### **Attachment F**

HU and GQU Screening Questions

## NATIONAL SURVEY ON DRUG USE AND HEALTH DRESS REHEARSAL

### **SCREENING APPLICATION SPECIFICATIONS**

Contract No. HHSS283200800004C RTI Project No. 0212800

Authors: Allison McKamey Beth Riggsbee Tennyson Chen **Project Director:** 

Tom Virag

Prepared for:

Substance Abuse and Mental Health Services Administration Rockville, MD 20857

Prepared by: RTI International

December 2012

## NATIONAL SURVEY ON DRUG USE AND HEALTH DRESS REHEARSAL

# SCREENING APPLICATION SPECIFICATIONS

Contract No. HHSS283200800004C RTI Project No. 0212800

Authors:
Allison McKamey
Beth Riggsbee
Tennyson Chen

Project Director:

Tom Virag

Prepared for:

Substance Abuse and Mental Health Services Administration Rockville, MD 20857

Prepared by: RTI International

December 2012

### **Table of Contents**

Sect	ion	Page
1.	HU Screening, English	1-1
2.	HU Screening, Spanish	2-1
3.	GQU Screening, English	3-1
4.	GQU Screening, Spanish	4-1
5.	Select Case Screen Options	5-1
6.	Record of Calls	6-1
7.	Screening and Interview Refusals	7-1
8.	Verification	8-1
9.	FI Debriefing Questions	9-1

### NSDUH DR Screening Application Specifications Section 1 HU Screening - English

### Document Format:

- Screen names bolded
- Screen/question/instructional text designated by black and red text and non-italicized text in parenthesis (Upper-lower black text to be read, red text is instructions to FI)
- Fills designated by parenthesis and italics
- Logic designated by brackets
- Text of instructional message boxes provided in bracketed logic
- Response categories underlined

The line number and address are located at the top of each screen. Touch the address to see DU ADDRESS box, an enlarged display of the line number and address. If a case is an Added DU, the DU ADDRESS box displays the link line number.

### SDU CHARACTERISTIC

### **DU ADDRESS (LARGE FONT)**

### CHECK ALL THAT APPLY. TOUCH HELP FOR ADDITIONAL INFORMATION.

- 1. House/Single Unit
- 2. Multi-unit, 2-9 units
- 3. Multi-unit, 10-49 units
- 4. Multi-unit, 50+ units
- 5. Military Base
- 6. Student Housing
- 7. Native American Tribal Land
- 8. Senior Housing/Assisted Living
- 9. Other GQU
- 10. Empty Lot

Next [If at least one item selected, CONTROLLED ACCESS TYPE. If no items selected, CONFLICT- You must provide a SDU Characteristic, Ok, SDU CHARACTERISTIC]

@WrongAddr [SELECT CASE]

### CONTROLLED ACCESS TYPE [IF SDU CHARACTERISTIC NE BLANK]

**DU ADDRESS (LARGE FONT)** 

### CHECK ALL THAT APPLY. TOUCH HELP FOR ADDITIONAL INFORMATION.

- 1. None
- 2. Guard/Door Person/Staff/Manager
- 3. Locked main entrance/gate, no intercom/buzzer
- 4. Locked main entrance/gate with intercom/buzzer, no unit address labels
- 5. Locked main entrance/gate with intercom/buzzer, with unit address labels

Next [If at least one item selected, **STUDY INTRODUCTION**. If no items selected, **CONFLICT-** *You must provide a Controlled Access Type*, **Ok**, **CONTROLLED ACCESS TYPE**] [Physical Feature Data (SDU CHARACTERISTIC and CONTROLLED ACCESS TYPE) from this initial visit to the DU is saved and transmitted to RTI during next transmission, regardless of the outcome of the ROC (pending or final).]

@WrongAddr [SELECT CASE]

### STUDY INTRODUCTION [IF CONTROLLED ACCESS TYPE NE BLANK]

Hello, my name is (FI NAME) with RTI International in North Carolina. We are conducting a nationwide study sponsored by the U.S. Department of Health and Human Services.

[IF NOT ADDED DU] You should have received a letter explaining the study.

[IF NOT ADDED DU] HAND R COPY OF LETTER IF NEEDED [IF ADDED DU] HAND R LEAD LETTER, ALLOW TIME TO READ

Next [IDENTIFY SR]

### **IDENTIFY SR** [IF STUDY INTRODUCTION = NEXT]

First, just let me verify: do you live here?

IF NOT OBVIOUS:

And are you 18 or older?

IF NO TO EITHER, ASK FOR AN ADULT RESIDENT, TOUCH "PREVIOUS," AND BEGIN AGAIN.

SR Available [ADDRESS VERIFICATION]
SR Not Available [EXIT SCREENING: "ARE YOU SURE YOU WANT TO EXIT SCREENING?" IF Yes, RECORD OF CALLS. IF No, IDENTIFY SR.]

### **ADDRESS VERIFICATION** [IF IDENTIFY SR = SR AVAILABLE]

I just need to verify -- is this

STREET: (NUMBER AND STREET)

CITY: (CITY) STATE: (STATE) ZIP: (ZIP)

Address Is Correct [INFORMED CONSENT]
Need to Edit Address [EDIT ADDRESS]
FI At Wrong Address [SELECT CASE]

### **EDIT ADDRESS** [IF ADDRESS VERIFICATION = NEED TO EDIT ADDRESS]

### TOUCH THE ITEM YOU NEED TO EDIT

STREET # (NUMBER) STREET: (STREET) CITY: (CITY) STATE: (STATE) ZIP: (ZIP)

<u>Update</u> [SAVE UPDATED ADDRESS, THEN **INFORMED CONSENT**]

### **INFORMED CONSENT** [IF ADDRESS VERIFICATION = ADDRESS IS CORRECT-CONTINUE OR EDIT ADDRESS = UPDATE]

### GIVE PERSON STUDY DESCRIPTION AND SAY

Please read this statement. It describes the survey and the legislation that assures the confidentiality of any information you provide. It also explains that your answers are used for statistical purposes only and that your participation is voluntary. If anyone is selected for the full interview, that person will receive \$30 in cash after the interview is completed.

Next [MISSED DUs]

### MISSED DUs [IF INFORMED CONSENT = CONTINUE]

FOR REGULAR HUS SUCH AS INDIVIDUAL HOUSES, TOWNHOUSES, DUPLEXES, TRAILERS, COTTAGES

Are there any other living quarters within this structure or on this property, such as a separate apartment with a separate entrance?

Yes [MISSED DU ADDRESS] [IF MISSED DU: CANNOT ADD UNIT "YOU CANNOT ADD A MISSED DU FROM A DU THAT HAS BEEN ADDED" MISSED DUS] No [OCCUPANCY]

FOR APARTMENT/CONDO HUS: DON'T ASK, JUST TOUCH "APT/CONDO" (BELOW) TO CONTINUE.

APT/CONDO [OCCUPANCY]

### MISSED DU ADDRESS [IF MISSED DUs = YES]

RECORD STREET ADDRESS OR DESCRIPTION OF UNIT

STREET #: STREET:

<u>Update</u> [OCCUPANCY]

Cancel [CANCEL DU: "ARE YOU SURE YOU WANT TO CANCEL ADDITION OF THIS DU? IF Yes, OCCUPANCY. IF No, MISSED DU ADDRESS.]

**OCCUPANCY** [IF MISSED DUs = NO OR MISSED DU ADDRESS = UPDATE OR CANCEL MISSED DU = YES]

(*Have/Will*) you or anyone else in this household (*lived/live*) here for most of the time during the months of (*CURRENT QUARTER*)?

Yes [TOTAL SDU MEMBERS]

No [OCCUPANCY – CONFIRMATION: YOUR 'NO' RESPONSE WILL CONCLUDE THIS SCREENING AND FINALIZE THIS CASE. DO YOU WANT TO CHANGE YOUR ANSWER? ('YES' WILL CONTINUE SCREENING. 'NO' WILL FINALIZE THE CASE.) IF <u>Yes</u>, TOTAL SDU MEMBERS. IF <u>No</u>, VERIFICATION.]

### **TOTAL SDU MEMBERS** [IF OCCUPANCY= YES]

(Including yourself), how many people in this household (*lived/will live*) here for most of the time during the months of (*CURRENT QUARTER*)? (Do not include anyone who (*lived/will live*) at school or somewhere else for most of the time during the months of (*CURRENT QUARTER*).)

### ENTER NUMBER 1-20 [MEMBERS 12 OR OLDER]

[IF 1 TOTAL SDU MEMBERS = 1, **ONLY HOUSEHOLD MEMBER:** "**CONFIRM RESPONSE**: IS THERE ONLY 1 PERSON IN THIS HOUSEHOLD?" IF <u>No</u>, **TOTAL SDU MEMBERS**. IF <u>Yes</u>, **ONLY ELIGIBLE HH MEMBER:** "Is that you?" IS THIS SCREENING RESPONDENT THE ONE ELIGIBLE RESIDENT OF THE DU? IF <u>No</u>, **HOUSEHOLDER AGE** USING 'THIS PERSON' FILL. IF <u>Yes</u>, **HOUSEHOLDER AGE** USING 'YOUR' FILL.]

### **MEMBERS 12 OR OLDER** [IF TOTAL SDU MEMBERS NE BLANK AND >1]

Of these (TOTAL SDU MEMBERS) people, how many are now age 12 or older?

### ENTER SUBSET OF TOTAL SDU MEMBERS [ROSTER INTRO]

[IF MEMBERS 12 OR OLDER = 1, **ONLY ELIGIBLE MEMBER: "CONFIRM RESPONSE:** IS THERE ONLY 1 PERSON AGE 12 OR OLDER IN THIS HOUSEHOLD?" IF <u>No</u>, **MEMBERS 12 OR OLDER**. IF <u>Yes</u>, **ROSTER:** "IS THIS SCREENING RESPONDENT THE ONE ELIGIBLE RESIDENT OF THE DU?" IF <u>No</u>, **HOUSEHOLDER AGE** USING 'THIS PERSON' FILL. IF <u>Yes</u>, **HOUSEHOLDER AGE** USING 'YOUR' FILL.]

### **ROSTER INTRO** [IF MEMBERS 12 OR OLDER NE 1 OR BLANK]

Next I'll ask a few questions about the people who live here. Let's start with the person or one of the persons living here who owns or rents this home. We'll refer to this person as the householder.

Next [HOUSEHOLDER AGE]

## **HOUSEHOLDER AGE** [IF ROSTER INTRO NE BLANK OR ROSTER NE BLANK OR CONFIRM ROSTER FOR HOUSEHOLDER = NO]

Please tell me the age of this person on his or her last birthday.

### IF SR IS HOUSEHOLDER:

Please tell me your age on your last birthday.

### ENTER AGE [SCREENING RESPONDENT]

[TO CHANGE THE SR, TOUCH FUNCTIONS MENU, **CHANGE SR:** DO YOU WANT TO CHANGE THE SR, IF <u>No</u>, **HOUSEHOLDER AGE**. IF <u>Yes</u>, **THIS PERSON**: IS THIS PERSON THE SR? IF <u>Yes</u> OR <u>No</u>, **CONFIRM CHANGE**: PLEASE CONFIRM RESPONSE. IS THIS PERSON THE SR? IF RESPONSE CHANGES, **CONFIRM CHANGE**: PLEASE CONFIRM RESPONSE. IS THIS PERSON THE SR? IF RESPONSE DOES NOT CHANGE

AND =  $\underline{\text{No}}$ , PERSON NE SCREENING RESPONDENT. IF RESPONSE DOES NOT CHANGE AND =  $\underline{\text{Yes}}$ , PERSON = SCREENING RESPONDENT.]

### **SCREENING RESPONDENT** [IF HOUSEHOLDER AGE NE BLANK OR IF AGE NE BLANK AND SCREENING RESPONDENT NE YES]

IS THIS (HOUSEHOLDER AGE/AGE) YEAR OLD PERSON THE SCREENING RESPONDENT? IF UNSURE ASK: "Is that you?"

Yes [SETS LANGUAGE FOR SUBSEQUENT ROSTER QUESTIONS USING 'YOU/YOUR']

No [SETS LANGUAGE FOR SUBSEQUENT ROSTER QUESTIONS USING 'THIS PERSON.']

[IF HOUSEHOLDER, HOUSEHOLDER GENDER OTHERWISE, RELATION]

### **HOUSEHOLDER GENDER** [IF SCREENING RESPONDENT NE BLANK FOR HOUSEHOLDER]

#### ASK ONLY IF NOT OBVIOUS

Is this person male or female?

Male Female

### [HOUSEHOLDER HISPANIC]

[TO CHANGE THE SR, TOUCH FUNCTIONS MENU, **CHANGE SR:** DO YOU WANT TO CHANGE THE SR, IF  $\underline{\text{No}}$ , **HOUSEHOLDER GENDER**. IF  $\underline{\text{Yes}}$ , **THIS PERSON**: IS THIS PERSON THE SR? IF  $\underline{\text{Yes}}$  OR  $\underline{\text{No}}$ , **CONFIRM CHANGE**: PLEASE CONFIRM RESPONSE. IS THIS PERSON THE SR? IF RESPONSE CHANGES, **CONFIRM CHANGE**: PLEASE CONFIRM RESPONSE. IS THIS PERSON THE SR? IF RESPONSE DOES NOT CHANGE AND =  $\underline{\text{No}}$ , PERSON NE SCREENING RESPONDENT. IF RESPONSE DOES NOT CHANGE AND =  $\underline{\text{Yes}}$ , PERSON = SCREENING RESPONDENT.]

### **HOUSEHOLDER HISPANIC** [IF HOUSEHOLDER GENDER NE BLANK]

(Are you/Is he/Is she/Is this person) of Hispanic, Latino or Spanish origin?

(That is, do any of these groups describe (*your/his/her/their*) national origin or ancestry – Puerto Rican, Cuban, Cuban-American, Mexican, Mexican-American, Chicano, Central or South American, or origin in some other Spanish-speaking country?)

 $\frac{\text{Yes}}{\text{No}}$ 

### [HOUSEHOLD RACE]

[TO CHANGE THE SR, TOUCH FUNCTIONS MENU, **CHANGE SR**: DO YOU WANT TO CHANGE THE SR, IF No, **HOUSEHOLDER HISPANIC**. IF Yes, **THIS PERSON**: IS THIS PERSON THE SR? IF Yes OR No, **CONFIRM CHANGE**: PLEASE CONFIRM RESPONSE.

IS THIS PERSON THE SR? IF RESPONSE CHANGES, **CONFIRM CHANGE**: PLEASE CONFIRM RESPONSE. IS THIS PERSON THE SR? IF RESPONSE DOES NOT CHANGE AND = No, PERSON NE SCREENING RESPONDENT. IF RESPONSE DOES NOT CHANGE AND = Yes, PERSON = SCREENING RESPONDENT.]

### **HOUSEHOLDER RACE** [IF HOUSEHOLDER HISPANIC NE BLANK]

(Are you/Is he/Is she/Is this person) White, Black or African American, American Indian or Alaska Native, Native Hawaiian or other Pacific Islander, or Asian?

