U.S. Department of Education OFFICE OF POSTSECONDARY EDUCATION Washington, DC 20006

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Fiscal Year 2013 APPLICATION FOR GRANTS THE CHILD CARE ACCESS MEANS PARENTS IN SCHOOL PROGRAM

FORM APPROVED

CFDA NUMBER: 84.335A

OMB No. XXXX-XXXX, Expiration Date: XX/XX/XXXX



DATED MATERIAL – OPEN IMMEDIATELY CLOSING DATE: XXXX XX, 2013

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Dear Applicant:

Thank you for your interest in applying for a grant under the fiscal year (FY) 2013 Child Care Access Means Parents In School (CCAMPIS) Program competition. The CCAMPIS Program provides grants to institutions of higher education to assist the institutions in providing campusbased child care services to low-income students.

This letter highlights a few items in the FY 2013 application package that will be important to you in applying for a grant under this program. The "Competition Highlights" section notes some of the requirements for applying for a grant under the FY 2013 CCAMPIS Program competition. You should review the entire application package carefully before preparing and submitting your application. Information on the CCAMPIS Program is accessible at the U.S. Department of Education (Department) Web site at:

http://www2.ed.gov/programs/campisp/index.html

In the FY 2013 competition, there are two absolute priorities and two competitive priorities. The two absolute preference priorities: 1. Leverage significant local or institutional resources, including in-kind contributions, to support the activities assisted; and 2. Utilize a sliding fee scale for child care services provided in order to support a high number of low-income parents pursuing postsecondary education at the institution.

Also, in the FY 2013 competition, there are two competitive priorities: 1. Support for Military Families; and 2. Improving Productivity. Please note that applicants addressing these two priorities may earn up to three competitive preference points for each priority. However, no more than (maximum) three competitive preference points will be awarded to any application for addressing these priorities. Further, the points awarded under each priority by the non-Federal reviewers (reviewers) will be based on the degree to which the applicant proposes to meet the priorities. For additional information about the absolute and competitive priorities, refer to the Federal Register Notice Inviting Applications for New Awards (Notice) for FY 2013. The Notice is included in this application package.

Applications for FY 2013 grants under the CCAMPIS Program must be submitted electronically using the Grants.gov system. An applicant who is unable to submit an application through the Grants.gov system must submit a written request for a waiver of the electronic submission requirement at least two weeks before the deadline date. Additional information about Grants.gov submission requirements can be found in the Competition Highlights, the Notice published in the Federal Register, and in the transmittal instructions, which are included in this package. Grants.gov is accessible through its portal page at http://www.Grants.gov.

Also, it is imperative that your application includes a strong evaluation plan. The reviewers are instructed to look closely at the potential of CCAMPIS Program applicants to successfully reach their individual project goals, which are driven by the performance measures for the CCAMPIS Program (see the Notice for information on the performance measures). The evaluation plan should not only include formative and summative measures, but also address the use of appropriate controls and techniques that provide for independent evaluation. The evaluation plan should shape the development of the project from the beginning of the grant period and provide benchmarks for the monitoring of progress and measurement of that progress throughout the grant award period. You should pay close attention to the information provided in the Instructions for the Project Narrative section of this application regarding the development of your evaluation activity.

For information (including dates and times) about how to submit your application electronically, please refer to the official Notice published in the <u>Federal Register</u>, and included in this application booklet.

You are reminded that the Notice published in the <u>Federal Register</u> is the official document, and that you should not rely upon any information that is inconsistent with the guidance contained within the official document.

Thank you for your interest in the CCAMPIS Program. We look forward to receiving your application.

Sincerely,

/signed/

Dr. Debra Saunders-White Deputy Assistant Secretary Higher Education Programs

COMPETITION HIGHLIGHTS

- 1. CCAMPIS Program applications for FY 2013 must be submitted electronically using Grants.gov. You are urged to acquaint yourself with the requirements of Grants.gov early as the registration procedures may require 5 or more days to complete. A more thorough discussion is included later in this application package. Grants.gov is accessible through its portal page at: http://www.Grants.gov. The requirements for obtaining an exception to the electronic submission have changed and are included in the Notice Inviting Applications for New Awards (Notice) for FY 2013. If you think you may need an exception, you are urged to review the requirements promptly.
- 2. Please note that you must submit your application by 4:30:00 p.m. (Washington, D.C. time) on or before the application deadline date. Late applications will not be accepted. We suggest that you submit your application several days before the deadline. The Department is required to enforce the established deadline to ensure fairness to all applicants. No changes or additions to an application will be accepted after the deadline date and time.
- 3. Electronic submission of applications is required; therefore, you must submit an electronic application unless you follow the procedures outlined in the Notice for FY 2013 and qualify for one of the exceptions to the electronic submission requirement.
- 4. Applicants are required to adhere to the page limit specified in the ProjectNarrative Instruction portion of the application. The Notice contains specific information governing page limits and formatting instructions. The total page limit for the project narrative portion of the application for the FY 2013 CCAMPIS Program competition is 50 pages. Absolute and competitive priorities must be addressed at the end of the project narrative.
- 5. All attachments must be in .PDF format. Other types of files will not be accepted. Please note, once you download an application from Grants.gov, you will be working offline and saving data on your computer. It is important to note where you are saving the Grants.gov file on your computer. You will need to log on to Grants.gov to upload and submit the application. You must provide the DUNS number that was used when your organization registered with the Central Contractor Registry (CCR).

For Grants.gov related questions and assistance, please contact:

Support Desk e-Mail: support@Grants.gov Support Desk Telephone: (800) 518-4726

Contact Telephone Hours: 24 hours, 7 days a week, except Federal holidays

Online Web Site: http://www.Grants.gov

Also, refer to the "Submission Procedures and Tips for Applicants" section found in this application booklet.

You are reminded that the Notice published in the <u>Federal Register</u> is the official document, and that you should not rely upon any information that is inconsistent with the guidelines contained within the official document.

6. In the FY **2013** competition, the Department has established two absolute and two competitive preference priorities. They are:

<u>Absolute Priority 1</u>: Leverage significant local or institutional resources, including in-kind contributions, to support the activities assisted; and

<u>Absolute Priority 2</u>: Utilize a sliding fee scale for child care services provided in order to support a high number of low-income parents pursuing postsecondary education at the institution.

<u>Competitive Preference Priority (CPP) 1</u>: Support for Military Families: Projects that are designed to address the needs of military-connected students (as defined in the notice).

Competitive Preference Priority (CPP) 2: Improving Productivity

The competitive preference priorities are explained in detail in the Notice of final supplemental priorities and definitions for discretionary grant programs published in the <u>Federal Register</u> on December 15, 2010 (75 FR 78486) and corrected on May 12, 2011(76 FR 27637), as well as in this application package. You are urged to carefully review this information.

- 7. Applicants must address the absolute priorities. Responses to the absolute and competitive preference priorities should be placed at the end of the Project Narrative section of the application. Please note that applicants addressing these two priorities may earn up to three competitive preference points for each priority. However, no more than (maximum) three competitive preference points will be awarded to any application based on the extent to which the application contains substantive information regarding the priorities.
- 8. As you develop your application, we ask you to consider carefully the specific activities that you will provide through the CCAMPIS Program. We will look for high quality programs that include opportunities for the participants to enroll, persist and graduate from institutions of higher education. As appropriate, applicants should have specific activities to be engaged in that will assist students with child care and thereby allowing program eligible students to enroll in and persist and graduate from institution of higher education. You will find more information on the selection criteria and application review process in this application and in the Notice.

- 9. In accordance with the Higher Education Act (HEA), as amended by the Higher Education Opportunity Act (HEOA) of 2008, all successful applicants funded under the FY 2013 CCAMPIS competition will receive four-year (48-month) grant awards.
- 10. All applicants must complete the Part III-A CCAMPIS Program Profile form. Applicants may not modify, amend or delete any of these objectives. Instructions for submitting the form are included in the Instructions for Completing the Application Package.
- 11. All applicants must provide a one-page abstract. The abstract should briefly describe the institutional demographics, the absolute and competitive preference priorities addressed, the services and activities that will be provided during the performance period. Complete instructions for submitting the abstract are included in the "Instructions for Completing the Application Package" of this application. The abstract must be uploaded into the <u>ED Abstract Form</u> section in Grants.gov.

Information on the **CCAMPIS** Program is accessible at the Department's website at: http://www2.ed.gov/programs/campisp/index.html.

INTRODUCTION CCAMPIS PROGRAM

AUTHORIZATION

Title IV, Part A, Subpart 7, Sec. 419N; § e(1) (A) of the Higher Education Act (HEA), as amended by the Higher Education Opportunity Act (HEOA) of 2008.

PROGRAM REGULATIONS

The CCAMPIS Program does not have program specific regulations.

PURPOSE

Provides support for the participation of low-income parents in postsecondary education through the provision of campus-based child care services.

ELIGIBLE APPLICANTS

The following are eligible to apply for a grant to carry out a CCAMPIS Program project:

(a) An institution of higher education shall be eligible to receive a grant under this section for a fiscal year if the total amount of all Federal Pell Grant funds awarded to students enrolled at the institution of higher education for the preceding fiscal year equals or exceeds \$350,000, except that for any fiscal year for which the amount appropriated to carry out this section is equal to or greater than \$20,000,000, this sentence shall be applied by substituting \$250,000 for \$350,000

ACTIVITIES FUNDED UNDER THIS PROGRAM

(a) Grant funds under this section shall be used by an institution of higher education to support or establish a campus-based child care program primarily serving the needs of low-income students enrolled at the institution of higher education. Grant funds under this section may be used to provide before and after school services to the extent necessary to enable lowincome students enrolled at the institution of higher education to pursue postsecondary education.

IMPORTANT – PLEASE READ FIRST

U.S. Department of Education <u>Grants.gov Submission Procedures and Tips for Applicants</u>

To facilitate your use of Grants.gov, this document includes important submission procedures you need to be aware of to ensure your application is received in a timely manner and accepted by the Department of Education.

ATTENTION - Adobe Forms and PDF Files Required

Applications submitted to Grants.gov for the Department of Education will be posted using Adobe forms. Therefore, applicants will need to download a newer version of Adobe reader (Grants.gov recommends Adobe Reader 10.1.4). Information on computer and operating system compatibility with Adobe, links to download a newer version, and warnings about using Adobe Reader XI are all available on Grants.gov. We strongly recommend that you review these details on www.Grants.gov before completing and submitting your application. In addition, applicants should submit their application a day or two in advance of the closing date as detailed below. Also, applicants are required to upload their attachments in .pdf format only. (See details below under "Attaching Files – Additional Tips.").

Please be aware that Grants.gov has discovered an issue with the newest version of Adobe Reader XI.

Applicants may encounter the following error that will prevent them from submitting their application:

"At least one required Field was empty. Please fill in the required field (highlighted) before continuing."

Grants.gov recommends that if applicants encounter this issue, they submit their application using any version below Adobe Reader XI. Please refer to the <u>compatibility table</u> for additional information about supported versions of Adobe Reader. If you have any questions regarding this matter please email the Grants.gov Contact Center at <u>support@grants.gov</u> or call 1-800-518-4726.

- 1) **REGISTER EARLY** Grants.gov registration may take five or more business days to complete. You may begin working on your application while completing the registration process, but you cannot submit an application until all of the Registration steps are complete. For detailed information on the Registration Steps, please go to: http://www.grants.gov/applicants/get_registered.jsp [Note: Your organization will need to update its SAM registration annually (formerly Central Contractor Registry (CCR)*.]
- 2) SUBMIT EARLY We strongly recommend that you do not wait until the last day to submit your application. Grants.gov will put a date/time stamp on your application and then process it after it is fully uploaded. The time it takes to upload an application will vary depending on a number of factors including the size of the application and the speed of your Internet connection, and the time it takes Grants.gov to process the application will vary as well. If Grants.gov rejects your application (see step three below), you will need to resubmit successfully to Grants.gov before 4:30:00 p.m. Washington, DC time on the deadline date.

Note: To submit successfully, you must provide the DUNS number on your application that was used when you registered as an Authorized Organization Representative (AOR) on Grants.gov. This DUNS number is typically the same number used when your organization registered with the SAM (formerly CCR -Central Contractor Registry). If you do not enter the same DUNS number on your application as the DUNS you registered with, Grants.gov will reject your application.

3) **VERIFY SUBMISSION IS OK** – You will want to verify that Grants.gov received your application submission on time and that it was validated successfully. To see the date/time your application was received, login to Grants.gov and click on the Track My Application link. For a successful submission, the date/time received should be earlier than 4:30:00 p.m. Washington, DC time, on the deadline date, AND the application status should be: Validated, Received by Agency, or Agency Tracking Number Assigned. Once the Department of Education receives your application from Grants.gov, an Agency Tracking Number (PR/award number) will be assigned to your application and will be available for viewing on Grants.gov's Track My Application link.

If the date/time received is later than 4:30:00 p.m. Washington, D.C. time, on the deadline date, your application is late. If your application has a status of "Received" it is still awaiting validation by Grants.gov. Once validation is complete, the status will either change to "Validated" or "Rejected with Errors." If the status is "Rejected with Errors," your application has not been received successfully. Some of the reasons Grants.gov may reject an application can be found on the Grants.gov site: http://www.grants.gov/applicants/applicant_faqs.jsp#54. For more detailed information on troubleshooting Adobe errors, you can review the Adobe Reader Error Messages document at http://www.grants.gov/assets/AdobeReaderErrorMessages.pdf. If you discover your application is late or has been rejected, please see the instructions below. Note: You will receive a series of confirmations both online and via e-mail about the status of your application. Please do not rely solely on e-mail to confirm whether your application has been received timely and validated successfully.

Submission Problems – What should you do?

If you have problems submitting to Grants.gov before the closing date, please contact Grants.gov Customer Support at 1-800-518-4726 or http://www.grants.gov/contactus/contactus.jsp, or access the Grants.gov Self-Service web portal at: https://grants-portal.psc.gov/Welcome.aspx?pt=Grants

If electronic submission is <u>optional</u> and you have problems that you are unable to resolve before the deadline date and time for electronic applications, please follow the transmittal instructions for hard copy applications in the Federal Register notice and get a hard copy application postmarked by midnight on the deadline date.

If electronic submission is <u>required</u>, you must submit an electronic application before 4:30:00 p.m., unless you follow the procedures in the Federal Register notice and qualify for one of the exceptions to the electronic submission requirement <u>and</u> submit, no later than two weeks before the application deadline date, a written statement to the Department that you qualify for one of these exceptions. (See the Federal Register notice for detailed instructions.)

Helpful Hints When Working with Grants.gov

Please note, once you download an application from Grants.gov, you will be working offline and saving data on your computer. Please be sure to note where you are saving the Grants.gov file on your computer. You will need to logon to Grants.gov to upload and submit the application. You must provide the DUNS number on your application that was used when you registered as an Authorized Organization Representative (AOR) on Grants.gov.

