

**SUPPORTING STATEMENT  
ENVIRONMENTAL PROTECTION AGENCY**

**NESHAP for Chemical Preparations Industry (40 CFR Part 63, Subpart BBBBBBB)  
(Renewal)**

**1. Identification of the Information Collection**

**1(a) Title of the Information Collection**

NESHAP for Chemical Preparations Industry (40 CFR Part 63, Subpart BBBBBBB)  
(Renewal), EPA ICR Number 2356.03, OMB Control Number 2060-0636.

**1(b) Short Characterization/Abstract**

The National Emission Standards for Hazardous Air Pollutants (NESHAP) for Chemical Preparations Industry were proposed on August 5, 2009 (74 FR 39013), and promulgated on December 30, 2009 (74 FR 69193). These regulations apply to existing and new chemical preparation facilities that conduct the mixing, milling, blending or extruding of industrial chemicals and that are area sources of hazardous air pollutants (HAP). Area sources are classified as sources that emit less than 10 tons per year of a single toxic air pollutant or less than 25 tons per year of any combination of toxic air pollutants. New facilities include those that commenced construction, modification, or reconstruction after the date of proposal. This information is being collected to assure compliance with 40 CFR part 63, subpart BBBBBBB.

In general, all NESHAP standards require initial notifications, performance tests, and periodic reports by the owners/operators of the affected facilities. They are also required to maintain records of the occurrence and duration of any startup, shutdown, or malfunction in the operation of an affected facility, or any period during which the monitoring system is inoperative. These notifications, reports, and records are essential in determining compliance, and are required of all affected facilities subject to NESHAP.

Any owner/operator subject to the provisions of this part shall maintain a file of these measurements, and retain the file for at least five years following the date of such measurements, maintenance reports, and records. All reports are sent to the delegated state or local authority. In the event that there is no such delegated authority, the reports are sent directly to the U. S. Environmental Protection Agency (EPA) regional office.

Over the next three years, an average of 26 respondents per year will be subject to the standard, and no additional respondents per year will become subject to the standard.

The Office of Management and Budget (OMB) approved the currently active ICR without any “Terms of Clearance”.

The “Affected Public” are owners and operators of chemical preparation facilities that are area sources, and that conduct the mixing, milling, blending or extruding of industrial chemicals, not to be confused with non-industrial mixing or blending that occurs at a pharmacy, in a laboratory or in similar non-industrial circumstances. The burden to the “Affected Public”

may be found below in Table 1: Annual Respondent Burden and Cost – NESHAP for Chemical Preparations Industry (40 CFR Part 63, Subpart BBBB BBBB) (Renewal). The burden to the Federal Government is attributed entirely to work performed by either Federal employees or government contractors and may be found below in Table 2: Average Annual EPA Burden and Cost – NESHAP for Chemical Preparations Industry (40 CFR Part 63, Subpart BBBB BBBB) (Renewal).

## **2. Need for and Use of the Collection**

### **2(a) Need/Authority for the Collection**

The EPA is charged under Section 112 of the Clean Air Act, as amended, to establish standards of performance for each category or subcategory of major sources and area sources of hazardous air pollutants. These standards are applicable to new or existing sources of hazardous air pollutants and shall require the maximum degree of emission reduction. In addition, section 114(a) states that the Administrator may require any owner/operator subject to any requirement of this Act to:

- (A) Establish and maintain such records; (B) make such reports;
- (C) install, use, and maintain such monitoring equipment, and use such audit procedures, or methods; (D) sample such emissions (in accordance with such procedures or methods, at such locations, at such intervals, during such periods, and in such manner as the Administrator shall prescribe); (E) keep records on control equipment parameters, production variables or other indirect data when direct monitoring of emissions is impractical; (F) submit compliance certifications in accordance with Section 114(a)(3); and (G) provide such other information as the Administrator may reasonably require.

In the Administrator's judgment, HAP emissions from chemical preparation area sources cause or contribute to air pollution that may reasonably be anticipated to endanger public health or welfare. Therefore, the NESHAP were promulgated for this source category at 40 CFR part 63, subpart BBBB BBBB.

### **2(b) Practical Utility/Users of the Data**

The recordkeeping and reporting requirements in the standard ensure compliance with the applicable regulations which were promulgated in accordance with the Clean Air Act. The collected information is also used for targeting inspections and as evidence in legal proceedings.

Performance tests are required in order to determine an affected facility's initial capability to comply with the emission standard. Continuous emission monitors are used to ensure compliance with the standard at all times. During the performance test a record of the operating parameters under which compliance was achieved may be recorded and used to determine compliance in place of a continuous emission monitor.

The notifications required in the standard are used to inform the Agency or delegated authority when a source becomes subject to the requirements of the regulations. The reviewing authority may then inspect the source to check if the pollution control devices (e.g. vent collection systems) are properly installed and operated, leaks are being detected and repaired, and the standard is being met. The performance test may also be observed.

The required semiannual reports are used to determine periods of excess emissions, identify problems at the facility, verify operation/maintenance procedures and for compliance determinations.