White

Black or African American

American Indian or Alaska Native

Native Hawaiian/other Pacific Islander

<u>Asian</u>

Other

### (CHECK ALL THAT APPLY)

[HOUSEHOLDER MILITARY OR IF HOUSEHOLDER AGE NE 17-65 CONFIRM ROSTER]

[TO CHANGE THE SR, TOUCH FUNCTIONS MENU, **CHANGE SR:** DO YOU WANT TO CHANGE THE SR, IF  $\underline{\text{No}}$ , **HOUSEHOLDER RACE**. IF  $\underline{\text{Yes}}$ , **THIS PERSON**: IS THIS PERSON THE SR? IF  $\underline{\text{Yes}}$  OR  $\underline{\text{No}}$ , **CONFIRM CHANGE**: PLEASE CONFIRM RESPONSE. IS THIS PERSON THE SR? IF RESPONSE CHANGES, **CONFIRM CHANGE**: PLEASE CONFIRM RESPONSE. IS THIS PERSON THE SR? IF RESPONSE DOES NOT CHANGE AND =  $\underline{\text{No}}$ , PERSON NE SCREENING RESPONDENT. IF RESPONSE DOES NOT CHANGE AND =  $\underline{\text{Yes}}$ , PERSON = SCREENING RESPONDENT.]

# **HOUSEHOLDER MILITARY** [IF HOUSEHOLDER RACE NE BLANK AND HOUSEHOLDER AGE = 17-65 AND SCREENING RESPONDENT = NO FOR THIS MEMBER]

(Are you/Is he/Is she/Is this person) currently on active duty in the United States military?

Yes

No

Unknown

Refused

### [CONFIRM ROSTER]

[TO CHANGE THE SR, TOUCH FUNCTIONS MENU, **CHANGE SR:** DO YOU WANT TO CHANGE THE SR, IF  $\underline{\text{No}}$ , **HOUSEHOLDER MILITARY**. IF  $\underline{\text{Yes}}$ , **THIS PERSON**: IS THIS PERSON THE SR? IF  $\underline{\text{Yes}}$  OR  $\underline{\text{No}}$ , **CONFIRM CHANGE**: PLEASE CONFIRM RESPONSE. IS THIS PERSON THE SR? IF RESPONSE CHANGES, **CONFIRM CHANGE**: PLEASE CONFIRM RESPONSE. IS THIS PERSON THE SR? IF RESPONSE DOES NOT CHANGE AND =  $\underline{\text{No}}$ , PERSON NE SCREENING RESPONDENT. IF RESPONSE DOES NOT CHANGE AND = Yes, PERSON = SCREENING RESPONDENT.]

# **HOUSEHOLDER MILITARY** [IF HOUSEHOLDER RACE NE BLANK AND HOUSEHOLDER AGE = 17-65 AND SCREENING RESPONDENT = YES FOR THIS MEMBER]

(Are you/Is he/Is she/Is this person) currently on active duty in the United States military?

 $\frac{\text{Yes}}{\text{No}}$ 

Refused

### [CONFIRM ROSTER]

[TO CHANGE THE SR, TOUCH FUNCTIONS MENU, **CHANGE SR:** DO YOU WANT TO CHANGE THE SR, IF  $\underline{\text{No}}$ , **HOUSEHOLDER MILITARY**. IF  $\underline{\text{Yes}}$ , **THIS PERSON**: IS THIS PERSON THE SR? IF  $\underline{\text{Yes}}$  OR  $\underline{\text{No}}$ , **CONFIRM CHANGE**: PLEASE CONFIRM RESPONSE. IS THIS PERSON THE SR? IF RESPONSE CHANGES, **CONFIRM CHANGE**: PLEASE CONFIRM RESPONSE. IS THIS PERSON THE SR? IF RESPONSE DOES NOT CHANGE AND =  $\underline{\text{No}}$ , PERSON NE SCREENING RESPONDENT. IF RESPONSE DOES NOT CHANGE AND =  $\underline{\text{Yes}}$ , PERSON = SCREENING RESPONDENT.]

### **CONFIRM ROSTER** [IF HOUSEHOLDER MILITARY NE BLANK OR IF HOUSEHOLDER AGE NE 17-65 AND HOUSEHOLDER RACE NE BLANK]

## [IF RACE, HISPANIC, AND/OR MILITARY IS 'OTHER', 'DK', OR 'REF' OMIT RESPECTIVE FILLS FROM CONFIRM ROSTER SCREEN.]

I have listed (a, IF SR: you as a) (AGE) year old (GENDER) householder. (She is/He is/IF SR: You are (RACE), (HISPANIC), and (is/is not/IF SR: are/are not) on active duty in the United States military.

Is that correct?

 $\underline{\text{Yes}}$  [OTHER MEMBERS] [IF ROSTER NE BLANK , THEN VERIFY ROSTER DATA]  $\underline{\text{No}}$  [HOUSEHOLDER AGE]

### **OTHER MEMBERS** [IF CONFIRM ROSTER = YES AND MEMBERS 12 OR OLDER ≥ 2]

### READ TO RESPONDENT:

Now I need some general information about (*the other person/all of the other people*) in this household who (*is/are*) 12 years old or older. [IF MEMBERS 12 OR OLDER >2] Let's start with the oldest and work down to the youngest.

Next [AGE]

### **AGE** [IF OTHER MEMBERS NE BLANK OR CONFIRM ROSTER = NO]

[IF MEMBERS 12 OR OLDER = 2] Please tell me the age of this person on his or her last birthday.

[IF MEMBERS 12 OR OLDER > 2 HH MEMBERS] Please tell me the age of the (*oldest/next oldest*) person on his or her last birthday.

ENTER AGE [IF ANY SCREENING RESPONDENT = YES, **RELATION**. IF ALL SCREENING RESPONDENT = BLANK OR NO, **SCREENING RESPONDENT**]

[TO CHANGE THE SR, TOUCH FUNCTIONS MENU, **CHANGE SR:** DO YOU WANT TO CHANGE THE SR, IF  $\underline{\text{No}}$ , **AGE**. IF  $\underline{\text{Yes}}$ , **THIS PERSON**: IS THIS PERSON THE SR? IF  $\underline{\text{Yes}}$  OR  $\underline{\text{No}}$ , **CONFIRM CHANGE**: PLEASE CONFIRM RESPONSE. IS THIS PERSON THE SR? IF RESPONSE CHANGES, **CONFIRM CHANGE**: PLEASE CONFIRM RESPONSE. IS THIS PERSON THE SR? IF RESPONSE DOES NOT CHANGE AND =  $\underline{\text{No}}$ , PERSON NE SCREENING RESPONDENT. IF RESPONSE DOES NOT CHANGE AND =  $\underline{\text{Yes}}$ , PERSON = SCREENING RESPONDENT.]

### **RELATION** [IF AGE NE BLANK AND ANY SCREENING RESPONDENT =YES]

[IF SCREENING RESPONDENT = YES FOR THIS MEMBER] How are you related to the householder?

[IF SCREENING RESPONDENT = YES FOR HOUSEHOLDER] How is this person related to you, the householder?

[IF SCREENING RESPONDENT = NO FOR THIS MEMBER AND SCREENING RESPONDENT = NO FOR HOUSEHOLDER] How is this person related to the householder? Husband

Wife

Son (includes step)

Daughter (includes step)

Son-in-law/Daughter-in-law

Brother (includes step)

Sister (includes step)

Brother-in-law/Sister-in-law

Parent/Guardian (incl. step)

Parent-in-law (incl. step)

Aunt/Uncle

Nephew/Niece

Grandparent

Grandchild

Cousin

Ex-Spouse

Live-in Partner

Friend/Roommate

Tenant/Boarder/Exch Student

Other relative

Other Non-relative

Relationship Unspecified

[IF RELATION = HUSBAND, WIFE, SON, DAUGHTER, BROTHER OR SISTER **HISPANIC**, OTHERWISE **GENDER**]

[TO CHANGE THE SR, TOUCH FUNCTIONS MENU, **CHANGE SR:** DO YOU WANT TO CHANGE THE SR, IF <u>No</u>, **RELATION**. IF <u>Yes</u>, **THIS PERSON**: IS THIS PERSON THE SR? IF Yes OR No, **CONFIRM CHANGE**: PLEASE CONFIRM RESPONSE. IS THIS

PERSON THE SR? IF RESPONSE CHANGES, **CONFIRM CHANGE**: PLEASE CONFIRM RESPONSE. IS THIS PERSON THE SR? IF RESPONSE DOES NOT CHANGE AND = No, PERSON NE SCREENING RESPONDENT. IF RESPONSE DOES NOT CHANGE AND = Yes, PERSON = SCREENING RESPONDENT.]

### **GENDER** [IF RELATION NE HUSBAND, WIFE, SON, DAUGHTER, BROTHER OR SISTER]

### ASK ONLY IF NOT OBVIOUS

Is this person male or female?

Male

Female

Refused

### [HISPANIC]

[TO CHANGE THE SR, TOUCH FUNCTIONS MENU, **CHANGE SR:** DO YOU WANT TO CHANGE THE SR, IF  $\underline{No}$ , **GENDER**. IF  $\underline{Yes}$ , **THIS PERSON**: IS THIS PERSON THE SR? IF  $\underline{Yes}$  OR  $\underline{No}$ , **CONFIRM CHANGE**: PLEASE CONFIRM RESPONSE. IS THIS PERSON THE SR? IF RESPONSE CHANGES, **CONFIRM CHANGE**: PLEASE CONFIRM RESPONSE. IS THIS PERSON THE SR? IF RESPONSE DOES NOT CHANGE AND =  $\underline{No}$ , PERSON NE SCREENING RESPONDENT. IF RESPONSE DOES NOT CHANGE AND =  $\underline{Yes}$ , PERSON = SCREENING RESPONDENT.

### **HISPANIC** [IF GENDER NE BLANK]

(Are you/Is he/Is she/Is this person) of Hispanic, Latino or Spanish origin?

(That is, do any of these groups describe (*your/his/her/their*) national origin or ancestry – Puerto Rican, Cuban, Cuban-American, Mexican, Mexican-American, Chicano, Central or South American, or origin in some other Spanish-speaking country?)

Yes

No

Unknown

Refused

### [RACE]

[TO CHANGE THE SR, TOUCH FUNCTIONS MENU, **CHANGE SR:** DO YOU WANT TO CHANGE THE SR, IF  $\underline{\text{No}}$ , **HISPANIC**. IF  $\underline{\text{Yes}}$ , **THIS PERSON**: IS THIS PERSON THE SR? IF  $\underline{\text{Yes}}$  OR  $\underline{\text{No}}$ , **CONFIRM CHANGE**: PLEASE CONFIRM RESPONSE. IS THIS PERSON THE SR? IF RESPONSE CHANGES, **CONFIRM CHANGE**: PLEASE CONFIRM RESPONSE. IS THIS PERSON THE SR? IF RESPONSE DOES NOT CHANGE AND =  $\underline{\text{No}}$ , PERSON NE SCREENING RESPONDENT. IF RESPONSE DOES NOT CHANGE AND = Yes, PERSON = SCREENING RESPONDENT.]

### **RACE** [IF HISPANIC NE BLANK]

(Are you/Is he/Is she/Is this person) White, Black or African American, American Indian or Alaska Native, Native Hawaiian or other Pacific Islander, or Asian?

White

Black or African American

American Indian or Alaska Native

Native Hawaiian/other Pacific Islander

Asian

Other

Unknown

Refused

### (CHECK ALL THAT APPLY)

### [IF AGE 17-65, MILITARY, OTHERWISE CONFIRM ROSTER]

[TO CHANGE THE SR, TOUCH FUNCTIONS MENU, **CHANGE SR:** DO YOU WANT TO CHANGE THE SR, IF  $\underline{N_0}$ , **RACE**. IF  $\underline{Y_{es}}$ , **THIS PERSON**: IS THIS PERSON THE SR? IF  $\underline{Y_{es}}$  OR  $\underline{N_0}$ , **CONFIRM CHANGE**: PLEASE CONFIRM RESPONSE. IS THIS PERSON THE SR? IF RESPONSE CHANGES, **CONFIRM CHANGE**: PLEASE CONFIRM RESPONSE. IS THIS PERSON THE SR? IF RESPONSE DOES NOT CHANGE AND =  $\underline{N_0}$ , PERSON NE SCREENING RESPONDENT. IF RESPONSE DOES NOT CHANGE AND =  $\underline{Y_{es}}$ , PERSON = SCREENING RESPONDENT.]

### **MILITARY** [IF RACE NE BLANK AND AGE 17-65 AND SCREENING RESPONDENT = NO FOR THIS MEMBER]

(Are you/Is he/Is she/Is this person) currently on active duty in the United States military?

Yes

No

Unknown

Refused

### [CONFIRM ROSTER]

[TO CHANGE THE SR, TOUCH FUNCTIONS MENU, **CHANGE SR:** DO YOU WANT TO CHANGE THE SR, IF  $\underline{\text{No}}$ , **MILITARY**. IF  $\underline{\text{Yes}}$ , **THIS PERSON**: IS THIS PERSON THE SR? IF  $\underline{\text{Yes}}$  OR  $\underline{\text{No}}$ , **CONFIRM CHANGE**: PLEASE CONFIRM RESPONSE. IS THIS PERSON THE SR? IF RESPONSE CHANGES, **CONFIRM CHANGE**: PLEASE CONFIRM RESPONSE. IS THIS PERSON THE SR? IF RESPONSE DOES NOT CHANGE AND =  $\underline{\text{No}}$ , PERSON NE SCREENING RESPONDENT. IF RESPONSE DOES NOT CHANGE AND =  $\underline{\text{Yes}}$ , PERSON = SCREENING RESPONDENT.

## **MILITARY** [IF HOUSEHOLDER RACE NE BLANK AND HOUSEHOLDER AGE = 17-65 AND SCREENING RESPONDENT = YES FOR THIS MEMBER]

(Are you/Is he/Is she/Is this person) currently on active duty in the United States military?

Yes

No

Refused

### [CONFIRM ROSTER]

[TO CHANGE THE SR, TOUCH FUNCTIONS MENU, **CHANGE SR:** DO YOU WANT TO CHANGE THE SR, IF  $\underline{\text{No}}$ , **HOUSEHOLDER MILITARY**. IF  $\underline{\text{Yes}}$ , **THIS PERSON**: IS THIS PERSON THE SR? IF  $\underline{\text{Yes}}$  OR  $\underline{\text{No}}$ , **CONFIRM CHANGE**: PLEASE CONFIRM RESPONSE. IS THIS PERSON THE SR? IF RESPONSE CHANGES, **CONFIRM CHANGE**: PLEASE CONFIRM RESPONSE. IS THIS PERSON THE SR? IF RESPONSE DOES NOT CHANGE AND =  $\underline{\text{No}}$ , PERSON NE SCREENING RESPONDENT. IF RESPONSE DOES NOT CHANGE AND =  $\underline{\text{Yes}}$ , PERSON = SCREENING RESPONDENT.]

## **CONFIRM ROSTER** [IF AGE 17-65 AND MILITARY IS NE BLANK, OTHERWISE RACE NE BLANK]

### [IF RACE, HISPANIC, AND/OR MILITARY IS 'OTHER', 'DK', OR 'REF' OMIT RESPECTIVE FILLS FROM CONFIRM ROSTER SCREEN.]

I have listed a (*AGE*) year old (*RELATIONSHIP*). (*He/She*) is (*RACE*), (*HISPANIC*) [IF AGE 17-65] and (*is/is not*) on active duty the United States military.

Is that correct?

