

**Change of Vessel  
Registration and/or Permit  
Owner Application  
Pacific Coast Groundfish  
Limited Entry Permit**

UNITED STATES DEPARTMENT OF COMMERCE  
National Oceanic and Atmospheric Administration  
National Marine Fisheries Service  
Northwest Region , *Fisheries Permits Office*  
7600 Sand Point Way NE, Bldg. 1  
Seattle, WA 98115-0070



Phone (206) 526-4353 Fax (206) 526-4461 www.nwr.noaa.gov

## INSTRUCTIONS

**SECTION A - ACTION(S) REQUESTED:** Please check the box next to the action(s) requested. Fill out the sections listed for each action. For actions that include a change in vessel registered to a sablefish-endorsed permit, there are three sub-actions that may take place (stacking, moving, or removing).

1. If a sablefish-endorsed permit is being **stacked**, going from an “unidentified” vessel status to a named vessel, check the first sub-box under “change in vessel registered to a permit.”
2. If a sablefish-endorsed permit is being **moved**, going from one named vessel to another named vessel, check the both sub-boxes under “change in vessel registered to a permit.”
3. If a sablefish-endorsed permit is being **removed**, going from a named vessel to an “unidentified” vessel status, check the second sub-box under “change in vessel registered to a permit.”

**SECTION B - CURRENT PERMIT OWNER:** Please provide the permit number, vessel name currently registered to the permit, and vessel registration number. List each permit owner(s) name as given on the current permit and the Tax Identification Number (TIN) for a business entity and/or date of birth (DOB) for an individual who is the current permit owner. Either current permit owner(s) or an authorized representative of the permit owner must sign and date the form (See Note Below). A notary must sign and date the certification section, and affix their notary stamp or seal. By signing and dating the application, the applicant certifies that they are authorized to sign this form and that all information set forth in the application is true, correct, and complete to the best of the applicant’s knowledge and belief.

If the space provided in this section is not adequate to list all owners required to sign the form, attach a separate sheet with the heading: “Section B - Current Permit Owner - Attachment.” Please provide permit owner names, signatures, date of signature, and notarize the separate sheet.

**SECTION C - CHANGE OF VESSEL REGISTERED TO PERMIT:** If you are changing the vessel registered to the permit, please print the current vessel name/number registered to the permit and new vessel name and registration number to be registered to the permit. The “new” vessel name/registration will appear on the reissued permit. List the length overall of both the current and new vessels. If the permit will not be registered to a vessel, indicate “unidentified” for the new vessel name. If NMFS does not have a recent marine survey for the vessel on file, the permit or vessel owner must provide **recent marine survey (conducted in last 3 years) with the length overall measurement to process the request**. If you are requesting the registration of a new vessel to the permit, please fill out Section E.

**SECTION D - NEW PERMIT OWNER:** Please provide each new permit owner’s name(s), a business address and phone number. Enter the Tax Identification Number (TIN) (if business entity) or date of birth (for an individual) for each new permit owner. If the space provided in this section is not adequate to list all owners, attach a separate sheet with the heading: “Section D - New Permit Owner - Attachment.” **Please check the appropriate box to indicate if the new permit owner is eligible to own a U.S. documented vessel (See Note below).**

**SECTION E - VESSEL OWNER:** If registering a new vessel to the permit or if the vessel owner has changed, please provide the name of all owner(s) of the vessel as given on either the U.S. Coast Guard Certificate of Documentation (USCG 1270) or state vessel registration document, a business address and phone number. Please provide for each business entity the Tax Identification Number (TIN) and for each individual their date of birth (DOB).

If the space provided in this section is not adequate to list all holders, attach a separate sheet with the heading: “Section E – Vessel Owner - Attachment.” Please provide vessel owner names and the TIN (if business entity) or date of birth (DOB) for individuals. **For sablefish endorsed permits, if the vessel owner is a business entity, an ownership interest form must be submitted.**

**SECTION F - SABLEFISH-ENDORSED PERMIT:** If you are requesting a change in permit owner, vessel registration and/or vessel owner of a sablefish-endorsed permit at any time during the primary sablefish season (April 1 – October 31), you must complete this section.

This section requires the transferor to give the cumulative amount of sablefish landings against the tier allocation for the current primary sablefish season at the time of transfer and to certify this landing amount by signing and dating this section. Similarly, the transferee (new permit owner or vessel owner) must acknowledge the cumulative amount of sablefish landings given in this section by signing and dating this section.

**SUPPLEMENTAL DOCUMENTATION:**

Please submit your current limited entry permit with the completed transfer request form.

**If registering a new vessel to the permit provide the following:** 1) If not already on file with NMFS, a marine survey completed in the last 3 years with a length overall measurement for the vessel; and 2) a copy of USCG vessel documentation or state vessel registration document.