Please go to http://www.grants.gov/contactus/contactus.jsp for help with Grants.gov. For additional tips related to submitting grant applications, please refer to the Grants.gov Submit Application FAQs found on the Grants.gov http://www.grants.gov/applicants/submit application faqs.jsp.

Dial-Up Internet Connections

When using a dial up connection to upload and submit your application, it can take significantly longer than when you are connected to the Internet with a high-speed connection, e.g. cable modem/DSL/T1. While times will vary depending upon the size of your application, it can take a few minutes to a few hours to complete your grant submission using a dial up connection. If you do not have access to a high-speed connection and electronic submission is required, you may want to consider following the instructions in the Federal Register notice to obtain an exception to the electronic submission requirement no later than two weeks before the application deadline date. (See the Federal Register notice for detailed instructions.)

MAC Users

For MAC compatibility information, review the Operating System Platform Compatibility Table at the following Grants.gov link: http://www.grants.gov/help/download software.jsp. If electronic submission is required and you

are concerned about your ability to submit electronically as a non-windows user, please follow instructions in the Federal Register notice to obtain an exception to the electronic submission requirement no later than two weeks before the application deadline date. (See the Federal Register notice for detailed instructions.)

Attaching Files – Additional Tips

Please note the following tips related to attaching files to your application, especially the requirement that applicants **only include read-only, non-modifiable .PDF files** in their application:

- 1. Ensure that you attach .PDF files only for any attachments to your application, and they must be in a read-only, non-modifiable format. PDF files are the only Education approved file type accepted as detailed in the Federal Register application notice. Applicants must submit individual .PDF files only when attaching files to their application. Specifically, the Department will not accept any attachments that contain files within a file, such as PDF Portfolio files, or an interactive or fillable .PDF file. Any attachments uploaded that are not .PDF files or are password protected files will not be read. If you need assistance converting your files to a .pdf format, please refer to the following Grants.gov webpage with links to conversion programs under the heading of additional resources: http://www.grants.gov/applicants/app_help_reso.isp
- 2. Grants.gov cannot process an application that includes two or more files that have the same name within a grant submission. Therefore, each file uploaded to your application package should have a unique file name.
- 3. When attaching files, applicants should follow the guidelines established by Grants.gov on the size and content of file names. Uploaded files must be less than 50 characters, contain no spaces, no special characters (example: -, &, *, %, /, #, \) including periods (.), blank spaces and accent marks. Applications submitted that do not comply with the Grants.gov guidelines will be rejected at Grants.gov and not forwarded to the Department.
- 4. Applicants should limit the size of their file attachments. Documents submitted that contain graphics and/or scanned material often greatly increase the size of the file attachments and can result in difficulties opening the files. For reference, the average discretionary grant application package totals 1 to 2 MB. Therefore, you may want to check the total size of your package before submission.

*Please note that the Central Contractor Registry (CCR) was replaced by the System for Award Management (SAM) effective July 30, 2012. For more information on the migration of CCR data to SAM, grant applicants should read this information located on Grants.gov: http://grants-gov.blogspot.com/2012/07/information-about-pending-migration.html#!/2012/07/information-about-pending-migration.html

2/2013

APPLICATION TRANSMITTAL INSTRUCTIONS

ATTENTION ELECTRONIC APPLICANTS: Please note that you must follow the Application Procedures as described in the <u>Federal Register</u> Notice announcing the grant competition.

<u>This program requires the electronic submission</u> of applications; specific requirements and waiver instructions can be found in the <u>Federal Register</u> Notice.

According to the instructions found in the <u>Federal Register</u> Notice, those requesting and qualifying for an exception to the electronic submission requirement may submit an application by mail, commercial carrier or by hand delivery.

If you want to apply for a grant and be considered for funding, you must meet the following deadline requirements:

Applications Submitted Electronically

You must submit your grant application through the Internet using the software provided on the Grants.gov Web site (http://www.grants.gov) by 4:30:00 p.m. (Washington, D.C. time) on or before the deadline date.

If you submit your application through the Internet via the Grants.gov Web site, you will receive an automatic acknowledgement when we receive your application.

For more information on using Grants.gov, please refer to the "Notice Inviting Applications" that was published in the <u>Federal Register</u> or visit http://www.grants.gov.

Submission of Paper Applications by Mail:

If you submit your application in paper format by mail (through the U.S. Postal Service or a commercial carrier), you must mail the original and two copies of your application, on or before the application deadline date, to the Department at the following address:

U.S. Department of Education Application Control Center Attention: CFDA Number 84.335A LBJ Basement Level 1 400 Maryland Avenue, SW Washington, DC 20202-4260

You must show proof of mailing consisting of one of the following:

- (1) A legibly dated U.S. Postal Service postmark.
- (2) A legible mail receipt with the date of mailing stamped by the U.S. Postal Service.
- (3) A dated shipping label, invoice, or receipt from a commercial carrier.

(4) Any other proof of mailing acceptable to the Secretary of the U.S. Department of Education.

If you mail your application through the U.S. Postal Service, we do **not** accept either of the following as proof of mailing:

- 1. A private metered postmark.
- 2. A mail receipt that is not dated by the U.S. Postal Service.

An applicant should note that the U.S. Postal Service does not uniformly provide a dated postmark. Before relying on this method, an applicant should check with its local post office.

Special Note: Due to potential disruption to normal mail delivery, the Department encourages you to consider using an alternative delivery method (for example, a commercial carrier, such as Federal Express or United Postal Service; U.S. Postal Service Express Mail; or a courier service to transmit your application for this competition to the Department. If you use an alternative delivery method, please obtain the appropriate proof of mailing under "Applications Delivered by Mail", and then follow the instructions for "Applications Delivered by Hand".

Submission of Paper Applications by Hand Delivery:

If you qualify for an exception to the electronic submission requirement, you (or a courier service) may deliver your paper application to the Department by hand. You must deliver the original and two copies of your application by hand, on or before the application deadline date, to the Department at the following address:

U.S. Department of Education Application Control Center Attention: CFDA Number 84.335A 550 12th Street, SW. Room 7041, Potomac Center Plaza Washington, DC 20202-4260

Note for Mail or Hand Delivery of Paper Applications: If you mail or hand deliver your application to the Department—

- You must indicate on the envelope and--if not provided by the Department--in Item 11 of the SF 424 the CFDA number, including suffix letter, if any, of the competition under which you are submitting your application; and
- 2. The Application Control Center will mail to you a notification of receipt of your grant application. If you do not receive this notification within 15 business days from the application deadline date, you should call the U.S. Department of Education Application Control Center at (202) 245-6288.

Application Control Center Hours of Operation

The Application Control Center accepts application deliveries daily between 8:00 a.m. and 4:30 p.m. (Washington, D.C. time) except Saturdays, Sundays, and Federal holidays.

Grant Application Receipt from the Application Control Center

If you send your application by mail or if you or your courier delivers it by hand, the Application Control Center will mail a Grant Application Receipt Acknowledgement to you.

If you do not receive the notification of application receipt within 15 days from the mailing of the application, you should call the U.S. Department of Education Application Control Center at (202) 245-6288.

Late Applications

If your application is late, we will notify you that we will not consider the application.

Absolute and Competitive Priorities FY 2013

The Department will fund projects under the CCAMPIS Program that provides support for the participation of low-income parents in postsecondary education through the provision of campus-based child care services. Applicants are required to address the absolute priorities.

Applicants are required to address the absolute priorities. Applicants are not required to address the competitive preference priorities (CPPs). However if an applicant chooses to address the priorities, applicants should indicate the priority number(s) being addressed in the Program Abstract and in Number 9 on the CCAMPIS Profile. This will ensure that the reviewers clearly know under which priority an application should be considered.

Absolute Priority #1

Leverage significant local or institutional resources, including in-kind contributions, to support the activities assisted; and

Absolute Priority #2

Utilize a sliding fee scale for child care services provided in order to support a high number of low-income parents pursuing postsecondary education at the institution; and *I* or

Applicants may elect to respond to one, both, or neither of the CPPs. Applicants electing to respond to one (either CPP1 or CPP2) can earn up to three (3) additional points. No more than three (3) points will be awarded to applicants electing to respond to both CPPs.

CPP #1

Support for Military Families: Projects that are designed to address the needs of military-connected students

Military-connected student means (a) a child participating in an early learning program, a student in preschool through grade 12, or a student enrolled in postsecondary education or training who has a parent or guardian on active duty in the uniformed services (as defined by 37 U.S.C. 101, in the Army, Navy, Air Force, Marine Corps, Coast Guard, National Guard, or the reserve component of any of the aforementioned services) or (b) a student who is a veteran of the uniformed services, who is on active duty, or who is the spouse of an active-duty service member.

CPP #2

Improving Productivity: Projects that are designed to significantly increase efficiency in the use of time, staff, money, or other resources while improving student learning or other educational outcomes (i.e., outcome per unit of resource). Such projects may include innovative and sustainable uses of technology, modification of school schedules and teacher compensation systems, use of open educational resources, or other strategies.

Notice Inviting Applications for New Awards

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DEPARTMENT OF EDUCATION

Applications for New Awards; Child Care Access Means Parents in School Program

AGENCY: Office of Postsecondary Education, Department of Education

ACTION: Notice.

Overview Information:

Child Care Access Means Parents in School (CCAMPIS) Program

Notice inviting applications for new awards for fiscal year (FY)

2013.

Catalog of Federal Domestic Assistance (CFDA) Number: 84.335A

Dates:

Applications Available: XXXXX XX, 2013.

Deadline for Transmittal of Applications: XXXXX XX, 2013.

Deadline for Intergovernmental Review: XXXXX XX, 2013.

Full Text of Announcement

I. Funding Opportunity Description

Purpose of Program: The CCAMPIS Program supports the participation of low-income parents in postsecondary education through provision of campus-based child care services.

Priorities: This notice contains two absolute priorities and two competitive preference priorities. In accordance with 34 CFR

75.105(b)(2)(iv), the absolute priorities are from section 419N(d) of the Higher Education Act of 1965, as amended (HEA), 20 U.S.C. 1070e(d). The competitive preference priorities are from the notice of final supplemental priorities and definitions for discretionary grant programs, published in the Federal Register on December 15, 2010 (75 FR 78486), and corrected on May 12, 2011 (76 FR 27637).

Absolute Priorities: For FY 2013 and any subsequent year in which we make awards from the list of unfunded applicants from this competition, these priorities are absolute priorities.

Under 34 CFR 75.105 (c)(3), we consider only applications that meet both these priorities. Each application must address these priorities.

These priorities are:

Absolute Priority 1: Projects that are designed to leverage significant local or institutional resources, including in-kind contributions, to support the activities assisted under §419N of the HEA.

Absolute Priority 2: Projects that are designed to utilize a sliding fee scale for child care services provided under section 419N of the HEA in order to support a high number of low-income parents pursuing postsecondary education at the institution.

competitive Preference Priorities (CPP): For FY 2013 and any subsequent year in which we make awards from the list of unfunded applicants from this competition, these priorities are competitive preference priorities. Under 34 CFR 75.105 (c)(2) (i), we award up to an additional 3 points to an application that meets CPP1 and up to an additional 3 point to an application that meets CPP2, depending on how well the application meets these priorities. However, the maximum competitive preference points an application can receive under this competition is 3. These priorities are from the Department's notice of final supplemental priorities and definitions for discretionary grant programs, published in the Federal Register on December 15, 2010 (75 FR 78486), and corrected on May 12, 2011 (76 FR 27637).

These priorities are:

Competitive Preference Priority 1: Support for Military Families: Projects that are designed to address the needs of military-connected students (up to 3 additional points).

Competitive Preference Priority 2: Improving Productivity (Up to 3 additional points).

Projects that are designed to significantly increase efficiency in the use of time, staff, money, or other resources while improving student learning or other educational outcomes (i.e., outcome per unit of resource). Such projects may include innovative and sustainable uses of technology, modification of

school schedules and teacher compensation systems, use of open educational resources (as defined in this notice), or other strategies.

Note: The types of projects identified above are suggestions for ways to improve productivity. The Department recognizes that some of these examples, such as modification of teacher compensation systems, may not be relevant within the context of this competition.

Definitions:

These definitions are from the notice of final supplemental priorities and definitions for discretionary grant programs, published in the Federal Register on December 15, 2010 (75 FR 78486), and corrected on May 12, 2011 (76 FR 27637), and they apply to the competitive preference priorities in this competition.

Military-connected student means (a) A child participating in an early learning program, a student in preschool through grade 12, or a student enrolled in postsecondary education or training who has a parent or guardian on active duty in the uniformed services (as defined by 37 U.S.C. 101, in the Army, Navy, Air Force, Marine Corps, Coast Guard, National Guard, or the reserve component of any of the aforementioned services) or (b) a student who is a veteran of the uniformed services, who is

on active duty, or who is the spouse of an active-duty service member.

Open educational resources means teaching, learning, and research resources that reside in the public domain or have been released under an intellectual property license that permits their free use or repurposing by others.

Program Authority: 20 U.S.C. 1070e.

Applicable Regulations: (a) The Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 74, 75, 77, 79, 80, 82, 84, 86, 97, 98, and 99.

(b) The Education Department suspension and debarment regulations in 2 CFR 3485. (c) The notice of final supplemental priorities and definitions for discretionary grant programs, published in the Federal Register on December 15, 2010 (75 FR 78486), and corrected on May 12, 2011 (76 FR 27637).

Note: Because there are no program specific regulations for the CCAMPIS Program, applicants are encouraged to carefully read the authorizing statute, Title IV, Part A, Subpart 7, Sec. 419N of the HEA.

Note: The regulations in 34 CFR part 79 apply to all applicants except federally recognized Indian tribes.

Note: The regulations in 34 CFR part 86 apply to institutions of higher education only.

II. Award Information

Type of Award: Discretionary grants.

Estimated Available Funds: The Administration has requested \$15,969,760 for the CCAMPIS Program for FY 2013, of which we intend to use \$10,293,422 for this competition. The actual level of funding, if any, depends on final congressional action. Contingent upon the availability of funds and the quality of applications, we may make additional awards in FY 2014 from the list of unfunded applicants from this competition.

Estimated Range of Awards: \$10,000 to \$375,000.

Estimated Average Size of Awards: \$102,680.

Maximum Award: In accordance with section 419N(b)(2)(A) of the HEA, the maximum amount an applicant may receive under this program is one percent of the applicant's total amount of all Federal Pell Grant funds awarded to students enrolled at the institution for FY 2012. A grant will not be less than \$10,000 for a single budget period of 12 months (see section 419N(b)(2) (B) of the HEA).

Estimated Number of Awards: 100.

Note: The Department is not bound by any estimates in this notice.

Project Period: Up to 48 months.