Yes [AGE FOR NEXT HH MEMBER, OTHERWISE VERIFY ROSTER DATA]
No [AGE]

### **VERIFY ROSTER DATA** [IF CONFIRM ROSTER = YES]

Relation (*Relationship*)

A (Age)

SR (Y for Screening Respondent)

### [UPON TOUCHING ON ANY ROSTER LINE, SELECT SHOW DETAILS, SHOW ALL ROSTER INFORMATION]

G (*Gender*: *M*, *F*, *R*)

H (Hispanic: Y, N, U, R)

R (Race: W, B, I, P, A, O)

M (Military: Y, N, U, R)

E (*Eligibility: E, I*)

### [IF CONFIRM ROSTER = YES AND MEMBERS 12 OR OLDER=1]

DO NOT READ TO RESPONDENT. WHEN READY, TOUCH NEXT TO MAKE SELECTION.

TO MAKE CORRECTIONS: TOUCH AND HOLD THE ROSTER LINE THAT YOU WANT TO EDIT, THEN TOUCH 'EDIT' FROM THE POP-UP MENU.

### [IF CONFIRM ROSTER = YES AND MEMBERS 12 OR OLDER>=2]

I need to make sure this list is accurate. I have listed...

[READ AGES AND RELATIONSHIPS ROSTERED].

### TO MAKE CORRECTIONS: TOUCH AND HOLD THE ROSTER LINE THAT YOU WANT TO EDIT, THEN TOUCH 'EDIT' FROM THE POP-UP MENU.

[FUNCTIONS TO ADD ROSTER MEMBERS AND/OR EDIT ROSTER DATA]

Next [START SELECTION]

### START SELECTION

THE PROGRAM WILL START THE SELECTION PROCESS. ARE YOU SURE YOU ARE READY TO MAKE THE SELECTIONS?

Yes [RESPONDENT SELECTION]
No [VERIFY ROSTER DATA]

### **RESPONDENT SELECTION** [START SELECTION = YES]

Interview A

Roster #: (Roster # of selected member, None) QuestID: (7-digit Questionnaire ID, BLANK) Relation: (Relationship to householder, BLANK)

Age: (AGE, BLANK) Sex: (M, F, BLANK)
Race: (W, B, I, P, A, O, BLANK) Hispanic: (Y, N, BLANK)

Interview B

Roster #: (Roster # of selected member, None) QuestID: (7-digit Questionnaire ID, BLANK) Relation: (Relationship to householder, BLANK)

Age: (AGE, BLANK)

Race: (W, B, I, P, A, O, BLANK)

Sex: (M, F, BLANK)

Hispanic: (Y, N, BLANK)

This page intentionally left blank.

### NSDUH DR Screening Application Specifications Section 2 HU Screening - Spanish

### **Document Format:**

- Screen names bolded
- Screen/question/instructional text designated by black and red text and non-italicized text in parenthesis (Upper-lower black text to be read, red upper case text is instructions to FI)
- Fills designated by parenthesis and italics
- Logic designated by brackets
- Text of instructional message boxes provided in bracketed logic
- Response categories underlined

The line number and address are located at the top of each screen. Tap the address to see DU ADDRESS box, an enlarged display of the line number and address. If a case is an Added DU, the DU ADDRESS box displays the link line number.

### SDU CHARACTERISTIC

**DU ADDRESS (LARGE FONT)** 

### CHECK ALL THAT APPLY. TAP "?" FOR ADDITIONAL INFORMATION.

- 1. House/Single Unit
- 2. Multi-unit, 2-9 units
- 3. Multi-unit, 10-49 units
- 4. Multi-unit, 50+ units
- 5. Military Base
- 6. Student Housing
- 7. Native American Tribal Land
- 8. Senior Housing/Assisted Living
- 9. Other GQU
- 10. Empty Lot

<u>Continue</u> [If at least one item selected, **CONTROLLED ACCESS TYPE**. If no items selected, **CONFLICT**- *You must provide a SDU Characteristic*, **Ok**, **SDU CHARACTERISTIC**] <u>FlatWrongAddress</u> [**SELECT CASE**]

### CONTROLLED ACCESS TYPE [IF SDU CHARACTERISTIC NE BLANK]

**DU ADDRESS (LARGE FONT)** 

### CHECK ALL THAT APPLY. TAP "?" FOR ADDITIONAL INFORMATION.

- 1. None
- 2. Guard/Door Person/Staff/Manager
- 3. Locked main entrance/gate, no intercom/buzzer
- 4. Locked main entrance/gate with intercom/buzzer, no unit address labels
- 5. Locked main entrance/gate with intercom/buzzer, with unit address labels

Continue [If at least one item selected, STUDY INTRODUCTION. If no items selected, CONFLICT- You must provide a Controlled Access Type, Ok, CONTROLLED ACCESS TYPE] [Physical Feature Data (SDU CHARACTERISTIC and CONTROLLED ACCESS TYPE) from this initial visit to the DU is saved and transmitted to RTI during next transmission, regardless of the outcome of the ROC (pending or final).]

FlatWrongAddress [SELECT CASE]

### **STUDY INTRODUCTION** [IF CONTROLLED ACCESS TYPE NE BLANK]

(Buenas noches/Buenas tardes/Buenos días). Mi nombre es (FI NAME) y trabajo para RTI International en Carolina del Norte. Estamos llevando a cabo un estudio nacional patrocinado por el Departamento de Salud y Servicios Humanos de los Estados Unidos.

[IF NOT ADDED DU] Usted ha de haber recibido una carta explicándole el estudio.

[IF NOT ADDED DU] HAND R COPY OF LETTER IF NEEDED [IF ADDED DU] HAND R LEAD LETTER, ALLOW TIME TO READ

Continue [IDENTIFY SR]

### **IDENTIFY SR** [IF STUDY INTRODUCTION = CONTINUE]

Primero, déjeme verificar: ¿vive usted aquí?

### IF NOT OBVIOUS:

Y ¿tiene 18 años de edad o más?

IF NO TO EITHER, ASK FOR AN ADULT RESIDENT, TAP BACK ARROW, AND BEGIN AGAIN.

SR Available [ADDRESS VERIFICATION]
SR Not Available [EXIT SCREENING: "ARE YOU SURE YOU WANT TO EXIT SCREENING?" IF Yes, RECORD OF CALLS. IF No, IDENTIFY SR.]

### **ADDRESS VERIFICATION** [IF IDENTIFY SR = SR AVAILABLE]

Solamente necesito confirmar que ésta es

STREET: (NUMBER AND STREET)

CITY: (CITY) STATE: (STATE) ZIP: (ZIP)

Address Is Correct – Continue [INFORMED CONSENT]

Need to Edit Address [EDIT ADDRESS]
FI At Wrong Address [SELECT CASE]

### **EDIT ADDRESS** [IF ADDRESS VERIFICATION = NEED TO EDIT ADDRESS]

### TAP THE ITEM YOU NEED TO EDIT

ST. # (NUMBER) STREET: (STREET) CITY: (CITY)

**STATE**: (STATE) **ZIP**: (ZIP)

Update [SAVE UPDATED ADDRESS, THEN **INFORMED CONSENT**]

### **INFORMED CONSENT** [IF ADDRESS VERIFICATION = ADDRESS IS CORRECT-CONTINUE OR EDIT ADDRESS = UPDATE]

### GIVE PERSON STUDY DESCRIPTION AND SAY

Por favor lea esta declaración, la cual describe la encuesta y la legislación que asegura la confidencialidad de cualquier información que usted nos dé. También explica que sus respuestas son usadas con propósitos estadísticos únicamente y que su participación es voluntaria. Si alguien es seleccionado(a) para participar en la entrevista en su totalidad, dicha persona recibirá \$30 dólares en efectivo después de haber completado la entrevista.

Continue [MISSED DUs]

### MISSED DUs [IF INFORMED CONSENT = CONTINUE]

### FOR REGULAR HUS SUCH AS INDIVIDUAL HOUSES, TOWNHOUSES, DUPLEXES, TRAILERS, COTTAGES

¿Hay alguna otra vivienda dentro de esta casa o propiedad, tal como un apartamento separado con entrada aparte?

Yes [MISSED DU ADDRESS]

No [OCCUPANCY]

[IF MISSED DU: CANNOT
ADD UNIT "YOU CANNOT
ADD A MISSED DU FROM
A DU THAT HAS BEEN ADDED"
MISSED DUs]

FOR APARTMENT/CONDO HUS: DON'T ASK, JUST TAP "APT/CONDO" (BELOW) TO CONTINUE.

APT/CONDO [OCCUPANCY]

### **MISSED DU ADDRESS** [IF MISSED DUs = YES]

RECORD STREET ADDRESS OR DESCRIPTION OF UNIT

STREET NUMBER:

STREET NAME:

<u>Update</u> [OCCUPANCY]

Cancel [CANCEL DU: "ARE YOU SURE YOU WANT TO CANCEL ADDITION OF THIS DU? IF Yes, OCCUPANCY. IF No MISSED DU ADDRESS.]

### **OCCUPANCY** [IF MISSED DUs = NO OR MISSED DU ADDRESS = UPDATE OR CANCEL MISSED DU = YES]

(¿Vivió /¿Vivirá) usted o alguna otra persona en esta vivienda la mayor parte del tiempo durante los meses de (CURRENT QUARTER)?

Yes [TOTAL SDU MEMBERS] No [OCCUPANCY – CONFIRMATION:

YOUR 'NO' RESPONSE WILL CONCLUDE THIS SCREENING AND FINALIZE THIS CASE. DO YOU WANT TO CHANGE YOUR ANSWER? ('YES' WILL CONTINUE SCREENING. 'NO' WILL FINALIZE THE CASE.) IF <u>Yes</u>, **TOTAL SDU MEMBERS**. IF <u>No</u>, **VERIFICATION**.]

### **TOTAL SDU MEMBERS** [IF OCCUPANCY= YES]

(Incluyéndose a sí mismo), ¿cuántas personas (*vivieron/vivirán*) en esta vivienda la mayor parte del tiempo durante los meses de (*CURRENT QUARTER*)? (No incluya a nadie que (*vivió/vivirá*) en la escuela o en otro lugar la mayor parte del tiempo durante los meses de (*CURRENT QUARTER*).)

### ENTER NUMBER 1-20 [MEMBERS 12 OR OLDER]

[IF 1 TOTAL SDU MEMBERS = 1, **ONLY HOUSEHOLD MEMBER:** "**CONFIRM RESPONSE**: IS THERE ONLY 1 PERSON IN THIS HOUSEHOLD?" IF  $\underline{No}$ , **TOTAL SDU MEMBERS**. IF  $\underline{Yes}$ , **ROSTER:** "IS THIS SCREENING RESPONDENT THE ONE ELIGIBLE RESIDENT OF THE DU?" IF  $\underline{No}$ , **HOUSEHOLDER AGE** USING 'THIS PERSON' FILL. IF  $\underline{Yes}$ , **HOUSEHOLDER AGE** USING 'YOUR' FILL.]

### **MEMBERS 12 OR OLDER** [IF TOTAL SDU MEMBERS NE BLANK AND >1]

De estas (TOTAL SDU MEMBERS) personas, ¿cuántas tienen 12 años o más actualmente?

### ENTER SUBSET OF TOTAL SDU MEMBERS [ROSTER INTRO]

[IF MEMBERS 12 OR OLDER = 1, **ONLY ELIGIBLE MEMBER: "CONFIRM RESPONSE:** IS THERE ONLY 1 PERSON AGE 12 OR OLDER IN THIS HOUSEHOLD?" IF <u>No</u>, **MEMBERS 12 OR OLDER**. IF <u>Yes</u>, **ROSTER:** "IS THIS SCREENING RESPONDENT THE ONE ELIGIBLE RESIDENT OF THE DU?" IF <u>No</u>, **HOUSEHOLDER AGE** USING 'THIS PERSON' FILL. IF <u>Yes</u>, **HOUSEHOLDER AGE** USING 'YOUR' FILL.]

### **ROSTER INTRO** [IF MEMBERS 12 OR OLDER NE 1 OR BLANK]

Ahora le haré unas cuantas preguntas acerca de las personas que viven aquí. Empecemos con la persona o una de las personas que vive aquí, quien es el dueño o la dueña de la casa o que paga por el alquiler de la misma. Nos referiremos a esta persona como la cabeza de la familia.

**Continue** [HOUSEHOLDER AGE]

### **HOUSEHOLDER AGE** [IF ROSTER INTRO NE BLANK OR ROSTER NE BLANK OR CONFIRM ROSTER FOR HOUSEHOLDER = NO]

Por favor dígame la edad de esta persona en su último cumpleaños.

### IF SR IS HOUSEHOLDER:

Por favor dígame su edad en su último cumpleaños.

ENTER AGE [SCREENING RESPONDENT]

### **SCREENING RESPONDENT** [IF HOUSEHOLDER AGE NE BLANK OR IF AGE NE BLANK AND SCREENING RESPONDENT NE YES]

IS THIS (HOUSEHOLDER AGE/AGE) YEAR OLD PERSON THE SCREENING RESPONDENT?

Yes [SETS LANGUAGE FOR SUBSEQUENT ROSTER QUESTIONS USING 'YOU/YOUR']

No [SETS LANGUAGE FOR SUBSEQUENT ROSTER QUESTIONS USING 'THIS PERSON.']

[IF HOUSEHOLDER, HOUSEHOLDER GENDER OTHERWISE, RELATION]

## **HOUSEHOLDER GENDER** [IF SCREENING RESPONDENT NE BLANK FOR HOUSEHOLDER]

### ASK ONLY IF NOT OBVIOUS

¿Es esta persona hombre o mujer?

Male

Female

[HOUSEHOLDER HISPANIC]

### **HOUSEHOLDER HISPANIC** [IF HOUSEHOLDER GENDER NE BLANK]

¿Es (usted/él/ella/esta persona) de origen hispano, latino o español?

(Es decir que su origen nacional o descendencia se puede describir como puertorriqueño, cubano, cubano-americano, mexicano, mexicano-americano, chicano, centro o sudamericano, o es nativo(a) de otro país donde se habla español?)

<u>Yes</u>

<u>No</u>

[HOUSEHOLD RACE]

### **HOUSEHOLDER RACE** [IF HOUSEHOLDER HISPANIC NE BLANK]

¿Es (usted/él/ella/esta persona) de raza blanca, negra o afro-americana, indígena americana o nativo(a) de Alaska, nativo(a) de Hawaii o de otra isla del pacífico, o asiática?

### (CHECK ALL THAT APPLY)

White

Black or African American

American Indian or Alaska Native

Native Hawaiian/other Pacific Islander

Asian

Other

### [HOUSEHOLDER MILITARY OR IF HOUSEHOLDER AGE NE 17-65 CONFIRM ROSTER]

### HOUSEHOLDER MILITARY [IF HOUSEHOLDER RACE NE BLANK AND

HOUSEHOLDER AGE = 17-65 AND SCREENING RESPONDENT = NO FOR THIS MEMBER]

¿Está (usted/él/ella/esta persona) actualmente en servicio activo en las fuerzas armadas de los Estados Unidos?

Yes

No

**Unknown** 

Refused

[CONFIRM ROSTER]

# **HOUSEHOLDER MILITARY** [IF HOUSEHOLDER RACE NE BLANK AND HOUSEHOLDER AGE = 17-65 AND SCREENING RESPONDENT = YES FOR THIS MEMBER]

¿Está (usted/él/ella/esta persona) actualmente en servicio activo en las fuerzas armadas de los Estados Unidos?

