Top 17 Scoring Detail

Category			Questions	Score	Weight	Points	Possible	Grade
			MANAGEMENT AND ACCOUNTABILITY					
8.106			Are security investments prioritized using information developed in the risk assessment process?	NOT SCORED	0.00174	NOT SCORED	0.0069600	NOT SCORED
8.107	B		Has the date of the most recent vulnerability assessment been provided to TSA at sd.masstransit@dhs.gov?	NOT SCORED	0.00168	NOT SCORED	0.0067200	NOT SCORED
8.108	B	T1	Upon request, has TSA been provided access to the agency's vulnerability assessments, Security Plan and related documents?	NOT SCORED	0.00174	NOT SCORED	0.00696	NOT SCORED
			ESTABLISH A RISK ASSESSMENT AND INFORMATION SHARING PROCESS					
9.000			Establish and use an information sharing process for threat and intelligence information.			0.0000	0.0387	0%
9.101	B		Does the agency have a formalized process and procedures for reporting and exchange of threat and intelligence information with Federal, State, and/or local law enforcement agencies?	NOT SCORED	0.00201	NOT SCORED	0.00804	NOT SCORED
9.102	B	T2	Does the system report threat and intelligence information directly to FBI Joint Terrorism Task Force (JTTF) or other regional anti-terrorism task force?	NOT SCORED	0.00195	NOT SCORED	0.0078	NOT SCORED
9.103	B	T2	Does the system have a protocol to report threats or significant security concerns to appropriate law enforcement authorities, and TSA's Transportation Security Operations Center (TSOC)?	NOT SCORED	0.00196	NOT SCORED	0.00784	NOT SCORED
9.104			Does the agency routinely receive threat and intelligence information directly from any Federal government agency, State Homeland Security Office, Regional or State Intelligence Fusion Center, PT-ISAC, or other transit agencies?	NOT SCORED	0.00186	NOT SCORED	0.00744	NOT SCORED
9.105			Does the agency report their NTD security data to FTA as required by 49 CFR 659?	NOT SCORED	0.00190	NOT SCORED	0.0076	NOT SCORED
10.000			Conduct Tabletop and Functional Drills			0.0000	0.0578	0%
10.101	B		Does the agency's System Safety Program Plan (SSPP) contain or reference a document describing the process used by the agency to develop an approved, coordinated schedule for all emergency management program activities, including local/regional emergency planning and participation in exercises and drills?	NOT SCORED	0.00116	NOT SCORED	0.00464	NOT SCORED
10.102	B		Does the agency's SSPP or SSP describe or reference how the agency performs its emergency planning responsibilities and requirements regarding emergency drills and exercises?	NOT SCORED	0.00114	NOT SCORED	0.00456	NOT SCORED
10.103	B	T5	Does the agency evaluate its emergency preparedness by using annual field exercises, tabletop exercises, and/or drills? If so, please summarize the exercise events held in the past year.	NOT SCORED	0.00117	NOT SCORED	0.00468	NOT SCORED
10.104	B		Does the agency's SSPP or a related document include a requirement for annual field exercises, tabletops and drills?	NOT SCORED	0.00100	NOT SCORED	0.0040	NOT SCORED
10.105	B		Does the agency's SSPP or SSP describe or reference how the agency documents the results of its emergency preparedness evaluations (i.e., briefings, after action reports and implementation of findings)?	NOT SCORED	0.00114	NOT SCORED	0.00456	NOT SCORED
10.106			Does the agency's SSPP or a related document describe or reference its program for providing employee training on emergency response protocols and procedures?	NOT SCORED	0.00113	NOT SCORED	0.00452	NOT SCORED
10.107	B		Does the agency participate as an active player in full-scale, regional exercises held at least annually?	NOT SCORED	0.00101	NOT SCORED	0.00404	NOT SCORED
10.108		T5	In the last year, has the agency conducted and/or participated in a drill, tabletop exercise, and/or field exercise including scenarios involving (i) IED's and (ii) WMD (chemical, biological, radiological, nuclear) with other transit agencies and first responders (e.g., NTAS scenarios)?	NOT SCORED	0.00099	NOT SCORED	0.00396	NOT SCORED
10.109		T5	In the last year, has the agency reviewed results and prepared after-action reports to assess performance and develop lessons learned for all drills, tabletop, and/or field exercises?	NOT SCORED	0.00111	NOT SCORED	0.00444	NOT SCORED