The information obtained under the initial compliance demonstration, monitoring, recordkeeping, and reporting will be used by our enforcement personnel to: (1) identify existing and new HAP emission points subject to the NESHAP; (2) ensure that GACT is being properly applied; and (3) ensure that vent collection systems and control devices are being properly operated and maintained on a continuous basis to reduce HAP emissions from mixers, mixing and blending tanks, mills, and extruders.

### **3. Non-duplication, Consultations, and Other Collection Criteria**

The requested recordkeeping and reporting are required under 40 CFR part 63, subpart BBBB.

#### **3(a) Non-duplication**

If the subject standards have not been delegated, the information is sent directly to the appropriate EPA regional office. Otherwise, the information is sent directly to the delegated state or local agency. If a state or local agency has adopted its own similar standards to implement the Federal standards, a copy of the report submitted to the state or local agency can be sent to the Administrator in lieu of the report required by the Federal standards. Therefore, duplication does not exist.

#### **3(b) Public Notice Required Prior to ICR Submission to OMB**

An announcement of a public comment period for the renewal of this ICR was published in the Federal Register (77 FR 63813) on October 17, 2012. No comments were received on the burden published in the Federal Register.

#### **3(c) Consultations**

The Agency's industry experts have been consulted, and the Agency's internal data sources and projections of industry growth over the next three years have been considered. The primary source of information as reported by industry, in compliance with the record-keeping and reporting provisions in the standard, is the Online Tracking Information System (OTIS) which is operated and maintained by EPA's Office of Compliance. OTIS is EPA's database for the collection, maintenance, and retrieval of all compliance data. The growth rate for the industry is based on our consultations with the Agency's internal industry experts.

Industry trade associations and other interested parties were provided an opportunity to comment on the burden associated with the standard as it was being developed and the standard has been previously reviewed to determine the minimum information needed for compliance purposes. In developing this ICR, we contacted: 1) the American Coatings Association (ACA), at (202) 719-3703; and 2) the PPG Industries, at (412) 492-5476.

It is our policy to respond after a thorough review of comments received since the last ICR renewal as well as those submitted in response to the first Federal Register notice. In this case, no comments were received.

### **3(d) Effects of Less Frequent Collection**

Less frequent information collection would decrease the margin of assurance that facilities are continuing to meet the standards. Requirements for information gathering and recordkeeping are useful techniques to ensure that good operation and maintenance practices are applied and emission limitations are met. If the information required by these standards was collected less frequently, the proper operation and maintenance of control equipment and the possibility of detecting violations would be less likely.

### **3(e) General Guidelines**

These reporting or recordkeeping requirements do not violate any of the regulations promulgated by OMB under 5 CFR part 1320, section 1320.5.

These standards require the respondents to maintain all records, including reports and notifications for at least five years. This is consistent with the General Provisions as applied to the standards. EPA believes that the five-year records retention requirement is consistent the Part 70 permit program and the five-year statute of limitations on which the permit program is based. The retention of records for five years allows EPA to establish the compliance history of a source, any pattern of non-compliance and to determine the appropriate level of enforcement action. EPA has found that the most flagrant violators have violations extending beyond five years. In addition, EPA would be prevented from pursuing the violators due to the destruction or nonexistence of essential records.

### **3(f) Confidentiality**

Any information submitted to the Agency for which a claim of confidentiality is made will be safeguarded according to the Agency policies set forth in Title 40, chapter 1, part 2, subpart B - Confidentiality of Business Information (CBI) (see 40 CFR 2; 41 FR 36902, September 1, 1976; amended by 43 FR 40000, September 8, 1978; 43 FR 42251, September 20, 1978; 44 FR 17674, March 23, 1979).

### **3(g) Sensitive Questions**

The reporting or recordkeeping requirements in the standards do not include sensitive questions.

## **4. The Respondents and the Information Requested**

#### **4(a) Respondents/SIC Codes**

The respondents to the recordkeeping and reporting requirements are owners and operators of chemical preparation area source facilities that emit metal HAP. The United States Standard Industrial Classification (SIC) code for the respondents affected by the standards is SIC 2899: Chemicals and Chemical Preparations, NEC, which corresponds to the North American Industry Classification System (NAICS) code 325998: All Other Miscellaneous Chemical Product and Preparation Manufacturing.

#### **4(b) Information Requested**

##### **(i) Data Items**

In this ICR, all the data that is recorded or reported is required by the NESHAP for Chemical Preparations Industry (40 CFR Part 63, Subpart BBBB BBBB).

