



PRIVACY IMPACT ASSESSMENT (PIA)

For the

Defense Medical Surveillance System (DMSS)
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US Army Medical Command - DHP Funded System

SECTION 1: IS A PIA REQUIRED?

a. Will this Department of Defense (DoD) information system or electronic collection of information (referred to as an "electronic collection" for the purpose of this form) collect, maintain, use, and/or disseminate PII about members of the public, Federal personnel, contractors or foreign nationals employed at U.S. military facilities internationally? Choose one option from the choices below. (Choose (3) for foreign nationals).

- (1) Yes, from members of the general public.
- (2) Yes, from Federal personnel* and/or Federal contractors.
- (3) Yes, from both members of the general public and Federal personnel and/or Federal contractors.
- (4) No

* "Federal personnel" are referred to in the DoD IT Portfolio Repository (DITPR) as "Federal employees."

b. If "No," ensure that DITPR or the authoritative database that updates DITPR is annotated for the reason(s) why a PIA is not required. If the DoD information system or electronic collection is not in DITPR, ensure that the reason(s) are recorded in appropriate documentation.

c. If "Yes," then a PIA is required. Proceed to Section 2.

SECTION 2: PIA SUMMARY INFORMATION

a. Why is this PIA being created or updated? Choose one:

- New DoD Information System
- Existing DoD Information System
- Significantly Modified DoD Information System
- New Electronic Collection
- Existing Electronic Collection

b. Is this DoD information system registered in the DITPR or the DoD Secret Internet Protocol Router Network (SIPRNET) IT Registry?

- Yes, DITPR Enter DITPR System Identification Number
- Yes, SIPRNET Enter SIPRNET Identification Number
- No

c. Does this DoD information system have an IT investment Unique Project Identifier (UPI), required by section 53 of Office of Management and Budget (OMB) Circular A-11?

- Yes No
- If "Yes," enter UPI

If unsure, consult the Component IT Budget Point of Contact to obtain the UPI.

d. Does this DoD information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information should be consistent.

- Yes No
- If "Yes," enter Privacy Act SORN Identifier

DoD Component-assigned designator, not the Federal Register number.
Consult the Component Privacy Office for additional information or
access DoD Privacy Act SORNs at: <http://www.defenselink.mil/privacy/notices/>

or

Date of submission for approval to Defense Privacy Office
Consult the Component Privacy Office for this date.

e. Does this DoD information system or electronic collection have an OMB Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information.

This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

Yes

Enter OMB Control Number

Enter Expiration Date

No

f. Authority to collect information. A Federal law, Executive Order of the President (EO), or DoD requirement must authorize the collection and maintenance of a system of records.

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be the same.

(2) Cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply.)

(a) Whenever possible, cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If a specific statute or EO does not exist, determine if an indirect statutory authority can be cited. An indirect authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component should be identified.

5 U.S.C. 301, Departmental Regulations; 10 U.S.C. 136, Under Secretary of Defense for Personnel and Readiness; 10 U.S.C. 3013, Secretary of the Army, 10 U.S.C. 8013, Secretary of the Air Force, 10 U.S.C. 5013, Secretary of the Navy; DoD Instruction 1100.13, Surveys of DoD Personnel; DoD Directive 6490.02, Comprehensive Health Surveillance; DoD Instruction 6490.3, Deployment Health; DoD Instruction 6485.01, Human Immunodeficiency Virus; DoD Directive 1404.10, Civilian Expeditionary Workforce, and E.O. 9397 (SSN).

g. Summary of DoD information system or electronic collection. Answers to these questions should be consistent with security guidelines for release of information to the public.

(1) Describe the purpose of this DoD information system or electronic collection and briefly describe the types of personal information about individuals collected in the system.