Transit Security Fundamentals Scoring Detail

Line	Element 1		Element 2		Element 3		Element 4		Element 5		Element 6	
Grade:		0%		0%		0%		0%		0%		0%
8.106												
8.107												
8.108	NOT SCORED	0.006960										
9.000												
9.101												
9.102			NOT SCORED	0.0078								
9.103			NOT SCORED	0.00784								
9.104												
9.105												
10.000												
10.101												
10.102												
10.103									NOT SCORED	0.00468		
10.104												
10.105												
10.106												
10.107												
10.108									NOT SCORED	0.00396		
10.109									NOT SCORED	0.00444		

Baseline Security Scoring Detail

Line	Element 1	
Grade:		0%
8.106		
8.107	NOT SCORED	0.006720
8.108	NOT SCORED	0.006960
9.000	0.000000	0.023680
9.101	NOT SCORED	0.008040
9.102	NOT SCORED	0.007800
9.103	NOT SCORED	0.007840
9.104		
9.105		
10.000	0.000000	0.035720
10.101	NOT SCORED	0.004640
10.102	NOT SCORED	0.004560
10.103	NOT SCORED	0.004680
10.104	NOT SCORED	0.004000
10.105	NOT SCORED	0.004560
10.106		
10.107	NOT SCORED	0.004040
10.108		
10.109		

SENSITIVE SECURITY INFORMATION

Top 17 Scoring Detail

Category		Questions	Score	Weight	Points	Possible	Grade
		MANAGEMENT AND ACCOUNTABILITY					
10.110	T5	In the last 12 months, has the agency updated plans, protocols and processes to incorporate after-action report recommendations/findings and corrective actions? If so, summarize the actions taken in the justification.	NOT SCORED	0.00116	NOT SCORED	0.00464	NOT SCORED
10.111		Has the agency established metrics to assess its performance during emergency exercises and to measure improvements?	NOT SCORED	0.00113	NOT SCORED	0.00452	NOT SCORED
10.112	B T1	Does the system conduct drills and exercises of its security and emergency response plans to test capabilities of i) employees and ii) first responders to operate effectively in underwater/underground infrastructure?	NOT SCORED	0.00114	NOT SCORED	0.00456	NOT SCORED
10.113	B T5	Does the transit system integrate local and regional first responders (law enforcement, firefighters, emergency medical teams) in drills, tabletop exercises, and/or field exercises? If so, summarize each joint event and state when it took place.	NOT SCORED	0.00117	NOT SCORED	0.00468	NOT SCORED
11.000		Developing a Comprehensive Cyber Security Strategy			0.0000	0.0600	0%
11.101	B	Has the agency conducted a risk assessment to identify operational control and communication/business enterprise IT assets and potential vulnerabilities?	NOT SCORED	0.002137	NOT SCORED	0.008548	NOT SCORED
11.102		Has the agency implemented protocols to ensure that all IT facilities (e.g., data centers, server rooms, etc) and equipment are properly secured to guard against internal or external threats or attacks?	NOT SCORED	0.002186	NOT SCORED	0.008744	NOT SCORED
11.103		Has a written strategy been developed and integrated into the overall security program to mitigate the cyber risk identified?	NOT SCORED	0.002108	NOT SCORED	0.008432	NOT SCORED
11.104		Does the agency have a designated representative to secure the internal network through appropriate access controls for employees, a strong authentication (i.e., password) policy, encrypting sensitive data, and employing network security infrastructure (example: firewalls, intrusion detection systems, IT security audits, antivirus, etc)?	NOT SCORED	0.002166	NOT SCORED	0.008664	NOT SCORED
11.105		Does the agency ensure that recurring cyber security training reinforces security roles, responsibilities, and duties of employees at all levels to protect against and recognize cyber threats?	NOT SCORED	0.002123	NOT SCORED	0.008492	NOT SCORED
11.106	B	Has the agency established a cyber-incident response and reporting protocol?	NOT SCORED	0.002123	NOT SCORED	0.0085	NOT SCORED
11.107		Is the agency aware of and using available resources (e.g., standards, PT-ISAC, US CERT, National Cyber Security Communication and Integration Center, etc)?	NOT SCORED	0.002148	NOT SCORED	0.008592	NOT SCORED
		FACILITY SECURITY AND ACCESS CONTROLS					
12.000		Control Access to Security Critical Facilities with ID badges for all visitors, employees and contractors			0.0000	0.0607	0%
12.101	B	Have assets and facilities requiring restricted access been identified?	NOT SCORED	0.000549	NOT SCORED	0.002196	NOT SCORED
12.102	B	Are ID badges or other measures employed to restrict access to facilities not open to the public?	NOT SCORED	0.000541	NOT SCORED	0.002164	NOT SCORED
12.103	B T2	Has the transit agency developed and implemented procedures to monitor, update and document access control (e.g. card key, ID badges, keys, safe combinations, etc.)?	NOT SCORED	0.000541	NOT SCORED	0.002164	NOT SCORED
12.104	B	Does the agency have procedures to issue ID badges for visitors and contractors?	NOT SCORED	0.000543	NOT SCORED	0.0022	NOT SCORED
12.105		Does the agency require escorts for visitors accessing non-public areas?	NOT SCORED	0.000541	NOT SCORED	0.002164	NOT SCORED
12.106		Is CCTV equipment installed in transit agency facilities?	NOT SCORED	0.000541	NOT SCORED	0.002164	NOT SCORED
12.107		Is CCTV equipment protecting critical assets interfaced with an access control system?	NOT SCORED	0.000539	NOT SCORED	0.002156	NOT SCORED
12.108		Is CCTV equipment installed on transit vehicles?	NOT SCORED	0.000487	NOT SCORED	0.001948	NOT SCORED

