

Appendix: International Principles, Guidelines, Tools, and Global Initiatives Related to Business and Human Rights

This page contains information relating to international principles and guidelines and global initiatives relating to business and human rights. It is provided as a resource for the consideration of businesses in their efforts to operate in a manner that maintains respect for human rights. The listing of principles, guidelines, and initiatives on this page is a sample of such initiatives, should not be taken as comprehensive and does not signify an endorsement of those principles, guidelines, or initiatives by the U.S. Government.

Principles, Guidelines, and Tools

Initiative	Sector	Website
Earth Rights Checklist: U.S. Investment in Myanmar: Specific Reporting on Policies and Procedures	All industry sectors	www.earthrights.org/publications
UN Declaration of Human Rights	All industry sectors	www.un.org/en/documents/udhr
Business & Human Rights Resource Centre: Selected Company Reports	All industry sectors	www.business-humanrights.org/Categories/CompanyPoliciesSteps/Reporting/SelectedCompanyReports
European Commission: A guide to human rights for small and medium-sized enterprises	All industry sectors	http://ec.europa.eu/enterprise/policies/sustainable-business/files/csr-sme/human-rights-sme-guide-final_en.pdf
Global Compact Business Guide for Conflict Impact Assessment and Risk Management	All industry sectors	http://www.unglobalcompact.org/docs/issues_doc/Peace_and_Business/BusinessGuide.pdf
Guidance on Responsible Business in Conflict-Affected and High-Risk Areas	All industry sectors	http://www.unglobalcompact.org/docs/issues_doc/Peace_and_Business/Guidance_RB.pdf
International Finance Corporation (IFC) Performance Standards	All industry sectors	www1.ifc.org/wps/wcm/connect/Topics_Ext_Content/IFC_External_Corporate_Site/IFC+Sustainability/Sustainability+Framework/Sustainability+Framework+-+2012/Performance+Standards+and+Guidance+Notes+2012
IFC Handbook for Preparing a Resettlement Action Plan	All industry sectors	http://www.ifc.org/ifcext/enviro.nsf/AttachmentsByTitle/p_resettle/\$FILE/ResettlementHandbook.PDF
International Labor Organization Declaration on Fundamental Principles and Rights at Work	All industry sectors	www.ilo.org/declaration/lang-en/index.htm
International Labor Organization	All industry sectors	www.ilo.org/empent/units/

Tripartite Declaration of Principles Concerning Multinational Enterprises and Social Policy	sectors	multinational-enterprises/lang—en/index.htm
OECD Guidelines for Multinational Enterprises	All industry sectors	www.oecd.org/document/28/0,3746,en_2649_34889_2397532_1_1_1,00.html
OECD’s Common Approaches for Officially Supported Export Credits and Environmental and Social Due Diligence	All industry sectors	http://search.oecd.org/officialdocuments/displaydocumentpdf/?cote=tad/ecg(2012)5&doclanguage=en
OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas	Mining industry	www.oecd.org/dataoecd/62/30/46740847.pdf
OECD Risk Awareness Tool for Multinational Enterprises in Weak Governance Zones	All sectors	http://www.oecd.org/investment/investmentpolicy/36885821.pdf
Reducing Child Labor and Forced Labor: A Toolkit for Responsible Businesses (U.S. Department of Labor Toolkit)	All sectors	http://www.dol.gov/ChildLaborBusinessToolkit
Report of the Consultative Group to Eliminate the Use of Child Labor and Forced Labor in Imported Agricultural Products	Agriculture sector	http://www.fas.usda.gov/info/Child_labor/CGDraftRPTRECS%20-%20final%2012%2015.pdf
Rights Compatible Grievance Mechanisms: A Guidance Tool for Companies and their Stakeholders	All industry sectors	www.hks.harvard.edu/m-rcbg/CSRI/publications/Workingpaper_41_Rights-Compatible%20Grievance%20Mechanisms_May2008FNL.pdf
Stakeholder Engagement: A Good Practice Handbook for Companies Doing Business in Emerging Markets	All industry sectors	http://www1.ifc.org/wps/wcm/connect/938f1a0048855805beacfe6a6515bb18/IFC_StakeholderEngagement.pdf?MOD=AJPERES&CACHEID=938f1a0048855805beacfe6a6515bb18
The Global Sullivan Principles of Social Responsibility	All industry sectors	www.thesullivanfoundation.org/about/global-sullivan-principles
UN Declaration on the Rights of Indigenous Peoples	All industry sectors	http://www.un.org/esa/socdev/unpfii/documents/DRIPS_en.pdf
UN Guiding Principles on Business and Human Rights	All industry sectors	www.business-humanrights.org/SpecialRepPortal/Home/Protect-Respect-Remedy-Framework/GuidingPrinciples
UNEP Finance Initiative Guidance Tool for the Finance Sector	Financial industry	www.unepfi.org/humanrightstoolkit/index.php
Voluntary Guidelines on the	All industry	www.fao.org/fileadmin/user_upload/nr/

Responsible Governance of Tenure of Land, Fisheries and Forests in the Context of National Food Security	sectors	land_tenure/pdf/VG_Final_May_2012.pdf
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Global Initiatives and Certifications

Initiative	Sector	Website
Business Social Compliance Initiative	Retail and importing industries	www.bsci-intl.org
Diamond Development Initiative	Diamond industry	http://www.ddiglobal.org
Electronics Industry Citizenship Coalition	Electronics industry	www.eicc.info
Ethical Trading Initiative	Retail and suppliers industries	www.ethicaltrade.org
Equator Principles	Financial industry	www.equator-principles.com
Extractive Industries Transparency Initiative	Extractives industry	www.eiti.org
Fair Labor Association	Footwear, clothing manufacturing, agriculture and electronics industries	www.fairlabor.org
Fair Wear Foundation	Garments Industry	www.fairwear.org
Forest Stewardship Council	Forestry industry	www.fsc.org
Global Network Initiative	Information and communications technology industry	www.globalnetworkinitiative.org
International Cocoa Initiative	Cocoa Industry	www.cocoainitiative.org
International Code of Conduct for Private Security Service Providers Initiative	Private security service providers industry	www.icoc-psp.org
Initiative for Responsible Mining	Mining	www.responsiblemining.net

Assurance	industry	
Kimberley Process	Diamond industry	www.kimberleyprocess.com
Roundtable on Sustainable Bio-fuels	Bio-fuel industry	www.rsb.epfl.ch
Public Private Alliance for Responsible Minerals Trade	Industries that source or use tin, tungsten, tantalum and gold	http://www.resolv.org/site-ppa/
Roundtable on Sustainable Palm Oil	Palm oil industry	www.rspo.org
Roundtable on Responsible Soy SA8000	Soy industry All industry sectors	www.responsiblesoy.org http://www.sa-intl.org/index.cfm?fuseaction=Page.ViewPage&PageID=937
UN Global Compact	All industry sectors	www.unglobalcompact.org
UN Global Compact/Maplecroft Human Rights and Business Dilemmas Forum	All industry sectors	www.human-rights.unglobalcompact.org
Voluntary Principles on Security & Human Rights	Extractives industry	www.voluntaryprinciples.org
Worker Rights Consortium	Footwear and apparel manufacturing industries	http://www.workersrights.org/