A source must make the following reports:

| <b>Notifications</b>   |                        |
|--|------------------------|
| Initial notification of applicability                          | 63.11585(b)(1)         |
| Notification of intent to construct or reconstruct             | 63.5(d)(1), 63.9(b)(5) |
| Notification of commencement of construction or reconstruction | 63.5(d)(1)             |
| Notification of anticipated and actual startup                 | 63.9(b)(5)             |
| Request for compliance extension                               | 63.9(c)                |
| Notification of initial performance tests                      | 63.11585(b)(2)         |
| Notification of compliance status                              | 63.11585(b)(3)         |

| <b>Reports</b>                          |                     |
|---|---------------------|
| Initial compliance report and test data | 63.11585(b)(3), (6) |
| Results of initial management practices | 63.11585(b)(5)      |
| Semiannual compliance reports           | 63.11585(c)         |

A source must keep the following records:

| <b>Recordkeeping</b>                        |                     |
|---|---------------------|
| All notification and reports                | 63.11585(d)(1)(i)   |
| Records of initial compliance demonstration | 63.11585(d)(1)(iii) |
| Records of continuous parameter monitoring  | 63.11585(d)(1) (v)  |

| <b>Recordkeeping</b>   |                          |
|--|--------------------------|
| Records of calibration and maintenance to continuous parameter monitoring equipment    | 63.11585(d)(1)(iv), (vi) |
| Records of vent collection system and control device inspection                        | 63.11585(d)(1)(vi)       |
| Records of site-specific monitoring plan   | 63.11585(d)(1)(vii)      |
| Records of particulate control device manufacturing specifications and recommendations | 63.11585(d)(1)(viii)     |

### Electronic Reporting

Some of the respondents are using monitoring equipment that automatically records parameter data. Although personnel at the affected facility must still evaluate the data, internal automation has significantly reduced the burden associated with monitoring and recordkeeping at a plant site.

Also, regulatory agencies in cooperation with the respondents continue to create reporting systems to transmit data electronically. However, electronic reporting systems are still not widely used. At this time, it is estimated that approximately 10 percent of the respondents use electronic reporting.

### **(ii) Respondent Activities**

| <b>Respondent Activities</b>   |
|--|
| Read instructions.   |
| Install, calibrate, and maintain continuous parameter monitoring systems   |
| Demonstrate compliance using prior emissions test, performance guarantee information, or engineering calculations. |
| Write the notifications and reports listed above.  |
| Plan recordkeeping activities.   |
| Enter information required to be recorded above.   |
| Develop a monitoring plan.   |
| Record continuous operating parameters and malfunctions.   |
| Record periods of target HAP service and deviations.   |
| Inspection and maintain vent collection systems and control devices.   |
| Store, file, and maintain records.   |
| Train personnel to be able to respond to a collection of information.  |

### **Respondent Activities**

Transmit, or otherwise disclose the information.

Currently sources are using monitoring and reporting equipment that provide parameter data in an automated way e.g., continuous parameter monitoring system. Although personnel at the source still need to evaluate the data, this type of monitoring equipment has significantly reduced the burden associated with monitoring and recordkeeping.

## **5. The Information Collected: Agency Activities, Collection Methodology, and Information Management**

### **5(a) Agency Activities**

EPA conducts the following activities in connection with the acquisition, analysis, storage, and distribution of the required information.

#### **Agency Activities**

Review notifications and reports, including performance test reports, and excess emissions reports, required to be submitted by industry.

Audit facility records.

Input, analyze, and maintain data in the Online Tracking Information System (OTIS).

### **5(b) Collection Methodology and Management**

Following notification of startup, the reviewing authority could inspect the source to determine whether the pollution control devices are properly installed and operated. Performance test reports are used by the Agency to discern a source's initial capability to comply with the emission standard. Data and records maintained by the respondents are tabulated and published for use in compliance and enforcement programs. The semiannual reports are used for problem identification, as a check on source operation and maintenance, and for compliance determinations.

Information contained in the reports is entered into OTIS which is operated and maintained by EPA's Office of Compliance. OTIS is EPA's database for the collection, maintenance, and retrieval of compliance data for approximately 125,000 industrial and government-owned facilities. EPA uses the OTIS for tracking air pollution compliance and enforcement by local and state regulatory agencies, EPA regional offices and EPA headquarters. Both the EPA and its delegated Authorities can edit, store, retrieve and analyze the data.

The records required by this regulation must be retained by the owner/operator for five years.

### **5(c) Small Entity Flexibility**

Approximately 38 percent of the area source facilities are small entities (i.e., small businesses). Due to the high percentage of companies that are small businesses, it is likely that some small businesses are affected by this NESHAP. However, it has been determined that the standard will not have a significant impact on small businesses. It is estimated that no companies will incur compliance costs, which include the cost of monitoring, inspection, recordkeeping and reporting costs, in excess of 1 percent of sales. Additionally, we have limited the initial compliance demonstration, monitoring, recordkeeping, and reporting requirements for all facilities, including those owned by small businesses, to the minimum necessary to ensure compliance.

Due to technical considerations involving the process operations and the types of control equipment employed, the recordkeeping and reporting requirements are the same for both small and large entities. The Agency considers these to be the minimum requirements needed to ensure compliance and, therefore, cannot reduce them further for small entities. To the extent that larger businesses can use economies of scale to reduce their burden, the overall burden will be reduced.

#### **5(d) Collection Schedule**

The specific frequency for each information collection activity within this request is shown in below Table 1: Annual Respondent Burden and Cost – NESHAP for Chemical Preparations Industry (40 CFR Part 63, Subpart BBBB BBBB) (Renewal).

