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U.S. DEPARTMENT OF EDUCATION NATIONAL TEACHER INCENTIVE FUND EVALUATION

PRINCIPAL SURVEY SPRING 2013

This survey is part of the evaluation of the Teacher Incentive Fund (TIF), a federally funded initiative on performance pay for educators. The survey includes questions about your background, your school's hiring practices, classroom assignments, and knowledge and perceptions of the TIF funded program.

Please return the completed form to: Mathematica Policy Research 707 Alexander Road Building 3, Suite 304	If you have questions, please contact: Dr. Annette Luyegu Phone: 866-275-6052 (toll free)	
Building 3, Suite 304	FAX: 609-936-1462	Ĺ
Princeton, NJ 08540	E-mail: aluyegu@mathematica-mpr.com	
ATTN: Lauren Greczyn		

This survey is authorized by law: The ARRA, Division A, Title VIII, Pub. L. 111–5 and Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2010, Division D, Title III, Pub. L. 111–117.

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 1850-0876. The time required to complete this information collection is estimated to average 30 minutes per respondent, including the time to review instructions, gather the data needed, and complete and review the information collected. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: U.S. Department of Education, Washington, DC 20202. If you have comments or concerns regarding the status of your individual submission of this form, write directly to: U.S. Department of Education, Institute of Education Sciences, 555 New Jersey Avenue, NW, Washington, DC 20208.

This survey is part of the national evaluation of the Teacher Incentive Fund (TIF), a federally funded initiative that will provide valuable information on the potential impact of performance-based incentive programs on student achievement and educator mobility. As part of the study, school principals may be asked to complete a survey each spring through 2015. The survey includes questions about your background, your school's hiring practices, classroom assignments, and knowledge and perceptions of the TIF funded program.

We would like you to know that:

- This survey is voluntary, but your response is critical for producing valid and reliable data about your district's TIF funded program. You may skip any questions you do not wish to answer; however, we hope that you answer as many questions as you can.
- The survey takes about 30 minutes to complete, and once you have completed it, we will send you \$20 to compensate you for your time.
- Refusal to participate in the principal survey will not affect your employment, or relationship with your school or the evaluation partners, including the U.S. Department of Education, in any way.
- The study will provide important information that can be used by principals and other educators. Specifically, we will learn whether incentive programs may have a positive impact on student achievement and teacher and principal retention.
- Responses to this data collection will be used only for statistical purposes. The reports prepared for this study will summarize findings across the sample and will not associate responses with a specific school or individual. We will not provide information that identifies you or your school to anyone outside the study team, except as required by law. Additionally, no one in your school or district will see your responses.
- Participation in the principal survey does not impose any special risks to you as a respondent other than accidental disclosure of information. Safeguards are in place to ensure individual respondent's confidentiality including restricted access to questionnaire data and separating identifying information such as name and school from survey responses. All Mathematica staff sign a confidentiality pledge and all staff with access to study data have received clearance from the U.S. Department of Education and are subject to severe legal consequences for any breach of confidentiality.
- An IRB has reviewed and approved this study. If you have any questions about your rights as a research participant, you can contact New England IRB at 1-800-232-9570.

have read and I understand the above statements and agree to participate in the survey.

If you would like a copy of this disclosure statement, please contact Annette Luyegu by email at <u>aluyegu@mathematica-mpr.com</u>, by phone toll-free at 866-275-6052, or directly at 202-264-3463.

A. PERSONAL AND PROFESSIONAL BACKGROUND

A1.	What position do you currently hold at this school? MARK ONE ONLY
	¹ Principal
	² Assistant or vice principal
	³ Other (<i>Specify</i>):
A2.	How long have you served in your current position at this school? MARK ONE ONLY
	1 Less than one year.
	In what month did you begin serving in your current position?
	2 One year or more.
	How many years have you served in your current position? year(s)
A3.	Including this school year, how many years have you served in <u>any</u> administrative position in <u>this school</u> ?
	YEARS
A4.	Including this school year, how many years have you served in <u>any</u> administrative position in <u>any school</u> ?
	Include all schools in this or any other district.
	YEARS

A5. How satisfied are you with each of the following aspects of your school this year?