Transit Security Fundamentals Scoring Detail

Line	Element 1		Element 2		Element 3		Element 4		Element 5		Element 6	
Grade:		0%		0%		0%		0%		0%		0%
10.110									NOT SCORED	0.00464		
10.111												
10.112	NOT SCORED	0.004560										
10.113									NOT SCORED	0.00468		
11.000												
11.101												
11.102												
11.103												
11.104												
11.105												
11.106												
11.107												
12.000												
12.101												
12.102												
12.103			NOT SCORED	0.002164								
12.104												
12.105												
12.106												
12.107												
12.108												

Baseline Security Scoring Detail

Line	Element 1	
Grade:		0%
10.110		
10.111		
10.112	NOT SCORED	0.004560
10.113	NOT SCORED	0.004680
11.000	0.000000	0.017048
11.101	NOT SCORED	0.008548
11.102		
11.103		
11.104		
11.105		
11.106	NOT SCORED	0.008500
11.107		
12.000	0.000000	0.017358
12.101	NOT SCORED	0.002196
12.102	NOT SCORED	0.002164
12.103	NOT SCORED	0.002164
12.104	NOT SCORED	0.002200
12.105		
12.106		
12.107		
12.108		

Top 17 Scoring Detail

Category			Questions	Score	Weight	Points	Possible	Grade
			MANAGEMENT AND ACCOUNTABILITY					
12.109			Are Crime Prevention through Environmental Design (CPTED) and technology (e.g., CCTV, access control, intrusion detection, bollards, etc) incorporated into design criteria for all new and/or existing capital projects?	NOT SCORED	0.000532	NOT SCORED	0.002128	NOT SCORED
12.110			Based on the risk assessment, does the agency use fencing, barriers, and/or intrusion detection to protect against unauthorized entry into stations, facilities, and other identified critical assets?	NOT SCORED	0.000532	NOT SCORED	0.002128	NOT SCORED
12.111	B	T2	Has the system implemented protective measures to secure high risk/high consequence assets and systems identified in risk assessments? Examples of protective measures include but are not limited to CCTV, intrusion detection systems, smart camera technology, fencing, enhanced lighting, access control, LE patrols, K-9s, protection of ventilation systems. If protective measures for this infrastructure are employed, summarize type and location in in the justification.	NOT SCORED	0.000540	NOT SCORED	0.002160	NOT SCORED
12.112			Does the transit agency monitor a network of security, fire, duress, intrusion, utility and internal 911 alarm systems?	NOT SCORED	0.000528	NOT SCORED	0.002112	NOT SCORED
12.113			Are emergency call boxes provided for passengers?	NOT SCORED	0.000481	NOT SCORED	0.001924	NOT SCORED
12.114			Do transit agency personnel administer an automated employee access control system and perform corrective analysis of security breaches?	NOT SCORED	0.000540	NOT SCORED	0.002160	NOT SCORED
12.115			Does the agency have policies and procedures for screening of mail and/or outside deliveries?	NOT SCORED	0.000508	NOT SCORED	0.002032	NOT SCORED
12.116			Have locks, bullet resistant materials and anti-fragmentation materials been installed/used at critical locations?	NOT SCORED	0.000525	NOT SCORED	0.00210	NOT SCORED