### **6. Estimating the Burden and Cost of the Collection**

Table 1 documents the computation of individual burdens for the recordkeeping and reporting requirements applicable to the industry for the subpart included in this ICR. The individual burdens are expressed under standardized headings believed to be consistent with the concept of burden under the Paperwork Reduction Act. Wherever appropriate, specific tasks and major assumptions have been identified. Responses to this information collection are mandatory.

The Agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB Control Number.

#### **6(a) Estimating Respondent Burden**

The average annual burden to industry over the next three years from these recordkeeping and reporting requirements is estimated to be 2,093 hours (Total Labor Hours from Table 1 below). These hours are based on Agency studies and background documents from the development of the regulation, Agency knowledge and experience with the NESHAP program, the previously approved ICR, and any comments received.

#### **6(b) Estimating Respondent Costs**

##### **(i) Estimating Labor Costs**

This ICR uses the following labor rates:

|            |                           |
|------------|---------------------------|
| Managerial | \$121.44 (\$57.83+ 110%)  |
| Technical  | \$100.23 (\$47.73 + 110%) |
| Clerical   | \$50.51 (\$24.05 + 110%)  |

These rates are from the United States Department of Labor, Bureau of Labor Statistics, March 2012, “Table 2. Civilian Workers, by occupational and industry group.” The rates are from column 1, “Total compensation.” The rates have been increased by 110 percent to account for the benefit packages available to those employed by private industry.

### **(ii) Estimating Capital/Startup and Operation and Maintenance Costs**

The type of industry costs associated with the information collection activities in the subject standard are both labor costs which are addressed elsewhere in this ICR and the costs associated with continuous monitoring. The capital/startup costs are one-time costs when a facility becomes subject to the regulation. The annual operation and maintenance costs are the ongoing costs to maintain the monitor(s) and other costs such as photocopying and postage.

### **(iii) Capital/Startup vs. Operation and Maintenance (O&M) Costs**

EPA assumes all affected sources will use existing continuous parameter monitoring equipment or alarms to demonstrate continuous compliance. Therefore, no new equipment would be required by the recordkeeping and reporting requirements and that no capital costs would be incurred.

The annual operation and maintenance (O&M) costs include the cost of photocopying and mailing reports for initial compliance demonstrations (engineering calculations or performance guarantee information) and semiannual compliance reports. Photocopying and postage costs are incurred when reports required by the NESHAP are submitted to regulatory agencies. These costs were estimated to be \$7.50 per report (\$0.10/page, 15 pages/report, and \$0.50 postage/report).

| <b>Capital/Startup vs. Operation and Maintenance (O&amp;M) Costs</b> |  |  |  |  |   |                              |
|--|--|--|--|--|---|------------------------------|
| (A)<br>Continuous<br>Monitoring<br>Device                            | (B)<br>Capital/Startup<br>Cost for One<br>Respondent | (C)<br>Number of<br>New<br>Respondents | (D)<br>Total<br>Capital/Startup<br>Cost, (B X C) | (E)<br>Annual O&M<br>Costs for One<br>Respondent | (F)<br>Number of<br>Respondents<br>with O&M | (G)<br>Total O&M,<br>(E X F) |
| Photocopy<br>and<br>postage  | NA   | NA                                     | NA   | \$15 <sup>1</sup>                                | 26  | \$390                        |

<sup>1</sup>Photocopy and postage cost for semiannual reports (\$7.50/report x 2 report/yr) = \$15/yr

The total capital/startup costs for this ICR are zero. This is the total of column D in the above table.

The total operation and maintenance (O&M) costs for this ICR are \$390. This is the total of column G.

The average annual cost for capital/startup and operation and maintenance costs to industry over the next three years of the ICR is estimated to be \$390. These are recordkeeping costs.

### **6(c) Estimating Agency Burden and Cost**

The only costs to the Agency are those costs associated with analysis of the reported information. EPA's overall compliance and enforcement program includes such activities as the examination of records maintained by the respondents, the periodic inspection of sources of emissions, and the publication and distribution of collected information.

The average annual Agency cost during the three years of the ICR is estimated to be \$2,697.

This cost is based on the average hourly labor rate as follows:

|            |  |
|------------|--|
| Managerial | \$62.27 (GS-13, Step 5, \$38.92 + 60%) |
| Technical  | \$46.21 (GS-12, Step 1, \$28.88 + 60%) |
| Clerical   | \$25.01 (GS-6, Step 3, \$15.63 + 60%)  |

These rates are from the Office of Personnel Management (OPM), 2012 General Schedule, which excludes locality rates of pay. The rates have been increased by 60 percent to account for the benefit packages available to government employees. Details upon which this estimate is based appear below in Table 2: Average Annual EPA Burden and Cost – NESHAP for Chemical Preparations Industry (40 CFR Part 63, Subpart BBBB BBBB) (Renewal).