		MAR	K ONE BOX IN	EACH ROW	
		V E R Y D I S S A T I S F I E D	S O M E W H A T D I S S A T I S F I E D	S O M E W H A T S A T I S F I E D	V E R Y S A T I S F I E D
a.	Opportunities for professional advancement	1	2	3	4
b.	Opportunities to enhance my skills	1	2	3	4
C.	Opportunities to earn extra pay	1	2	3	4
d.	Use of observations to assess my skills	1	2	3	4
e.	Use of student achievement scores to assess my effectiveness	1 	2	3	4
		1	2	3	4
f.	Feedback on my performance				0
g.	Recognition of accomplishments	1	2	3	4
h.	Quality of interaction with colleagues	1	2	3	4
i.	Efforts of my colleagues	1	2	3	4
j.	Morale in the school	1	2	3	4
k.	Overall job satisfaction	1	2	3	4
			-		

A6. What is the highest level of education you have completed? MARK ONE ONLY
¹ Bachelor's degree
² Master's degree
³ Doctoral degree (Ph. D., Ed. D.)
⁴ Other (<i>Specify</i>):
What is your year of birth?
<u> 1 9 </u>
Are you of Hispanic or Latino origin?
¹] Yes
° 🛛 No
What is your race? SELECT ALL THAT APPLY
¹ White
² Black or African American
³ Asian
⁴ Native Hawaiian or other Pacific Islander
⁵ American Indian or Alaska Native
Are you male or female? MARK ONE ONLY
¹ Male
² Female

B.HOW YOUR SCHOOL FILLED TEACHING VACANCIES LAST YEAR

B1. Which of the following statements best describes the principal's role in hiring teachers for this school?

MARK ONE ONLY

- ¹ Principal has complete autonomy over teacher hiring
- ² Principal is part of a school-level team responsible for teacher hiring
- ³ Principal receives a set of prescreened candidates from the district office as the pool from which he or she (or a school team) can interview and hire teachers
- ⁴ Principal has little or no input in hiring teachers at this school
- ⁵ Other (Specify)

B2. In filling teacher vacancies for the 2012-2013 school year, when did your school FIRST begin interviewing potential teachers?

MARK ONE ONLY

- ¹ December 2011 or earlier
- ² January 2012
- ³ February 2012
- ⁴ 0 March 2012
- ⁵ April 2012
- ⁶ May 2012
- ⁷ June 2012
- ⁸ [] July 2012
- ⁹ August 2012
- ¹⁰ September 2012 or later
- ^d Don't know
- ¹¹ \square No vacancies to fill \rightarrow GO TO QUESTION B5
- **B3.** During which period did your school conduct the MOST interviews with potential hires? Write the number or letter from the list in B2 above (1 through 10 or d) that corresponds to that period.

|___|

B4. Please provide the information requested below about teaching positions for the 2012-2013 school year, including activities <u>before</u> the start of the school year.

		NUMBER
a.	Total number of classroom teacher vacancies	
b.	Number of applications your school reviewed for the position(s)	
c.	Number of applicants your school interviewed	
d.	Number of offers your school made	
e.	Number of offers that were accepted	

B5. How frequently were the following factors about your school used as incentives or benefits when recruiting or interviewing teachers for your school?

		MARK ONE BOX IN EACH ROW				
		N E V E R	R A R E L Y	S O M E T I M E S	O F T E N	A L W A Y S
a.	Salary	. 1	2	3	4	5
b.	Opportunities to earn performance-based pay	1 • п	2 П	3	4	5 П
c.	Opportunities for career advancement		2	3	4	5
d.	Opportunities for professional development	1	2	3	4	5
e.	The level of teacher involvement in school decision making	1	2	3	4	5
f.	Collegiality of teaching staff		2	3	4	5
g.	The school culture and/or educational philosophy	1	2	3	4	5
h.	The school's reputation		2	3	4	5
i.	The school's location or	1	2	3	4	5
j.	The level of student achievement at the school	1	2 ⊓	3	4	5
k.	The [Program Name]		2	3	4	5
I.	Other factor 1 (Specify)	. 1	2	3	4	5
m.	Other factor 2 (Specify)	1	2	3	4	5

B6. Of the factors in Question B5, please indicate, in order of importance, the THREE that you feel are the most compelling reasons for teachers to accept a position at your school.

a.	Most important factor
b.	Second most important
C.	Third most important

B7. Of the applicants who DID NOT ACCEPT the offer of a teaching position, do you think any of the factors listed in Question B5 contributed to that decision?

¹ Yes. If yes, in the space below, use the list in Question B5 (a-m) above to write those that apply, or write any other factor(s) not on the list.