<u>Yes</u>

No

Refused

### [CONFIRM ROSTER]

## **CONFIRM ROSTER** [IF HOUSEHOLDER MILITARY NE BLANK OR IF HOUSEHOLDER AGE NE 17-65 AND HOUSEHOLDER RACE NE BLANK]

There are four translation variations. English is also provided here for clarification.

### 1. SCREENING RESPONDENT AGE 17-65

#### **ENGLISH:**

I have listed you as a (AGE) year old (GENDER) resident. You are (RACE), (HISPANIC), and (are/are not) on active duty in the United States military.

### SPANISH:

(MALE:Lo)(FEMALE: La) he anotado a usted, (GENDER: una joven/una mujer/un joven/un hombre/una persona) de (AGE) años de edad. (Usted) es de raza (RACE: blanca, negra o afro-americana, indígena americana o nativo(a) de Alaska, nativo(a) de Hawaii o de otra isla del pacífico, asiática, otra raza. / es de otra raza. / es de raza NO ESPECIFICADA). (HISPANIC: Usted es hispana / Usted es hispano/ Usted no es hispana / Usted no es hispano/ NO SE ESPECIFICÓ si es de origen hispano/latino) (MILITARY: y está en servicio activo en las fuerzas armadas de los Estados Unidos. / y no está en servicio activo en las fuerzas armadas de los Estados Unidos.

### 2. SCREENING RESPONDENT UNDER AGE 17 OR OVER AGE 65

### **ENGLISH:**

I have listed you as a (AGE) year old (GENDER) resident. You are (RACE), and (not) (HISPANIC).

### SPANISH:

(MALE:Lo)(FEMALE: La) he anotado a usted, (GENDER: una joven/una mujer/un joven/un hombre/una persona) de (AGE) años de edad. (Usted) es de raza (RACE: blanca, negra o afro-americana, indígena americana o nativo(a) de Alaska, nativo(a) de Hawaii o de otra isla del pacífico, asiática, otra raza. / es de otra raza. / es de raza NO ESPECIFICADA). (HISPANIC: Usted es hispana / Usted es hispano/ Usted no es hispano/ NO SE ESPECIFICÓ si es de origen hispano/latino).

#### 3. OTHER MEMBERS AGES 17-65

### **ENGLISH:**

I have listed a (AGE) year old (GENDER) resident. (She/He) is (RACE), (HISPANIC) and (is/is not) on active duty in the United States military.

#### SPANISH:

He anotado (GENDER) de (AGE) años de edad. (Ella/Él) es de raza (RACE). (Ella/Él) (es/no es) (HISPANIC) y (está/no está) en servicio activo en las fuerzas armadas de los Estados Unidos.

### SPANISH GENDER/RELATIONSHIP DESCRIPTIONS:

He anotado (GENDER: una joven/una mujer/un joven/un hombre/una persona) de (AGE) años de edad. (Ella /Él /Esta persona) es de raza (RACE: blanca, negra o afro-americana, indígena americana o nativo(a) de Alaska, nativo(a) de Hawaii o de otra isla del pacífico, asiática, otra raza. / es de otra raza. / es de raza NO ESPECIFICADA). (HISPANIC: Ella es hispana / Él es hispano/Esta persona es hispana / Ella no es hispana / Él no es hispano/Esta persona no es hispana / NO SE ESPECIFICÓ si es de origen hispano/latino) (MILITARY: y está en servicio activo en las fuerzas armadas de los Estados Unidos. / y no está en servicio activo en las fuerzas armadas de los Estados Unidos. / y NO SE ESPECIFICÓ si está en servicio activo en las fuerzas armadas de los Estados Unidos.).

### 4. OTHER MEMBERS UNDER AGE 17- OR OVER AGE 65

### **ENGLISH:**

I have listed a (AGE) year old (GENDER) resident. (She/He) is (RACE), and (not) (HISPANIC).

### SPANISH:

He anotado (GENDER: una joven/una mujer/un joven/un hombre/una persona) de (AGE) años de edad. (Ella/Él) es de raza (RACE: blanca, negra o afro-americana, indígena americana o nativo(a) de Alaska, nativo(a) de Hawaii o de otra isla del pacífico, asiática, otra raza. / es de otra raza. / es de raza NO ESPECIFICADA). (HISPANIC: Ella es hispana / Él es hispano/Ella no es hispana / Él no es hispano/NO SE ESPECIFICÓ si es de origen hispano/latino).

¿Es esto correcto?

Yes [OTHER MEMBERS] [IF ROSTER NE BLANK, VERIFY ROSTER DATA]
No [HOUSEHOLDER AGE]

### **OTHER MEMBERS** [IF CONFIRM ROSTER = YES AND MEMBERS 12 OR OLDER $\geq$ 2]

### **READ TO RESPONDENT:**

[IF MEMBERS 12 OR OLDER =2] Ahora necesito información general sobre la otra persona en este hogar que tenga 12 años de edad o más.

[IF MEMBERS 12 OR OLDER >2] Ahora necesito información general sobre todas las otras personas en este hogar que tengan 12 años de edad o más. Empecemos con la persona mayor hasta llegar a la persona menor.

CONTINUE [AGE]

### **AGE** [IF OTHER MEMBERS NE BLANK OR CONFIRM ROSTER = NO]

[IF MEMBERS 12 OR OLDER = 2] Por favor dígame la edad de esta persona en su último cumpleaños.

[IF MEMBERS 12 OR OLDER > 2 HH MEMBERS] Por favor dígame la edad de la (persona mayor/siguiente persona) en su último cumpleaños.

ENTER AGE [IF ANY SCREENING RESPONDENT = YES, **RELATION**. IF ALL SCREENING RESPONDENT = BLANK OR NO, **SCREENING RESPONDENT**]

### **RELATION** [IF AGE NE BLANK AND ANY SCREENING RESPONDENT =YES]

[IF SCREENING RESPONDENT = YES FOR THIS MEMBER] ¿Cuál es su parentesco con la cabeza de la familia?

[IF SCREENING RESPONDENT = YES FOR HOUSEHOLDER] ¿Qué parentesco tiene esta persona con usted, la cabeza de la familia?

[IF SCREENING RESPONDENT = NO FOR THIS MEMBER] ¿Qué parentesco tiene esta persona con la cabeza de la familia?

Husband

Wife

Son (includes step)

Daughter (includes step)

Son-in-law/Daughter-in-law

Brother (includes step)

Sister (includes step)

Brother-in-law/Sister-in-law

Parent/Guardian (incl. step)

Parent-in-law (incl. step)

Aunt/Uncle

Nephew/Niece

Grandparent

Grandchild

Cousin

Ex-Spouse

Live-in Partner

Friend/Roommate

Tenant/Boarder/Exch Student

Other relative

Other Non-relative

Relationship Unspecified

[IF RELATION = HUSBAND, WIFE, SON, DAUGHTER, BROTHER OR SISTER **HISPANIC**, OTHERWISE **GENDER**]

<u>ChangeSR</u> [CHANGE SR: DO YOU WANT TO CHANGE THE SR, IF <u>No</u>,

RELATION. IF <u>Yes</u>, THIS PERSON: IS THIS PERSON THE SR? IF <u>Yes</u> OR <u>No</u>,

CONFIRM CHANGE: PLEASE CONFIRM RESPONSE. IS THIS PERSON THE

SR? IF RESPONSE CHANGES, CONFIRM CHANGE: PLEASE CONFIRM

RESPONSE. IS THIS PERSON THE SR? IF RESPONSE DOES NOT CHANGE AND

= <u>No</u>, PERSON NE SCREENING RESPONDENT. IF RESPONSE DOES NOT

CHANGE AND = Yes, PERSON = SCREENING RESPONDENT.]

### GENDER [IF RELATION NE HUSBAND, WIFE, SON, DAUGHTER, BROTHER OR SISTER]

### ASK ONLY IF NOT OBVIOUS

¿Es esta persona hombre o mujer?

Male

Female

Refused

### [HISPANIC]

ChangeSR [CHANGE SR: DO YOU WANT TO CHANGE THE SR, IF No,
GENDER. IF Yes, THIS PERSON: IS THIS PERSON THE SR? IF Yes OR No,
CONFIRM CHANGE: PLEASE CONFIRM RESPONSE. IS THIS PERSON THE
SR? IF RESPONSE CHANGES, CONFIRM CHANGE: PLEASE CONFIRM
RESPONSE. IS THIS PERSON THE SR? IF RESPONSE DOES NOT CHANGE AND
= No, PERSON NE SCREENING RESPONDENT. IF RESPONSE DOES NOT
CHANGE AND = Yes, PERSON = SCREENING RESPONDENT.

### **HISPANIC** [IF GENDER NE BLANK]

¿Es (usted/él/ella/esta persona) de origen hispano, latino o español?

(Es decir que su origen nacional o descendencia se puede describir como puertorriqueño, cubano, cubano-americano, mexicano, mexicano-americano, chicano, centro o sudamericano, o es nativo(a) de otro país donde se habla español?)

Yes

No

**Unknown** 

Refused

[RACE]

### **RACE** [IF HISPANIC NE BLANK]

¿Es (usted/él/ella/esta persona) de raza blanca, negra o afro-americana, indígena americana o nativo(a) de Alaska, nativo(a) de Hawaii o de otra isla del pacífico, o asiática?

### (CHECK ALL THAT APPLY)

White

Black or African American

American Indian or Alaska Native

Native Hawaiian/other Pacific Islander

Asian

Other

Unknown

Refused

[IF AGE 17-65, MILITARY, OTHERWISE CONFIRM ROSTER]

### **MILITARY** [IF RACE NE BLANK AND AGE 17-65 AND SCREENING RESPONDENT = NO FOR THIS MEMBER]

¿Está (usted/él/ella/esta persona) actualmente en servicio activo en las fuerzas armadas de los Estados Unidos?

Yes

<u>No</u>

Unknown

Refused

### [CONFIRM ROSTER]

# **HOUSEHOLDER MILITARY** [IF HOUSEHOLDER RACE NE BLANK AND HOUSEHOLDER AGE = 17-65 AND SCREENING RESPONDENT = YES FOR THIS MEMBER]

¿Está (usted/él/ella/esta persona) actualmente en servicio activo en las fuerzas armadas de los Estados Unidos?

Yes

No

Refused

[CONFIRM ROSTER]

### **CONFIRM ROSTER** [IF AGE 17-65 AND MILITARY IS NE BLANK, OTHERWISE RACE NE BLANK]

There are four translation variations. English is also provided here for clarification.

### 1. SCREENING RESPONDENT AGE 17-65

### ENGLISH:

I have listed you as a (AGE) year old (GENDER) resident. You are (RACE), (HISPANIC), and (are/are not) on active duty in the United States military.

### SPANISH:

(MALE:Lo)(FEMALE: La) he anotado a usted, (GENDER: una joven/una mujer/un joven/un hombre/una persona) de (AGE) años de edad. (Usted) es de raza (RACE: blanca, negra o afro-americana, indígena americana o nativo(a) de Alaska, nativo(a) de Hawaii o de otra isla del pacífico, asiática, otra raza. / es de otra raza. / es de raza NO ESPECIFICADA). (HISPANIC: Usted es hispana / Usted es hispano/ Usted no es hispano/ NO SE ESPECIFICÓ si es de origen hispano/latino) (MILITARY: y está en servicio activo en las fuerzas armadas de los Estados Unidos. / y no está en servicio activo en las fuerzas armadas de los Estados Unidos).

#### 2. SCREENING RESPONDENT UNDER AGE 17 OR OVER AGE 65

#### **ENGLISH:**

I have listed you as a (AGE) year old (GENDER) resident. You are (RACE), and (not) (HISPANIC).

#### SPANISH:

(MALE:Lo)(FEMALE: La) he anotado a usted, (GENDER: una joven/una mujer/un joven/un hombre/una persona) de (AGE) años de edad. (Usted) es de raza (RACE: blanca, negra o afro-americana, indígena americana o nativo(a) de Alaska, nativo(a) de Hawaii o de otra isla del pacífico, asiática, otra raza. / es de otra raza. / es de raza NO ESPECIFICADA). (HISPANIC: Usted es hispana / Usted es hispano/ Usted no es hispano/ NO SE ESPECIFICÓ si es de origen hispano/latino).

#### 3. OTHER MEMBERS AGES 17-65

### **ENGLISH:**

I have listed a (AGE) year old (GENDER) resident. (She/He) is (RACE), (HISPANIC) and (is/is not) on active duty in the United States military.

#### SPANISH:

He anotado (GENDER) de (AGE) años de edad. (Ella/Él) es de raza (RACE). (Ella/Él) (es/no es) (HISPANIC) y (está/no está) en servicio activo en las fuerzas armadas de los Estados Unidos.

#### SPANISH GENDER/RELATIONSHIP DESCRIPTIONS:

He anotado (GENDER: una joven/una mujer/un joven/un hombre/una persona) de (AGE) años de edad. (Ella /Él /Esta persona) es de raza (RACE: blanca, negra o afroamericana, indígena americana o nativo(a) de Alaska, nativo(a) de Hawaii o de otra isla del pacífico, asiática, otra raza. / es de otra raza. / es de raza NO ESPECIFICADA). (HISPANIC: Ella es hispana / Él es hispano/Esta persona es hispana / Ella no es hispana / Él no es hispano/Esta persona no es hispana / NO SE ESPECIFICÓ si es de origen hispano/latino) (MILITARY: y está en servicio activo en las fuerzas armadas de los Estados Unidos. / y no está en servicio activo en las fuerzas armadas de los Estados Unidos. / y NO SE ESPECIFICÓ si está en servicio activo en las fuerzas armadas de los Estados Unidos.

#### 4. OTHER MEMBERS UNDER AGE 17- OR OVER AGE 65

### **ENGLISH:**

I have listed a (AGE) year old (GENDER) resident. (She/He) is (RACE), and (not) (HISPANIC).

#### SPANISH:

He anotado (GENDER: una joven/una mujer/un joven/un hombre/una persona) de (AGE) años de edad. (Ella/Él) es de raza (RACE: blanca, negra o afro-americana, indígena americana o nativo(a) de Alaska, nativo(a) de Hawaii o de otra isla del pacífico, asiática, otra raza. / es de otra raza. / es de raza NO ESPECIFICADA). (HISPANIC: Ella es hispana / Él es hispano/Ella no es hispana / Él no es hispano/NO SE ESPECIFICÓ si es de origen hispano/latino).

¿Es esto correcto?

Yes [AGE FOR NEXT HH MEMBER, OTHERWISE VERIFY ROSTER DATA]
No [AGE]

### **VERIFY ROSTER DATA** [IF CONFIRM ROSTER = YES]

Rel (Relationship) SR (Y for Screening Respondent) A (Age)

### [UPON TAPPING ON ANY ROSTER LINE, SHOW REMAINING COLUMNS]

G (Gender: M, F, R) H (Hispanic: Y, N, U, R) R (Race: W, B, I, P, A, O) M (Military: Y, N, U, R) E (Eligibility: E, I)

### [IF CONFIRM ROSTER = YES AND MEMBERS 12 OR OLDER=1]

DO NOT READ TO RESPONDENT. WHEN READY, TAP CONTINUE TO MAKE SELECTION.

TO MAKE CORRECTIONS: TAP THE ROSTER LINE THAT YOU WANT TO EDIT, THEN TAP 'FUNCTIONS' AND 'EDIT.'