### **6(d) Estimating the Respondent Universe and Total Burden and Costs**

Based on our research for this ICR, on average over the next three years, approximately 26 existing respondents will be subject to the standard. It is estimated that no additional respondents per year will become subject. The overall average number of respondents, as shown in the table below, is 26 per year.

The number of respondents is calculated using the following table that addresses the three years covered by this ICR.

| <b>Number of Respondents</b> |  |   |  |   |  |
|------------------------------|--|---|--|---|--|
|                              | Respondents That Submit Reports                  |   | Respondents That Do Not Submit Any Reports   |   |  |
| Year                         | (A)<br>Number of New<br>Respondents <sup>1</sup> | (B)<br>Number of<br>Existing<br>Respondents | (C)<br>Number of Existing<br>Respondents that keep<br>records but do not<br>submit reports | (D)<br>Number of<br>Existing<br>Respondents That<br>Are Also New<br>Respondents | (E)<br>Number of<br>Respondents<br>(E=A+B+C-D) |
|                              |  |   |  |   |  |

| <b>Number of Respondents</b> |   |    |   |   |    |
|------------------------------|---|----|---|---|----|
| 1                            | 0 | 26 | 0 | 0 | 26 |
| 2                            | 0 | 26 | 0 | 0 | 26 |
| 3                            | 0 | 26 | 0 | 0 | 26 |
| Average                      | 0 | 26 | 0 | 0 | 26 |

<sup>1</sup> New respondent include sources with constructed, reconstructed and modified affected facilities.

Column D is subtracted to avoid double-counting respondents. As shown above, the average Number of Respondents over the three year period of this ICR is 26.

The total number of annual responses per year is calculated using the following table:

| <b>Total Annual Responses</b>                 |                                 |                               |  |   |
|---|---------------------------------|-------------------------------|--|---|
| (A)<br>Information Collection Activity        | (B)<br>Number of<br>Respondents | (C)<br>Number of<br>Responses | (D)<br>Number of Existing<br>Respondents That<br>Keep Records But Do<br>Not Submit Reports | (E)<br>Total Annual Responses<br>$E=(B \times C) + D$ |
| Notification of applicability                 | 0                               | 1                             | 0  | 0   |
| Notification of construction / reconstruction | 0                               | 1                             | 0  | 0   |
| Notification of startup                       | 0                               | 1                             | 0  | 0   |
| Notification of initial performance tests     | 0                               | 1                             | 0  | 0   |
| Notification of compliance status             | 0                               | 1                             | 0  | 0   |
| Semiannual reports                            | 26                              | 2                             | 0  | 52  |
|   |                                 |                               | Total  | 52  |

The number of Total Annual Responses is 52.

The total annual labor costs are \$202,662. Details regarding these estimates may be found below in Table 1: Annual Respondent Burden and Cost – NESHAP for Chemical Preparations Industry (40 CFR Part 63, Subpart BBBB BBBB) (Renewal).

#### **6(e) Bottom Line Burden Hours and Cost Tables**

The detailed bottom line burden hours and cost calculations for the respondents and the Agency are shown in Tables 1 and 2, respectively, and summarized below.

##### **(i) Respondent Tally**

The total annual labor hours are 2,093 hours at a cost of \$202,662. Details regarding these estimates may be found below in Table 1: Annual Respondent Burden and Cost – NESHAP for Chemical Preparations Industry (40 CFR Part 63, Subpart BBBBBBB) (Renewal).

Furthermore, the annual public reporting and recordkeeping burden for this collection of information is estimated to average 40 hours per response.

The total annual capital/startup and O&M costs to the regulated entity are \$390. The cost calculations are detailed in Section 6(b)(iii), Capital/Startup vs. Operation and Maintenance (O&M) Costs.

#### **(ii) The Agency Tally**

The average annual Agency burden and cost over next three years is estimated to be 60 labor hours at a cost of \$2,697. See Table 2 below: Average Annual EPA Burden and Cost – NESHAP for Chemical Preparations Industry (40 CFR Part 63, Subpart BBBBBBB) (Renewal).

#### **6(f) Reasons for Change in Burden**

There is an adjustment decrease in the total estimated burden for both the respondents and the Agency as currently identified in the OMB Inventory of Approved Burdens. This decrease is not due to any program changes. The change in the burden and cost estimates occurred because the standard has been in effect for more than three years and the requirements are different during initial compliance (new facilities) as compared to on-going compliance (existing facilities). The previous ICR reflected those burdens and costs associated with the initial activities for subject facilities. This includes understanding rule requirements, notification of applicability, conducting engineering calculations or obtaining data on performance guarantees to demonstrate initial compliance, and establishing recordkeeping systems. This ICR, by in large, reflects the on-going burden and costs for existing facilities. Activities for existing source include continuous monitoring of pollutants and the submission of semiannual reports. The overall result is a decrease in burden hours and costs. These changes also result in a decrease in O&M costs, which are photocopying and postage costs associated with submittal of notifications and semiannual reports.

This ICR corrects a mathematical mistake in the Agency labor rates. The previous ICR adjusted the labor rates by 160 percent, thereby overestimating the Agency costs. This ICR corrects the loading rate to 60 percent to account for the benefit packages available to government employees. Additionally, this ICR corrects the frequency of occurrence for the review of semiannual reports from once to twice per year.