**Sablefish Endorsed Permits: If changing permit ownership or vessel registration or ownership on a sablefish endorsed permit and a business entity will be the permit owner or vessel owner on the reissued permit,** please provide a sablefish ownership interest form for each business entity,

NMFS may require other documents necessary to determine if such request should be approved. Among the documents NMFS may require include (but not limited to): notarized power of attorney, corporate resolution, certified court documents relative to divorce decrees and judgments or appointments as executor, death and marriage certificates, etc.

**Permit Owner Note:** If there are multiple permit owners, and the owners names are joined by the word “**and**” all owners must sign and date the form. If there permit owner names are joined by the word “**or**” any one owner can authorize the request on behalf of all owners.

**Eligibility to own or hold a Pacific Coast Groundfish Limited Entry Permit:** Please note that a Pacific Coast Groundfish Limited Entry Permit must be owned or registered by a person eligible to own a U.S. documented vessel. Persons eligible to own a documented vessel include:

- an individual who is a citizen of the United States
- an association, trust, joint venture or other entity -
  - a) Where all members are citizens of the United States; and
  - b) Capable of holding title to a vessel under the laws of the United States or of a State
- a partnership whose general partners are citizens of the United States
- a corporation established under the laws of the United States or of a State, whose president or other chief executive officer and chairman of the board of directors are citizens of the United States and no more of its directors are non-citizens than a minority of the number necessary to constitute a quorum;

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**SECTION A – ACTION(S) REQUESTED**

- Change in vessel registered to permit **(Fill out Sections B, C, E & F)**
  - Is this a request to stack a sablefish-endorsed permit?
  - Is this a request to remove a sablefish-endorsed permit from an existing stack arrangement?
- Change in permit owner **(Fill out Sections B, D & F)**
- Change in vessel owner **(Fill out Sections B, E & F)**

**SECTION B - CURRENT PERMIT OWNER**

Permit Number <b>GF</b>		Vessel Name		USCG Doc or State Registration Number	
Current Permit Owner Name(s) <i>(Last, First, Middle Name or Business Name)</i> <i>Business Name</i>				Tax Identification Number (TIN) <i>(business entity)</i>	
<i>Last</i>		<i>First</i>		<i>Middle Name</i>	
Date of Birth (DOB) <i>(individual)</i>		Business Mailing Address <input type="checkbox"/> <i>Is this a change of address?</i>			
<i>Street or PO Box</i>				Business Phone ( )	
				Business Fax <i>(optional)</i> ( )	
<i>City</i>		<i>State</i>		<i>Zip Code</i>	
Business Email <i>(optional)</i>					

**Permit Owner Certification and Notary**

(Please note that a notary must sign, date and stamp this Section).

*Under penalties of perjury, I hereby declare that I, the undersigned, am authorized to complete and certify this application on behalf of the permit owner(s), and the information contained herein is true, correct, and complete to the best of my knowledge and belief.*

Signature of Applicant or Authorized Representative	Date
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Printed Name of Applicant or Authorized Representative *(NOTE: If form completed by a representative, attach authorization.)*

Notary Public Signature	<input type="checkbox"/> ATTEST	Affix Notary Stamp or Seal Here
Date Commission Expires		

**SECTION C - CHANGE OF VESSEL REGISTERED TO PERMIT**

CURRENT Vessel:	NEW Vessel:
USCG or State Vessel Registration No.:	USCG or State Vessel Registration No.:
Length Overall _____ feet	Length Overall _____ feet
<i>For the new vessel, submit a copy of the US Coast Guard "Certificate of Documentation" (CG-1270) or the state vessel registration certificate. Also submit a marine survey documenting vessel length overall (LOA).</i>	

**SECTION D - NEW PERMIT OWNER**

New Permit Owner Name(s) <i>(Last, First, Middle Name or Business Name)</i> <i>Business Name</i>			Tax Identification Number (TIN) <i>(business entity)</i>
<i>Last</i>	<i>First</i>	<i>Middle Name</i>	Date of Birth <i>(individual)</i>
Business Mailing Address <input type="checkbox"/> <i>Is this a change of address?</i>			Business Phone (    )
<i>Street or PO Box</i>			Business Fax <i>(optional)</i> (    )
<i>City</i>	<i>State</i>	<i>Zip Code</i>	Business Email <i>(optional)</i>
Are you a person eligible to own a documented vessel under the terms of 46 USC 12102 (a)? <i>(See Note 2)</i> <input type="checkbox"/> Yes <input type="checkbox"/> No			

**SECTION E - VESSEL OWNER**  
**(As given on USCG Form 1270 or State Vessel Registration)**

Permit Holder/Vessel Owner Name(s) <i>(Last, First, Middle Name or Business Name)</i>			Tax Identification Number (TIN)
<i>Last</i>	<i>First</i>	<i>Middle Name</i>	DOB <i>(If multiple owners, attach a list of their names and DOB)</i>
Business Mailing Address <input type="checkbox"/> <i>Is this a change of address?</i>			Business Phone (    )
<i>Street or PO Box</i>			Business Fax <i>(optional)</i> (    )
<i>City</i>	<i>State</i>	<i>Zip Code</i>	Business Email <i>(optional)</i>
Are you a person eligible to own a documented vessel under the terms of 46 USC 12102 (a)? <i>(See Note 2)</i> <input type="checkbox"/> Yes <input type="checkbox"/> No			

**SECTION F - SABLEFISH-ENDORSED PERMIT TRANSFER**

Fill out this section if you are transferring a sablefish-endorsed permit.