### [IF CONFIRM ROSTER = YES AND MEMBERS 12 OR OLDER>=2]

Necesito asegurarme que la lista está correcta. He anotado...

[READ AGES AND RELATIONSHIPS ROSTERED].

TO MAKE CORRECTIONS: TAP THE ROSTER LINE THAT YOU WANT TO EDIT, THEN TAP 'FUNCTIONS' AND 'EDIT.'

**Continue** [START SELECTION]

#### START SELECTION

THE PROGRAM WILL START THE SELECTION PROCESS. ARE YOU SURE YOU ARE READY TO MAKE THE SELECTIONS?

Yes [RESPONDENT SELECTION] No [VERIFY ROSTER DATA]

#### **RESPONDENT SELECTION** [START SELECTION = YES]

Interview A

Roster #: (Roster # of selected member, None) QuestID: (7-digit Questionnaire ID, BLANK) Relation: (Relationship to householder, BLANK)

Age: (AGE, BLANK) Sex: (M, F, BLANK)
Race: (W, B, I, P, A, O, BLANK) Hispanic: (Y, N, BLANK)

Interview B

Roster #: (Roster # of selected member, None) QuestID: (7-digit Questionnaire ID, BLANK) Relation: (Relationship to householder, BLANK)

Age: (AGE, BLANK)

Race: (W, B, I, P, A, O, BLANK)

Sex: (M, F, BLANK)

Hispanic: (Y, N, BLANK)

# **NSDUH DR Screening Application Specifications Section 3**

### **GQU** Screening - English

#### **Document Format:**

- Screen names bolded
- Screen/question/instructional text designated by black and red text and non-italicized text in parenthesis (Upper-lower black text to be read, red text is instructions to FI)
- Fills designated by parenthesis and italics
- Logic designated by brackets
- Text of instructional message boxes provided in bracketed logic
- Response categories underlined

#### **SDU CHARACTERISTIC**

#### **DU ADDRESS (LARGE FONT)**

#### CHECK ALL THAT APPLY. TOUCH HELP FOR ADDITIONAL INFORMATION.

- 1. House/Single Unit
- 2. Multi-unit, 2-9 units
- 3. Multi-unit, 10-49 units
- 4. Multi-unit, 50+ units
- 5. Military Base
- 6. Student Housing
- 7. Native American Tribal Land
- 8. Senior Housing/Assisted Living
- 9. Other GQU
- 10. Empty Lot

Next [If at least one item selected, CONTROLLED ACCESS TYPE. If no items selected, CONFLICT- You must provide a SDU Characteristic, Ok, SDU CHARACTERISTIC]

@WrongAddr [SELECT CASE]

#### CONTROLLED ACCESS TYPE [IF SDU CHARACTERISTIC NE BLANK]

#### **DU ADDRESS (LARGE FONT)**

#### CHECK ALL THAT APPLY. TOUCH HELP FOR ADDITIONAL INFORMATION.

- 1. None
- 2. Guard/Door Person/Staff/Manager
- 3. Locked main entrance/gate, no intercom/buzzer
- 4. Locked main entrance/gate with intercom/buzzer, no unit address labels
- 5. Locked main entrance/gate with intercom/buzzer, with unit address labels

Next [If at least one item selected, STUDY INTRODUCTION. If no items selected, CONFLICT- You must provide a Controlled Access Type, Ok, CONTROLLED ACCESS TYPE] [Physical Feature Data (SDU CHARACTERISTIC and CONTROLLED ACCESS TYPE) from this initial visit to the DU is saved and transmitted to RTI during next transmission, regardless of the outcome of the ROC (pending or final).]

@WrongAddr [SELECT CASE]

#### **STUDY INTRODUCTION** [IF CONTROLLED ACCESS TYPE NE BLANK]

Hello, my name is (FI NAME) with RTI International in North Carolina. We are conducting a nationwide study sponsored by the U.S. Department of Health and Human Services.

You should have received a letter explaining the study.

Next [IDENTIFY SR]

#### **IDENTIFY SR** [IF STUDY INTRODUCTION = NEXT]

First, just let me verify: do you live here?

IF NOT OBVIOUS:

And are you 18 or older?

IF NO TO EITHER, ASK FOR AN ADULT RESIDENT, TOUCH "PREVIOUS", AND BEGIN AGAIN.

SR Available [ADDRESS VERIFICATION]
SR Not Available [EXIT SCREENING: "ARE YOU SURE YOU WANT TO EXIT SCREENING?" IF Yes, RECORD OF CALLS. IF No, IDENTIFY SR.]

#### **ADDRESS VERIFICATION** [IF IDENTIFY SR = SR AVAILABLE]

I just need to verify -- is this

STREET: (NUMBER AND STREET)

CITY: (CITY) STATE: (STATE) ZIP: (ZIP)

Address Is Correct [INFORMED CONSENT]
Need to Edit Address [EDIT ADDRESS]
FI At Wrong Address [SELECT CASE]

#### **EDIT ADDRESS** [IF ADDRESS VERIFICATION = NEED TO EDIT ADDRESS]

#### TOUCH THE ITEM YOU NEED TO EDIT

STREET #: (NUMBER) STREET: (STREET) CITY: (CITY) STATE: (STATE) ZIP: (ZIP)

<u>Update</u> [SAVE UPDATED ADDRESS, THEN **INFORMED CONSENT**]

# **INFORMED CONSENT** [IF ADDRESS VERIFICATION = ADDRESS IS CORRECT-CONTINUE OR EDIT ADDRESS = UPDATE]

#### GIVE PERSON STUDY DESCRIPTION AND SAY

Please read this statement. It describes the survey and the legislation that assures the confidentiality of any information you provide. It also explains that your answers are used for statistical purposes only and that your participation is voluntary. If anyone is selected for the full interview, that person will receive \$30 in cash after the interview is completed.

Next [TRANSIENT]

#### **TRANSIENT** [IF INFORMED CONSENT = CONTINUE]

INTERVIEWER: IS THIS GOU A TRANSIENT SHELTER?

Yes [UNIT TYPE]
No [OCCUPANCY]

#### **UNIT TYPE** [IF TRANSIENT = YES]

#### ARE THE LISTED UNITS...

ROOMS [TOTAL GQU MEMBERS]
BEDS, OR [ROSTER #1]
PERSONS? [ROSTER #1]

#### **OCCUPANCY** [IF TRANSIENT = NO]

(*Did/Will*) you or anyone else in this room live here for most of the time during the months of (*CURRENT QUARTER*)?

#### Yes [TOTAL GQU MEMBERS]

#### **No [OCCUPANCY – CONFIRMATION:**

YOUR 'NO' RESPONSE WILL CONCLUDE THIS SCREENING AND FINALIZE THIS CASE. DO YOU WANT TO CHANGE YOUR ANSWER? ('YES' WILL CONTINUE SCREENING. 'NO' WILL FINALIZE THE CASE.) IF <u>Yes</u>, **TOTAL GQU MEMBERS**. IF No, **VERIFICATION**.]

#### **TOTAL GQU MEMBERS** [IF OCCUPANCY = YES OR IF UNIT TYPE = ROOMS]

[IF TRANSIENT = YES AND UNIT TYPE = ROOMS] (Including yourself) How many people are staying in this room?

[IF TRANSIENT = NO] (Including yourself) How many people (*lived/will live*) in this room for most of the time during the months of (*CURRENT QUARTER*)?

#### ENTER NUMBER 1-20 [MEMBERS 12 OR OLDER]

[IF TOTAL GQU MEMBERS = 1, **ONLY MEMBER: CONFIRM RESPONSE**: IS THERE ONLY 1 PERSON LIVING HERE? IF No, **TOTAL GQU MEMBERS**. IF Yes AND UNIT TYPE = ROOMS, **ROSTER #1 AGE**. IF Yes AND TRANSIENT = NO, **ROSTER**: IS THIS SCREENING RESPONDENT THE ONE ELIGIBLE RESIDENT OF THE DU? IF Yes, **ROSTER #1 AGE** USING 'YOUR' FILL. IF No, **ROSTER #1 AGE** USING 'THIS PERSON' FILL].

#### **MEMBERS 12 OR OLDER** [IF TOTAL GOU MEMBERS NE BLANK AND >1]

[IF TRANSIENT = YES] How many of these [TOTAL GQU MEMBERS] people are now age 12 or older.

[IF TRANSIENT = NO] Of these [TOTAL GQU MEMBERS] people, how many are now age 12 or older?

#### ENTER SUBSET OF TOTAL GQU MEMBERS ROSTER # AGE

[IF MEMBERS 12 OR OLDER = 1, **ONLY ELIGIBLE MEMBER: "CONFIRM RESPONSE:** IS THERE ONLY 1 PERSON AGE 12 OR OLDER IN THIS UNIT?" IF <u>No</u>, **MEMBERS 12 OR OLDER**. IF <u>Yes</u> AND UNIT TYPE = ROOMS **ROSTER # 1 AGE**. IF <u>Yes</u> AND TRANSIENT = NO, **ROSTER:** IS THIS SCREENING RESPONDENT THE ONE ELIGIBLE RESIDENT OF THE DU? IF <u>Yes</u>, **ROSTER #1 AGE** USING 'YOUR' FILL. IF <u>No</u>, **ROSTER #1 AGE** USING 'THIS PERSON' FILL].

## **ROSTER #1 AGE** [IF MEMBERS 12 OR OLDER NE BLANK OR TOTAL GQU MEMBERS = 1 OR IF UNIT TYPE = BEDS OR PERSONS]

Please tell me your age on your last birthday.

ENTER AGE [ROSTER #1 NAME]

#### **ROSTER #1 NAME** [IF ROSTER #1 AGE NE BLANK]

What is your first name?

ENTER FIRST NAME [ROSTER #1 GENDER]

#### **ROSTER #1 GENDER** [IF ROSTER #1 NAME NE BLANK]

#### ASK ONLY IF NOT OBVIOUS

Is this person male or female?

Male

Female

[ROSTER #1 HISPANIC]

#### **ROSTER #1 HISPANIC** [IF ROSTER #1 GENDER NE BLANK]

Are you of Hispanic, Latino or Spanish origin?

(That is, do any of these groups describe your national origin or ancestry – Puerto Rican, Cuban, Cuban-American, Mexican, Mexican-American, Chicano, Central or South American, or origin in some other Spanish-speaking country?)

Yes

No

[ROSTER #1 RACE]

#### **ROSTER #1 RACE** [IF ROSTER #1 HISPANIC NE BLANK]

Are you White, Black or African American, American Indian or Alaska Native, Native Hawaiian or other Pacific Islander, or Asian?

White

Black or African American

American Indian or Alaska Native

Native Hawaiian/other Pacific Islander

Asian

Other

(CHECK ALL THAT APPLY)

#### [ROSTER #1 MILITARY OR IF ROSTER #1 AGE NE 17-65 CONFIRM ROSTER]

#### **ROSTER #1 MILITARY** [IF ROSTER #1 RACE NE BLANK AND ROSTER #1 AGE = 17-65]

Are you currently on active duty in the United States military?

Yes

No

Refused

[CONFIRM ROSTER]

#### CONFIRM ROSTER [IF ROSTER #1 MILITARY NE BLANK OR IF ROSTER #1 AGE NE 17-65 AND ROSTER #1 RACE NE BLANK]

### [IF RACE, HISPANIC, AND/OR MILITARY IS 'OTHER', 'DK', OR 'REF' OMIT RESPECTIVE FILLS FROM CONFIRM ROSTER SCREEN.]

I have listed you as a (AGE) year old (GENDER) resident. You are (RACE), (HISPANIC) [IF AGE =17-65] and  $(are/are\ not)$  on active duty in the United States military.

Is that correct?

Yes [IF MEMBERS 12 OR OLDER  $\geq$  2 OTHER MEMBERS. IF UNIT TYPE = BEDS OR PERSONS OR UNIT TYPE = ROOMS AND TOTAL GQU MEMBERS OR MEMBERS 12 OR OLDER =1 VERIFY ROSTER DATA]

No [ROSTER #1 AGE]

#### **OTHER MEMBERS** [IF CONFIRM ROSTER = YES AND MEMBERS 12 OR OLDER $\geq$ 2]

#### READ TO RESPONDENT:

Now I need some general information about (the other person/all of the other people) who (is/are) 12 years old or older. [IF MEMBERS 12 OR OLDER >2] Let's start with the oldest and work down to the youngest.

Next [AGE]

#### **AGE** [IF OTHER MEMBERS NE BLANK OR CONFIRM ROSTER = NO]

[IF MEMBERS 12 OR OLDER = 2] Please tell me the age of this person on his or her last birthday.

[IF MEMBERS 12 OR OLDER > 2 HH MEMBERS] Please tell me the age of the (*oldest/next oldest*) person on his or her last birthday.

ENTER AGE [NAME]

#### **NAME** [IF AGE NE BLANK]

What is this person's first name?

ENTER FIRST NAME [GENDER]

#### **GENDER** [IF NAME NE BLANK]

#### ASK ONLY IF NOT OBVIOUS

Is this person male or female?

Male

Female

Refused

[HISPANIC]

#### **HISPANIC** [IF GENDER NE BLANK]

(Is he/Is she/Is this person) of Hispanic, Latino or Spanish origin?

(That is, do any of these groups describe (*his/her/their*) national origin or ancestry – Puerto Rican, Cuban, Cuban-American, Mexican, Mexican-American, Chicano, Central or South American, or origin in some other Spanish-speaking country?)

Yes

No

Unknown

Refused

[RACE]

#### **RACE** [IF HISPANIC NE BLANK]

(Is he/Is she/Is this person) White, Black or African American, American Indian or Alaska Native, Native Hawaiian or other Pacific Islander, or Asian?

White

Black or African American

American Indian or Alaska Native

Native Hawaiian/other Pacific Islander

Asian

Other

Unknown

Refused

#### (CHECK ALL THAT APPLY)

[IF AGE 17-65, MILITARY, OTHERWISE CONFIRM ROSTER]

#### **MILITARY** [IF RACE NE BLANK AND AGE 17-65]

(Is he/Is she/Is this person) currently on active duty in the United States military?

Yes

<u>No</u>

**Unknown** 

Refused

#### [CONFIRM ROSTER]

### **CONFIRM ROSTER** [IF AGE 17-65 AND MILITARY IS NE BLANK, OTHERWISE RACE NE BLANK]

### [IF RACE, HISPANIC, AND/OR MILITARY IS 'OTHER', 'DK', OR 'REF' OMIT RESPECTIVE FILLS FROM CONFIRM ROSTER SCREEN.]

I have listed a (AGE) year old (GENDER) resident. (He/She) is (RACE), (HISPANIC) [IF AGE 17-65] and (is/is not) on active duty in the United States military.

Is that correct?

Yes [AGE FOR NEXT GQU MEMBER, OTHERWISE VERIFY ROSTER DATA]
No [AGE]

#### **VERIFY ROSTER DATA** [IF CONFIRM ROSTER = YES]

Name (First Name)

A (Age)

SR (*Y for Screening Respondent*)

### [UPON TOUCHING ON ANY ROSTER LINE, SHOW SELECT SHOW DETAILS, ALL ROSTER INFORMATION]

G (*Gender*: *M*, *F*, *R*)

H (Hispanic: Y, N, U, R)

R (Race: W, B, I, P, A, O)

M (Military: Y, N, U, R)

E (Eligibility: E, I)

#### [IF CONFIRM ROSTER = YES AND MEMBERS 12 OR OLDER=1]

DO NOT READ TO RESPONDENT. WHEN READY, TOUCH NEXT TO MAKE SELECTION.