⁰ I No factors apply; personal circumstances unrelated to school or position

- ^d Don't know
- ⁿ I Not applicable

B8. How frequently did your school use the following criteria when assigning teachers to grade levels or subject areas?

		MARK ONE BOX IN EACH ROW				
		N E V E R	R A R E L Y	S O E T I M E S	O F T E N	A L W A Y S
a.	The teacher's experience in a grade level or subject area	1	2	3	4	5
b.	The teacher's seniority	1	2	3	4	5
C.	The teacher's content knowledge	1	2	3	4	5
d.	The teacher's ability to produce high test scores in grades/classes in which state or	1	2	3	4	5
	federal assessments are administered					
e.	The teacher's ability to work with certain student populations (e.g., ESL, special	1	2	3	4	5
	needs)	0			0	0
f.	To balance teacher experience and expertise in a grade level or subject	1	2	3	4	5
g.	Other (<i>Specify</i>)	1	2	3	4	5

B9. From the list of criteria in Question B8 above (a-g), please list the top three criteria your school uses when assigning teachers to <u>tested grade levels or subject areas</u> (grades/subject areas annually assessed by district and/or state achievement testing).

MARK ONE BOX IN EACH ROW

a. Criterion one.....

b.	Criterion two	

c. Criterion three.....

	uestions in this and not just the			out all policies	and programs	s in effect a	t your so	chool,
	NOTE: For ques a classroom. Fo given at regular are not conducte	rmal observa intervals. (Inf	tions are stan ormal observa	dardized by us	ing a rubric or c	hecklist and	l are usua	ally
21.	Are formal c performance			eing conducte	ed or planned t	o evaluate	teacher	
	¹ Yes							
	° 🛛 No	GO TO Q	UESTION C	6				
		ducts forma	l classroom	observations	o evaluate tea	cher perfor		that his vear.
	-			observations i school, mark 'i	to evaluate tea	MA		his year. BOX OW
	-					MA IN Y E	RK ONE EACH R	his year. BOX OW N O T A P L L I C A B L
	-					MA IN	RK ONE	his year. BOX OW N O T A P
	-	ition is not re	levant to your	school, mark '	not applicable'.	MA IN V E S	RK ONE EACH RU N O	his year. BOX OW N O T P L L I C A B L E

b. Other administrator (e.g., assistant or vice principal, department head).

c. Mentor, master, or lead teacher at your school......

d. Mentor, master, or lead teacher from a different school......

e. Content specialist.....

		1	о []	9
f.	Other (Specify)			0
C3.	How often are formal observations conducted for classroom teachers	during	the scho	ol year?
	A classroom teacher is typically observed TIMES DURING THE SC	CHOOL YE	EAR.	
C4.	Do teachers have the opportunity to review the instrument or rubric so informed about the criteria on which they are being assessed?	o that th	ey can b	e
	¹ Yes			
	° [] No			
C5.	Is each teacher shown his or her ratings after the classroom observation	on?		
	¹ Yes			

C6. For which of the following roles or activities can teachers at your school earn additional pay this school year?

Roles and Responsibilities	A. CAN EARN ADDITIONAL PAY?	B. Maximum Amount
a. Mentor teacher	1 □ Yes ► 0 □ No	\$,
b. Master or lead teacher	1 □ Yes → 0 □ No	\$,
c. Department chair or head	1 □ Yes → 0 □ No	\$, _
d. Lead curriculum specialist	1 □ Yes ► 	\$, _
e. Serving on a school-wide committee or task force	1 □ Yes → 	\$,
f. Serving on a leadership team in some capacity <i>(Specify)</i>	1 □ Yes ► 	\$, _
Other Activities		
g. Teaching in a hard-to-staff school (e.g., schools serving large proportions of economically disadvantaged	1 □ Yes → 0 □ No	\$, _
h. Teaching high-need subjects (e.g., math, science, or special education)	1 □ Yes → 	\$, _
i. Attending professional development activities or enrolling in graduate-level courses (exclude tuition)	1 □ Yes → 0 □ No	\$, _
i. An automatic bonus (e.g. 1% of salary) for participating in [Program Name]	1	\$, _

For each Yes answer, indicate in Column B the maximum amount that a teacher could receive.