III. Eligibility Information

- 1. Eligible Applicants: Any institution of higher education that during FY 2012 awarded a total of \$350,000 or more of Federal Pell Grant funds to students enrolled at the institution. Institutions that currently have a CCAMPIS Program grant with a project period ending in 2013 and 2014 are eligible to apply in accordance with section III.3 of this notice.
- 2. Cost Sharing or Matching: This program does not require cost sharing or matching.
- 3. Other: At this time, we do not anticipate conducting a competition for new awards in FY 2014. Institutions that currently have a CCAMPIS Program grant with a project ending in 2014 should apply for a new grant during the FY 2013 competition.
- IV. Application and Submission Information
- 1. Address to Request Application Package: Josephine Alexander Hamilton, CCAMPIS Program, U.S. Department of Education, 1990 K Street, NW., room 7000, Washington, DC 20006-8510. Telephone: (202) 502-7600 or by e-mail: TRIO@ed.gov. If you use a telecommunications device for the deaf (TDD) or a text telephone (TTY), call the Federal Relay Service (FRS), toll free, at 1-800-877-8339.

Individuals with disabilities can obtain a copy of the application package in an accessible format (e.g., braille, large print, audiotape, or compact disc) by contacting the program contact person listed in this section.

2. Content and Form of Application Submission:
Requirements concerning the content of an application, together with the forms you must submit, are in the application package for this program.

Page Limit: The application narrative is where you, the applicant, address the selection criteria that reviewers use to evaluate your application, the absolute priorities and CCPs. You must limit the application narrative (Part III) to no more than 50 pages. Responses to the absolute and CPPS should follow responses to the selection criteria. Partial pages will count as a full page toward the page limit. For purposes of determining compliance with the page limit, each page on which there are words will be counted as one full page. Applicants must use the following standards:

A "page" is 8.5" x 11", on one side only, with 1" margins at the top, bottom, and both sides. Page numbers and an identifier may be within the 1" margin.

Double space (no more than three lines per vertical inch) all text in the project narrative, including titles, headings, footnotes, quotations, references, and captions, as well as all text in figures and graphs. Text in charts and tables may be single-spaced. You should also include a table of contents in the project narrative, which will not be counted against the 50-page limit.

Use a font that is either 12 point or larger, or no smaller than 10 pitch (characters per inch).

Use one of the following fonts: Times New Roman, Courier,
Courier New, or Arial. An application submitted in any other
font (including Times Roman and Arial Narrow) will not be
accepted.

The page limit does not apply to Part I--the Application for Federal Assistance face sheet (SF 424); Part III--the Budget Information Summary form (ED Form 524); Part III-A--the Program Profile form; Part III-B--the one-page Project Abstract form; and Part IV--the Assurances and Certifications. If you include any attachments or appendices, these items will be counted as part of Part III--the Application Narrative for purposes of the page limit requirement. You must include your complete response to the selection criteria and priorities in Part III--The Application Narrative.

We will reject your application if you exceed the page limit.

3. Submission Dates and Times:

Applications Available: xxxx xx, 2013.

Deadline for Transmittal of Applications: xxxx xx, 2013.

Applications for grants under this program must be submitted electronically using the Grants.gov Apply site (Grants.gov). For information (including dates and times) about how to submit your application electronically, or in paper format by mail or hand

delivery if you qualify for an exception to the electronic submission requirement, please refer to section IV. 7. Other Submission Requirements of this notice.

We do not consider an application that does not comply with the deadline requirements.

Individuals with disabilities who need an accommodation or auxiliary aid in connection with the application process should contact the person listed under For Further Information Contact in section VII of this notice. If the Department provides an accommodation or auxiliary aid to an individual with a disability in connection with the application process, the individual's application remains subject to all other requirements and limitations in this notice.

Deadline for Intergovernmental Review: xxxxx xx, 2013.

- 4. Intergovernmental Review: This program is subject to Executive Order 12372 and the regulations in 34 CFR part 79. Information about Intergovernmental Review of Federal Programs under Executive Order 12372 is in the application package for this program.
- 5. Funding Restrictions: We specify funding restrictions as outlined in section 419N(b)(2)(B) of the HEA. We reference additional regulations outlining funding restrictions in the Applicable Regulations section of this notice.

- 6. Data Universal Numbering System Number, Taxpayer

 Identification Number, Central Contractor Registry, and System

 for Award Management: To do business with the Department of

 Education, you must--
- a. Have a Data Universal Numbering System (DUNS) number and a Taxpayer Identification Number (TIN);
- b. Register both your DUNS number and TIN with the Central Contractor Registry (CCR)--and, after July 24, 2012, with the System for Award Management (SAM), the Government's primary registrant database;
- c. Provide your DUNS number and TIN on your application;
- d. Maintain an active CCR or SAM registration with current information while your application is under review by the Department and, if you are awarded a grant, during the project period.

You can obtain a DUNS number from Dun and Bradstreet. A DUNS number can be created within one business day.

If you are a corporate entity, agency, institution, or organization, you can obtain a TIN from the Internal Revenue Service. If you are an individual, you can obtain a TIN from the Internal Revenue Service or the Social Security Administration. If you need a new TIN, please allow 2-5 weeks for your TIN to become active.

The CCR or SAM registration process may take five or more business days to complete. If you are currently registered with the CCR, you may not need to make any changes. However, please make certain that the TIN associated with your DUNS number is correct. Also note that you will need to update your registration annually. This may take three or more business days to complete. Information about SAM is available at SAM.gov.

In addition, if you are submitting your application via Grants.gov, you must (1) be designated by your organization as an Authorized Organization Representative (AOR); and (2) register yourself with Grants.gov as an AOR. Details on these steps are outlined at the following Grants.gov Web page:

www.grants.gov/applicants/get_registered.jsp.

- 7. Other Submission Requirements: Applications for grants under this program must be submitted electronically unless you qualify for an exception to this requirement in accordance with the instructions in this section.
 - a. Electronic Submission of Applications.

Applications for grants under the CCAMPIS Program, CFDA number 84.335A, must be submitted electronically using the Governmentwide Grants.gov Apply site at www.Grants.gov. Through this site, you will be able to download a copy of the application package, complete it offline, and then upload and submit your

application. You may not e-mail an electronic copy of a grant application to us.

We will reject your application if you submit it in paper format unless, as described elsewhere in this section, you qualify for one of the exceptions to the electronic submission requirement and submit, no later than two weeks before the application deadline date, a written statement to the Department that you qualify for one of these exceptions. Further information regarding calculation of the date that is two weeks before the application deadline date is provided later in this section under Exception to Electronic Submission Requirement. You may access the electronic grant application for the CCAMPIS Program at www.Grants.gov. You must search for the downloadable application package for this program by the CFDA number. Do not include the CFDA number's alpha suffix in your search (e.g., search for 84.335, not 84.335A).

Please note the following:

- When you enter the Grants.gov site, you will find information about submitting an application electronically through the site, as well as the hours of operation.
- Applications received by Grants.gov are date and time stamped. Your application must be fully uploaded and submitted and must be date and time stamped by the Grants.gov system no later than 4:30:00 p.m., Washington, DC time, on the application deadline

date. Except as otherwise noted in this section, we will not accept your application if it is received--that is, date and time stamped by the Grants.gov system--after 4:30:00 p.m., Washington, DC time, on the application deadline date. We do not consider an application that does not comply with the deadline requirements. When we retrieve your application from Grants.gov, we will notify you if we are rejecting your application because it was date and time stamped by the Grants.gov system after 4:30:00 p.m., Washington, DC time, on the application deadline date.

- The amount of time it can take to upload an application will vary depending on a variety of factors, including the size of the application and the speed of your Internet connection.

 Therefore, we strongly recommend that you do not wait until the application deadline date to begin the submission process through Grants.gov.
- You should review and follow the Education Submission

 Procedures for submitting an application through Grants.gov that

 are included in the application package for this program to

 ensure that you submit your application in a timely manner to the

 Grants.gov system. You can also find the Education Submission

 Procedures pertaining to Grants.gov under News and Events on the

 Department's G5 system home page at www.G5.gov.
- You will not receive additional point value because you submit your application in electronic format, nor will we penalize you

if you qualify for an exception to the electronic submission requirement, as described elsewhere in this section, and submit your application in paper format.

- You must submit all documents electronically, including all information you typically provide on the following forms: the Application for Federal Assistance (SF 424), the Department of Education Supplemental Information for SF 424, Budget Information--Non-Construction Programs (ED 524), and all necessary assurances and certifications.
- You must upload any narrative sections and all other attachments to your application as files in a PDF (Portable Document Format) read-only, non-modifiable format. Do not upload an interactive or fillable PDF file. If you upload a file type other than a read-only, non-modifiable PDF or submit a password-protected file, we will not review that material.
- Your electronic application must comply with any page-limit requirements described in this notice.
- After you electronically submit your application, you will receive from Grants.gov an automatic notification of receipt that contains a Grants.gov tracking number. (This notification indicates receipt by Grants.gov only, not receipt by the Department.) The Department then will retrieve your application from Grants.gov and send a second notification to you by e-mail. This second notification indicates that the Department has

received your application and has assigned your application a PR/Award number (an ED-specified identifying number unique to your application).

• We may request that you provide us original signatures on forms at a later date.

Application Deadline Date Extension in Case of Technical Issues with the Grants.gov System: If you are experiencing problems submitting your application through Grants.gov, please contact the Grants.gov Support Desk, toll free, at 1-800-518-4726. You must obtain a Grants.gov Support Desk Case Number and must keep a record of it.

If you are prevented from electronically submitting your application on the application deadline date because of technical problems with the Grants.gov system, we will grant you an extension until 4:30:00 p.m., Washington, DC time, the following business day to enable you to transmit your application electronically or by hand delivery. You also may mail your application by following the mailing instructions described elsewhere in this notice.

If you submit an application after 4:30:00 p.m., Washington, DC time, on the application deadline date, please contact the person listed under For Further Information Contact in section VII of this notice and provide an explanation of the technical problem you experienced with Grants.gov, along with the Grants.gov

Support Desk Case Number. We will accept your application if we can confirm that a technical problem occurred with the Grants.gov system and that that problem affected your ability to submit your application by 4:30:00 p.m., Washington, DC time, on the application deadline date. The Department will contact you after a determination is made on whether your application will be accepted.

Note: The extensions to which we refer in this section apply only to the unavailability of, or technical problems with, the Grants.gov system. We will not grant you an extension if you failed to fully register to submit your application to Grants.gov before the application deadline date and time, or if the technical problem you experienced is unrelated to the Grants.gov system.

Exception to Electronic Submission Requirement: You qualify for an exception to the electronic submission requirement, and may submit your application in paper format, if you are unable to submit an application through the Grants.gov system because—

- You do not have access to the Internet; or
- You do not have the capacity to upload large documents to the Grants.gov system;

and

No later than two weeks before the application

deadline date (14 calendar days; or, if the fourteenth calendar day before the application deadline date falls on a Federal holiday, the next business day following the Federal holiday), you mail or fax a written statement to the Department, explaining which of the two grounds for an exception prevent you from using the Internet to submit your application.

If you mail your written statement to the Department, it must be postmarked no later than two weeks before the application deadline date. If you fax your written statement to the Department, we must receive the faxed statement no later than two weeks before the application deadline date.

Address and mail or fax your statement to: Eileen Bland, U.S.

Department of Education, 1990 K Street, NW., room 7000,

Washington, DC 20006-8510. FAX: (202) 502-7857.

Your paper application must be submitted in accordance with the mail or hand delivery instructions described in this notice.

b. Submission of Paper Applications by Mail.

If you qualify for an exception to the electronic submission requirement, you may mail (through the U.S. Postal Service or a commercial carrier) your application to the Department. You must mail the original and two copies of your application, on or before the application deadline date, to the Department at the following address:

U.S. Department of Education

Application Control Center

Attention: (CFDA Number 84.335A)

LBJ Basement Level 1

400 Maryland Avenue, SW.

Washington, DC 20202-4260

You must show proof of mailing consisting of one of the following:

- (1) A legibly dated U.S. Postal Service postmark.
- (2) A legible mail receipt with the date of mailing stamped by the U.S. Postal Service.
- (3) A dated shipping label, invoice, or receipt from a commercial carrier.
- (4) Any other proof of mailing acceptable to the Secretary of the U.S. Department of Education.

If you mail your application through the U.S. Postal Service, we do not accept either of the following as proof of mailing:

- (1) A private metered postmark.
- (2) A mail receipt that is not dated by the U.S. Postal Service.

If your application is postmarked after the application deadline date, we will not consider your application.

Note: The U.S. Postal Service does not uniformly provide a dated postmark. Before relying on this method, you should check with your local post office.

c. Submission of Paper Applications by Hand Delivery.

If you qualify for an exception to the electronic submission requirement, you (or a courier service) may deliver your paper application to the Department by hand. You must deliver the original and two copies of your application by hand, on or before the application deadline date, to the Department at the following address:

U.S. Department of Education

Application Control Center

Attention: (CFDA Number 84.335A)

550 12th Street, SW.

Room 7041, Potomac Center Plaza

Washington, DC 20202-4260

The Application Control Center accepts hand deliveries daily between 8:00 a.m. and 4:30:00 p.m., Washington, DC time, except Saturdays, Sundays, and Federal holidays.

Note for Mail or Hand Delivery of Paper Applications: If you mail or hand deliver your application to the Department--

- (1) You must indicate on the envelope and--if not provided by the Department--in Item 11 of the SF 424 the CFDA number, including suffix letter, if any, of the competition under which you are submitting your application; and
- (2) The Application Control Center will mail to you a notification of receipt of your grant application. If you do not receive this notification within 15 business days from the application deadline date, you should call the U.S. Department of Education Application Control Center at (202) 245-6288.

 Note: Applicants must include in the one-page abstract submitted with the application a statement indicating which competitive preference priorities they have addressed. The priorities addressed in the application must also be listed on the CCAMPIS Program Profile Sheet.

V. Application Review Information

- 1. Selection Criteria: The selection criteria for this program are from §419N of the HEA and are as follows:

 The maximum score for the total of these criteria is 100 points.

 The maximum score for each criterion is indicated in parentheses and the maximum score for each subcriterion is in the application package for this competition.
 - A. Need for the Project (Maximum 35 Points)

The applicant must demonstrate the need for campus-based child care services for low-income students at the institution by including the following:

- 1. Information regarding student demographics;
- An assessment of child care capacity on or near campus;
- 3. Information regarding the existence of waiting lists for existing child care;
- 4. Information regarding additional needs created by concentrations of poverty or by geographic isolation; and
 - 5. Other relevant data.
 - B. Plan of Operation. (Maximum 25 Points)

The applicant must describe the activities to be assisted, and must specify whether the grant funds will support an existing child care program or a new child care program. A new child care program is defined as an applicant or child care program that has never been funded under the CCAMPIS Program.