TO MAKE CORRECTIONS: TOUCH AND HOLD THE ROSTER LINE THAT YOU WANT TO EDIT, THEN TOUCH 'EDIT' FROM THE POP-UP MENU.

#### [IF CONFIRM ROSTER = YES AND MEMBERS 12 OR OLDER>=2]

I need to make sure this list is accurate. I have listed...

[READ LIST OF OCCUPANTS' AGES AND NAMES].

TO MAKE CORRECTIONS: TOUCH AND HOLD THE ROSTER LINE THAT YOU WANT TO EDIT, THEN TOUCH 'EDIT' FROM THE POP-UP MENU.

[FUNCTIONS TO ADD ROSTER MEMBERS AND/OR EDIT ROSTER DATA]

Next [START SELECTION]

#### **START SELECTION**

THE PROGRAM WILL START THE SELECTION PROCESS. ARE YOU SURE YOU ARE READY TO MAKE THE SELECTIONS?

Yes [RESPONDENT SELECTION] No [VERIFY ROSTER DATA]

#### **RESPONDENT SELECTION** [START SELECTION = YES]

Interview A

Roster #: (Roster # of selected member, None) QuestID: (7-digit Questionnaire ID, BLANK)

Name: (First Name, BLANK)

Age: (AGE, BLANK) Sex: (M, F, BLANK)
Race: (W, B, I, P, A, O, BLANK) Hispanic: (Y, N, BLANK)

Interview B

Roster #: (Roster # of selected member, None) QuestID: (7-digit Questionnaire ID, BLANK)

Name: (First Name, BLANK)

Age: (AGE, BLANK) Sex: (M, F, BLANK)
Race: (W, B, I, P, A, O, BLANK) Hispanic: (Y, N, BLANK)

### NSDUH DR Screening Application Specifications Section 4 GQU Screening - Spanish

#### **Document Format:**

- Screen names bolded
- Screen/question/instructional text designated by black and red text and non-italicized text in parenthesis (Upper-lower black text to be read, red upper case text is instructions to FI)
- Fills designated by parenthesis and italics
- Logic designated by brackets
- Text of instructional message boxes provided in bracketed logic
- Response categories underlined

#### SDU CHARACTERISTIC

**DU ADDRESS (LARGE FONT)** 

#### CHECK ALL THAT APPLY. TAP "?" FOR ADDITIONAL INFORMATION.

- 1. House/Single Unit
- 2. Multi-unit, 2-9 units
- 3. Multi-unit, 10-49 units
- 4. Multi-unit, 50+ units
- 5. Military Base
- 6. Student Housing
- 7. Native American Tribal Land
- 8. Senior Housing/Assisted Living
- 9. Other GQU
- 10. Empty Lot

<u>Continue</u> [If at least one item selected, **CONTROLLED ACCESS TYPE**. If no items selected, **CONFLICT**- *You must provide a SDU Characteristic*, **Ok**, **SDU CHARACTERISTIC**]

FlatWrongAddress [SELECT CASE]

#### CONTROLLED ACCESS TYPE [IF SDU CHARACTERISTIC NE BLANK]

#### **DU ADDRESS (LARGE FONT)**

#### CHECK ALL THAT APPLY. TAP "?" FOR ADDITIONAL INFORMATION.

- 1. None
- 2. Guard/Door Person/Staff/Manager
- 3. Locked main entrance/gate, no intercom/buzzer
- 4. Locked main entrance/gate with intercom/buzzer, no unit address labels
- 5. Locked main entrance/gate with intercom/buzzer, with unit address labels

Continue [If at least one item selected, STUDY INTRODUCTION. If no items selected, CONFLICT- You must provide a Controlled Access Type, Ok, CONTROLLED ACCESS TYPE] [Physical Feature Data (SDU CHARACTERISTIC and CONTROLLED ACCESS TYPE) from this initial visit to the DU is saved and transmitted to RTI during next transmission, regardless of the outcome of the ROC (pending or final).]

FlatWrongAddress [SELECT CASE]

#### **STUDY INTRODUCTION** [IF CONTROLLED ACCESS TYPE NE BLANK]

(Buenas noches/Buenas tardes/Buenos días). Mi nombre es (FI NAME) " y trabajo para RTI International en Carolina del Norte. Estamos llevando a cabo un estudio nacional patrocinado por el Departamento de Salud y Servicios Humanos de los Estados Unidos.

Usted ha de haber recibido una carta explicándole el estudio.

Continue [IDENTIFY SR]

#### **IDENTIFY SR** [IF STUDY INTRODUCTION = CONTINUE]

Primero, déjeme verificar: ¿vive usted aquí?

#### IF NOT OBVIOUS:

Y ¿tiene 18 años de edad o más?

IF NO TO EITHER, ASK FOR AN ADULT RESIDENT, TAP BACK ARROW, AND BEGIN AGAIN.

SR Available [ADDRESS VERIFICATION]
SR Not Available [EXIT SCREENING: "ARE YOU SURE YOU WANT TO EXIT SCREENING?" IF Yes, RECORD OF CALLS. IF No, IDENTIFY SR.]

#### **ADDRESS VERIFICATION** [IF IDENTIFY SR = SR AVAILABLE]

Solamente necesito confirmar que ésta es

STREET: (NUMBER AND STREET)

CITY: (CITY) STATE: (STATE) ZIP: (ZIP)

<u>Address Is Correct – Continue</u> [INFORMED CONSENT]

Need to Edit Address [EDIT ADDRESS]
FI At Wrong Address [SELECT CASE]

#### **EDIT ADDRESS** [IF ADDRESS VERIFICATION = NEED TO EDIT ADDRESS]

#### TAP THE ITEM YOU NEED TO EDIT

ST. # (NUMBER) STREET: (STREET) CITY: (CITY)

**STATE**: (STATE) **ZIP**: (ZIP)

Update [SAVE UPDATED ADDRESS, THEN **INFORMED CONSENT**]

### **INFORMED CONSENT** [IF ADDRESS VERIFICATION = ADDRESS IS CORRECT-CONTINUE OR EDIT ADDRESS = UPDATE]

#### GIVE PERSON STUDY DESCRIPTION AND SAY

Por favor lea esta declaración, la cual describe la encuesta y la legislación que asegura la confidencialidad de cualquier información que usted nos dé. También explica que sus respuestas son usadas con propósitos estadísticos únicamente y que su participación es voluntaria. Si alguien es seleccionado(a) para participar en la entrevista en su totalidad, dicha persona recibirá \$30 dólares en efectivo después de haber completado la entrevista.

**Continue** [TRANSIENT]

#### **TRANSIENT** [IF INFORMED CONSENT = CONTINUE]

INTERVIEWER: IS THIS GQU A TRANSIENT SHELTER?

Yes [UNIT TYPE]
No [OCCUPANCY]

#### **UNIT TYPE** [IF TRANSIENT = YES]

#### ARE THE LISTED UNIT...

ROOMS [TOTAL GQU MEMBERS]
BEDS, OR [ROSTER #1]
PERSONS? [ROSTER #1]

#### **OCCUPANCY** [IF TRANSIENT = NO]

(¿Vivió/¿Vivirá) usted o alguna otra persona en este cuarto la mayor parte del tiempo durante los meses de (CURRENT QUARTER)?

#### Yes [TOTAL GQU MEMBERS] N

No [OCCUPANCY – CONFIRMATION:
YOUR 'NO' RESPONSE WILL
CONCLUDE THIS SCREENING
AND FINALIZE THIS CASE. DO
YOU WANT TO CHANGE YOUR
ANSWER? ('YES' WILL CONTINUE
SCREENING. 'NO' WILL FINALIZE THE
CASE.) IF YES, TOTAL GQU MEMBERS. IF
NO, VERIFICATION.]

#### **TOTAL GQU MEMBERS** [IF OCCUPANCY = YES OR IF UNIT TYPE = ROOMS]

[IF TRANSIENT = YES AND UNIT TYPE = ROOMS] (*Incluyéndose a sí mismo*), ¿cuántas personas viven en este cuarto?

[IF TRANSIENT = NO] (*Incluyendose a sí mismo*), ¿cuántas personas (*vivieron/vivirán*) en este cuarto la mayor parte del tiempo entre los meses de (*CURRENT QUARTER*)?

#### ENTER NUMBER 1-20 [MEMBERS 12 OR OLDER]

[IF TOTAL GQU MEMBERS = 1, **ONLY MEMBER: CONFIRM RESPONSE**: IS THERE ONLY 1 PERSON LIVING HERE? IF <u>NO</u>, **TOTAL GQU MEMBERS**. IF <u>YES</u> AND UNIT TYPE = ROOMS, **ROSTER #1 AGE**. IF <u>YES</u> AND TRANSIENT = NO, **ROSTER**: IS THIS SCREENING RESPONDENT THE ONE ELIGIBLE RESIDENT OF THE DU? IF <u>YES</u>, **ROSTER #1 AGE** USING 'YOUR' FILL. IF <u>NO</u>, **ROSTER #1 AGE** USING 'THIS PERSON' FILL].

#### **MEMBERS 12 OR OLDER** [IF TOTAL GQU MEMBERS NE BLANK AND >1]

[IF TRANSIENT = YES] ¿Cuántas de estas [TOTAL GQU MEMBERS] personas tienen 12 años o más de edad actualmente?"

[IF TRANSIENT = NO] De estas [TOTAL GQU MEMBERS] personas, ¿cuántas tienen 12 años o más actualmente?

#### ENTER SUBSET OF TOTAL GQU MEMBERS ROSTER # 1 AGE

[IF MEMBERS 12 OR OLDER = 1, **ONLY ELIGIBLE MEMBER: "CONFIRM RESPONSE:** IS THERE ONLY 1 PERSON AGE 12 OR OLDER IN THIS UNIT?" IF <u>NO</u>, **MEMBERS 12 OR OLDER**. IF <u>YES</u> AND UNIT TYPE = ROOMS **ROSTER # 1 AGE**. IF YES AND TRANSIENT = NO, "**ROSTER:** IS THIS SCREENING RESPONDENT THE ONE ELIGIBLE RESIDENT OF THE DU?" IF <u>YES</u>, **ROSTER #1 AGE** USING 'YOUR' FILL. IF <u>NO</u>, **ROSTER #1 AGE** USING 'THIS PERSON' FILL].

## **ROSTER #1 AGE** [IF MEMBERS 12 OR OLDER NE BLANK OR TOTAL GQU MEMBERS = 1 OR IF UNIT TYPE = BEDS OR PERSONS]

Por favor dígame su edad en su último cumpleaños.

ENTER AGE [ROSTER #1 NAME]

#### **ROSTER #1 NAME** [IF ROSTER #1 AGE NE BLANK]

¿Cuál es su nombre (sin apellido)?

ENTER FIRST NAME [ROSTER #1 GENDER]

#### **ROSTER #1 GENDER** [IF ROSTER #1 NAME NE BLANK]

#### ASK ONLY IF NOT OBVIOUS

¿Es esta persona hombre o mujer?

Male

Female

[ROSTER #1 HISPANIC]

#### **ROSTER #1 HISPANIC** [IF ROSTER #1 GENDER NE BLANK]

¿Es usted de origen hispano, latino o español?

(Es decir que su origen nacional o descendencia se puede describir como puertorriqueño, cubano, cubano-americano, mexicano, mexicano-americano, chicano, centro o sudamericano, o es nativo(a) de otro país donde se habla español?)

<u>Yes</u>

No

[ROSTER #1 RACE]

#### **ROSTER #1 RACE** [IF ROSTER #1 HISPANIC NE BLANK]

¿Es usted de raza blanca, negra o afro-americana, indígena americana o nativo(a) de Alaska, nativo(a) de Hawaii o de otra isla del pacífico, o asiática?

#### (CHECK ALL THAT APPLY)

White

Black or African American

American Indian or Alaska Native

Native Hawaiian/other Pacific Islander

Asian

Other

[ROSTER #1 MILITARY OR IF ROSTER #1 AGE NE 17-65 CONFIRM ROSTER]

#### **ROSTER #1 MILITARY** [IF ROSTER #1 RACE NE BLANK AND ROSTER #1 AGE = 17-65]

¿Está usted actualmente en servicio activo en las fuerzas armadas de los Estados Unidos?

<u>Yes</u>

No

Refused

[CONFIRM ROSTER]

## **CONFIRM ROSTER** [IF ROSTER #1 MILITARY NE BLANK OR IF ROSTER #1 AGE NE 17-65 AND ROSTER #1 RACE NE BLANK]

There are two translation variations. English is also provided here for clarification.

#### 1. ROSTER #1 AGE 17-65

#### **ENGLISH:**

I have listed you as a (AGE) year old (GENDER) resident. You are (RACE), (HISPANIC), and (are/are not) on active duty in the United States military.

#### SPANISH:

(MALE:Lo)(FEMALE: La) he anotado a usted, (GENDER: una joven/una mujer/un joven/un hombre/una persona) de (AGE) años de edad. (Usted) es de raza (RACE: blanca, negra o afro-americana, indígena americana o nativo(a) de Alaska, nativo(a) de Hawaii o de otra isla del pacífico, asiática; otra raza. / es de otra raza. / es de raza NO ESPECIFICADA). (HISPANIC: Usted es hispana / Usted es hispano/ Usted no es hispano/ NO SE ESPECIFICÓ si es de origen hispano/latino) (MILITARY: y está en servicio activo en las fuerzas armadas de los Estados Unidos. / y no está en servicio activo en las fuerzas armadas de los Estados Unidos. / y NO SE ESPECIFICÓ si está en servicio activo en las fuerzas armadas de los Estados Unidos).

#### 2. ROSTER #1 UNDER AGE 17 OR OVER AGE 65

#### **ENGLISH:**

I have listed you as a (AGE) year old (GENDER) resident. You are (RACE), and (not) (HISPANIC).

#### SPANISH:

(MALE:Lo)(FEMALE: La) he anotado a usted, (GENDER: una joven/una mujer/un joven/un hombre/una persona) de (AGE) años de edad. (Usted) es de raza (RACE: blanca, negra o afro-americana, indígena americana o nativo(a) de Alaska, nativo(a) de Hawaii o de otra isla del pacífico, asiática, otra raza. / es de otra raza. / es de raza NO ESPECIFICADA). (HISPANIC: Usted es hispana / Usted es hispano/ Usted no es hispano / NO SE ESPECIFICÓ si es de origen hispano/latino).

¿Es esto correcto?

Yes [IF MEMBERS 12 OR OLDER ≥ 2 OTHER MEMBERS. IF UNIT TYPE = BEDS OR PERSONS OR UNIT TYPE = ROOMS AND TOTAL GQU MEMBERS OR MEMBERS 12 OR OLDER =1 VERIFY ROSTER DATA]

No [ROSTER #1 AGE]

#### **OTHER MEMBERS** [IF CONFIRM ROSTER = YES AND MEMBERS 12 OR OLDER $\geq$ 2]

#### **READ TO RESPONDENT:**

[IF MEMBERS 12 OR OLDER >2] Ahora necesito información general sobre todas las otras personas que tengan 12 años de edad o más. Empecemos con la persona mayor hasta llegar a la persona menor.

[IF MEMBERS 12 OR OLDER = 2] Ahora necesito información general sobre la otra persona que tenga 12 años de edad o más.