Purpose/Description: The Defense Medical Surveillance System (DMSS) supports a systematic collection, analysis, interpretation, and reporting of standardized, population-based data for the purposes of characterizing and countering medical threats to a population's health, well-being and performance. The Armed Forces Health Surveillance Center (AFHSC), which operates the DMSS, routinely publishes summaries of notifiable diseases, trends of illnesses of special surveillance interest, and field reports describing outbreaks and case occurrences. The information is released in summary fashion on a recurring basis in the Medical Surveillance Monthly Report (MSMR), the principal vehicles for disseminating medical surveillance information of broad interest to the DoD community. Through DMSS, the Armed Forces Health Surveillance Center provides the sole link between the DoD Serum Repository (DoDSR) and other databases. The DoDSR contains over 46 million frozen serum specimens and is the largest repository of its kind in the world.

Types of personal/identifiable information collected include: individual's name, Electronic Data Interchange Patient Number (EDIPN) when available, Social Security Number, date of birth/age, sex, marital status, race, branch of service, unit of assignment, occupation, home address, unit zip code, medical treatment facility identification of treating facilities, medical and physical health and capabilities, responses to survey questions, and register number assigned. It includes records, information, and reports, relevant to the various registries and serum specimen collections from which serologic tests can be performed (serum number, specimen locator information, collection date, place of collection).

(2) Briefly describe the privacy risks associated with the PII collected and how these risks are addressed to safeguard privacy.

The DMSS collects and utilizes the minimum personally identifying information necessary to perform authorized surveillance requirements. There is a risk of inadvertent release or unauthorized use of this information. In order to minimize these risks, extensive physical, technical and administrative controls have been implemented.

Data integrity is safeguarded by a well-developed continuity of operation/disaster recovery site with frequent backups with offsite tape storage. Elaborate data checking occurs by comparison information from multiple sites as well as comparison against historical data. Periodically, comparisons to files in Tricare Management Activity (TMA) data warehouses occurs.

h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component (e.g., other DoD Components, Federal Agencies)? Indicate all that apply.

Within the DoD Component.

Specify.

Other DoD Components.

Specify.

This is a DoD level system. Information is gathered from Service and DoD systems. Limited information is transmitted to Service and DoD medical readiness and personnel systems via SFTP transmissions

Other Federal Agencies.

Specify.

Information from the DMSS is NOT directly transferred outside of the Department of Defense by the Armed Forces Health Surveillance Center. However, deployment health assessment form data for service members that have left the service but that remain eligible for care through the Veteran's Administration is transmitted to the TRICARE Management Activity. TMA transfers the information to the Veteran's Administration (VA) via bidirectional health information exchange (BHIE) system processes.

State and Local Agencies.

Specify.

Contractor (Enter name and describe the language in the contract that safeguards PII.)

Specify.

Other (e.g., commercial providers, colleges).

Specify.

i. Do individuals have the opportunity to object to the collection of their PII?

Yes

No

(1) If "Yes," describe method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object.

The information in DMSS is NOT collected from individuals. DMSS receives information from existing electronic systems. The originator of the record has this responsibility to ensure that privacy act requirements are followed at the time of collection.

j. Do individuals have the opportunity to consent to the specific uses of their PII?

Yes

No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

The information in DMSS is NOT collected from individuals. DMSS receives information from existing electronic systems. The originator of the record has this responsibility to ensure that privacy act requirements are followed at the time of collection. It would be impractical to subsequently contact millions of individuals for permission to use the information. Also, the information is used only by a public health agency, for public health purposes (surveillance) and to protect the health of military and military-associated populations.

k. What information is provided to an individual when asked to provide PII data? Indicate all that apply.

- | | |
|--|---|
| <input type="checkbox"/> Privacy Act Statement | <input type="checkbox"/> Privacy Advisory |
| <input type="checkbox"/> Other | <input checked="" type="checkbox"/> None |

Describe each applicable format.

This is not applicable since DMSS collects information form other systems, not from individuals

NOTE:

Sections 1 and 2 above are to be posted to the Component's Web site. Posting of these Sections indicates that the PIA has been reviewed to ensure that appropriate safeguards are in place to protect privacy.

A Component may restrict the publication of Sections 1 and/or 2 if they contain information that would reveal sensitive information or raise security concerns.