- All applicants must address the following:
- (a) The plan to identify and select students with the greatest need of child care services;
- (b) The type of child care services to be provided and the likely impact of the services on the intended recipients of those services;

- (c) 1. If the institution has an early childhood education curriculum, the extent to which the child care program will coordinate with the curriculum to meet the needs of the students in the early childhood education program at the institution, and the needs of the parents and children participating in the child care program; or
- 2. If the institution does not have an early childhood education curriculum, the extent to which the project will coordinate with the institution's other programs for economically disadvantaged students to meet the needs of the parents and children participating in the child care program; and
 - (d) The plan to encourage parental involvement.
- 2. Applicants requesting grant assistance for new child care programs (applicants not previously funded under this program) must also:
- (a) Provide a timeline, covering the period from receipt of the grant through the provision of the child care services, delineating the specific steps the institution will take to achieve the goal of providing low-income students with child care services;
- (b) Specify any measures the institution will take to assist the low-income student with child care during

the period before the institution provided child care services; and

(c) Include a plan for identifying resources needed for the child care services, including space in which to provide child care services and technical assistance if necessary.

All applications must

- (3) contain an assurance that any child care facility assisted will meet the applicable State or local government licensing, certification, approval, or registration requirements; and(4) contain a plan for any child care facility assisted to become accredited within 3 years of the date the institution first receives assistance.
- C. Management of the Project. (Maximum 20 Points)

 All applicants must describe the management plan for the proposed project, and include the following:
- 1. The resources, including technical expertise and financial support, the institution will draw upon to support the child care program and the participation of low-income students in the program, such as accessing social services funding, using student activity fees to help pay for the costs of child care, using resources obtained by meeting the needs of parents who are not low-income students, and accessing foundation, corporate or

other institutional support, and demonstrate that the use of the resources will not result in increases in student tuition;

- 2. The qualifications, including relevant training, experience, and time commitment of key project personnel;
 - 3. The organizational placement of the project; and
- 4. Specific plans for financial management, student records management, and personnel management.
- D. Evaluation. (Maximum 15 Points)

 All applicants must describe the evaluation plan for the project and must:
 - 1. Demonstrate that the evaluation plan is:
 - (a) Appropriate to the project; and
- (b) Includes both quantitative and qualitative evaluation measures; and
- 2. Describe the specific and measureable ways by which the plan will evaluate the success of the project on the retention and graduation of students whose children are served by the project.
 - E. Budget. (Maximum 5 Points)

The extent to which the budget is adequate to support the proposed project.

2. Review and Selection Process. We remind potential applicants that in reviewing applications in any discretionary grant competition, the Secretary may consider,

under 34 CFR 75.217(d)(3), the past performance of the applicant in carrying out a previous award, such as the applicant's use of funds, achievement of project objectives, and compliance with grant conditions. The Secretary may also consider whether the applicant failed to submit a timely performance report or submitted a report of unacceptable quality.

In addition, in making a competitive grant award, the Secretary also requires various assurances including those applicable to Federal civil rights laws that prohibit discrimination in programs or activities receiving Federal financial assistance from the Department of Education (34 CFR 100.4, 104.5, 106.4, 108.8, and 110.23).

A panel of non-Federal readers will review each application in accordance with the selection criteria, pursuant to 34 CFR 75.217. For each application, the individual scores of the readers, which include any points awarded for the competitive priorities, will be added and the sum divided by the number of readers to determine the reader score received in the review process. If there are insufficient funds for all applications with the same score, the Secretary will choose among the tied applications so as to serve geographical areas that have been underserved by the CCAMPIS Program.

3. Special Conditions: Under 34 CFR 74.14 and 80.12, the Secretary may impose special conditions on a grant if the

applicant or grantee is not financially stable; has a history of unsatisfactory performance; has a financial or other management system that does not meet the standards in 34 CFR parts 74 or 80, as applicable; has not fulfilled the conditions of a prior grant; or is otherwise not responsible.

- VI. Award Administration Information
- 1. Award Notices: If your application is successful, we notify your U.S. Representative and U.S. Senators and send you a Grant Award Notification (GAN); or we may send you an email containing a link to access an electronic version of your GAN. We may notify you informally, also.
- If your application is not evaluated or not selected for funding, we notify you.
- 2. Administrative and National Policy Requirements: We identify administrative and national policy requirements in the application package and reference these and other requirements in the Applicable Regulations section of this notice.
- We reference the regulations outlining the terms and conditions of an award in the Applicable Regulations section of this notice and include these and other specific conditions in the GAN. The GAN also incorporates your approved application as part of your binding commitments under the grant.
- 3. Reporting: (a) If you apply for a grant under this competition, you must ensure that you have in place the necessary

processes and systems to comply with the reporting requirements in 2 CFR part 170 should you receive funding under the competition. This does not apply if you have an exception under 2 CFR 170.110(b).

- (b) At the end of your project period, you must submit a final performance report, including financial information, as directed by the Secretary. If you receive a multi-year award, you must submit an annual performance report that provides the most current performance and financial expenditure information as directed by the Secretary under 34 CFR 75.118. The Secretary may also require more frequent performance reports under 34 CFR 75.720(c). For specific requirements on reporting, please go to www.ed.gov/fund/grant/apply/appforms/appforms.html.
- 4. Performance Measures: The success of the CCAMPIS Program will be measured by the postsecondary persistence and degree of completion rates of the CCAMPIS Program participants that remain at the grantee institution. All CCAMPIS Program grantees will be required to submit an annual performance report documenting the persistence and degree attainment of their participants. Since students may take different lengths of time to complete their degrees, multiple years of performance reports data are needed to determine the degree completions rates of CCAMPIS Program participants. The Department will aggregate the data provided in

the annual performance reports from all grantees to determine the accomplishment level.

5. Continuation Awards: In making a continuation award, the Secretary may consider, under 34 CFR 75.253, the extent to which a grantee has made "substantial progress toward meeting the objectives in its approved application." This consideration includes the review of a grantee's progress in meeting the targets and projected outcomes in its approved application, and whether the grantee has expended funds in a manner that is consistent with its approved application and budget. In making a continuation grant, the Secretary also considers whether the grantee is operating in compliance with the assurances in its approved application, including those applicable to Federal civil rights laws that prohibit discrimination in programs or activities receiving Federal financial assistance from the Department (34 CFR 100.4, 104.5, 106.4, 108.8, and 110.23).

VII. Agency Contact

For Further Information Contact: Eileen S. Bland, U.S.

Department of Education, 1990 K Street, NW., room 7000,

Washington, DC 20006-8510. Telephone: (202) 502-7600 or by e-mail: TRIO@ed.gov.

If you use a TDD or a TTY, call the FRS, toll free, at 1-800-877-8339.

VIII. Other Information

Accessible Format: Individuals with disabilities can obtain this document and a copy of the application package in an accessible format (e.g., braille, large print, audiotape, or compact disc) on request to the program contact persons listed under For Further Information Contact in section VII of this notice. Electronic Access to This Document: The official version of this document is the document published in the Federal Register. Free Internet access to the official edition of the Federal Register and the Code of Federal Regulations is available via the Federal Digital System at: www.gpo.gov/fdsys. At this site you can view this document, as well as all other documents of this Department published in the Federal Register, in text or Adobe Portable Document Format (PDF). To use PDF you must have Adobe Acrobat Reader, which is available free at the site.

You may also access documents of the Department published in the Federal Register by using the article search feature at:
www.federalregister.gov. Specifically, through the advanced search feature at this site, you can limit your search to documents published by the Department.

Dated:

David A. Bergeron,
Acting Assistant Secretary
for Postsecondary Education.

AUTHORIZING LEGISLATION

The CCAMPIS Program is authorized by the Higher Education Act (HEA) of 1965, as amended by the Higher Education Opportunity Act (HEOA) of 2008. An electronic version of the HEOA's amendments to the HEA may be found at the Department's website at the following address: http://www2.ed.gov/about/offices/list/ope/trio/statute-trio-gu.pdf

Please note that the official compilation of Federal law is the United States Code which is available from the Government Printing Office.

The Higher Education Act of 1965 as amended by the Higher Education Opportunity Act of 2008

USC > Title 20 > Chapter 28 > Subchapter IV > Part A > Subpart 7 > § 1070 20 USC § 1070e - Child Care Access Means Parents in School

- (a) PURPOSE The purpose of this section is to support the participation of low-income parents in postsecondary education through the provision of campus-based child care services.

 (b) PROGRAM AUTHORIZED-
 - (1) Authority The Secretary may award grants to institutions of higher education to assist the institutions in providing campus-based child care services to low-income students.
 - (2) AMOUNT OF GRANTS-
 - (A) IN GENERAL The amount of a grant awarded to an institution of higher education under this section for a fiscal year shall not exceed 1 percent of the total amount of all Federal Pell Grant funds awarded to students enrolled at the institution of higher education for the preceding fiscal year.
 - (B) MINIMUM (i) In general Except as provided in clause (ii), a grant under this section shall be awarded in an amount that is not less than \$10,000. (ii) Increase trigger For any fiscal year for which the amount appropriated under the authority of subsection (g) is equal to or greater than \$20,000,000, a grant under this section shall be awarded in an amount that is not less than \$30,000.
 - (3) DURATION; RENEWAL; AND PAYMENTS-
 - (A) DURATION The Secretary shall award a grant under this section for a period of 4 years.
 - (B) PAYMENTS Subject to subsection (e)(2) of this section, the Secretary shall make annual grant payments under this section.
 - (4) ELIGIBLE INSTITUTIONS An institution of higher education shall be eligible to receive a grant under this section for a fiscal year if the total amount of all Federal Pell Grant funds awarded to students enrolled at the institution of higher education for the preceding fiscal year equals or exceeds \$350,000, except that for any fiscal year for which the amount appropriated to carry out this section is equal to or greater than \$20,000,000, this sentence shall be applied by substituting "\$250,000" for "\$350,000".

- (5) USE OF FUNDS Grant funds under this section shall be used by an institution of higher education to support or establish a campus-based child care program primarily serving the needs of low-income students enrolled at the institution of higher education. Grant funds under this section may be used to provide before and after school services to the extent necessary to enable low-income students enrolled at the institution of higher education to pursue postsecondary education.
- (6) CONSTRUCTION Nothing in this section shall be construed to prohibit an institution of higher education that receives grant funds under this section from serving the child care needs of the community served by the institution.
- (7) DEFINITION OF LOW-INCOME STUDENT For the purpose of this section, the term "low-income student" means a student—
 - (A) who is eligible to receive a Federal Pell Grant for the award year for which the determination is made; or
 - (B) who would otherwise be eligible to receive a Federal Pell Grant for the award year for which the determination is made, except that the student fails to meet the requirements of—
 - (i) section 1070a (c)(1) of this title because the student is enrolled in a graduate or first professional course of study; or
 - (ii) section 1091 (a)(5) of this title because the student is in the United States for a temporary purpose.
- (8) PUBLICITY The Secretary shall publicize the availability of grants under this section in appropriate periodicals, in addition to publication in the Federal Register, and shall inform appropriate educational organizations of such availability.
- (c) APPLICATIONS An institution of higher education desiring a grant under this section shall submit an application to the Secretary at such time, in such manner, and accompanied by such information as the Secretary may require. Each application shall—
 - (1) demonstrate that the institution is an eligible institution described in subsection (b)(4) of this section;
 - (2) specify the amount of funds requested;
 - (3) demonstrate the need of low-income students at the institution for campus-based child care services by including in the application—
 - (A) information regarding student demographics;
 - (B) an assessment of child care capacity on or near campus;
 - (C) information regarding the existence of waiting lists for existing child care;
 - (D) information regarding additional needs created by concentrations of poverty or by geographic isolation; and
 - (E) other relevant data;
 - (4) contain a description of the activities to be assisted, including whether the grant funds will support an existing child care program or a new child care program;
 - (5) identify the resources, including technical expertise and financial support, the institution will draw upon to support the child care program and the participation of low-income students in the program, such as accessing social services funding, using student activity fees to help pay the costs of child care, using resources obtained by meeting the needs of parents who are not low-income students, and accessing foundation, corporate or other institutional support, and demonstrate that the use of the resources will not result in increases in student tuition;

- (6) contain an assurance that the institution will meet the child care needs of low-income students through the provision of services, or through a contract for the provision of services; (7) describe the extent to which the child care program will coordinate with the institution's early childhood education curriculum, to the extent the curriculum is available, to meet the needs of the students in the early childhood education program at the institution, and the needs of the parents and children participating in the child care program assisted under this section:
- (8) in the case of an institution seeking assistance for a new child care program—
 - (A) provide a timeline, covering the period from receipt of the grant through the provision of the child care services, delineating the specific steps the institution will take to achieve the goal of providing low-income students with child care services; (B) specify any measures the institution will take to assist low-income students with child care during the period before the institution provides child care services; and (C) include a plan for identifying resources needed for the child care services, including space in which to provide child care services, and technical assistance if necessary;
- (9) contain an assurance that any child care facility assisted under this section will meet the applicable State or local government licensing, certification, approval, or registration requirements; and
- (10) contain a plan for any child care facility assisted under this section to become accredited within 3 years of the date the institution first receives assistance under this section.
- (d) PRIORITY The Secretary shall give priority in awarding grants under this section to institutions of higher education that submit applications describing programs that—
 - (1) leverage significant local or institutional resources, including in-kind contributions, to support the activities assisted under this section; and
 - (2) utilize a sliding fee scale for child care services provided under this section in order to support a high number of low-income parents pursuing postsecondary education at the institution.
- (e) REPORTING REQUIREMENTS; CONTINUING ELIGIBILITY-
 - (1) REPORTING REQUIREMENTS-
 - (A) REPORTS Each institution of higher education receiving a grant under this section shall report to the Secretary annually.
 - (B) CONTENTS The report shall include—
 - (i) data on the population served under this section;
 - (ii) information on campus and community resources and funding used to help low-income students access child care services;
 - (iii) information on progress made toward accreditation of any child care facility; and
 - (iv) information on the impact of the grant on the quality, availability, and affordability of campus-based child care services.
 - (2) CONTINUING ELIGIBILITY The Secretary shall make continuation awards under this section to an institution of higher education only if the Secretary determines, on the basis of the reports submitted under paragraph (1), that the institution is making a good faith effort to ensure that low-income students at the institution have access to affordable, quality child care services.

- (f) CONSTRUCTION No funds provided under this section shall be used for construction, except for minor renovation or repair to meet applicable State or local health or safety requirements.
- (g) Authorization of appropriations There are authorized to be appropriated to carry out this section such sums as may be necessary for fiscal year 2009 and each of the five succeeding fiscal years.

Expectations of Successful Applicants

• Reporting Requirements

Each successful applicant must agree to collect data and maintain records over the course of the grant period. As part of the annual performance report, grantees must report the number of participants they served as well as the number of children served for each participant and specific data related to enrollment. The Department will collect this and other grant information annually during the grant period of CCAMPIS Program grantees.

Additionally, EDGAR, 34 CFR section 74.25, requires grantees to communicate with and obtain approval from the Lead CCAMPIS Program Specialist when they seek a change in key personnel, the objectives of the project, or the scope of the project, including changes in locations of the CCAMPIS program. In general, when uncertain, it is always best to communicate with the CCAMPIS Program Specialist to verify compliance and appropriate grant management.