#### **6(g) Burden Statement**

The annual public reporting and recordkeeping burden for this collection of information is estimated to average 40 hours per response. “Burden” means the total time, effort, or financial resources expended by persons to generate, maintain, retain, or disclose or provide information to or for a Federal agency. This includes the time needed to review instructions; develop, acquire, install, and utilize technology and systems for the purposes of collecting, validating, and

verifying information, processing and maintaining information, and disclosing and providing information; adjust the existing ways to comply with any previously applicable instructions and requirements; train personnel to be able to respond to a collection of information; search data sources; complete and review the collection of information; and transmit or otherwise disclose the information.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB Control Number. The OMB Control Numbers for EPA regulations are listed at 40 CFR part 9 and 48 CFR chapter 15.

To comment on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including the use of automated collection techniques, EPA has established a public docket for this ICR under Docket ID Number EPA-OECA-2012-0642. An electronic version of the public docket is available at <http://www.regulations.gov/> which may be used to obtain a copy of the draft collection of information, submit or view public comments, access the index listing of the contents of the docket, and to access those documents in the public docket that are available electronically. When in the system, select "search," then key in the docket ID number identified in this document. The documents are also available for public viewing at the Enforcement and Compliance Docket and Information Center in the EPA Docket Center (EPA/DC), EPA West, Room 3334, 1301 Constitution Ave., NW, Washington, DC. The EPA Docket Center Public Reading Room is open from 8:30 a.m. to 4:30 p.m., Monday through Friday, excluding legal holidays. The telephone number for the Reading Room is (202) 566-1752, and the telephone number for the docket center is (202) 566-1752. Also, you can send comments to the Office of Information and Regulatory Affairs, Office of Management and Budget, 725 17th Street, NW, Washington, DC 20503, Attention: Desk Officer for EPA. Please include the EPA Docket ID Number EPA-HQ-OECA-2012-0642 and OMB Control Number 2060-0636 in any correspondence.

### **Part B of the Supporting Statement**

This part is not applicable because no statistical methods were used in collecting this information.

**Table 1: Annual Respondent Burden and Cost – NESHAP for Chemical Preparations Industry (40 CFR Part 63, Subpart BBBBBB) (Renewal)**

| Burden Items   | (A)<br>Respondent<br>Hours per<br>Occurrence | (B)<br>Number of<br>Occurrences<br>per<br>Respondent<br>per Year | (C)<br>Hours per<br>Respondent<br>per Year<br>(A x B) | (D)<br>Number of<br>Respondents<br>per Year <sup>a</sup> | (E)<br>Technica<br>l Hours<br>per Year<br>(C x D) | (F)<br>Management<br>Hours per<br>Year<br>(E x 0.05) <sup>a</sup> | (G)<br>Clerical<br>Hours per<br>Year<br>(Ex0.1) <sup>a</sup> | (H)<br>Total<br>Labor<br>Costs per<br>Year, \$ <sup>b</sup> |
|--|--|--|---|--|---|---|--|---|
| 1. Read instructions <sup>c</sup>  | 4  | 1  | 4   | 0  | 0   | 0   | 0  | \$0   |
| 2. Required activities   |  |  |   |  |   |   |  |   |
| a. Initial performance tests <sup>c, d</sup>   | 8  | 1  | 8   | 0  | 0   | 0   | 0  | \$0   |
| b. Engineering calculations or performance guarantees <sup>d, e</sup>                            | 8  | 1  | 8   | 0  | 0   | 0   | 0  | \$0   |
| c. Continuous parameter monitoring <sup>e, f</sup>   | 8  | 1  | 8   | 0  | 0   | 0   | 0  | \$0   |
| 3. Reporting requirements  |  |  |   |  |   |   |  |   |
| a. Initial notification that existing facilities are subject to the standard <sup>c, g</sup>     | 4  | 1  | 4   | 0  | 0   | 0   | 0  | \$0   |
| b. Notification of new area sources <sup>h</sup>   |  |  |   |  |   |   |  |   |
| (1) Notification of intent to construct/reconstruct  | 4  | 1  | 4   | 0  | 0   | 0   | 0  | \$0   |
| (2) Notification to commence construct/reconstruct   | 4  | 1  | 4   | 0  | 0   | 0   | 0  | \$0   |
| (3) Notification of startup  | 4  | 1  | 4   | 0  | 0   | 0   | 0  | \$0   |
| c. Request for compliance extension <sup>h</sup>   | 4  | 1  | 4   | 0  | 0   | 0   | 0  | \$0   |
| d. Notification of initial performance test <sup>c, d</sup>                                      | 2  | 1  | 2   | 0  | 0   | 0   | 0  | \$0   |
| e. Notification of compliance status <sup>c</sup>  | 4  | 1  | 4   | 0  | 0   | 0   | 0  | \$0   |
| f. Gather information for semiannual reports   | 4  | 2  | 8   | 26   | 208   | 10.4  | 20.8   | \$23,161.43   |
| g. Semiannual compliance reports <sup>i</sup>  | 4  | 2  | 8   | 26   | 208   | 10.4  | 20.8   | \$23,161.43   |
| <b>Subtotal for Reporting Requirements</b>   |  |  |   |  |   | <b>478.4</b>  |  | <b>\$46,322.86</b>  |
| 4. Recordkeeping Requirements  |  |  |   |  |   |   |  |   |
| a. Develop a record system <sup>c</sup>  | 4  | 1  | 4   | 0  | 0   | 0   | 0  | \$0   |
| b. Develop a monitoring plan <sup>c</sup>  | 4  | 1  | 4   | 0  | 0   | 0   | 0  | \$0   |
| c. Implement activities  |  |  |   |  |   |   |  |   |
| (1) Record performance tests   | 1  | 1  | 1   | 0  | 0   | 0   | 0  | \$0   |
| (2) Record periods of target HAP service and deviations  | 0.50   | 52   | 26  | 26   | 676   | 33.8  | 67.6   | \$75,274.63   |
| (3) Continuous parameter monitoring system inspections, calibration and maintenance <sup>j</sup> | 1  | 12   | 12  | 26   | 312   | 15.6  | 31.2   | \$34,742.13   |

