

**SUPPORTING STATEMENT**  
**OMB Control No. 9000-0184, Contractors Performing Private Security Functions**  
**Outside the United States**

**A. Justification**

**1. Administrative requirements.** Section 862 of the National Defense Authorization Act (NDAA) for Fiscal Year (FY) 2008, as amended by section 853 of the NDAA for FY 2009 and sections 831 and 832 of the NDAA for FY 2011, together with the required Governmentwide implementing regulations (32 CFR part 159, published at 76 FR 49650 on August 11, 2011),<sup>1</sup> as amended, adds requirements and limitations for contractors performing private security functions in areas of contingency operations, combat operations, or other military operations as designated by the Secretary of Defense, upon agreement of the Secretaries of Defense and State.

These requirements are that contractors performing in areas such as Iraq and Afghanistan ensure that their personnel performing private security functions comply with 32 CFR part 159, including (1) accounting for Government-acquired and contractor-furnished property and (2) reporting incidents in which a weapon is discharged, personnel are attacked or killed or property is destroyed, or active, lethal countermeasures are employed.

**2. Uses of information.**

Contractors and subcontractors for which this is applicable are required to:

- (1) Register, process, account for, manage, oversee, and keep appropriate records of personnel performing private security functions;
- (2) Authorize and account for weapons to be carried by or available to be used by personnel performing private security functions;
- (3) Register and identify armored vehicles, helicopters, and other military vehicles operated by Contractors performing private security functions.

In addition, the following types of incidents must be reported to the geographic combatant commander if and when they occur:

- (1) A weapon is discharged by personnel performing private security functions;

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<sup>1</sup> 32 CFR part 159 is entitled "Private Security Contractors Operating in Contingency Operations, Combat Operations or Other Significant Military Operations."

- (2) Personnel performing private security functions are attacked, killed, or injured;
- (3) Persons are killed or injured or property is destroyed as a result of conduct by contractor personnel;
- (4) A weapon is discharged against personnel performing private security functions or personnel performing such functions believe a weapon was so discharged; or
- (5) Active, non-lethal countermeasures (other than the discharge of a weapon) are employed by personnel performing private security functions in response to a perceived immediate threat.

Geographic combatant commanders use the information to keep track of contractor personnel and assets in theater. Reporting of incidents is necessary for the geographic combatant commander to respond as deemed necessary.

DoD has an existing system (see 3 below) to collect this information. This supporting statement is for non-DoD agencies, for which the information collection is a new requirement.

**3. Consideration of information technology.** Information technology has been applied to the maximum extent possible. The requirement for DoD contractors and subcontractors to register personnel, weapons, and vehicles predates this statute and has been approved under OMB Control Number 0704-0460, Synchronized Predeployment and Operation Tracker (SPOT) System. Collection of the required information is a contractual condition of the clause at DFARS 252.225-7040, Contractor Personnel Authorized to Accompany U.S. Armed Forces Deployed Outside the United States. SPOT is a web-based system.

**4. Efforts to identify duplication.** This requirement is being issued under the Federal Acquisition Regulation (FAR), which has been developed to standardize Federal procurement practices and eliminate unnecessary duplication.

**5. If the collection of information impacts small businesses or other entities, describe methods used to minimize burden.** The burden applied to small businesses is the minimum consistent with applicable laws, executive orders, regulations, and prudent business practices.

**6. Describe consequence to Federal program or policy activities if the collection is not conducted or is conducted less frequently.** Collection of information is on a one-time basis and is only updated as changes occur. Any other collection method is not practical.

**7. Special circumstances for collection.** Collection is consistent with guidelines in 5 CFR 1320.6.

**8. Efforts to consult with persons outside the agency.** Under the procedures established for development of the FAR, agency and public comments are solicited and each comment addressed before finalization of the text. A notice was published in the Federal Register at 77 FR 43039, on July 23, 2012. No comments were received.

**9. Explanation of any decision to provide any payment or gift to respondents, other than remuneration of contractors or guarantees.** Not applicable.

**10. Describe assurance of confidentiality provided to respondents.** This information is disclosed only to the extent consistent with prudent business practices and current regulations.

**11. Additional justification for questions of a sensitive nature.** No sensitive questions are involved.

**12 & 13. Estimated total annual public hour and cost burden.** The analysis below applies only to contracts and subcontracts of non-DoD agencies. DoD's information collection has been approved previously under OMB Control Number 0704-0460. Time required to identify and input information is estimated at 10 minutes per response.

Estimated respondents/year.....	920
Reponses annually.....	<u>x 5</u>
Total annual responses.....	4,600
Estimated hours/response.....	<u>x .109</u>
Estimated total burden hours.....	501
Cost/hour.....	<u>x \$ 36<sup>2</sup></u>
Total annual cost to respondents.....	\$18,036

**14. Estimated cost to the Government.**

Time required for Government review is estimated at 10 minutes per response.

Total annual responses.....	4,600
Estimated hours/response.....	<u>x .109</u>
Estimated total burden hours.....	501

<sup>2</sup> Based on a GS-11 Step 5 equivalent salary (\$27.31 an hour) plus 32.45 percent burden, rounded to the nearest dollar.

Cost/hour.....	x \$ 36 <sup>3</sup>
Total annual cost to respondents.....	\$18,036

**15. Explain reasons for program changes or adjustments reported in Item 13 or 14.**

This is a new submission.

**16. Outline plans for published results of information collections.** Results will not be tabulated or published.

**17. Approval not to display expiration date.** Not applicable.

**18. Explanation of exception to certification statement.** Not applicable.

**B. Collections of Information Employing Statistical Methods.** Statistical methods are not used in this information collection.

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<sup>3</sup> Based on a GS-11 Step 5 equivalent salary (\$27.31 an hour) plus 32.45 percent burden, rounded to the nearest dollar.