INTERGOVERNMENTAL REVIEW OF FEDERAL PROGRAMS EXECUTIVE ORDER 12372

This program falls under the rubric of Executive Order 12372 (Intergovernmental Review of Federal Programs) and the regulations in 34 CFR Part 79. One of the objectives of the Executive order is to strengthen federalism--or the distribution of responsibility between localities, States, and the Federal government--by fostering intergovernmental partnerships. This idea includes supporting processes that State or local governments have devised for coordinating and reviewing proposed Federal financial grant applications.

The process for doing this requires grant applicants to contact State Single Points of Contact for information on how this works. Multi-state applicants should follow procedures specific to each state.

Further information about the State Single Point of Contact process and a list of names by State can be found at:

http://www.whitehouse.gov/omb/grants_spoc

Absent specific State review programs, applicants may submit comments directly to the Department. All recommendations and comments must be mailed or hand-delivered by the date indicated in the actual application Notice to the following address: The Secretary, EO 12372--CFDA# [commenter must insert number--including suffix letter, if any], U.S. Department of Education, room 7E200, 400 Maryland Avenue, SW., Washington, DC 20202.

Proof of mailing will be determined on the same basis as applications (see 34 CFR §75.102). Recommendations or comments may be hand-delivered until 4:30 p.m. (Eastern Time) on the closing date indicated in this Notice.

<u>Important note:</u> The above address is not the same address as the one to which the applicant submits its completed applications. <u>Do not send applications to the above address.</u>

SUPPLEMENTAL INFORMATION

1. Estimated Funding

Estimated Available Funds for New Awards: Pending

Estimated Range of Awards: \$10,000 to \$300,000.

Estimated Average Size of Awards: Pending

Estimated Number of New Awards: 100

2. Intergovernmental Review of Federal Programs

Intergovernmental Review of Federal Programs was issued to foster an intergovernmental partnership and to strengthen federalism by relying on state and local processes for the coordination and review of proposed Federal financial assistance.

Applicants must contact the appropriate State Single Point of Contact to find out about, and to comply with, the State's process under Executive Order 12372. A listing of the Single Point of Contact for each State may be viewed at: http://www.whitehouse.gov/OMB/grants/spoc.html.

3. Length of New Award

Applicants for new awards may apply for four years (48 months) of funding.

4. **CCAMPIS Program Assurances**

All applications must comply with the CCAMPIS Program legislative requirements. The assurances are included in the application package and must be signed by a certifying official and uploaded into the **Other Attachments**Form in Grants.gov. By submitting a CCAMPIS Program application, an applicant certifies that it has read the assurances and will fully comply with the requirements.

5. **CCAMPIS Program Profile**

All applicants must provide the information requested on this form. **You may not modify, amend or delete this form.**

Applicants must copy and paste the CCAMPIS Program Profile form into a separate document, or otherwise recreate the page exactly as it appears. Complete the form, save it to your computer and attach it to the *Other Attachments Form* as a .pdf document only. Do not modify or amend the language on the form in any way.

6. Evaluation of Applications for Awards

A panel of two non-federal reviewers will review each application in accordance with the selection criteria and competitive preference priorities. Each reviewer will prepare a written evaluation of the information presented in the Project Narrative section of the application. Each reviewer will also prepare a written evaluation of the information presented and assign points for any or all of the competitive preference priorities addressed in a separate section of the application submission.

All applications for grants under the CCAMPIS Program will be evaluated as new submissions according to the selection criteria listed in the program legislation. (USC > Title 20 > Chapter 28 > Subchapter IV > Part A > Subpart 7 > § 1070 20 USC § 1070e - Child care access means parents in school)

7. Selection Criteria

The selection criteria in 20 USC § 1070e, as amended by the final regulations published on October 26, 2010, are used to evaluate applications.

8. Applicant Funding

Applicants should pay close attention funding stipulations provided in USC > Title 20 > Chapter 28 > Subchapter IV > Part A > Subpart 7 > § 1070 20 USC § 1070e - Child Care Access Means Parents in School - § 4 (Eligible Institutions). The Department will reject any application that proposes a budget exceeding the parameters specified in the Notice.

9. Selection of Grantees

The Secretary will select applications for funding in rank order, based on the applications' total score for the selection criteria and competitive preference priorities plus. If there are insufficient funds for all applications with the same total scores, the Secretary will choose among the tied applications so as to serve geographical areas that have been underserved by the CCAMPIS Program.

The Department's Office of Legislation and Congressional Affairs will inform the Congress regarding applications approved for new CCAMPIS Program grants. Successful applicants will receive award notices by mail shortly after the Congress is notified. No funding information will be released before the Congress is notified.

10. Expectations of Successful Applicants

Please see the section titled Expectations of Successful Applicants in this application package.

11. Notice to Unsuccessful Applicants

Unsuccessful applicants will be notified in writing following the notice to successful applicants.

12. Annual Performance Report Requirements

If you receive a FY 2013 new grant award, you will be required to submit annual performance reports (APR) during the four-year funding cycle. This APR collects data about funded projects to enable program specialists to determine if a grantee is making substantial progress toward meeting approved project objectives.

13. Contact Information

For CCAMPIS Program-related questions and assistance, please contact:

Program Specialist: Josephine A. Hamilton

Address: Student Support Services/CCAMPIS Programs

U.S. Department of Education 1990 K Street, N.W., Room 7000 Washington, D.C. 20006-8510

Telephone: (202) 502-7583 Fax: (202) 502-7857

E-mail Address: josephine.hamilton@ed.gov

or

Division Director: Eileen Bland

Address: Federal TRIO Programs

U.S. Department of Education 1990 K Street, N.W., Suite 7000 Washington, D.C. 20006-8510

Telephone: (202) 502-7730 Fax: (202) 502-7857

E-mail Address: eileen.bland@ed.gov

For Grants.gov-related questions and assistance, please contact:

Support Desk:Grants.gov Support Desk Telephone: (800) 518-4726 Hours: 24 hours, 7 days a week, except Federal holidays

Email: support@grants.gov

CCAMPIS Program Profile

Instructions: All applicants must complete this form and attach it to the Other Attachments Form in the application package in Grants.gov (as a .pdf document). DO NOT MODIFY THE CONTENTS OF THIS FORM.

Absolute Priority 1: Leverage significant local or institutional resources, including in-kind contributions, to support the activities assisted; and
Absolute Priority 2: Utilize a sliding fee scale for child care services provided in order to support a high number of low-income parents pursuing postsecondary education at the institution.
Competitive Preference Priority (CPP) (check the priority(ies)) written to in the application):
CPP 1: Support for Military Families: Projects that are designed to address the needs of military-connected students
CPP 2: Improving Productivity
1. Name of Applicant Institution/Campus and Institution/Campus OPE ID#: (Use your Institution's complete name. If your Institution is a branch campus, use the parent institution's name but follow with the name of the branch campus. For example, you would cite the State University of U.S.A., Happy Campus)
Name:OPE ID#:
2. Applicant Address: (Indicate the address where the program will be located)
Street:
City: Zip Code:
Zip Code
3. Is the Applicant currently funded under the CCAMPIS Program:
Yes PR-Award Number: P335A09 or P335A10

4. The total amount of Federal Pell Grant funds disbursed by the applicant institution for the preceding fiscal year, FY 2012 (Pell amount must be specific to the applicant institution and <u>not an aggregate</u> College/University District or System amount):				
\$				
5. Is the Applicant Institution child care center(s) or outsources child care center(s) nationally accredited (list all):				
No Yes (If so, by what national accrediting agency and date of expiration)				
6. If not currently funded, has the Applicant Institution ever received funds under CCAMPIS:				
No Yes (if so) when: (year)to (year)				
7. Does the applicant institution offer an early childhood education curriculum:				
Yes No				
8. The intended types of child care services to be provided (Please mark all appropriate):				
InfantToddler Before and After School Summer Other				
9. Will child care services be conducted by center(s):				
owned by the institution				
contracted to outsourced accredited centers				
contracted to outsources accredited homes				

CCAMPIS Program Assurances

Assurances, Certifications, and Survey Form -- Applicants must complete the following assurances and certifications included in the application package:

- ED GEPA 427 Form
- Assurances for Non-Construction Programs (SF 424B)
- Certifications Regarding Lobbying (ED Form 80-0013)
- Disclosure of Lobbying Activities (SF-LLL)
- Survey on Ensuring Equal Opportunity for Applicants

INSTRUCTIONS FOR COMPLETING THE APPLICATION PACKAGE

The CCAMPIS Program application consists of the following four parts:

Part I: SF 424 Form -- Application for Federal Assistance and Department of Education Supplemental Information for SF 424

<u>Note</u>: Please do not attach any narratives, supporting files, or application components to the SF 424 Form. Although the form accepts attachments, the Department only will review the SF 424 and the specific items listed below.

Part II: ED Form 524

Department of Education Budget Information Non-Construction Programs

Part III: Other Forms

Program Profile Form One-page Project Abstract

Part III: Project Narrative

Selection Criteria Absolute Priorities

Competitive Preference Priorities

* All attachments must be in .PDF format. Other types of files will not be accepted.

The Project Narrative Attachment Form is where you will attach your Part III – Project Narrative response to the selection criteria that will be used to evaluate your submission for this competition. This section has a strict page limit of 50 pages, excluding a Table of Contents and Abstract. Absolute and competitive preference priorities must be addressed at the end of the projectnarrative section, Part III. Please see the Notice for detailed information on page limits. You should include a Table of Contents for your application as the first page of this section. The Table of Contents will not count against the 50 pages you are allowed for your response to the selection criteria. You will also include your budget narrative in this section as a part of the selection criteria, which does count as part of the 50-page limit.

The *Part III CCAMPIS Program Profile Form* should be attached to the Other Attachments Form in the application package in Grants.gov (as a .pdf document). **You may not modify the content of the Profile Form.**

The *Part III Project Abstract* should be a <u>one-page</u> abstract. You will attach this abstract to the <u>ED Abstract Form</u>. This one-page abstract, which may be single-spaced, will not count against the 50 pages you are allowed for your response to the selection criteria.

Part IV: Assurances, Certifications, and Survey Form -- Applicants must complete the following assurances and certifications included in the application package:

- ED GEPA 427 Form
- Assurances for Non-Construction Programs (SF 424B)
- Certifications Regarding Lobbying (ED Form 80-0013)
- Disclosure of Lobbying Activities (SF-LLL)
- Survey on Ensuring Equal Opportunity for Applicants

INSTRUCTIONS FOR PROJECT NARRATIVE - SELECTION CRITERIA

Applications will be evaluated according to the specific selection criteria specified in the Notice and this package. Applicants must address the selection criteria in the Project Narrative, which is limited to 50 pages – as detailed in the Notice.

The Secretary evaluates an application on the basis of the broad criteria in 20 USC section 1070e of the CCAMPIS program public law. The Project Narrative should provide, in detail, the information that addresses each selection criterion. To facilitate the review of the application, you should provide responses to each of the following selection criteria in the order shown below.

Note: The maximum possible score for each category of the selection criteria is indicated in parenthesis.

- 1. Need for the Project (35 points)
- 2. Plan of Operation (25 points)
- 3. Management Plan (20 points)
- 4. Evaluation (15 points)
- 5. Budget (5 points)

TOTAL MAXIMUM SCORE FOR SELECTION CRITERIA IS 100 POINTS

ALL APPLICANTS MUST RESPOND TO:

Absolute Priority #1

and

Absolute Priority #2

ALL APPLICANTS MAY RESPOND TO:

Competitive Preference Priority #1 (up to 3 points)

or

Competitive Preference Priority #2 (up to 3 points)

**Please note that applicants addressing these two priorities may earn up to three competitive preference points for each priority. However, no more than (maximum)

three competitive preference points will be awarded to any application based on the extent to which the application contains substantive information regarding the priorities.

TOTAL MAXIMUM SCORE FOR SELECTION CRITERIA 103 POINTS

The following guidance may assist you in addressing each of the selection criteria:

Need for the Project: The applicant should provide and describe clear evidence of students enrolled at the institution who meet the eligibility requirements and emphasize, in general, admission policies and current statistics on eligible student populations. Data or statistics relating to eligible students may include: total undergraduate and graduate population; the number of nontraditional students served at the institution; the total number of Pell students, graduate students or foreign students; the number of potentially eligible Pell students, graduate students or foreign students; percentage of students working and attending class; gender, diversity and low-income data and the number of low-income student-parent households. Applicants may include information or data derived from surveys or other evaluative processes that ascertained the need for the project. Applicants should describe available campus or community programs. Historical data assessing need, availability of child care, and trend data should be reported. Applicants are encouraged to discuss the additional information affecting the need for the project such as contributors to students' poverty and isolations and impacts of geographical isolation, if any. Applicants are encouraged to discuss other relevant data such as the: average cost of care (by age grouping); child care age group most in demand for care; student income comparisons to those that do not attend/graduate from college in the area; project impact on student enrollment, persistence, and graduation; and the effects of educational interventions attained from quality child care/development.

Plan of Operation: This part of the application should provide information on who, what, when and how the project will provide services to meet the applicants' goals and objectives. Applicants are encouraged to provide information that: details the program reporting chain; identifies the campus-partners and/ or community agencies and how relationships will be established; and defines and describes assessments or procedures for identifying and selecting student with the greatest need and methods or media used to publicize the program. Further, applicants are encouraged to define the types of child care services to be provided (infant, toddler, school age, before and/or after school, summer programs); detail how services will be provided (i.e. on campus or outsourced); the locations of services and contractual agreement; how payment for services will be made. Previously funded applicant institution that have an Early Childhood Education (ECE) program, are encouraged to address how the on campus projects will coordinate with the ECE curriculum to meet the needs of students in the ECE program and the needs of parents and children participating in the project. Such curriculum activities include: defining the academic rigor of students pursing teaching/ECE degrees, lab

training goals and placements of ECE students; and developmental appropriateness of all child care programs.

Previously funded applicant institutions without ECE program are encouraged to describe how projects will coordinate with institutions' other programs for economically disadvantage students to meet the needs of the parents and children participating in the project. Coordination with other programs may include: collaborative partnership and integration with agencies such as Early Head Start; identification of no-cost or low-cost child care; or the identification of and referral to other social support and or health care services.

Applicants should include assurances that child care facilities will meet applicable State or local government licensing, certification, approval or registration requirements. Additionally, applicants are encouraged to describe a plan to become accredited within three (3) years of the dated the institution first receives assistance under the CCAMPIS grant.

Further, all applicants are encouraged to describe plans to encourage parent involvement; i.e., plans for parent volunteerism, parent advisor board participation, student-parent peer support, field trip attendance, participation in parent education opportunities, or the intended outcomes of parent involvement.