Is the permit transfer occurring during the primary sablefish season (usually April-October)?

**YES** If yes, please provide the cumulative sablefish in pounds (round weight) that has been landed to date against the permit's tier amount  
 \_\_\_\_\_ pounds

**NO** If no, no further information is required in this section.

**Current Permit Owner (Transferor):** I certify that the cumulative amount of landed sablefish given above has been credited against the permit listed on this form and is accurate as of the date of my signature below. Further, I certify that the cumulative amount of landed sablefish for this permit (given above) occurred during the current primary sablefish season and has been reported on fish landing tickets.

Printed Name of Current Permit Owner	Signature of Current Permit Owner	Date
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**New Permit Owner or Owner of Vessel Registered to the Permit (Transferee):** I acknowledge that the cumulative amount of landed sablefish given above has been credited against the permit listed on this form and reflects cumulative landings during the current primary sablefish season. Further, I acknowledge that the difference between the total tier poundage available on this permit minus the amount given above is the amount of sablefish remaining to be harvested during the current primary sablefish season.

Printed Name of New Permit Owner or Vessel Owner	Signature of New Permit Owner or Vessel Owner	Date
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**WARNING STATEMENT:** A false statement on this form is punishable by permit sanctions (revocation, suspension, or modification) under 15 CFR 904, a civil penalty of up to \$100,000 under 16 USC 1858, and as a federal crime under 18 USC 1001.

**PRA STATEMENT:** Public reporting burden for this collection of information is estimated to average 0.5 hours per response, including the time for reviewing the instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to NOAA/National Marine Fisheries Service, Northwest Region, Attn: Assistant Regional Administrator, Sustainable Fisheries Division, 7600 Sand Point Way NE, Seattle, WA 98115.

**PRIVACY ACT STATEMENT:** Your date of birth and TIN are confidential and protected under the Privacy Act. Provision of your date of birth and TIN is mandatory as part of this collection. The primary purpose for requiring the date of birth is to verify the identity of individuals/entities doing business with the government to provide a unique identification for assistance to comply with the Debt Collection Improvement Act of 1996 (Public Law 104-134) and for enforcement activities. Some of the information collection described above is confidential under section 402(b) of the Magnuson-Stevens Act. It is also confidential under NOAA Administrative Order 216-100, Protection of Confidential Fisheries Statistics. Phone number, fax and email information and TIN are not released to the public. The permit sale/lease information and the amount of sablefish landed to date given on a transfer form are considered confidential. Similarly, the names associated with an entity that owns a sablefish permit or has vessel registered to sablefish endorsed permit are confidential, as are date of birth for an individual and any medical records provided to obtain an exemption from the owner on board required.

The information collected is part of a Privacy Act System of Records, COMMERCE/NOAA #19, Permits and Registrations for United States Federally Regulated Fisheries. A notice was published in the Federal Register on April 17, 2008 (73 FR 20914) and became effective on June 11, 2008 (73 FR 33065).

**ADDITIONAL INFORMATION:** Before completing this form, please note the following: 1) This information is collected to manage and conserve the Pacific Coast Groundfish fishery and more specifically, to maintain accurate and current records on all permit owners, permit holders and vessels registered to participate in the Pacific Coast Groundfish Limited Entry Program. This information will be used to formally document a request from the permit owner to make a change to a permit and allow the Agency to review and verify that such a request is in compliance with existing regulations, to monitor and analyze changes in participation in Pacific Groundfish fishery, accurately record changes to the listed permit owner, permit holder or vessel registered to the permit, and maintain accurate and current address and contact information of permit owners and holders to allow the Agency to notify them of changes in the fishery regulations. 2) This collection of information is mandatory and a change in registration of limited entry permits is required at 50 CFR Section 660.335 (b-i). 3) Responses to this information request are confidential under Section 402(b) of the Magnuson-Stevens Act (16 U.S.C. 1801, et. seq.). It is also confidential under NOAA Administrative Order 216-100, which sets forth procedures to protect confidentiality of fishery statistics. These procedures have been implemented under the NMFS Operations Manual entitled, "Data Security Handbook of the Northwest and Alaska Region, National Marine Fisheries Service". Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.