| Burden Items  | (A)<br>Respondent<br>Hours per<br>Occurrence | (B)<br>Number of<br>Occurrences<br>per<br>Respondent<br>per Year | (C)<br>Hours per<br>Respondent<br>per Year<br>(A x B) | (D)<br>Number of<br>Respondents<br>per Year <sup>a</sup> | (E)<br>Technica<br>l Hours<br>per Year<br>(C x D) | (F)<br>Management<br>Hours per<br>Year<br>(E x 0.05) <sup>a</sup> | (G)<br>Clerical<br>Hours per<br>Year<br>(Ex0.1) <sup>a</sup> | (H)<br>Total<br>Labor<br>Costs per<br>Year, \$ <sup>b</sup> |
|---|--|--|---|--|---|---|--|---|
| (4) Vent collection systems and control inspections   | 1  | 12   | 12  | 26   | 312   | 15.6  | 31.2   | \$34,742.13   |
| d. Store, file and maintain records                   | 4  | 1  | 4   | 26   | 104   | 5.2   | 10.4   | \$11,580.71   |
| 5. Time to train personnel                            | 4  | 1  | 4   | 0  | 0   | 0   | 0  | \$0   |
| 6. Prepare for and participate in audits              | 0  | 0  | 0   | 0  | 0   | 0   | 0  | \$0   |
| <b><i>Subtotal for Recordkeeping Requirements</i></b> |  |  |   |  |   | <b>1,614.6</b>  |  | <b>\$156,339.60</b>   |
| <b>TOTAL ANNUAL BURDEN and COST (rounded)</b>         |  |  |   |  |   | <b>2,093</b>  |  | <b>\$202,662</b>  |

## Assumptions:

- <sup>a</sup> We have assumed that there are approximately 26 respondents subject to the rule, with no new sources expected over the next three-years of this ICR.
- <sup>b</sup> This ICR uses the following labor rates: Technical \$100.23 (\$47.73 + 110%); Managerial \$121.44 (\$57.83+ 110%); and Clerical \$50.51 (\$24.05 + 110%). These rates are from the United States Department of Labor, Bureau of Labor Statistics, March 2012, “Table 2. Civilian Workers, by occupational and industry group.” The rates are from column 1, “Total compensation.” The rates have been increased by 110 percent to account for the benefit packages available to those employed by private industry. This ICR assumes that Managerial hours are 5 percent of Technical hours, and Clerical hours are 10 percent of Technical hours.
- <sup>c</sup> We assume that this is a one-time only activity for new facilities.
- <sup>d</sup> One-time activity for new and existing facility after promulgation of final rule. Assume that performance tests are not required for any of the existing facilities to demonstrate compliance with the emission limits. We assume that 50 percent of the industry will have existing performance tests that demonstrate compliance with the emission limits, and the other 50 percent will use performance guarantees or engineering calculations to demonstrate compliance.
- <sup>e</sup> We assume that all existing facilities will use their existing continuous parameter monitoring equipment or alarms to demonstrate continuous compliance.
- <sup>f</sup> There is no additional burden for new monitoring equipment because additional add-on control devices are not expected to be needed to demonstrate compliance with the emission limits and facilities are already equipped with equipment to monitor existing control device parameters.
- <sup>g</sup> Existing facilities must submit notification that they are subject to the standard within 120 days of the effective date of final rule.
- <sup>h</sup> We assume that compliance extensions will not be necessary.
- <sup>i</sup> We have assumed that semiannual compliance reports will take each respondent 4 hours twice per year to prepare.
- <sup>j</sup> We have assumed that each respondent will take 1 hour 12 times per year to implement the continuous parameter monitoring system inspections, calibration and maintenance activity.