New Applicants (those applicants not previously funded under this program) Only:

New applicants are encouraged to provide a timeline covering the period from the receipt of the grant through the provision of the child care services, delineating the specific steps the institution will take to achieve the goals of providing low-income students with child care services. Applicants may include (but are not limited to) further explanation of the identification of students with the most need; identification of child care programs; and wait list management. Applicants are encouraged to detail efforts taken to assist low-income students with child care during the period before the institution provides child care services. Such effort may include (but are not limited to): referral services; identification of other financial support resources; assistance with completing paperwork to obtain other services.

New applicants are encouraged to include a plan for identifying resources needed for the child care services (institution owned or outsourced) including space in which to provide child care services and technical assistance or location of services and facility layouts and specifications.

Management Plan: All applicants must describe the management plan for the proposed project that includes technical expertise and financial support the institution will draw upon to support the child care project and the participation of low-income students in the project, such as accessing social service funding, using student activity fees to help pay the costs of child care, using resources obtained by meeting the needs

of parents who are not low-income students, an access foundations, corporate or other institutional support; and must demonstrate the use of the resources will not result in increases in student tuition.

The minimum qualifications must be identified for all project personnel positions. The minimum educational qualifications should include the type of degree required and the acceptable field(s) of study for each key position. The type and minimum amount of work-related experience should also be described for each position. Applicants should **not give** the qualifications of or name specific people that they plan to employ, but should give the qualifications required for the positions.

Evaluation Plan: A strong evaluation plan should be included and should be used, as appropriate, to shape the development of the project from the beginning of the grant period. The plan should describe the evaluation design, indicating: (1) what types of data will be collected; (2) when various types of data will be collected; (3) what methods will be used; (4) what instruments will be developed and when; (5) how the data will be analyzed; (6) when reports and outcomes will be available; and (7) how the applicant will use the information collected through evaluation to monitor progress of the funded project. Applicants are encouraged to devote an appropriate level of resources to project evaluation. The use of an outside evaluator is not required.

Adequacy of Resources and Budget:

Budget - This section should provide information that shows that the proposed budget will provide the resources necessary to successfully carry out the proposed project. Applicants should demonstrate here how the proposed resources would enable them to carry out the planned project in the most cost-effective manner possible. In response to this criterion, applicants must provide a detailed, itemized budget (ED Form 524) and a detailed budget narrative only for the <u>first-year</u> budget period. Although only the first-year's budget period is required, any financial commitment made by the institution (as indicated on SECTION B - BUDGET SUMMARY NON-FEDERAL FUNDS) will be for the duration of the grant 4-year cycle. The budget narrative is to be included in the Project Narrative. Additional budget instructions are cited in the instructions entitled "First Year Budget and Budget Summary Form (ED Form 524) Instructions" on the following pages.

COMPETITIVE PREFERENCE PRIORITIES FOR FY 2013

The President has set a clear goal for our education system: By 2020, the United States will once again have the highest proportion of college graduates in the world. The Department views the CCAMPIS Program as a critical component in the effort to improve the quality of student outcomes, by providing access to child care services, so that more student-parents enroll, persist, and graduate from college. In order to more strategically align CCAMPIS with overarching reform strategies for postsecondary completion, the Department is announcing two competitive preference priorities (CPP) for this competition. The two priorities are from the Department's Notice of final supplemental priorities and definitions for discretionary grant programs, published in the Federal Register on December 15, 2010 (75 FR 78486) and corrected on May 12, 2011 (76 FR 27637).

CPP 1: Support for Military Families: Projects that are designed to address the needs of military-connected students (as defined in the notice). *The Secretary shall give priority in awarding grants under this section to institutions of higher education that submit application describing this priority.*

<u>CPP 2:</u> Improving Productivity (as defined in the notice). The Secretary shall give priority in awarding grants under this section to institutions of higher education that submit application describing this priority.

Under 34 CFR 75.105(c)(2)(i), Applicants addressing these two priorities may earn up to three competitive preference points for each priority. However, no more than (maximum) three competitive preference points will be awarded to any application based on the extent to which the application contains substantive information regarding the priorities.

INSTRUCTIONS FOR STANDARD FORMS

- Application for Federal Assistance (SF 424)
- Department of Education Supplemental Form for the SF 424
- Department of Education Budget Summary Form (ED 524)
- Disclosure of Lobbying Activities (SF-LLL)
- Survey Instructions on Ensuring Equal Opportunity for Applicants

INSTRUCTIONS FOR THE SF-424

This is a standard form required for use as a cover sheet for submission of pre-applications and applications and related information under discretionary programs. Some of the items are required and some are optional at the discretion of the applicant or the federal agency (agency). Required fields on the form are identified with an asterisk (*) and are also specified as "Required" in the instructions below. In addition to these instructions, applicants must consult agency instructions to determine other specific requirements.

Ite m	Entry:	Item :	Entry:
1.	Type of Submission: (Required) Select one type of submission in accordance with agency instructions. • Pre-application • Application • Changed/Corrected Application – Check if this submission is to change or correct a previously submitted application. Unless requested by the agency, applicants may not use this form to submit changes after the closing date.	10.	Name Of Federal Agency: (Required) Enter the name of the federal agency from which assistance is being requested with this application.
		11.	Catalog Of Federal Domestic Assistance Number/Title: Enter the Catalog of Federal Domestic Assistance number and title of the program under which assistance is requested, as found in the program announcement, if applicable.
2.	Type of Application: (Required) Select one type of application in accordance with agency instructions. • New – An application that is being submitted	12.	Funding Opportunity Number/Title: (Required) Enter the Funding Opportunity Number (FON) and title of the opportunity under which assistance is requested, as found in the program announcement.
	to an agency for the first time. • Continuation - An extension for an additional funding/budget period for a project with a projected completion date. This can include renewals.	13.	Competition Identification Number/Title: Enter the competition identification number and title of the competition under which assistance is requested, if applicable.
	• Revision - Any change in the federal government's financial obligation or contingent liability from an existing obligation. If a revision, enter the appropriate letter(s). More than one may be selected. If "Other" is selected, please specify in text box provided.	14.	Areas Affected By Project: This data element is intended for use only by programs for which the area(s) affected are likely to be different than the place(s) of performance reported on the SF-424 Project/Performance Site Location(s) Form. Add attachment to enter additional areas, if needed.
	A. Increase Award Duration B. Decrease Award (specify) C. Increase Duration D. Decrease E. Other		
3.	Date Received: Leave this field blank. This date will be assigned by the Federal agency.	15.	Project: (Required) Enter a brief descriptive title of the project. If appropriate, attach a map showing project location (e.g., construction or real property projects). For pre-applications, attach a summary description of the project.
4.	Applicant Identifier: Enter the entity identifier assigned buy the Federal agency, if any, or the applicant's control number if applicable.		
5a.	rederal Entity Identifier: Enter the number assigned to your organization by the federal agency, if any.	16.	Congressional Districts Of: 16a. (Required) Enter the applicant's congressional district. 16b. Enter all district(s) affected by the
5b.	Federal Award Identifier: For new applications, enter NA. For a continuation or revision to an existing award, enter the previously assigned federal award identifier number. If a changed/corrected application, enter the federal identifier in accordance with		program or project. Enter in the format: 2 characters state abbreviation – 3 characters district number, e.g., CA-005 for California 5th district, CA-012 for California 12 district, NC-103 for North Carolina's 103 district. If all congressional districts in a state are affected,

	aganay instructions		
6.	agency instructions. Date Received by State: Leave this field blank. This date will be assigned by the state, if applicable.		
7.	State Application Identifier: Leave this field blank. This identifier will be assigned by the state, if applicable.		enter "all" for the district number, e.g., MD-all for
8.	Applicant Information : Enter the following in accordance with agency instructions:		all congressional districts in Maryland. If nationwide, i.e. all districts within all states are affected, enter US-all. If the program/project is outside the US, enter 00-000. This optional data element is intended for use only by programs for
	a. Legal Name: (Required) Enter the legal name of applicant that will undertake the assistance activity. This is the organization that has registered with the Central Contractor Registry (CCR). Information on registering with CCR may be obtained by visiting www.Grants.gov.	17.	Proposed Project Start and End Dates: (Required) Enter the proposed start date and end date of the project.
	b. Employer/Taxpayer Number (EIN/TIN): (Required) Enter the employer or taxpayer identification number (EIN or TIN) as assigned by the Internal Revenue Service. If your organization is not in the US, enter 44-4444444.	18.	Estimated Funding: (Required) Enter the amount requested, or to be contributed during the first funding/budget period by each contributor. Value of in-kind contributions should be included on appropriate lines, as applicable. If the action will result in a dollar change to an existing award, indicate only the amount of the change. For decreases, enclose the amounts in parentheses.
	c. Organizational DUNS: (Required) Enter the organization's DUNS or DUNS+4 number received from Dun and Bradstreet. Information on obtaining a DUNS number may be obtained by visiting www.Grants.gov.	19.	Is Application Subject to Review by State Under Executive Order 12372 Process? (Required) Applicants should contact the State Single Point of Contact (SPOC) for Federal Executive Order 12372 to determine whether the application is subject to the State intergovernmental review process. Select the appropriate box. If "a." is selected, enter the date the application was submitted to the State.
	d. Address: Enter address: Street 1 (Required); city (Required); County/Parish, State (Required if country is US), Province, Country (Required), 9-digit zip/postal code (Required if country US).	20.	Is the Applicant Delinquent on any Federal Debt? (Required) Select the appropriate box. This question applies to the applicant organization, not the person who signs as the authorized representative. Categories of federal debt include; but, may not be limited to: delinquent audit disallowances, loans and taxes. If yes, include an explanation in an attachment.
	e. Organizational Unit: Enter the name of the primary organizational unit, department or division that will undertake the assistance activity. f. Name and contact information of person to be contacted on matters involving this application: Enter the first and last name (Required); prefix, middle name, suffix, title. Enter organizational affiliation if affiliated with an organization other than that in 7.a. Telephone number and email (Required); fax number.	21.	Authorized Representative: To be signed and dated by the authorized representative of the applicant organization. Enter the first and last name (Required); prefix, middle name, suffix. Enter title, telephone number, email (Required); and fax number. A copy of the governing body's authorization for you to sign this application as the official representative must be on file in the applicant's office. (Certain federal agencies may require that this authorization be submitted as part of the application.)
9.	Type of Applicant: (Required) Select up to three applicant type(s) in accordance with agency instructions. A. State Government		

В.	. County Government	
C.	City or Township Government	
D.	· '	
E.	·	
F.		
G.		
Н.		
	Higher Education	
I.	Indian/Native American Tribal	
	Government (Federally Recognized)	
J.	. Indian/Native American Tribal	
	Government (Other than Federally	
	Recognized)	
K.	. Indian/Native American Tribally	
	Designated Organization	
L.	. Public/Indian Housing Authority	
M.	M. Nonprofit	
N.	N. Private Institution of Higher Education	
Ο.		
Ρ.	P. For-Profit Organization (Other than	
	Small Business)	
Q.		
R.	i j	
S.		
	Universities (HBCUs)	
Τ.		
	Universities (TCCUs)	
U.		
	Serving Institutions	
V.		
W.	N. Other (specify)	

[<u>U.S Department of Education note</u>: As of spring, 2010, the FON discussed in Block 12 of the instructions can be found via the following URL: http://www.grants.gov/applicants/find_grant_opportunities.jsp.]

INSTRUCTIONS FOR DEPARTMENT OF EDUCATION SUPPLEMENTAL INFORMATION FOR SF 424

- **1. Project Director.** Name, address, telephone and fax numbers, and e-mail address of the person to be contacted on matters involving this application. Items marked with an asterisk (*) are mandatory.
- **2. Novice Applicant.** Check "Yes" if you meet the definition for novice applicants specified in the regulations in 34 CFR 75.225 and included on the attached page entitled "Definitions for U.S. Department of Education Supplemental Information for the SF-424"). By checking "Yes" the applicant certifies that it meets these novice applicant requirements. Check "No" if you do not meet the definition for novice applicants.

This novice applicant information will be used by ED to: 1) determine the amount and type of technical assistance that a novice might need, if funded, and 2) determine novice applicant eligibility in discretionary grant competitions that give special consideration to novice applications. Certain ED discretionary grant programs give special consideration to novice applications, either by establishing a special competition for novice applicants or by giving competitive preference to novice applicants under the procedures in 34 CFR 75.105(c)(2). If special consideration is being given to novice applications under a particular discretionary grant competition, the application Notice for the competition published in the <u>Federal Register</u> will specify this information

- **3. Human Subjects Research.** (See I. A. "Definitions" in attached page entitled "Definitions for U.S. Department of Education Supplemental Information for the SF-424.")
- **3a. If Not Human Subjects Research.** Check "**No**" if research activities involving human subjects are not planned at any time during the proposed project period. The remaining parts of Item 3 are then not applicable.
- **3a. If Human Subjects Research.** Check "**Yes**" if research activities involving human subjects are planned at any time during the proposed project period, either at the applicant organization or at any other performance site or collaborating institution. Check "**Yes**" even if the research is exempt from the regulations for the protection of human subjects. (See I. B. "Exemptions" in attached page entitled "Definitions for U.S. Department of Education Supplemental Information for SF-424.")
- **3b.** If Human Subjects Research is Exempt from the Human Subjects Regulations. Check "Yes" if all the research activities proposed are designated to be exempt from the regulations. Check the exemption number(s) corresponding to one or more of the six exemption categories listed in I. B. "Exemptions." In addition, follow the instructions in II. A. "Exempt Research Narrative" in the attached page entitled "Definitions for U.S. Department of Education Supplemental Information for the SF-424."
- **3b.** If Human Subjects Research is Not Exempt from Human Subjects Regulations. Check "No" if some or all of the planned research activities are covered (not exempt). In addition, follow the instructions in II. B. "Nonexempt Research Narrative" in the attached page entitled "Definitions for U.S. Department of Education Supplemental Information for the SF-424."
- **3b. Human Subjects Assurance Number.** If the applicant has an approved Federal Wide Assurance (FWA) on file with the Office for Human Research Protections (OHRP), U.S. Department of Health and Human Services, that covers the specific activity, insert the number in the space provided. (A list of current FWAs is available at: http://ohrp.cit.nih.gov/search/asearch.asp#ASUR) If the applicant does not have an approved assurance on file with OHRP, enter "None." In this case, the applicant, by signature on the SF-424, is declaring that it will comply with 34 CFR 97 and proceed to obtain the human subjects assurance upon request by the designated ED official. If the application is recommended/selected for funding, the designated ED official will request that the applicant obtain the assurance within 30 days after the specific formal request.
- **3c.** If applicable, please attach your "Exempt Research" or "Nonexempt Research" narrative to your submission of the U.S Department of Education Supplemental Information for the SF-424 form as instructed in item II, "Instructions for

Exempt and Nonexempt Human Subjects Research Narratives" in the attached page entitled "Definitions for U.S. Department of Education Supplemental Information for the SF-424."

Note about Institutional Review Board Approval. ED does not require certification of Institutional Review Board approval with the application. However, if an application that involves non-exempt human subjects research is recommended/selected for funding, the designated ED official will request that the applicant obtain and send the certification to ED within 30 days after the formal request.