12.117			Does the agency use National Fire Protection Association (NFPA) Standard 130 or equivalent to evaluate fire/life safety in station design or modification (including fire detection systems, firewalls and flame-resistant materials, back-up powered emergency lighting, defaults in turnstile and other systems supporting emergency exists, and pre-recorded public announcements)?	NOT SCORED	0.000499	NOT SCORED	0.001996	NOT SCORED
12.118			Is directional signage with adequate lighting provided in a consistent manner in all stations, both to provide orientation and to support emergency evacuation?	NOT SCORED	0.000532	NOT SCORED	0.00213	NOT SCORED
12.119			Are gates and locks used on all facility doors to prevent unauthorized access?	NOT SCORED	0.000539	NOT SCORED	0.002156	NOT SCORED
12.120			Are keys controlled through an established program managed by the security/police function?	NOT SCORED	0.000531	NOT SCORED	0.002124	NOT SCORED
12.121	B		Are gates and locks also used to close down system facilities after operating hours?	NOT SCORED	0.000540	NOT SCORED	0.002160	NOT SCORED
12.122			Do transit vehicles have radios, silent alarms, and/or passenger communication systems?	NOT SCORED	0.000526	NOT SCORED	0.002104	NOT SCORED
12.123			Does the transit agency use graffiti-resistant/etch-resistant materials for walls, ceilings, and windows?	NOT SCORED	0.000403	NOT SCORED	0.001612	NOT SCORED
12.124	B		Are Uninterruptible Power Supply (UPS) or redundant power sources provided for safety and security of critical equipment, such as but not limited to: exit and platform lighting; parking lot lighting; ancillary space and shop lighting; intrusion detection (alarmed rooms and spaces, fare collection equipment, etc.); fire detection, alarm and suppression systems; public address (shop and public areas); call-for-aid telephones; CCTV; emergency trip stations; vital train control functions; etc.?	NOT SCORED	0.000538	NOT SCORED	0.00215	NOT SCORED
12.125			At passenger stations at which a vulnerability assessment has identified a significant risk, and to the extent practicable, has the owner/operator removed trash receptacles and other non-essential receptacles or containers (with the exception of bomb resistant receptacles or clear plastic containers) from the platform areas of passenger terminals and stations?	NOT SCORED	0.000467	NOT SCORED	0.001868	NOT SCORED

Transit Security Fundamentals Scoring Detail

Line	Element 1		Element 2		Element 3		Element 4		Element 5		Element 6	
Grade:	0%		0%		0%		0%		0%		0%	
12.109												
12.110												
12.111			NOT SCORED	0.00216								
12.112												
12.113												
12.114												
12.115												
12.116												
12.117												
12.118												
12.119												
12.120												
12.121												
12.122												
12.123												
12.124												
12.125												