Continue [AGE]

#### **AGE** [IF OTHER MEMBERS NE BLANK OR CONFIRM ROSTER = NO]

[IF MEMBERS 12 OR OLDER = 2] Por favor dígame la edad de esta persona en su último cumpleaños.

[IF MEMBERS 12 OR OLDER > 2 HH MEMBERS] Por favor dígame la edad de la (*persona mayor/siguiente persona*) en su último cumpleaños.

ENTER AGE [NAME]

#### **NAME** [IF AGE NE BLANK]

¿Cuál es el nombre (sin apellido) de esta persona?

ENTER FIRST NAME [GENDER]

#### **GENDER** [IF NAME NE BLANK]

#### ASK ONLY IF NOT OBVIOUS

¿Es esta persona hombre o mujer?

Male

<u>Female</u>

Refused

[HISPANIC]

#### **HISPANIC** [IF GENDER NE BLANK]

¿Es (él/ella/esta persona) de origen hispano, latino o español?

(Es decir que su origen nacional o descendencia se puede describir como puertorriqueño, cubano, cubano-americano, mexicano, mexicano-americano, chicano, centro o sudamericano, o es nativo(a) de otro país donde se habla español?)

Yes

<u>No</u>

Unknown

Refused

[RACE]

#### **RACE** [IF HISPANIC NE BLANK]

¿Es (él/ella/esta persona) de raza blanca, negra o afro-americana, indígena americana o nativo(a) de Alaska, nativo(a) de Hawaii o de otra isla del pacífico, o asiática?

#### (CHECK ALL THAT APPLY)

White

Black or African American

American Indian or Alaska Native

Native Hawaiian/other Pacific Islander

Asian

Other

<u>Unknown</u>

Refused

[IF AGE 17-65, MILITARY, OTHERWISE CONFIRM ROSTER]

#### **MILITARY** [IF RACE NE BLANK AND AGE 17-65]

¿Está (él/ella/esta persona) actualmente en servicio activo en las fuerzas armadas de los Estados Unidos?

Yes

No

Unknown

Refused

#### [CONFIRM ROSTER]

## **CONFIRM ROSTER** [IF AGE 17-65 AND MILITARY IS NE BLANK, OTHERWISE RACE NE BLANK]

There are two translation variations. English is also provided here for clarification.

#### 1. OTHER MEMBERS AGES 17-65

#### **ENGLISH:**

I have listed a (AGE) year old (GENDER) resident. (She/He) is (RACE), (HISPANIC) and (is/is not) on active duty in the United States military.

#### SPANISH:

He anotado (GENDER: una joven/una mujer/un joven/un hombre/una persona) de (AGE) años de edad. (Ella /Él /Esta persona) es de raza (RACE: blanca, negra o afroamericana, indígena americana o nativo(a) de Alaska, nativo(a) de Hawaii o de otra isla del pacífico, asiática, otra raza. / es de otra raza. / es de raza NO ESPECIFICADA). (HISPANIC: Ella es hispana / Él es hispano/Esta persona es hispana / Ella no es hispana / Él no es hispano/Esta persona no es hispana / NO SE ESPECIFICÓ si es de origen hispano/latino) (MILITARY: y está en servicio activo en las fuerzas armadas de los Estados Unidos. / y no está en servicio activo en las fuerzas armadas de los Estados Unidos. / y NO SE ESPECIFICÓ si está en servicio activo en las fuerzas armadas de los Estados Unidos.

#### 2. OTHER MEMBERS UNDER AGE 17- OR OVER AGE 65

#### **ENGLISH:**

I have listed a (AGE) year old (GENDER) resident. (She/He) is (RACE), and (not) (HISPANIC).

#### SPANISH:

He anotado (GENDER: una joven/una mujer/un joven/un hombre/una persona) de (AGE) años de edad. (Ella/Él) es de raza (RACE: blanca, negra o afro-americana, indígena americana o nativo(a) de Alaska, nativo(a) de Hawaii o de otra isla del pacífico, asiática, otra raza. / es de otra raza. / es de raza NO ESPECIFICADA). (HISPANIC: Ella es hispana / Él es hispano/Ella no es hispana / Él no es hispano/NO SE ESPECIFICÓ si es de origen hispano/latino).

¿Es esto correcto?

Yes [AGE FOR NEXT GQU MEMBER, OTHERWISE VERIFY ROSTER DATA]
No [AGE]

#### **VERIFY ROSTER DATA** [IF CONFIRM ROSTER = YES]

Name (First Name) SR (Y for Screening Respondent) A (Age)

#### [UPON TAPPING ROSTER LINE SHOW **REMAINING COLUMNS**:]

G (Gender: M, F, R) H (Hispanic: Y, N, U, R) R (Race: W, B, I, P, A, O) M (Military: Y, N, U, R) E (Eligibility: E, I)

#### [IF CONFIRM ROSTER = YES AND MEMBERS 12 OR OLDER=1]

DO NOT READ TO RESPONDENT. WHEN READY, TAP CONTINUE TO MAKE SELECTION.

TO MAKE CORRECTIONS: TAP THE ROSTER LINE THAT YOU WANT TO EDIT, THEN TAP 'FUNCTIONS' AND 'EDIT.'

#### [IF CONFIRM ROSTER = YES AND MEMBERS 12 OR OLDER>=2]

I need to make sure this list is accurate. I have listed...

[READ LIST OF OCCUPANTS' AGES AND NAMES].

TO MAKE CORRECTIONS: TAP THE ROSTER LINE THAT YOU WANT TO EDIT, THEN TAP 'FUNCTIONS' AND 'EDIT.'

**Continue** [START SELECTION]

#### **START SELECTION**

THE PROGRAM WILL START THE SELECTION PROCESS. ARE YOU SURE YOU ARE READY TO MAKE THE SELECTIONS?

Yes [RESPONDENT SELECTION] No [VERIFY ROSTER DATA]

#### **RESPONDENT SELECTION** [START SELECTION = YES]

Interview A

Roster #: (Roster # of selected member, None) QuestID: (7-digit Questionnaire ID, BLANK)

Name: (First Name, BLANK)

Age: (AGE, BLANK) Sex: (M, F, BLANK)
Race: (W, B, I, P, A, O, BLANK) Hispanic: (Y, N, BLANK)

Interview B

Roster #: (Roster # of selected member, None) QuestID: (7-digit Questionnaire ID, BLANK)

Name: (First Name, BLANK)

Age: (AGE, BLANK)

Race: (W, B, I, P, A, O, BLANK)

Sex: (M, F, BLANK)

Hispanic: (Y, N, BLANK)

# NSDUH DR Screening Application Specifications Section 5

### **Select Case Screen Options**

#### **Document Format:**

- Screen/menu names bolded
- Screen/question/instructional text designated by black and red text and non-italicized text in parenthesis (Upper-lower black text to be read, red text is instructions to FI)
- Fills designated by parentheses and italics
- Logic designated by brackets
- Text of instructional message boxes provided in bracketed logic
- Response categories underlined
- Explanatory text in italics.

Select Case screen contains the date, time, battery level, CaseID, (list of cases), Address, Results for S (Screening), A (Interview A), and B (Interview) and menus detailed below.

#### **ACTIONS MENU** [ TOUCH AND HOLD CASE UNTIL ACTIONS MENU APPEARS]

Items on the Actions Menu are case specific. First a case is selected on the screen, then the action from the Actions Menu is selected.

Screen DU

Go To Record Of Calls (DISPLAY IF PHYSICAL FEATURE DATA ENTERED)

View Selections (DISPLAY IF 31, 32)

View Roster (DISPLAY IF 30, 31, 32)

Edit Address

Add Missed DU

Place Case On Hold/Take Case Off Hold

View Verification Information (DISPLAY IF 10, 13, 18, 22, 25, 26, 30)

#### SCREEN DU [TOUCH CASE, ACTIONS MENU, SCREEN DU]

[IF INITIAL ENTRY (NO PHYSICAL FEATURE DATA ENTERED), **SDU CHARACTERISTIC** – *FOR DETAILS, SEE SECTIONS 1 & 2*]

[IF PHYSICAL FEATURE DATA ENTERED, **STUDY INTRODUCTION** – FOR DETAILS, SEE SECTIONS 1 & 2]

[IF SCREENING COMPLETED, **RE-OPEN CASE** DISPLAYS ON ACTIONS MENU. **RE-OPENING CONFIRMATION:** "CASE (*CASEID*) HAS ALREADY BEEN COMPLETED. DO YOU WISH TO RE-OPEN THIS CASE? IF No, **SELECT CASE**. IF Yes, **CASE RE-OPEN CODE:** "ENTER THE CODE TO RE-OPEN THIS CASE, THEN TOUCH 'OK' TO CONTINUE." ENTER CORRECT CODE, OK, **SDU CHARACTERISTIC**]

## **GO TO RECORD OF CALLS** [TOUCH CASE, ACTIONS MENU, GO TO RECORD OF CALLS]

[IF PHYSICAL FEATURE DATA ENTERED, **RECORD OF CALLS** – FOR DETAILS SEE SECTION 6]

#### **VIEW SELECTIONS** [TOUCH CASE, ACTIONS MENU, VIEW SELECTIONS]

## **RESPONDENT SELECTION** [IF CASE COMPLETED WITH FINAL RESULT CODE = 30, 31, 32]

Interview A

Roster #: (Roster # of selected member, None)
QuestID: (7-digit Questionnaire ID, BLANK)
Relation: (Relationship to householder, BLANK)

Age: (AGE, BLANK) Sex: (M, F, BLANK)
Race: (W, B, I, P, A, O, BLANK) Hispanic: (Y, N, BLANK)

Interview B

Roster #: (Roster # of selected member, None) QuestID: (7-digit Questionnaire ID, BLANK) Relation: (Relationship to householder, BLANK)

Age: (AGE, BLANK) Sex: (M, F, BLANK)
Race: (W, B, I, P, A, O, BLANK) Hispanic: (Y, N, BLANK)

**Done** [SELECT CASE]

Roster [VERIFY ROSTER DATA]
ROC [RECORD OF CALLS]

#### **VIEW ROSTER** [TOUCH CASE, ACTIONS MENU, VIEW ROSTER]

[IF CASE COMPLETED WITH FINAL RESULT CODE = 30, 31, 32, **VERIFY ROSTER DATA**]

[VERIFY ROSTER DATA – FOR DETAILS, SEE SECTIONS 1 & 2]

Done [SELECT CASE]
Selections [PESPONDENT SELECTION

**Selections** [RESPONDENT SELECTION]

**EDIT ADDRESS** [TOUCH CASE, ACTIONS MENU, EDIT ADDRESS]

[EDIT ADDRESS – FOR DETAILS, SEE SECTIONS 1 & 2]

ADD MISSED DU [TOUCH CASE ACTIONS MENU, ADD MISSED DU]

[MISSED DU ADDRESS – FOR DETAILS, SEE SECTION 1]

[IF CASE SELECTED IS MISSED DU: **CANNOT ADD UNIT**: "YOU CANNOT ADD A MISSED DU FROM A DU THAT HAS BEEN ADDED." <u>OK</u>, **SELECT CASE**.]

[IF ATTEMPTING TO ADD A DU TO A GQU: MISSED GQU: "YOU CANNOT ADD MISSED GROUP QUARTERS UNITS (GQUS). YOU CAN ONLY ADD A MISSED HOUSING UNIT THAT IS ASSOCIATED WITH A SELECTED LINE IN A GROUP QUARTERS STRUCTURE. ARE YOU CERTAIN THE UNIT YOU WANT TO ADD IS A HOUSING UNIT AND NOT A GROUP QUARTERS UNIT?"]

Yes [READY TO ADD: "DO YOU WANT TO ADD AN HU LINKED TO CASE (CASEID)?" IF Yes, MISSED DU ADDRESS. IF No. SELECT CASE]

No [CALL FS: "IF THERE ARE MISSED GROUP QUARTERS UNITS IN THE STRUCTURE, OBTAIN INFORMATION ABOUT ALL MISSED UNITS THEN CALL YOUR FS." OK, SELECT CASE.]

#### PLACE CASE ON HOLD [TOUCH CASE, ACTIONS MENU, PLACE CASE ON HOLD]

This menu option toggles between "Place Case On Hold" and "Take Case Off Hold," depending on the on-hold status of the case. When a case is on hold, data for that case are transmitted, but are not pulled into the control system—the data is maintained in a separate location to be accessed, as necessary. This allows putting a case 'On Hold' for troubleshooting.

[IF CASE NOT ON HOLD, **PLACE CASE ON HOLD:** "ARE YOU SURE YOU WANT TO PUT CASE (*CASEID*) ON HOLD?" IF <u>No</u>, **SELECT CASE** WITH CASE NOT ON HOLD. IF <u>Yes</u>, **SELECT CASE** WITH 'On Hold' NEXT TO CASEID.]

[IF CASE ON HOLD, **TAKE CASE OFF HOLD:** "ARE YOU SURE YOU WANT TO TAKE CASE (*CASEID*) OFF HOLD?" IF <u>No</u>, **SELECT CASE** WITH CASE ON HOLD. IF <u>Yes</u>, **SELECT CASE** WITH 'On Hold" REMOVED AND CASE NOT ON HOLD.]

**VIEW VERIFICATION INFORMATION** [IF VERIFICATION INFORMATION AVAILABLE, **VERIFICATION**.]

# **ADMINISTRATIVE TOOLS MENU** [SELECT CASE, FUNCTIONS, ADMINISTRATIVE TOOLS]

Items on Administrative Tools Menu are administrative.

Set Name and Id
Enter PT&E Data
View Transmitted PT&E Data
Reconcile Missed DUs
Reload Training Cases
Erase Training Cases
Call Distribution

### **SET NAME AND ID** [SELECT CASE, FUNCTIONS, ADMINISTRATIVE TOOLS, SET NAME AND ID]

## **NSDUH SYSTEM IDENTIFICATION** [SELECT CASE, ADMINISTRATIVE TOOLS, SET NAME AND ID]

Government ID: (Government ID issued to iPAQ)

FIID: (RTI FI ID Number)

First Name: (FI First Name to be used on Study Introduction screen)
Last Name: (FI Last Name to be used on Study Introduction screen)

<u>Save</u> [SELECT CASE]<u>Cancel</u> [SELECT CASE]

## **ENTER PT&E DATA** [SELECT CASE, FUNCTIONS, ADMINISTRATIVE TOOLS, ENTER PT&E DATA]

### **PT&E DATA** [SELECT CASE, FUNCTIONS, ADMINISTRATIVE TOOLS, ENTER PT&E, ADD]

Week: (Payroll week beginning date)

Quarter: 1 2, 3, 4 (Current quarter selected as default) FS (Drop-down list of FS names who have hired FI) Task (Drop-down list of possible task numbers)

Hours: (.25-99.99) Miles: (1-999) Expense: (.01-1500) Notes: (Text entry field)

<u>Done</u> [CHECKS FOR COMPLETE DATA ENTRY, **ANOTHER PT&E**: 'DO YOU HAVE ANOTHER PT&E SUMMARY RECORD
TO ENTER?" IF <u>No</u>, **PTE SUMMARY**. IF Yes, **PT&E DATA** FOR
NEW ENTRY.

Cancel [CANCEL ENTRY: "ARE YOU SURE YOU WANT TO CANCEL THE ENTRY OF THIS RECORD?" IF <u>Yes</u>, **PTE** SUMMARY. IF <u>No</u>, **PT&E DATA**.