No covered human subjects research can be conducted until the study has ED clearance for protection of human subjects in research.

Paperwork Burden Statement. According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is 1894-0007. The time required to complete this information collection is estimated to average between 15 and 45 minutes per response, including the time to review instructions, search existing data resources, gather the data needed and complete and review the information collection. If you have any comments concerning the accuracy of the estimate(s) or suggestions for improving this form, please write to: U.S. Department of Education, Washington, D.C. 20202-0170. If you have comments or concerns regarding the status of your individual submission of this form write directly to: (insert program office), U.S. Department of Education, 400 Maryland Avenue, S.W., Washington, D.C. 20202.

DEFINITIONS FOR DEPARTMENT OF EDUCATION SUPPLEMENTAL INFORMATION FOR SF 424

(Attachment to Instructions for Supplemental Information for SF 424)

Definitions:

Novice Applicant (See 34 CFR 75.225). For discretionary grant programs under which the Secretary gives special consideration to novice applications, a novice applicant means any applicant for a grant from ED that—

- Has never received a grant or subgrant under the program from which it seeks funding;
- Has never been a member of a group application, submitted in accordance with 34 CFR 75.127-75.129, that received a grant under the program from which it seeks funding; and
- Has not had an active discretionary grant from the Federal government in the five years before the deadline date for applications under the program. For the purposes of this requirement, a grant is active until the end of the grant's project or funding period, including any extensions of those periods that extend the grantee's authority to obligate funds.

In the case of a group application submitted in accordance with 34 CFR 75.127-75.129, a group includes only parties that meet the requirements listed above.

PROTECTION OF HUMAN SUBJECTS IN RESEARCH

I. Definitions and Exemptions

A. Definitions.

A research activity involves human subjects if the activity is research, as defined in the Department's regulations, and the research activity will involve use of human subjects, as defined in the regulations.

-Research

The ED Regulations for the Protection of Human Subjects, Title 34, Code of Federal Regulations, Part 97, define research as "a systematic investigation, including research development, testing and evaluation, designed to develop or contribute to generalizable knowledge." *If an activity follows a deliberate plan whose purpose is to develop or contribute to generalizable knowledge it is research*. Activities which meet this definition constitute research whether or not they are conducted or supported under a program that is considered research for other purposes. For example, some demonstration and service programs may include research activities.

—Human Subject

The regulations define human subject as "a living individual about whom an investigator (whether professional or student) conducting research obtains (1) data through intervention or interaction with the individual, or (2) identifiable private information." (1) If an activity involves obtaining information about a living person by manipulating that person or that person's environment, as might occur when a new instructional technique is tested, or by communicating or interacting with the individual, as occurs with surveys and interviews, the definition of human subject is met. (2) If an activity involves obtaining private information about a living person in such a way that the information can be linked to that individual (the identity of the subject is or may be readily determined by the investigator or associated with the information), the definition of human subject is met. [Private information includes information about behavior that occurs in a context in which an individual can reasonably expect that no observation or recording is taking place, and information which has been provided for specific purposes by an individual and which the individual can reasonably expect will not be made public (for example, a school health record).]

B. Exemptions.

Research activities in which the **only** involvement of human subjects will be in one or more of the following six categories of **exemptions** are not covered by the regulations:

- (1) Research conducted in established or commonly accepted educational settings, involving normal educational practices, such as (a) research on regular and special education instructional strategies, or (b) research on the effectiveness of or the comparison among instructional techniques, curricula, or classroom management methods.
- (2) Research involving the use of educational tests (cognitive, diagnostic, aptitude, achievement), survey procedures, interview procedures or observation of public behavior, unless: (a) information obtained is recorded in such a manner that human subjects can be identified, directly or through identifiers linked to the subjects; and (b) any disclosure of the human subjects' responses outside the research could reasonably place the subjects at risk of criminal or civil liability or be damaging to the subjects' financial standing, employability, or reputation. If the subjects are children, exemption 2 applies only to research involving educational tests and observations of public behavior when the investigator(s) do not participate in the activities being observed.

Exemption 2 does not apply if children are surveyed or interviewed or if the research involves observation of public behavior and the investigator(s) participate in the activities being observed. [Children are defined as persons who have not attained the legal age for consent to treatments or procedures

involved in the research, under the applicable law or jurisdiction in which the research will be conducted.]

- (3) Research involving the use of educational tests (cognitive, diagnostic, aptitude, achievement), survey procedures, interview procedures or observation of public behavior that is not exempt under section (2) above, if the human subjects are elected or appointed public officials or candidates for public office; or federal statute(s) require(s) without exception that the confidentiality of the personally identifiable information will be maintained throughout the research and thereafter.
- (4) Research involving the collection or study of existing data, documents, records, pathological specimens, or diagnostic specimens, if these sources are publicly available or if the information is recorded by the investigator in a manner that subjects cannot be identified, directly or through identifiers linked to the subjects.
- (5) Research and demonstration projects which are conducted by or subject to the approval of department or agency heads, and which are designed to study, evaluate, or otherwise examine: (a) public benefit or service programs; (b) procedures for obtaining benefits or services under those programs; (c) possible changes in or alternatives to those programs or procedures; or (d) possible changes in methods or levels of payment for benefits or services under those programs.
- (6) Taste and food quality evaluation and consumer acceptance studies, (a) if wholesome foods without additives are consumed or (b) if a food is consumed that contains a food ingredient at or below the level and for a use found to be safe, or agricultural chemical or environmental contaminant at or below the level found to be safe, by the Food and Drug Administration or approved by the Environmental Protection Agency or the Food Safety and Inspection Service of the U.S. Department of Agriculture.

II. Instructions for Exempt and Nonexempt Human Subjects Research Narratives

If the applicant marked "Yes" for Item 3 of Department of Education Supplemental Information for SF 424, the applicant must provide a human subjects "exempt research" or "nonexempt research" narrative. Insert the narrative(s) in the space provided. If you have multiple projects and need to provide more than one narrative, be sure to label each set of responses as to the project they address.

A. Exempt Research Narrative.

If you marked "Yes" for item 3 a. and designated exemption numbers(s), provide the "exempt research" narrative. The narrative must contain sufficient information about the involvement of human subjects in the proposed research to allow a determination by ED that the designated exemption(s) are appropriate. The narrative must be succinct.

B. Nonexempt Research Narrative.

If you marked "No" for item 3 a. you must provide the "nonexempt research" narrative. The narrative must address the following seven points. Although no specific page limitation applies to this section of the application, be succinct.

- (1) **Human Subjects Involvement and Characteristics**: Provide a detailed description of the proposed involvement of human subjects. Describe the characteristics of the subject population, including their anticipated number, age range, and health status. Identify the criteria for inclusion or exclusion of any subpopulation. Explain the rationale for the involvement of special classes of subjects, such as children, children with disabilities, adults with disabilities, persons with mental disabilities, pregnant women, prisoners, institutionalized individuals, or others who are likely to be vulnerable
- (2) **Sources of Materials**: Identify the sources of research material obtained from individually identifiable living human subjects in the form of specimens, records, or data. Indicate whether the material or data will be obtained specifically for research purposes or whether use will be made of existing specimens, records, or data.
- (3) **Recruitment and Informed Consent:** Describe plans for the recruitment of subjects and the consent procedures to be followed. Include the circumstances under which consent will be sought and obtained, who will seek it, the nature of the information to be provided to prospective subjects, and the method of documenting consent. State if the Institutional Review Board (IRB) has authorized a modification or waiver of the elements of consent or the requirement for documentation of consent.
- (4) **Potential Risks**: Describe potential risks (physical, psychological, social, legal, or other) and assess their likelihood and seriousness. Where appropriate, describe alternative treatments and procedures that might be advantageous to the subjects.
- (5) **Protection Against Risk**: Describe the procedures for protecting against or minimizing potential risks, including risks to confidentiality, and assess their likely effectiveness. Where appropriate, discuss provisions for ensuring necessary medical or professional intervention in the event of adverse effects to the subjects. Also, where appropriate, describe the provisions for monitoring the data collected to ensure the safety of the subjects.
- (6) **Importance of the Knowledge to be Gained**: Discuss the importance of the knowledge gained or to be gained as a result of the proposed research. Discuss why the risks to subjects are reasonable in relation to the anticipated benefits to subjects and in relation to the importance of the knowledge that may reasonably be expected to result.
- (7) **Collaborating Site(s)**: If research involving human subjects will take place at collaborating site(s) or other performance

site(s), name the sites and briefly describe their involvement or role in the research.

Copies of the Department of Education's Regulations for the Protection of Human Subjects, 34 CFR Part 97 and other pertinent materials on the protection of human subjects in research are available from the U.S. Department of Education, Protection of Human Subjects Coordinator, Office of the Chief Financial Officer, LBJ Building, 400 Maryland Avenue, SW, Washington, D.C. 20202-4250, telephone: (202) 260-3353, and on the U.S. Department of Education's Protection of Human Subjects in Research Web Site: http://www.ed.gov/about/offices/list/ocfo/humansub.html

NOTE: The **State Applicant Identifier** on the SF 424 is for State Use only. Please complete it on the OMB Standard 424 in the upper right corner of the form (if applicable).

Instructions for ED 524

General Instructions

This form is used to apply to individual U.S. Department of Education (ED) discretionary grant programs. Unless directed otherwise, provide the same budget information for each year of the multi-year funding request. Pay attention to applicable program specific instructions, if attached. You may access the Education Department General Administrative Regulations, 34 CFR 74 – 86 and 97-99, on ED's website at:

http://www.ed.gov/policy/fund/reg/edgarReg/edgar.html

You must consult with your Business Office prior to submitting this form.

Section A - Budget Summary

U.S. Department of Education Funds

All applicants must complete Section A and provide a break-down by the applicable budget categories shown in lines 1-11.

Lines 1-11, columns (a)-(e): For each project year for which funding is requested, show the total amount requested for each applicable budget category.

Lines 1-11, column (f): Show the multi-year total for each budget category. If funding is requested for only one project year, leave this column blank.

Line 12, columns (a)-(e): Show the total budget request for each project year for which funding is requested.

Line 12, column (f): Show the total amount requested for all project years. If funding is requested for only one year, leave this space blank.

Indirect Cost Information: If you are requesting reimbursement for indirect costs on line 10, this information is to be completed by your Business Office. (1): Indicate whether or not your organization has an Indirect Cost Rate Agreement that was approved by the Federal government. If you checked "no," ED generally will authorize grantees to use a temporary rate of 10 percent of budgeted salaries and wages subject to the following limitations:

- (a) The grantee must submit an indirect cost proposal to its cognizant agency within 90 days after ED issues a grant award notification; and
- (b) If after the 90-day period, the grantee has not submitted an indirect cost proposal to its cognizant agency, the grantee may not charge its grant for indirect costs until it has negotiated an indirect cost rate agreement with its cognizant agency.
- (2): If you checked "yes" in (1), indicate in (2) the beginning and ending dates covered by the Indirect Cost Rate Agreement. In addition, indicate whether ED, another Federal agency (Other) or State agency issued the approved agreement. If you check "Other," specify the name of the Federal or other agency that issued the approved agreement.
- (3): If you are applying for a grant under a Restricted Rate Program (34 CFR 75.563 or 76.563), indicate whether you are using a restricted indirect cost rate that is included on your approved Indirect

Cost Rate Agreement or whether you are using a restricted indirect cost rate that complies with 34 CFR 76.564(c)(2). Note: State or Local government agencies may not use the provision for a restricted indirect cost rate specified in 34 CFR 76.564(c)(2). Check only one response. Leave blank, if this item is not applicable.

Section B - Budget Summary Non-Federal Funds

If you are required to provide or volunteer to provide cost-sharing or matching funds or other non-Federal resources to the project, these should be shown for each applicable budget category on lines 1-11 of Section B.

Lines 1-11, columns (a)-(e): For each project year, for which matching funds or other contributions are provided, show the total contribution for each applicable budget category.

Lines 1-11, column (f): Show the multi-year total for each budget category. If non-Federal contributions are provided for only one year, leave this column blank.

Line 12, columns (a)-(e): Show the total matching or other contribution for each project year.

Line 12, column (f): Show the total amount to be contributed for all years of the multi-year project. If non-Federal contributions are provided for only one year, leave this space blank.

Section C - Budget Narrative [Attach separate sheet(s)] Pay attention to applicable program specific instructions, if attached.

- 9. Provide an itemized budget breakdown, and justification by project year, for each budget category listed in Sections A and B. For grant projects that will be divided into two or more separately budgeted major activities or sub-projects, show for each budget category of a project year the breakdown of the specific expenses attributable to each sub-project or activity.
- 10. For non-Federal funds or resources listed in Section B that are used to meet a cost-sharing or matching requirement or provided as a voluntary cost-sharing or matching commitment, you must include:
 - a. The specific costs or contributions by budget category;
 - b. The source of the costs or contributions; and
 - c. In the case of third-party in-kind contributions, a description of how the value was determined for the donated or contributed goods or services.

[Please review ED's general cost sharing and matching regulations, which include specific limitations, in 34 CFR 74.23, applicable to non-governmental entities, and 80.24, applicable to governments, and the applicable Office of Management and Budget (OMB) cost principles for your entity type regarding donations, capital assets, depreciation and use allowances. OMB cost principle circulars are available on OMB's website at: http://www.whitehouse.gov/omb/circulars/index.html.

11. If applicable to this program, provide the rate and base on which fringe benefits are calculated.

12. If you are requesting reimbursement for indirect costs on line 10, this information is to be completed by your Business Office. Specify the estimated amount of the base to which the indirect cost rate is applied and the total indirect expense. Depending on the grant program to which you are applying and/or your approved Indirect Cost Rate Agreement, some direct cost budget categories in your grant application budget may not be included in the base and multiplied by your indirect cost rate. For example, you must multiply the indirect cost rates of "CCAMPIS grants" (34 CFR 75.562) and grants under programs with "Supplement not Supplant" requirements ("Restricted Rate" programs) by a "modified total direct cost" (MTDC) base (34 CFR 75.563 or 76.563). Please indicate which costs are included and which costs are excluded from the base to which the indirect cost rate is applied.

When calculating indirect costs (line 10) for "CCAMPIS grants" or grants under "Restricted Rate" programs, you must refer to the information and examples on ED's website at: http://www.ed.gov/fund/grant/apply/appforms/appforms.html.

You may also contact (202) 377-3838 for additional information regarding calculating indirect cost rates or general indirect cost rate information.

13. Provide other explanations or comments you deem necessary.

Paperwork Burden Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is **1894-0008**. The time required to complete this information collection is estimated to vary from 13 to 22 hours per response, with an average of 17.5 hours per response, including the time to review instructions, search existing data sources, gather the data needed, and complete and review the information collection. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: U.S. Department of Education, Washington, D.C. 20202-4537. If you have comments or concerns regarding the status of your individual submission of this form, write directly to (insert program office), U.S. Department of Education, 400 Maryland Avenue, S.W., Washington, D.C. 20202.