The next series of questions focuses on criteria used to measure the performance of teachers (in <u>tested</u> <u>grades and subjects</u>) at your school and to determine performance-based bonuses. Performance-based bonuses refer to bonuses based on measured effectiveness and <u>do not</u> include additional pay for roles/responsibilities and activities (such as those listed in Question C6).

C7. Is it possible for teachers in <u>tested grades and subjects</u> at your school to earn a bonus based *solely* on their performance for the 2012-2013 school year?

- ¹ Yes \rightarrow GO TO C8
- $^{\circ}$ \square No \rightarrow GO TO C9
- C8. What is the maximum possible performance-based bonus amount that a teacher in a <u>tested</u> <u>grade and subject</u> at your school can earn this school year? (If you are not sure, please provide your best estimate.)

Round to the nearest \$100.



C9. Which of the following performance criteria are used to evaluate teachers in <u>tested grades</u> <u>and subjects</u> at your school or to determine their performance-based bonus?

In column A, indicate whether the performance criterion is used to evaluate teachers' performance at your school.

In column B, indicate whether the performance criterion helps determine whether teachers at your school may receive a performance-based bonus or the amount of the bonus they may receive. If it is not possible for teachers at your school to earn performance-based bonuses, please mark "N/A" for not applicable.

	FOR EACH CRITERION, MARK ON BOX IN COLUMN A AND ONE BOX COLUMN B A. IS B. DOE CRITERIO CRITERI N USED AFFEC TO TEACHE EVALUAT PERFOR E NCE- TEACHER BASE		DOES TERION FECT CHERS' FORMA CE-	K IN ES RION CT ERS' RMA :-	
	S' PERFORM ANCE?			ASED USES?	
	Y E S	N O	Y E S	N O	N / A
a. Student test scores at a point in time (e.g., average scores, proficiency rates, or Adequate Yearly Progress – AYP based on 2012-2013 scores only)	1 П	o []	1	0 []	9
 B. Growth in student test scores between the current and prior school year(s) at the <u>school</u> <u>level</u> (e.g., value-added, average gains) 	1	о []	1	о []	9
 Growth in student test scores between the current and prior school year(s) in <u>certain</u> <u>student groups</u> (e.g., grade level, team, subject area). 	1 []	o []	1	0 []	9
 Growth in student test scores between the current and prior school year(s) in <u>teachers'</u> individual classes. 	1 . D	o	1	0 []	9
DIRECT OBSERVATION					
e. Classroom observations	. []	о []	1	0 []	9
f. Professional judgment of principal or other administrator, other than classroom	1	о П	1	0 []	9 П
observations ATTENDANCE g. Teacher attendance	·	U 0	1	0	9

	1	I			
		0			
	1	0	1	0	9
h. Student attendance	0	0			
STAKEHOLDER INPUT					
	1	0	1	<u>0</u>	9
i. Reviews from other teachers		Ο			
. Description of	1	0	1	0	9
j. Parent input	0	0			
	1	0	1	0	9
k. Student input	0	Ο			
	1	0	1	0	9
I. OTHER (Specify)		Π		[]	

C10. Are teachers at your school eligible for non-monetary benefits in recognition of their performance or added responsibilities?

- ¹∏ Yes →
- °I No GO TO SECTION D

C11. Which of the following non-monetary benefits are available to teachers at your school?

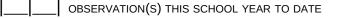
	Y		DNE BOX CH ROW
a.	Release from classroom teaching for mentoring or other leadership activities	1	° [
b.	Decision making authority on issues such as hiring staff or adopting curriculum	1 []	o D
C.	Priority in teaching assignments (e.g., grade level or subject area)	1 []	o D
d.	Priority in student assignment	1	о []
e.	Other (Specify)	1	o []

D.ADMINISTRATOR EVALUATION AND COMPENSATION AT YOUR SCHOOL

- NOTE: For the questions in this section, please think about all policies and programs in effect at your school, and not just the [Program Name].
- D1. Have you been (or expect to be) observed by a trained observer this year?



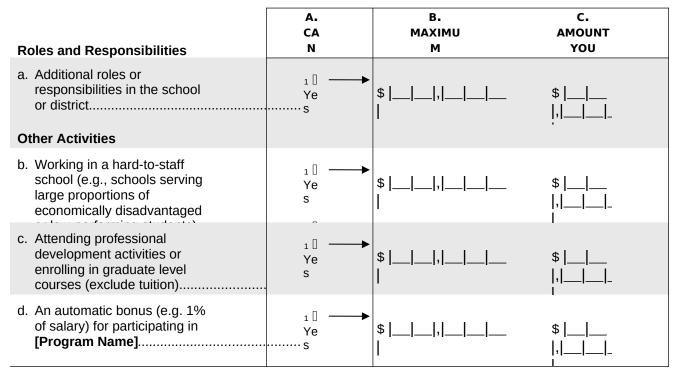
D2. How many times during this school year have you been (or expect to be) observed by a trained observer to evaluate your performance?