Baseline Security Scoring Detail

Line	Element 1	
Grade:	0%	
12.109		
12.110		
12.111	NOT SCORED	0.002160
12.112		
12.113		
12.114		
12.115		
12.116		
12.117		
12.118		
12.119		
12.120		
12.121	NOT SCORED	0.002160
12.122		
12.123		
12.124	NOT SCORED	0.002150
12.125		

SENSITIVE SECURITY INFORMATION

Top 17 Scoring Detail

Category			Questions	Score	Weight	Points	Possible	Grade
			MANAGEMENT AND ACCOUNTABILITY					
12.126	B		Does the agency employ specific protective measures for all critical infrastructure (e.g., tunnels, bridges, stations, control centers, etc) identified through the risk assessment particularly at access points and ventilation infrastructure in place and maintained in optimal condition? Examples of protective measures include, but are not limited to, CCTV, intrusion detection systems, smart camera technology, fencing, lighting, access control, law enforcement patrols, canine patrols, physical protection for ventilation systems. If protective measures for this infrastructure are employed, summarize type and location in the justification.	NOT SCORED	0.000541	NOT SCORED	0.002164	NOT SCORED
12.127		T1	Does the agency have or utilize explosive detection canine teams, either maintained by the system or made available from other law enforcement agencies? If so, has the system implemented procedures for reporting of and response to positive reactions by the canine?	NOT SCORED	0.000529	NOT SCORED	0.00212	NOT SCORED
12.128			Is there a process in place, with necessary training provided to personnel, to ensure that in service rail cars are inspected at regular periodic intervals for suspicious or unattended items? Specify type and frequency of inspections.	NOT SCORED	0.000525	NOT SCORED	0.00210	NOT SCORED
12.129			Is there a process in place, with necessary training provided to personnel, to ensure that all critical infrastructure are inspected at regular periodic intervals for suspicious or unattended items? Specify type and frequency of inspections.	NOT SCORED	0.000527	NOT SCORED	0.00211	NOT SCORED
13.000			**Conduct Physical Security Inspections**			0.0000	0.0588	0%
13.101	B	T1	Does the agency conduct frequent inspections of key facilities, stations, terminals, trains and vehicles, or other critical assets for persons, materials, and items that do not belong?	NOT SCORED	0.00218	NOT SCORED	0.0087	NOT SCORED
13.102	B		Has the transit agency established procedures for inspecting/sweeping vehicles and stations to identify and manage suspicious items, based on HOT characteristics (hidden, obviously suspicious, not typical) or equivalent system?	NOT SCORED	0.00215	NOT SCORED	0.009	NOT SCORED
13.103			Has the transit agency developed a form or quick reference guide for operations and personnel for the conduct of pre-trip, post-trip, and within trip inspections?	NOT SCORED	0.00205	NOT SCORED	0.0082	NOT SCORED
13.104			Has the transit agency developed a form or quick reference guide for station attendants and others regarding station and facility inspections?	NOT SCORED	0.00186	NOT SCORED	0.00744	NOT SCORED
13.105		T2	Does the system document the results of inspections and implement any changes to policies and procedures or implement corrective actions, based on the findings?	NOT SCORED	0.00208	NOT SCORED	0.0083	NOT SCORED
13.106	B	T2	Does the agency conduct frequent inspections of access points, ventilation systems, and the interior of underground/underwater assets and systems for indications of suspicious activity?	NOT SCORED	0.00214	NOT SCORED	0.00856	NOT SCORED
13.107			Does the system integrate randomness and unpredictability into its security activities to enhance deterrent effect?	NOT SCORED	0.00214	NOT SCORED	0.00856	NOT SCORED
			BACKGROUND INVESTIGATIONS					
14.000			**Conduct Background Investigations of Employees and Contractors**			0.0000	0.0596	0%
14.101	B	T2	Does the agency conduct background investigations (i.e., criminal history and motor vehicle records) on all new front-line operations and maintenance employees, and employees with access to sensitive security information, facilities and systems?	NOT SCORED	0.00303	NOT SCORED	0.01212	NOT SCORED
14.102	B	T2	To the extent allowed by agency policy or law, does the agency conduct background investigations on contractors, including vendors, with access to critical facilities, sensitive security systems, and sensitive security information?	NOT SCORED	0.00300	NOT SCORED	0.01200	NOT SCORED