INSTRUCTIONS FOR THE BUDGET SUMMARY AND ITEMIZED LINE ITEM BUDGET

NOTE: Applicants must submit: (1) budget information that categorizes the requested funds (ED Form 524), **AND** (2) a detailed budget narrative for the first 12-month budget period.

<u>The budget summary</u> is to be included on the <u>Budget Information – Non-Construction</u> <u>Programs</u> (ED Form 524).

<u>The budget narrative</u>, for the first 12-month budget period only, is to be included in the *Project Narrative* (limited to 50 pages and attached to the <u>Project Narrative</u> Attachment Form) as part of the Budget selection criterion.

This section requests information on the applicant's financial plan for carrying out the project.

The federal and any non-federal shares are to be included on the <u>Budget Information – Non-Construction Programs</u> (ED Form 524), and in the <u>Budget selection criterion</u> discussion in the *Project Narrative*.

The Department is requesting that you complete the <u>Budget Information – Non-Construction Programs</u> (ED Form 524) for ONLY the 2013-2014 year. Please provide a comprehensive and detailed budget narrative for the first 12-month budget period, only. <u>Applicants should place an asterisk next to all budget items that relate to the costs associated with the announced Competitive Preference Priorities for FY 2013.</u>

It is not necessary to provide a budget summary for the total grant period requested. Applicants should pay close attention funding stipulations provided in USC > Title 20 > Chapter 28 > Subchapter IV > Part A > Subpart 7 > § 1070 20 USC § 1070e - Child Care Access Means Parents in School - § 4 (Eligible Institutions).

The <u>Budget Information-Section A – Budget Summary – Non-Construction Programs</u> (ED Form 524) and the <u>Budget Narrative</u> must include all costs that are allowable, reasonable and necessary for carrying out the objectives of the CCAMPIS Program. Among the costs that may be supported with grant funds are:

1. Personnel: On line 1 (ED Form 524), enter only the project personnel salaries and wages. [Fees and expenses for consultants should be included on line 8.] The budget should include the total commitment of time and the total salary to be charged to the project for each key staff member. You should provide a breakdown of project personnel that includes: the position titles; the percent of time and number of months committed to the project for

each key staff member; the salary for each key staff member; and the total salary costs to be charged to the grant.

- 2. <u>Fringe Benefits</u>: On line 2 (ED Form 524), enter the amount of fringe benefits. The institution or agency's normal fringe benefit contribution may be charged to the program. Leave this blank if fringe benefits applicable to direct salaries and wages are treated as part of the indirect costs. In the budget, include an explanation and appropriate justification if the institution or agency's normal fringe benefit contribution exceeds 20 percent of salaries.
- 3. Travel: On line 3 (ED Form 524), provide the costs for project personnel. [Consultants' travel should be included on line 8.] In the budget, you should detail the proposed travel costs -- for each trip explain the purpose and objective of the travel and provide the number of persons traveling. Transportation costs should not exceed tourist class airfare. For automobile mileage, the established institution or agency rate should be used. Reimbursement is allowed for taxicab, bus, train, or limousine transportation. Per diem at the established institution or agency rate is permitted when an individual is away from home overnight on official project business (see OMB Circular A-21, J.48.c Commercial Air Travel). No foreign travel will be authorized under the grant.

All travel must be related to the project's overall purpose and proposed activities.

Project Director's Travel – Per Year

One National Conference; One Regional Meeting; One State Meeting; **and**

Travel for participation in **one** professional staff development training opportunity.

Full-time Professional Staff Travel - Per Year

One National, Regional, **or** State Meeting; **and** Travel for participation in **one** professional staff development training opportunity.

4. Equipment: On line 4 (ED Form 524), indicate the cost of equipment -- non-expendable personal property, which has a usefulness of greater than one year and an acquisition cost of \$5,000 or more per unit. [Consistent with an applicant's policy, a lower dollar amount may be used to define equipment.] In the budget, explain why the requested equipment is necessary to carry out project activities, and include a list of all equipment in the following format: item, quantity, cost per unit, and total cost.

- 5. <u>Supplies</u>: On line 5 (ED Form 524), include the costs of all tangible personal property that was not included as "equipment" on line 4. In the budget, provide an itemized list of the supplies.
- 6. <u>Contractual</u>: Costs related specifically child care costs.
- 7. <u>Construction</u>: Not applicable. Leave blank.
- 8. Other: On line 8 (ED Form 524), indicate all direct costs not covered on lines 1 through 5. The costs/fees for consultants and consultants' travel should be included here. Examples of "other" costs are: equipment rental, required fees, communication costs, rental of space, utilities, custodial services, and printing costs. In the budget, provide a breakdown of all direct costs not clearly covered by other budget categories.
- 9. <u>Total Direct Costs</u>: On line 9 (ED Form 524), provide the total direct costs requested the sum of lines 1 through 8.
- 10. <u>Indirect Costs</u>: On line 10 (ED Form 524), provide the amount of indirect costs that you propose to charge against the grant.

Grantees charging indirect costs to a Department grant are required to have a negotiated rate with their *cognizant agency* (i.e., either the Federal agency from which it has received the most direct funding that is subject to indirect cost support, or a particular agency specifically assigned cognizance by the Office of Management and Budget). Although applicants are not required to submit with their application a copy of their indirect cost agreement to claim the 8 percent rate for funding received in this program, they are required to have documentation available for audit that shows that their negotiated indirect cost rate is at least 8 percent [§75.563(d)]. In the event that they receive an award under this program, applicants without a negotiated indirect cost rate with its cognizant agency should seek to identify that agency and contact it to obtain an approved rate as soon as possible after award notification.

Applicants should be aware that amounts representing the difference between the 8 percent rate and a greater indirect cost rate negotiated with a cognizant agency may **not** be charged to direct cost categories, used to satisfy matching or cost-sharing requirements, or charged to another Federal award. [§75.563(c) (3)]

11. <u>Total Costs</u>: On line 12 (ED Form 524), provide the total amount that you are requesting – the sum of lines 9 and 10. Note: This amount should also be the same as that shown in 18g on the application face sheet (SF 424) and on the detailed budget narrative in Part III.

INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

- 1.Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
- 2.Identify the status of the covered Federal action.
- 3.Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
- 4.Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
- 5.If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
- 6.Enter the name of the federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
- 7.Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.

8.Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitations for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Included prefixes, e.g., "RFP-DE-90-001."

9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.

- 10.(a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.
 - (b) Enter the full names of the individual(s) performing services, and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
- 11. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503

Survey Instructions on Ensuring Equal Opportunity for Applicants

Provide the applicant's (organization) name and DUNS number and the grant name and CFDA number.

- 1.Self-explanatory.
- 2.Self-identify.
- 3.Self-identify.
- 4.501(c)(3) status is a legal designation provided on application to the Internal Revenue Service by eligible organizations. Some grant programs may require nonprofit applicants to have 501(c) (3) status. Other grant programs do not.
- 5. Self-explanatory.
- 6.For example, two part-time employees who each work half-time equal one full-time equivalent employee. If the applicant is a local affiliate of a national organization, the responses to survey questions 2 and 3 should reflect the staff and budget size of the local affiliate.
- 7. Annual budget means the amount of money your organization spends each year on all of its activities.

Paperwork Burden Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is 1890-0014. The time required to complete this information collection is estimated to average five (5) minutes per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: The Agency Contact listed in this grant application package.

OMB No. 1894-0010 Exp. 05/31/2012 OMB Control No. 1894-0005 (Exp. 01/31/2011)

GENERAL EDUCATION PROVISIONS ACT (GEPA)

The purpose of this enclosure is to inform you about a new provision in the Department of Education's General Education Provisions Act (GEPA) that applies to applicants for new grant awards under Department programs. This provision is Section 427 of GEPA, enacted as part of the Improving America's Schools Act of 1994 (Public Law (P.L.) 103-382).

To Whom Does This Provision Apply?

Section 427 of GEPA affects applicants for new grant awards under this program. ALL APPLICANTS FOR NEW AWARDS MUST INCLUDE INFORMATION IN THEIR APPLICATIONS TO ADDRESS THIS NEW PROVISION IN ORDER TO RECEIVE FUNDING UNDER THIS PROGRAM.

(If this program is a State-formula grant program, a State needs to provide this description only for projects or activities that it carries out with funds reserved for State-level uses. In addition, local school districts or other eligible applicants that apply to the State for funding need to provide this description in their applications to the State for funding. The State would be responsible for ensuring that the school district or other local entity has submitted a sufficient section 427 statement as described below.)

What Does This Provision Require?

Section 427 requires each applicant for funds (other than an individual person) to include in its application a description of the steps the applicant proposes to take to ensure equitable access to, and participation in, its Federally-assisted program for students, teachers, and other program beneficiaries with special needs. This provision allows applicants discretion in developing the required description. The statute highlights six types of barriers that can impede equitable access or participation: gender, race, national origin, color, disability, or age. Based on local circumstances, you should determine whether these or other barriers may prevent your students, teachers, etc. from such access or participation in, the Federally-funded project or activity. The description in your application of steps to be taken to overcome these barriers need not be lengthy; you may provide a clear and succinct description of how you plan to address those barriers that are applicable to your circumstances. In addition, the information may be provided in a single narrative, or, if appropriate, may be discussed in connection with related topics in the application.

Section 427 is not intended to duplicate the requirements of civil rights statutes, but rather to ensure that, in designing their projects, applicants for Federal funds address equity concerns that may affect the ability of certain potential beneficiaries to fully participate in the project and to achieve to high standards. Consistent with program requirements and its approved application, an applicant may use the Federal funds awarded to it to eliminate barriers it identifies.

What are Examples of How an Applicant Might Satisfy the Requirement of This Provision?

The following examples may help illustrate how an applicant may comply with Section 427.

- (1) An applicant that proposes to carry out an adult literacy project serving, among others, adults with limited English proficiency, might describe in its application how it intends to distribute a brochure about the proposed project to such potential participants in their native language.
- (2) An applicant that proposes to develop instructional materials for classroom use might describe how it will make the materials available on audio tape or in braille for students who are blind.
- (3) An applicant that proposes to carry out a model science program for secondary students and is concerned that girls may be less likely than boys to enroll in the course, might indicate how it intends to conduct "outreach" efforts to girls, to encourage their enrollment.

We recognize that many applicants may already be implementing effective steps to ensure equity of access and participation in their grant programs, and we appreciate your cooperation in responding to the requirements of this provision.

Estimated Burden Statement for GEPA Requirements

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is 1894-0005. The time required to complete this information collection is estimated to average 1.5 hours per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: U.S. Department of Education, 400 Maryland Avenue, S.W., Washington, D.C. 20202-4537.

GOVERNMENT PERFORMANCE AND RESULTS ACT (GPRA)

What is GPRA?

The Government Performance and Results Act of 1993 (GPRA) is a straightforward statute that requires all federal agencies to manage their activities with attention to the consequences of those activities. Each agency is to clearly state what it intends to accomplish, identify the resources required, and periodically report their progress to the Congress. In so doing, it is expected that the GPRA will contribute to improvements in accountability for the expenditures of public funds, improve Congressional decision-making through more objective information on the effectiveness of federal programs, and promote a new government focus on results, service delivery, and customer satisfaction.

How has the Department of Education Responded to the GPRA Requirements?

As required by GPRA, the Department of Education has prepared a strategic plan for 2007-2012. This plan reflects the Department's priorities and integrates them with its mission and program authorities and describes how the Department will work to improve education for all children and adults in the U.S. The Department's goals, as listed in the plan, are:

Goal 1: Improve student achievement with a focus on bringing all students to grade level in reading and mathematics by 2014, as called for by the No Child Left Behind Act.

Goal 2: Increase the academic achievement of all high school students.

Goal 3: Ensure the accessibility, affordability, and accountability of higher education, and better prepare students and adults for employment and future learning.

What are the performance indicators for the CCAMPIS Program?

The performance indicators for the CCAMPIS Program are part of the Department's plan for meeting Goal 3. The overarching goal is "to increase the percentage of Pell eligible and low-income students-parents who successfully pursue postsecondary education opportunities.

The performance indicators for the CCAMPIS Programs are: 1) the cost-effectiveness, based on the number of CCAMPIS eligible student-parents served each year; and (2) the percentage of CCAMPIS participants that, each year, evaluate the CCAMPIS program as benefiting them in increasing their abilities to enroll, persist and graduate.

How does the Department of Education determine whether performance goals have been met?

An applicant that receives a grant award will be required to submit annual performance reports as a condition of the award. The reports will document the extent to which project goals and objectives are met.

APPLICATION CHECKLIST

<u>Use This Checklist While Preparing Your Application Package</u>: All items listed on this checklist are required.

Part I - Application for Federal Assistance (SF 424)

Part I - Department of Education Supplemental Information for SF 424

Part II - Department of Education <u>Budget Summary Information – Non-Construction</u> <u>Programs</u> (ED Form 524) – Sections A & B

Part III - *Project Narrative* -The total page limit for the project narrative portion of the application for the FY 2013 CCAMPIS Program competition is 50 pages. Responses to the absolute and competitive preference priorities should be placed at the end of the Part III- *Project Narrative*. Attach the *Project Narrative* document to the <u>Project Narrative</u> <u>Attachment Form</u> in the Grants.gov application.

Part III - <u>Other Attachments</u> – Attach the following documents to the <u>Other Attachments</u> Form in the Grants.gov application.

CCAMPIS Program Profile

Part III - <u>ED Abstract</u> - *one-page limit* - Attach this document to the <u>ED Abstract Form</u> in the Grants.gov application. This one-page abstract, which may be single-spaced, will not count against the 50 pages you are allowed for your response to the selection criteria.

Part IV - Assurances, Certifications, and Survey

GEPA Section 427 Requirement Assurances – Non-Construction Programs (SF 424B) Lobbying Form (Formerly ED Form 80-0013) Disclosure of Lobbying Activities (SF LLL) Survey on Ensuring Equal Opportunity for Applicants

<u>NOTE</u>: Please do not attach any narratives, supporting files, or application components to the Standard Form (SF 424). Although this form accepts attachments, the Department of Education will only review materials/files attached to the Grants.gov Attachment Forms listed above.

Paperwork Burden Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. Public reporting burden for this collection of information is estimated to average 25 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. The obligation to respond to this collection is required to obtain or retain benefit as authorized by Title IV, Part A, Section 402A, and C of the Higher Education Act of 1965, as amended by the HEOA; and governed by the program regulations in 34 CFR Part 642; and the Education Department General Administrative Regulations (EDGAR), Parts 74, 75 (except for §§ 75.215-75.221), 77, 79, 80, 82, 84, 85, 86, 97, 98 and 99. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the U.S. Department of Education, 400 Maryland Ave., SW, Washington, D.C. 20210-4537 or email ICDocketMgr@ed.gov and reference the OMB Control Number 1840-0737. Note: Please do not return the completed *CCAMPIS* application to this address.