MORE OBSERVATION(S) EXPECTED BY THE END OF THE SCHOOL YEAR

D3. For which of the following roles or activities can you earn additional pay this school year?

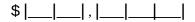
For each Yes answer, indicate in Column B the maximum amount that you could receive, and in Column C, the amount you expect to receive.



The next series of questions focuses on criteria used to measure your performance and to determine performance-based bonuses. Performance-based bonuses refer to bonuses based on measured effectiveness and <u>do not</u> include additional pay for roles/responsibilities and activities (such as those listed in Question D3).

- D4. Is it possible for you to earn a bonus based *solely* on your performance for the 2012-2013 school year?
 - ¹ Yes \rightarrow GO TO D5
 - ^o \square No \rightarrow GO TO D7
- D5. What do you think is the maximum performance-based bonus that someone in your position could possibly earn for this school year?

Round to the nearest \$100.



D6. What is your best estimate of the actual amount of performance-based bonus that you will receive?

Round to the nearest \$100.

\$ _____ , ____ _

- D7. Did you receive a bonus based solely on your performance last year?
 - ¹ Yes
 - ^o [] No
- D8. How much do you agree or disagree with the following statements about the performancebased bonuses that were distributed based on measured performance <u>last year?</u> MARK ONE BOX IN EACH ROW

S S т т R R ο Ο Ν D Ν G L Α G G L S L R Υ Α Υ Е D G Е R Т Α S Е G Α Е R G Е R Е Е Е 2 3 4 a. It was explained why I did (or did not) receive 1 a performance-based bonus.....

 I understand how the amounts of the performance-based bonuses were determined 	1 []	2	3	4
c. I received information about the performance- based bonus in a timely manner		2	3	4
d. I feel the performance-based bonus payouts were fair	1 🛛	2	3	4
e. I understand how I can adjust my practices this year to try to earn a honus or larger bonus		2	3	4

D9. Which of the following performance criteria are used to evaluate your performance or to determine your performance-based bonus?

In column A, indicate whether the performance criterion is used to evaluate your performance.

In column B, indicate whether the performance criterion helps determine whether you may receive a performance-based bonus or the amount of the bonus you may receive. If you are not eligible for a performance-based bonus, please mark "N/A" for not applicable.

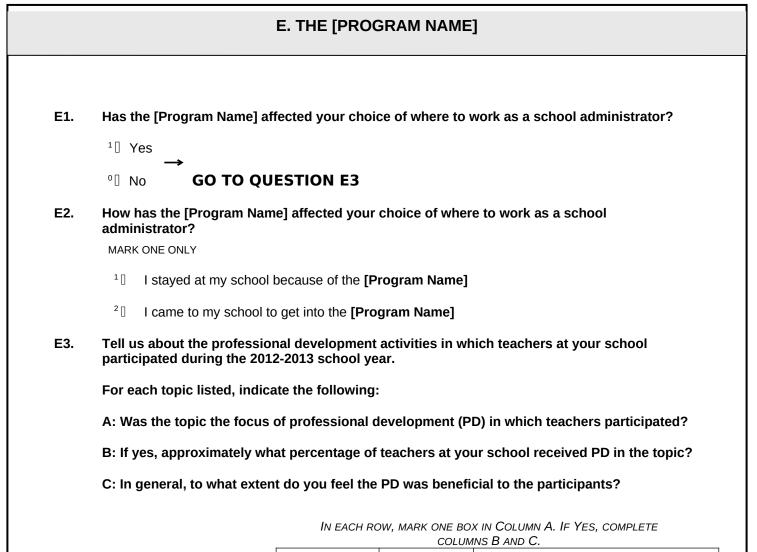
	ONE E	BOX IN (RITERION, M COLUMN A A N COLUMN	AND	
	A. IS CRIT ERIO N USE D TO EVAL UATE YOU R PERF ORM ANC E?		CRITI AFF YC PERF NG BA	DOES ERION FECT DUR FORMA CE- SED NUS?	
	Y E	N O	Y E	N O	N J
STUDENT ACHIEVEMENT	S		S		Α
a. Student test scores at a point in time	1	0	1	0	9
(e.g., average scores, proficiency rates, or Adequate Yearly Progress – AYP based on 2012-2013 scores only)		۵			۵
 B. Growth in student test scores between the current and prior school year(s) at the 	1	0	1	0	9
<u>school level</u> (e.g., value-added, average gains)		۵			