Transit Security Fundamentals Scoring Detail

Line	Element 1		Element 2		Element 3		Element 4		Element 5		Element 6	
Grade:		0%		0%		0%		0%		0%		0%
12.126												
12.127	NOT SCORED	0.002120										
12.128												
12.129												
13.000												
13.101	NOT SCORED	0.008700										
13.102												
13.103												
13.104												
13.105			NOT SCORED	0.0083								
13.106			NOT SCORED	0.00856								
14.000												
14.101			NOT SCORED	0.01212								
14.102			NOT SCORED	0.012								

Baseline Security Scoring Detail

Line	Element 1	
Grade:		0%
12.126	NOT SCORED	0.002164
12.127		
12.128		
12.129		
13.000	0	0.02626
13.101	NOT SCORED	0.008700
13.102	NOT SCORED	0.009000
13.103		
13.104		
13.105		
13.106	NOT SCORED	0.008560
14.000	0.000000	0.035880
14.101	NOT SCORED	0.012120
14.102	NOT SCORED	0.012000

SENSITIVE SECURITY INFORMATION

Top 17 Scoring Detail

Category			Questions	Score	Weight	Points	Possible	Grade
			MANAGEMENT AND ACCOUNTABILITY					
14.103			Has counsel for the agency reviewed the process for conducting employee background investigations to confirm that procedures are consistent with applicable statutes and regulations?	NOT SCORED	0.00299	NOT SCORED	0.01196	NOT SCORED
14.104	B		Is the background investigation process documented?	NOT SCORED	0.00294	NOT SCORED	0.01176	NOT SCORED
14.105			Is the criteria for background investigations based on employee type (senior management staff, law enforcement officers, managers/supervisors, operators, maintenance, safety/security sensitive, contractor, etc.) and/or responsibility and access documented?	NOT SCORED	0.00293	NOT SCORED	0.01172	NOT SCORED
			DOCUMENT CONTROL					
15.000			Control Access to documents of security critical systems and facilities			0.0000	0.0588	0%
15.101	B	T2	Does the agency keep documentation of its security critical systems, such as tunnels, bridges, HVAC systems and intrusion alarm detection systems (i.e. plans, schematics, etc.) protected from unauthorized access?	NOT SCORED	0.0050	NOT SCORED	0.0200	NOT SCORED
15.102	B		Has the agency designated a department/person responsible for administering the access control policy with respect to agency documents?	NOT SCORED	0.0049	NOT SCORED	0.0196	NOT SCORED
15.103			Does the security review committee (or other designated group) review document control practices, assess compliance applicable procedures, and identify discrepancies and necessary corrective action?	NOT SCORED	0.0048	NOT SCORED	0.0192	NOT SCORED
16.000			Process for handling and access to Sensitive Security Information (SSI)			0.0000	0.0590	0%
16.101	B		Does the agency have a documented policy for identifying and controlling the distribution of and access to documents it considers to be Sensitive Security Information (SSI) pursuant to 49 CFR Part 15 or 1520?	NOT SCORED	0.003764	NOT SCORED	0.015056	NOT SCORED
16.102	B		Does the agency have a documented policy for proper handling, control, and storage of documents labeled as or otherwise determined to be Sensitive Security Information (SSI) pursuant to 49 CFR Part 15 or 1520?	NOT SCORED	0.003653	NOT SCORED	0.014612	NOT SCORED
16.103			Are employees who may be provided SSI materials per 49 CFR Part 15 or 1520) familiar with the documented policy for the proper handling of such materials?	NOT SCORED	0.003636	NOT SCORED	0.014544	NOT SCORED
16.104			Have employees provided access to SSI material per 49 CFR Part 15 or 1520 received training on proper labeling, handling, dissemination, and storage (such as through the TSA on-line SSI training program)?	NOT SCORED	0.003706	NOT SCORED	0.014824	NOT SCORED
			SECURITY PROGRAM AUDITS					
17.000			Audit Program			0.0228	0.0547	42%
17.101			Has the agency established a schedule for conducting its internal security audit process?	NOT SCORED	0.000895	NOT SCORED	0.00358	NOT SCORED
17.102	B		Does the SSP contain a description of the process used by the agency to audit its implementation of the SSP over the course of the agency's published schedule?	NOT SCORED	0.001210	NOT SCORED	0.00484	NOT SCORED
17.103	B		Has the transit agency established checklists and procedures to govern the conduct of its internal security audit process?	NOT SCORED	0.001209	NOT SCORED	0.004836	NOT SCORED
17.104	B		Is the transit agency complying with its internal security audit schedule?	NOT SCORED	0.001188	NOT SCORED	0.004752	NOT SCORED
17.105	B		Is each internal security audit documented in a written report, which includes evaluation of the adequacy and effectiveness of the SSP element and applicable implementing procedures audited, needed corrected actions, needed recommendations, an implementation schedule for corrective actions and status reporting?	NOT SCORED	0.001204	NOT SCORED	0.004816	NOT SCORED
17.106			In the last 12 months, has the Security Review Committee (or other designated group) addressed the findings and recommendations from the internal security audits, and updated plans, protocols and processes as necessary?	NOT SCORED	0.001157	NOT SCORED	0.004628	NOT SCORED
17.107			Does the transit agency's internal security audit process ensure that auditors are independent from those responsible for the activity being audited?	NOT SCORED	0.001118	NOT SCORED	0.004472	NOT SCORED