**Table 2: Average Annual EPA Burden and Cost – NESHAP for Chemical Preparation Industry (40 CFR Part 63, Subpart BBBBBB) (Renewal)**

| Burden Items  | (A)<br>EPA Hours<br>per<br>Occurrence | (B)<br>Occurrences<br>per Plant per<br>Year | (C)<br>EPA<br>Hours per<br>Plant per<br>Year<br>(Ax B) | (D)<br>Plants<br>per<br>Year <sup>a</sup> | (E)<br>Technical<br>EPA<br>Hours per<br>Year<br>(Cx D) | (F)<br>Managerial<br>Hours per<br>Year<br>(Ex 0.05) | (G)<br>Clerical<br>Hours per<br>Year<br>(Ex 0.1) | (H)<br>Cost per<br>year, \$ <sup>b</sup> |
|---|---------------------------------------|---|--|---|--|---|--|--|
| 1. Read instructions <sup>c</sup>   | 2                                     | 1   | 2  | 0   | 0  | 0   | 0  | \$0                                      |
| 2. Required activities  |                                       |   |  |   |  |   |  |  |
| a. Initial performance tests <sup>c, d, e</sup>   | 8                                     | 1   | 8  | 0   | 0  | 0   | 0  | \$0                                      |
| b. Review initial performance test reports, performance guarantees, engineering                     | 4                                     | 1   | 4  | 0   | 0  | 0   | 0  | \$0                                      |
| c. Enter and update information into agency recordkeeping system                                    | 1                                     | 1   | 1  | 0   | 0  | 0   | 0  | \$0                                      |
| 3. Excess emissions – enforcement activities <sup>f</sup>   | N/A                                   |   |  |   |  |   |  |  |
| 4. Notification requirements  |                                       |   |  |   |  |   |  |  |
| a. Review initial notification that existing facilities are subject to the standard <sup>c, g</sup> | 1                                     | 1   | 1  | 0   | 0  | 0   | 0  | \$0                                      |
| b. Notifications for new area sources <sup>h</sup>  |                                       |   |  |   |  |   |  |  |
| (1) Review notification of intent to construct/reconstruct  | 4                                     | 1   | 4  | 0   | 0  | 0   | 0  | \$0                                      |
| (2) Review notification of commencement of construction/reconstruction                              | 2                                     | 1   | 2  | 0   | 0  | 0   | 0  | \$0                                      |
| (3) Review notification of startup  | 2                                     | 1   | 2  | 0   | 0  | 0   | 0  | \$0                                      |
| c. Review request for compliance extension <sup>i</sup>   | 2                                     | 1   | 2  | 0   | 0  | 0   | 0  | \$0                                      |
| d. Review notification of initial performance tests <sup>c, d, e</sup>                              | 1                                     | 1   | 1  | 0   | 0  | 0   | 0  | \$0                                      |
| e. Review notification of compliance status <sup>c, j</sup>   | 4                                     | 1   | 4  | 0   | 0  | 0   | 0  | \$0                                      |
| 5. Reporting requirements – review semiannual compliance reports <sup>k</sup>                       | 4                                     | 1   | 4  | 13  | 52   | 2.6   | 5.2  | \$2,696.87                               |
| <b>TOTAL ANNUAL BURDEN and COST (rounded)</b>   |                                       |   |  |   |  | <b>60</b>   |  | <b>\$2,697</b>                           |

Assumptions:

- <sup>a</sup> We have assumed that there are approximately 26 respondents subject to the rule, with no new sources expected over the next three-years of this ICR. Facilities subject to the NESHAP rules are located in 13 States.
- <sup>b</sup> This cost is based on the average hourly labor rate as follows: Technical \$46.21 (GS-12, Step 1, \$28.88 + 60%); Managerial \$62.27 (GS-13, Step 5, \$38.92 + 60%); and Clerical \$25.01 (GS-6, Step 3, \$15.63 + 60%). This ICR assumes that Managerial hours are 5 percent of Technical hours, and Clerical hours are 10 percent of Technical hours. These rates are from the OPM, 2012 General Schedule, which excludes locality rates of pay. The rates have been increased by 60 percent to account for the benefit packages available to government employees.
- <sup>c</sup> We assume that this is a one-time only cost.
- <sup>d</sup> We assume that EPA technical personnel will observe all performance tests conducted by new sources.
- <sup>e</sup> We have assumed that not emission tests will need to be performed. Facilities will utilize existing performance tests, performance guarantees, or engineering calculations to demonstrate initial compliance
- <sup>f</sup> We have assumed that there would be no enforcement activities for the 3-year period covered by this ICR.
- <sup>g</sup> We assume that existing area source facilities must submit notification that they are subject to and the standard within 120 days of the effective date of the final rule.
- <sup>h</sup> There are no new sources expected.
- <sup>i</sup> We have assumed that the compliance extensions will not be necessary.
- <sup>j</sup> Assume that EPA technical personnel will review all of the initial compliance status notifications for new sources.
- <sup>k</sup> We assume that EPA technical personnel will review 25 percent of the semiannual compliance reports for 26 sources, Number of occurrence = (26 x2 reports) x25% = 13 reports.