								-
с.	Growth in student test scores between the current and prior school year(s) in		1	0	1	0	9	
	certain student groups (e.g., grade level,		0		۵			
	team, subject area)							
DIF	RECT OBSERVATION							
			1	0	1	0	9	
d.	Observations by trained observers							
INF	PUT FROM STAKEHOLDERS							
e.	Teacher assessments		1	0	1	0	9	
			0	۵	0		0	
f.	Parent input		1	0	1	0	9	
			0		0			
от	HER MEASURES							
			1	0	1	0	9	
g.	Other measure 1 (Specify)		0			٥		
h	Other measure 2 (Creatify)		1	0	1	0	9	
· · · · ·	Other measure 2 (Specify)							
D10.	What is your academic-year base administrative	e sal	ary for the	e cur	rent schoo	l year?	•	
	Do not include the value of potential performance-b earnings from July 1, 2012 to June 30, 2013.	oaseo	l bonuses.	Plea	ase report yo	our befo	ore-tax	
	Round to the nearest \$100.							
	\$, 0_0							
D11.	Does your base administrative salary include ad have performed this year?	dditi	onal pay f	or ar	ny roles or a	activiti	es you r	nay

- ¹ J Yes **GO TO D10**
- ^o I No **GO TO Section E**
- D12. Please indicate the amount of your base administrative salary that is due to additional pay for performing roles or activities.

Round to the nearest \$100.





			INS B AND				٦
		В	с.	PERCEIVED E			
A. FOCUS	S OF PD?	•	THE PD				
		Р					
		E					
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	U	v	~	•	•	•	

a Understanding components of the [Program Name]	1	o []	1	2	3 []	4
 b. Understanding performance measure used as part of the [Program Name] 	1	o []	1 []	2	3 []	4
c. Direct feedback based upon individual performance ratings as measured by the [Program Name]	1	o []	1	2	з П	4
d. Differentiated instructional strategies based on student assessments	1	o []	1	2	з П	4
e. Instructional techniques and strategies	1	o []	1 D	2	3 []	4
f. Aligning curricula to state or district standards	1	o []	1	2	3 []	4

E4. Please indicate the extent to which you agree or disagree with each statement about the [Program Name] at your school.

MAI	RK ONE BOX ROW	(IN EACH	ł	_
S T R O N G L Y	D		S T R O N G L Y	
D I S A G	S A G R E	A G R E	A G R E	
R	_	6	_	

		E			
		E			
a.	The [Program Name] has been clearly communicated to me	1	2	3	4
b.	This school has less chance of earning a bonus because		Π		
υ.	of the characteristics of our student population	1	2	3	4
c.	The evaluation system omits important aspects of school	1	2	3	4
	administration that should be considered	-	-	-	-
d.	The [Program Name] contributes to greater collegiality	1	2	3	4
	and professionalism among the staff at this school	Ŧ	2	5	4
e.	Teachers at this school are more comfortable with formal	1	2	3	4
	observations of their teaching because of the [Program	÷	2	5	4
	Name]				
f.	Parents and the school community believe the [Program	1	2	3	4
	Name] is important				
g.	The [Program Name] is likely to continue for the	1	2	3	4
	foreseeable future	_	_	-	·
h.	I played an important role in implementing the [Program	1	2	3	4
	Name] at my school	_	_	-	-

E5. If you have any additional thoughts you would like to share about the [Program Name] or clarifications on your responses, please use the space below.

Providing this information is ve	oluntary.	
Name:		
Street		
address		
City:	State:	Zip
Code:		
Home Telephone: _ _ Area Code	- - e Number	
Cell Phone: Area Code	_ - e Number	
Work		
Home		
email:		
Best time to reach you	by phone: Day:	Time:
	, , <u> </u>	
name and contact information longer at your current school Providing this information is vo Person's Name: Relationship to you:		ow to reach you if you are no
	State:	
Home Telephone: Area Code	- <u> - </u> e Number	
Email:		