

Renewable Fuel Standard (RFS) Report Instructions
OMB Control No. 2060-0640

RFS1 RIN Transaction Report
Report Form ID: RFS0201

Issue Date: 07/23/2007
Revised Date: 11/05/2010

The RFS1 RIN Transaction Report may only be used to report transactions for RINs generated prior to July 1, 2010 under RFS1 regulations (i.e. all RFS1 RINs must be reported on the RFS0201). On and after July 1, 2010, all RINs generated under RFS2 must be generated and transacted in the EPA Moderated Transaction System (EMTS). EMTS will generate a RFS2 RIN Transaction Report that must be downloaded, certified, and submitted to EPA.

Any party that delivers (sell), acquires (buy), retires, or reinstates RINs during a reporting period must submit a separate RIN Transaction Report for each RFS1 RIN transaction it conducts during a given quarter per §80.1451(c)(1).

Under §80.1451(a)(1)(xi) obligated parties and renewable fuel exporters must provide a list of RINs used for compliance. Obligated parties must use a RIN Transaction report to list each continuous sequence of gallon-RINs in a batch-RIN used for compliance in the reporting year. These parties shall use the field 10 transaction type "RET" and the field 11 retired RIN code "USE" to note that the RIN was used for compliance purposes.

Note: Fields 1-15 must be filled out for each row and cannot be left blank.

This report is due quarterly: First quarter (January – March) reports are due May 31; Second quarter (April – June) reports are due August 31; Third quarter (July – September) reports are due November 30; Fourth quarter (October – December) reports are due February 28.

Please check the RFS reporting web site for updated instructions and templates:
<http://epa.gov/otaq/regs/fuels/rfsforms.htm>

For information on submitting this report using EPA's Central Data Exchange (CDX) visit:
<http://epa.gov/otaq/regs/fuels/cdxinfo.htm>

Field No.	Field Name	Units	Field Formats, Codes, & Special Instructions
1.	Report Form ID		AAAAAAA ; <i>Character</i> . RFS0201 : Form ID for the RFS1 RIN Transaction Report under RFS2 regulations.
2.	Report Type		A ; <i>Character</i> . Indicate whether this is the original report or a resubmission. Submit only one Original report, submit any corrections or updates as Resubmission(s): O : Original R : Resubmission X : Delete; Resubmission Note: An X report row must be the original data previously submitted. In addition, there must be an accompanying resubmission (R) report row.

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3.	CBI		<p>A; <i>Character</i>. Specify if the data contained within the report is being claimed as Confidential Business Information (CBI) under 40 CFR Part 2, subpart B:</p> <p>Y: Confidential Business Information N: Non-Confidential Business Information</p>
4.	Report Date		<p>MM/DD/YYYY; <i>Character</i>. Enter the date the original or resubmitted report is submitted.</p>
5.	Report Year		<p>YYYY; <i>Number</i>. Indicate the compliance period (year) of the report.</p>
6.	Company/Entity ID		<p>9999; <i>Number</i>. Enter the four-<i>digit</i>, EPA-assigned company/entity ID.</p>
7.	Company Name		<p>AAAAAAA...; <i>Character (125 Max)</i>. The reporting party's name (Your company name).</p>
8.	Compliance Period Code		<p>AA; <i>Character</i>. Indicate the compliance period for which the information is being reported. Month ranges are provided below to assist in labeling quarters:</p> <p>Q1: First Quarter (January – March) Q2: Second Quarter (April – June) Q3: Third Quarter (July – September) Q4: Fourth Quarter (October – December) A1: (Obligated Parties and Exporters Only) Annual list of RINs used for compliance</p>
9.	Compliance Basis/ Facility ID		<p>AAAAA; <i>Character</i>. Obligated parties indicate the compliance basis if submitting in the aggregate or separate facility reports referencing individual facility ID numbers. Please include all preceding zeros in five digit facility ID numbers.</p> <p>Non-Obligated Parties, including producers of renewable fuels and other RIN Owners use "NOTOP."</p> <p>AGIMP: Aggregated Importer Compliance (Obligated Parties) AGREF: Aggregated Refiner Compliance (Obligated Parties) ##### : The five-<i>digit</i>, EPA-assigned facility ID (Obligated Parties) EXPR: Aggregated Exporter Compliance (Obligated Parties (Exporters of renewable fuel)) NOTOP: Non Obligated Party (RIN Generator or Owner)</p>

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10.	Transaction Type		<p>AAA; Character. Specify whether this report is for RINs acquired from or RINs delivered to the identified partner, or if this report is documenting retired RINs.</p> <p>BUY: Purchased or acquired RIN SEL: Sold or delivered RIN RET: Retired RIN</p>
11.	Retired/ Reinstatement RIN Code		<p>AAA; Character. Categorize Retired/ Reinstated RINs or enter NA if not applicable.</p> <p>NA: Not Applicable (If transaction is a BUY or SEL) NR: Reinstated 2009 or 2010 RIN under § 80.1429(g) OTH: Renewable fuel used or designated to be used in any application that is not transportation fuel, heating oil, or jet fuel. RSP: Reportable Spill under § 80.1432 RCF: Contaminated or spoiled fuel that is not usable RIV: Import Volume correction under § 80.1466(k)(3) ROV: Renewable fuel used in an ocean-going vessel RIR: Invalid RIN per §80.1431 RVC: Retired volume error correction per §80.1431 REO: Retired due to an Enforcement Obligation as defined by the federal government. USE: RIN used to demonstrate Annual Compliance</p>
12.	Transaction Date		<p>MM/DD/YYYY; Character. Enter the date of the transaction pursuant to §80.1453(a)(4).</p>
13.	Transaction Partner Name		<p>AAAAA...; Character (125 Max). Enter the name of the entity you conducted the transaction with. In the case of a retired RIN enter NA.</p>
14.	Transaction Partner Company ID		<p>AAAA; Character. Enter the four-digit, EPA-assigned ID for your transaction partner. In the case of a retired RIN re-enter your own company ID.</p>

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15.	RIN	<p>KYYYYCCCCFFFFFFBBBBRRDSSSSSSSEEEEEEE ; <i>Number (38 Digits)</i>. Renewable Identification Number (RIN). Refer to § 80.1425.</p> <p>Create one report for each batch and complete continuous sequence of RINS within a batch transferred to a trading partner.</p> <p>Example 1, Gallon-RINs 1-100 from one batch are traded, therefore the batch RIN would look like this:</p> <p>1200799997999900001102000000100000100.</p> <p>Example 2, Gallon-RINs 1-50 and 75-125 from one batch have been traded, therefore two rows should be submitted for the transaction and the two batch RINs would look like this:</p> <p>1200799997999900002102000000100000050 12007999979999000021020000007500000125</p>
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Sample report line (single RIN transaction):
RFS0201,O,Y,11/21/2007,2007,1234,"Sample Company Inc",Q3,98765,BUY,NA,09/14/2007,
"Seller Name", 9999, 1200799997999900002102000000100000050

Paperwork Reduction Act Statement

The public reporting and recordkeeping burden for this collection of information is disclosed in the estimates of the individual report form instructions. Burden means the total time, effort, or financial resources expended by persons to generate, maintain, retain, or disclose or provide information to or for a Federal agency. This includes the time needed to review instructions; develop, acquire, install, and utilize technology and systems for the purposes of collecting, validating, and verifying information, processing and maintaining information, and disclosing and providing information; adjust the existing ways to comply with any previously applicable instructions and requirements; train personnel to be able to respond to a collection of information; search data sources; complete and review the collection of information; and transmit or otherwise disclose the information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.