Transit Security Fundamentals Scoring Detail

Line	Element 1		Element 2		Element 3		Element 4		Element 5		Element 6	
Grade:		0%		0%		0%		0%		0%		0%
14.103												
14.104												
14.105												
15.000												
15.101			NOT SCORED	0.02								
15.102												
15.103												
16.000												
16.101												
16.102												
16.103												
16.104												
17.000												
17.101												
17.102												
17.103												
17.104												
17.105												
17.106												
17.107												

Baseline Security Scoring Detail

Line	Element 1	
Grade:		0%
14.103		
14.104	NOT SCORED	0.011760
14.105		
15.000	0.000000	0.039600
15.101	NOT SCORED	0.020000
15.102	NOT SCORED	0.019600
15.103		
16.000	0.000000	0.029668
16.101	NOT SCORED	0.015056
16.102	NOT SCORED	0.014612
16.103		
16.104		
17.000	0.000000	0.019244
17.101		
17.102	NOT SCORED	0.004840
17.103	NOT SCORED	0.004836
17.104	NOT SCORED	0.004752
17.105	NOT SCORED	0.004816
17.106		
17.107		

SENSITIVE SECURITY INFORMATION

Top 17 Scoring Detail

Category			Questions	Score	Weight	Points	Possible	Grade
			MANAGEMENT AND ACCOUNTABILITY					
17.108			N/A Not Governed By 49 CFR Part 659	4	0.000863	0.003452	0.003452	100%
17.109			N/A Not Governed By 49 CFR Part 659	4	0.000845	0.00338	0.003380	100%
17.110			N/A Not Governed By 49 CFR Part 659	4	0.000810	0.00324	0.003240	100%
17.111			N/A Not Governed By 49 CFR Part 659	4	0.000810	0.00324	0.003240	100%
17.112			N/A Not Governed By 49 CFR Part 659	4	0.000817	0.003268	0.003268	100%
17.113			N/A Not Governed By 49 CFR Part 659	4	0.000789	0.003156	0.003156	100%
17.114			N/A Not Governed By 49 CFR Part 659	4	0.000756	0.003024	0.003024	100%

Questions NOT SCORED	195

Transit Security Fundamentals Scoring Detail

Line	Element 1	Element 2	Element 3	Element 4	Element 5	Element 6
Grade:	0%	0%	0%	0%	0%	0%
17.108						
17.109						
17.110						
17.111						
17.112						
17.113						
17.114						

Baseline Security Scoring Detail

Line	Element 1
Grade:	0%
17.108	
17.109	
17.110	
17.111	
17.112	
17.113	
17.114	

Color Key:

	Transportation Security Fundamentals Questions		Baseline Security Questions

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