| **Description** | **Small pension** | **Large pension** | **Customized welfare** | **Other welfare** |
| --- | --- | --- | --- | --- |
| **Share of participants entering plan in the last year** | 0.07 | 0.07 | 0.07 | 0.07 |
| **Total number of pension plans or distinct group health products** | 615,436.00 | 85,575.00 | 5,262.00 | 10,000.00 |
| **Active participants** | 11,591,991.00 | 79,009,211.00 | . | 59,383,000.00 |
| **Retired or separated participants receiving benefits** | 47,039.00 | 12,345,694.00 | . | 9,012,000.00 |
| **Participants whose benefits were distributed in a single lump sum during year** | 20,160.00 | 1,064,333.00 | 0.00 | 0.00 |
| **Retired or separated participants entitled to future benefits** | 447,336.00 | 26,283,014.00 | . | . |
| **Deceased parts whose beneficiaries are receiving or entitled to benefits** | 7,973.00 | 2,262,800.00 | 0.00 | 0.00 |
| **Share of all plans with effective dates in the last 12 months** | 0.04 | 0.01 | 0.02 | 0.03 |
| **Number of hours required to create a new SPD** | 10.00 | 10.00 | 10.00 | 10.00 |
| **Number of hours required to update an existing SPD** | 4.00 | 4.00 | 4.00 | 4.00 |
| **Total labor cost per hour for lawyer to update an SPD** | 126.07 | 126.07 | 126.07 | 126.07 |
| **Cost of printing an SPD** | 1.75 | 1.75 | 4.00 | 4.00 |
| **Cost of mailing an SPD** | 1.32 | 1.32 | 2.12 | 2.12 |
| **Share of plans amended in a one-year period** | 0.18 | 0.37 | 0.36 | 0.20 |
| **Share of plans amended in a five-year period** | 0.66 | 0.89 | 0.65 | 0.40 |
| **Share of plans amended in a ten-year period** | 0.74 | 0.92 | 0.78 | 0.48 |

| **Label** | **Value** |
| --- | --- |
| **Share of participants with access to computers at work** | 0.37 |
| **Additional share of participants with access at home, without access at work** | 0.27 |
| **Share of plans that would seek consent to send disclosures to participants with access to computers at work** | 0.80 |
| **Share of plans that would seek consent to send disclosures to participants with access to computers at home only** | 0.60 |
| **Share of participants with access at home only who would consent** | 0.75 |
| **Share of plans using service providers** | 0.95 |
| **Share of plans preparing SPDs in house** | 0.05 |
| **Share of large plans using service providers to update SPD and to prepare SMM** | 0.75 |
| **Share of small plans using service providers to update SPD and to prepare SMM** | 1.00 |
| **Minute per part to distribute SPDs** | 0.50 |
| **Minute per part to distribute SMMs** | 0.50 |
| **Share of all distributions of paper documents done by mail** | 0.62 |
| **Average hours to prepare an SMM** | 3.00 |
| **Cost per participant to distribute SMMs** | 0.20 |
| **Cost per participant to mail SMMs** | 0.46 |
| **Share of participants entering plan in the last year** | 0.07 |
| **Share of participants requesting SPDs in an SMM year** | 0.20 |
| **Share of participants requesting SPDs in non-SMM years** | 0.05 |
| **Total labor cost per hour for secretaries** | 29.14 |
| **Average number of years that separated vesting participants remain in that status** | 20.00 |
| **Average number of years that retirees remain in that status** | 20.00 |
| **Average number of years that beneficiaries remain in that status** | 20.00 |
| **Share of health plan retirees in retiree only health plans** | 0.15 |

| **Burden Type** | **Small pension** | **Large pension** | **Welfare** | **All** |
| --- | --- | --- | --- | --- |
| **Total Cost Burden (Thousands of dollars)** | 110,264 | 115,400 | 70,106 | 295,771 |
| **` Preparation** | 102,358 | 17,645 | 2,374 | 122,377 |
| **` SPDs** | 68,933 | 8,407 | 1,171 | 78,511 |
| **` SMMs** | 33,425 | 9,238 | 1,203 | 43,866 |
| **` Distribution** | 7,907 | 97,755 | 67,733 | 173,394 |
| **` SPDs** | 6,884 | 77,589 | 61,062 | 145,535 |
| **` SMMs** | 1,023 | 20,165 | 6,670 | 27,859 |
| **Total Hour Burden (Thousands)** | 53 | 152 | 55 | 260 |
| **` Preparation** | 43 | 7 | 1 | 51 |
| **` SPDs** | 29 | 4 | \*/ | 33 |
| **` SMMs** | 14 | 4 | 1 | 18 |
| **` Distribution** | 10 | 145 | 54 | 209 |
| **` SPDs** | 7 | 74 | 30 | 111 |
| **` SMMs** | 4 | 71 | 23 | 98 |
| **Dollar Equivalent of Hour Burden (Thousands of dollars)** | 5,684 | 5,151 | 1,692 | 12,527 |

| **Type of Plan** | **SPDs for New Plans** | **SPDs for Amended Plans** | **Total SPDs Prepared** | **SMMs Prepared** | **Total Documents Prepared** | **Plans Distributing Unamended SPDs** |
| --- | --- | --- | --- | --- | --- | --- |
| **Small pension** | 24,351 | 83,012 | 107,363 | 93,028 | 200,391 | 15,285 |
| **Large pension** | 914 | 15,264 | 16,178 | 25,712 | 41,889 | 698 |
| **Customized welfare** | 79 | 738 | 817 | 1,605 | 2,422 | 116 |
| **Other welfare** | 260 | 860 | 1,120 | 1,742 | 2,862 | 506 |
|  | **25,604** | **99,873** | **125,477** | **122,087** | **247,564** | **16,605** |

| **Type of Plan** | **Participants receiving new SPDs** | **Participants receiving SMMs** | **Total responses (SPDs plus SMMs)** | **Total participants** |
| --- | --- | --- | --- | --- |
| **From new plans** | **From existing plans due to** | **Total** |
| **Preparation of new SPD** | **Change of status/1** | **Participant request** | **Total** |
| **Small pension** | 325 | 1,653 | 688 | 685 | 3,026 | 3,351 | 1,828 | 5,180 | 12,094 |
| **Large pension** | 796 | 21,473 | 6,878 | 8,628 | 36,979 | 37,776 | 36,025 | 73,801 | 119,901 |
| **Welfare** | 1,573 | 5,897 | 3,648 | 4,361 | 13,905 | 15,479 | 11,917 | 27,395 | 68,395 |
| **Total** | 2,695 | 29,023 | 11,214 | 13,674 | 53,911 | 56,605 | 49,770 | 106,376 | 200,390 |

| **Type of plan** | **Number of Plans Preparing Documents Each Year/1** | **Plans Distributing Unamended SPDs Under 10-Year Rule** | **Plans Distributing to Requestors or Status Changers/2** | **Plans Obliged only to be Ready to Disclose** | **Total Number of Plans** |
| --- | --- | --- | --- | --- | --- |
| **Small pension** | 200 | 15 | 245 | 154 | 615 |
| **Large pension** | 42 | 1 | 43 | 0 | 86 |
| **Customized welfare** | 2 | \*/ | 3 | 0 | 5 |
| **Other welfare** | 652 | 115 | 944 | 566 | 2,278 |
|  | **897** | **131** | **1,235** | **721** | **2,984** |