Clear [PT&E DATA WITH ENTRY CLEARED.]

#### PTE SUMMARY

Week (First date of work week)

Quarter: 1, 2, 3, 4)

Task (Task number)

FS (FS Name)

Hours (Hours)

Miles (Miles)

Expenses (Expenses)

Notes (Notes)

WEEK: **QUARTER:** 

FS:

TASK:

**NOTES:** HRS:

EXP:

Done [SELECT CASE] Add [PT&E DATA]

Transmitted? [IF NO TRANSMITTED PT&E RECORDS, "NO

TRANSMITTED PT&E RECORDS FOUND" TOUCH "Transmitted?" AGAIN TO DISPLAY UNTRANSMITTED RECORDS. IF NO UNTRANSMITTED PT&E RECORDS. "NO UNTRANSMITTED PT&E RECORDS FOUND" TOUCH "Transmitted?" AGAIN TO DISPLAY TRANSMITTED

RECORDS.

IF TRANSMITTED PT&E RECORD(S), PTE SUMMARY WITHOUT OPTION TO ADD, EDIT, DELETE.

IF UNTRANSMITTED PT&E RECORD(S), PTE SUMMARY WITH ADD, EDIT, DELETE OPTIONS.]

EDIT [PT&E SUMMARY: TOUCH AND HOLD LINE TO SELECT, ACTIONS, EDIT, **PT&E DATA**.]

**DELETE PT&E SUMMARY:** TOUCH AND HOLD LINE TO SELECT, ACTIONS, DELETE. PT&E DELETE CONFIRMATION: "ARE YOU SURE YOU WANT TO DELETE THIS PT&E RECORD?" IF No, PTE SUMMARY. IF Yes, PTE SUMMARY WITH RECORD DELETED.1

VIEW TRANSMITTED PT&E DATA [SELECT CASE, FUNCTIONS, ADMINISTRATIVE TOOLS,

VIEW TRANSMITTED PT&E DATA1

[IF NO TRANSMITTED PT&E RECORDS, NO RECORD: "THERE IS CURRENTLY NO TRANSMITTED PTE RECORD." OK, SELECT CASE.

[IF TRANSMITTED PT&E RECORD(S): PTE SUMMARY WITHOUT OPTION TO ADD, EDIT, DELETE.]

PTE SUMMARY (WITHOUT OPTION TO ADD, EDIT, DELETE)

Week (First date of work week) Quarter (Quarter: 1, 2, 3, 4)

Task (Task number)

FS (FS Name)

Hours (Hours)

Miles (Miles)

Expense (Expenses)

Notes (Notes)

WEEK: QUARTER:

FS:

TASK:

HRS: NOTES:

EXP:

<u>Done</u> [SELECT CASE] Add [**PT&E DATA**]

Transmitted? [IF NO TRANSMITTED PT&E RECORDS, "NO

TRANSMITTED PT&E RECORDS FOUND" TOUCH "Transmitted?" AGAIN TO DISPLAY UNTRANSMITTED RECORDS. IF NO UNTRANSMITTED PT&E RECORDS, "NO UNTRANSMITTED PT&E RECORDS FOUND" TOUCH "Transmitted?" AGAIN TO DISPLAY TRANSMITTED RECORDS.

IF TRANSMITTED PT&E RECORD(S), **PTE SUMMARY** WITHOUT OPTION TO ADD, EDIT, DELETE.

IF UNTRANSMITTED PT&E RECORD(S), **PTE SUMMARY** WITH ADD, EDIT, DELETE OPTIONS.]

**RECONCILE MISSED DUS** [SELECT CASE, FUNCTIONS, ADMINISTRATIVE TOOLS, RECONCILE MISSED DUS]

[IF NO MISSED DUS TO BE RECONCILED, NO MISSED DUS: "THERE ARE NO MISSED DUS TO RECONCILE." OK, SELECT CASE.]

[IF MISSED DUS TO BE RECONCILED, **SEGMENTS AND MISSED DUS**]

**SEGMENTS AND MISSED DUs** [SELECT CASE, FUNCTIONS, ADMINISTRATIVE TOOLS, RECONCILE MISSED DUs]

SEGMENT (SEGID) STREET ADDRESS (MISSED DU STREET ADDRESS)

TO RECONCILE A SEGMENT: TOUCH AND HOLD ON THE LINE, THEN TOUCH 'RECONCILE' FROM THE POP-UP MENU.

Reconcile [RECONCILATION CONFIRMATION: "YOU WILL

BE REQUIRED TO RECONCILE ALL DUS WITHIN THE

SELECTED SEGMENTS. ARE YOU READY TO PROCEED?" IF

No, SEGMENTS AND MISSED DUS. IF Yes, MDU-SEGMENT

KIT CHECK.]

Exit [SELECT CASE]

**MDU-SEGMENT KIT CHECK** [SEGMENTS AND MISSED DUS = RECONCILE AND RECONCILATION CONFIRMATION = YES]

Link: (line number and address of link line case)

INTERVIEWER: CONSULT YOUR SEGMENT KIT AND ANSWER THE FOLLOWING QUESTION(S):

IS THE UNIT THAT YOU ARE ATTEMPTING TO ADD

(Missed DU Street Address)

ALREADY ON THE HANDWRITTEN LIST OF DWELLING UNITS?

(MAKE SURE YOU ARE LOOKING AT THE FULL LIST OF DWELLING UNITS, NOT THE SELECTED DU LIST.)

Yes (UNIT NOT ADDED) [IF ANOTHER MISSED DU, NEXT
MISSED DU: "PLEASE ANSWER THE FOLLOWING QUESTIONS
TO RECONCILE THE NEXT MISSED DU." OK, MDU-SEGMENT
KIT CHECK FOR NEXT MISSED DU.]
[IF NO OTHER MISSED DU, SELECT CASE]

No [MDU GEOGRAPHIC INTERVAL] Exit [SELECT CASE]

### **MDU-GEOGRAPHIC INTERVAL** [MDU-SEGMENT KIT CHECK = NO]

Link: (line number and address of link line case)

IS THE MISSED UNIT LOCATED WITHIN THE SDU OR IN THE GEOGRAPHIC INTERVAL BETWEEN THE SDU AND THE NEXT LISTED LINE AS WELL AS LOCATED WITHIN THE SEGMENT BOUNDARIES?

(IF THE SDU IS THE LAST ONE LISTED ON A MAP PAGE, TAP THE Help BUTTON FOR FURTHER INSTRUCTIONS.)

REFER TO YOUR FI MANUAL AND YOUR SEGMENT MAPS OR CALL YOUR FS IF YOU ARE UNSURE WHETHER THIS UNIT SHOULD BE ADDED. IF YOU CANNOT DO THAT RIGHT NOW, TOUCH THE EXIT BUTTON TO EXIT THIS SCREEN. YOU CAN RECONCILE THIS DU LATER.

Yes (UNIT ADDED) [MDU-ADDRESS VERIFICATION]
No (UNIT NOT ADDED) [IF ANOTHER MISSED DU, NEXT
MISSED DU: "PLEASE ANSWER THE FOLLOWING QUESTIONS
TO RECONCILE THE NEXT MISSED DU." OK, MDU-SEGMENT
KIT CHECK FOR NEXT MISSED DU.]
[IF NO OTHER MISSED DU, SELECT CASE]
Exit [SELECT CASE]

#### **MDU-ADDRESS VERIFICATION**

Link: (line number and address of link line case)

THIS UNIT WILL BE ADDED TO THE SAMPLE. PLEASE VERIFY THE INFORMATION BELOW. TOUCH ANY LINE TO EDIT IT.

STREET #: (street number)
STREET: (street name)

CITY: (city)
STATE: (state)
ZIP: (zip code)

**CASE** #: (CaseID assigned to Added DU)

Update [IF ADDITIONAL MISSED DUS TO RECONCILE,

RECONCILE NEXT: "THE ADDED DU HAS BEEN

SUCCESFULLY RECONCILED. PLEASE ANSWER THE

FOLLOWING

QUESTIONS TO RECONCILE THE NEXT MISSED DU." OK, MDU-SEGMENT KIT CHECK FOR NEXT MISSED DU] [IF NO ADDITIONAL MISSED DUs TO RECONCILE, SELECT CASE]

Exit [SELECT CASE, WITH RECONCILIATION PENDING]

**RELOAD TRAINING CASES** [SELECT CASE, FUNCTIONS, ADMINISTRATIVE TOOLS, RELOAD TRAINING CASES]

**RE-LOAD TRAINING CASES:** "ARE YOU SURE YOU WANT TO REMOVE AND RELOAD ALL TRAINING CASES?" IF <u>No.</u>, **SELECT CASE**. IF <u>Yes</u>, **SELECT CASE** WITH TRAINING CASES REMOVED AND RELOADED.

**ERASE TRAINING CASES** [SELECT CASE, FUNCTIONS, ADMINISTRATIVE TOOLS, ERASE TRAINING CASES]

**ERASE TRAINING CASES**: "ARE YOU SURE YOU WANT TO ERASE ALL TRAINING CASES?" IF <u>No</u>, **SELECT CASE**. IF <u>Yes</u>, **SELECT CASE** WITH TRAINING CASES REMOVED.

#### CALL DISTRIBUTION [SELECT CASE, ADMIN, CALL DISTRIBUTION]

This optional case management feature can be used to view past work patterns based on ROC data and to determine the times/days when contact has not been attempted at SDUs.

#### CALL DISTRIBUTION

Link: (line number and address of link line case)

SELECT A CASE OR SEGMENT (Drop-down list of cases and segments that have associated ROC data entered. FI chooses desired selection criteria.) [IF SELECT ALL CASES ROC INFORMATION ON ALL CASES IS DISPLAYED. IF SELECT AN INDIVIDUAL CASE, ONLY ROC DATA FROM THAT CASE DISPLAYED. IF SELECT AN INDIVIDUAL SEGMENT, ROC DATA FROM CASES WITHIN THAT SEGMENT ONLY IS DISPLAYED.]

SCREENING RECORDS ONLY (*Drop-down list of selection criteria to customize ROC data displayed.*) [IF SELECT ALL ROC TYPE RECORDS, ALL ROCS ENTERED DISPLAY. IF SELECT SCREENING RECORDS ONLY, ONLY SCREENING RECORDS DISPLAY. IF SELECT INTERIVEW A OR B RECORDS ONLY, ONLY INTERVIEW A AND B RECORDS DISPLAY. IF SELECT INTERVIEW A RECORDS ONLY, ONLY INTERVIEW A ROCS DISPLAY. IF SELECT INTERVIEW B RECORDS ONLY, ONLY INTERVIEW B ROCS DISPLAY. IF SELECT CHOOSE ROC CODE(S), A DROP DOWN LIST OF ALL ROC CODES APPEARS TO CHOOSE FROM.]

#### DATE RANGE (INCLUSIVE)

**BEGIN DATE:** [**SELECT A DATE**] Calendar appears allowing beginning date selection to narrow down the ROC results ACCEPT chooses the date entered [**CALL DISTRIBUTION**], CANCEL, [**CALL DISTRIBUTION**].)

END DATE: [SELECT A DATE] Calendar appears allowing beginning date selection to narrow down the ROC results ACCEPT chooses the date entered [CALL DISTRIBUTION], CANCEL, [CALL DISTRIBUTION].)

	6A-10A	10A-12P	12P-4P	4P-6P	6P-10P	10P-6A	All
Su	-	-	-	-	-	-	-
M	-	-	-	-	-	-	-
Tu	-	-	-	-	-	-	-
W	-	-	-	-	-	-	-
Th	-	-	-	-	-	-	-
F	-	-	-	-	-	-	-
Sa	-	-	-	-	-	-	-
All	-	-	-	-	-	-	-

? [HELP – CALL DISTRIBUTION] (Information screen providing helpful instructions for proper use.)
Close [CALL DISTRIBUTION]

#### Done [SELECT CASE]

Refresh (Refreshes displayed data based on most recent selection criteria entered.) [IF NO CASE SELECTED, "PLEASE SELECT A CASE OR SEGMENT FROM THE LIST THEN TAP "REFRESH"]

OK [CALL DISTRIBUTION]

ROC [IF CASE SELECTED, RECORD OF CALLS]

#### **VIEW/SORT MENU** [SELECT CASE, FUNCTIONS, VIEW/SORT]

View/Sort is a case management feature that allows an FI to view and sort cases in his/her assignment by subgroups and varying orders on the Select Case screen. The View and Sort options can be used in a variety of combinations.

#### VIEW/SORT PREFERENCES

To modify the View and Sort options, Touch "View" and "Sort" then select an option from the list.

To go back to "SELECT CASE", touch the Done button.

View [VIEW]

Sort [SORT]

Done [SELECT CASE]

#### **VIEW**

Pending Cases (All Screening and Interview cases with Result Codes 00-09, 50-59)

Pending Screenings (All Screening cases with Result Codes 00-09)

Pending Interviews (All Interview cases with Result Codes 50-59)

Group Quarters Units (All GQU cases)

All Cases (All cases)

<u>Final Screenings</u> (Screening cases with Result Codes 10-32)

Final Interviews (Interview cases with Result Codes 70-79)

Added DUs (All added DUs)

On Hold Cases (All cases on hold)

#### Cancel [VIEW/SORT PREFERENCES]

#### **SORT**

By Case ID (Alphanumerical order by CaseID)

By Street (Alphanumerical order by street name within segment and number)

By Screening Code (Numerical order by Screening Result Code)

By Interview A Code (Numerical order by Interview A Result Code)

By Interview B Code (Numerical order by Interview B Result Code)

By ROC Date, Ascending (Ascending date order using most recent Record of Calls entry)

By ROC Date, Descending (Descending date order using most recent Record of Calls entry)

#### Cancel [VIEW/SORT PREFERENCES]

#### CAL [SELECT CASE, CAL]

This optional calendar can be used to post work and personal appointments to help with time management and scheduling of interview appointments.

#### **APPOINTMENT-LIST**

DAY: (current date with link to calendar to select a date) (Day of Week)

[IF SELECT A DATE, Accept CHANGES DATE,

Cancel MAINTAINS CURRENT DATE,

Left/Right Arrows SELECT MONTH

### DATES WITH APPOINTMENTS: (list of dates with appointments) TIME (appointment time) APPT. TYPE (Interview-A, Interview-B, Screening, S/I Other, Other) DUID (Case ID) T (Data Type = S, A, B)Description (text entry with comments describing appointment) Created Date (appointment created date) TOUCH A LINE TO SELECT AND VIEW IT TYPE: TIME: **DUID**: **DESC:** ADDR: **CREATED ON:** Done [SELECT CASE] New [APPOINTMENT-NEW] [IF RECORD SELECTED, APPOINTMENT-EDIT] [IF NO RECORD SELECTED: SELECT RECORD: 'PLEASE SELECT A RECORD BEFORE EDITING IT." OK, APPOINTMENT-LIST Delete [IF RECORD SELECTED, DELETE CONFIRMATION: "ARE YOU SURE YOU WANT TO DELETE THIS (TYPE) APPOINTMENT AT (DATE/TIME)?" IF Yes, APPOINTMENT-LIST WITH APPOINTMENT DELETED. IF No, APPOINTMENT-LIST WITH APPOINTMENT NOT DELETED IF NO RECORD SELECTED: SELECT RECORD: "PLEASE SELECT A RECORD BEFORE EDITING IT." OK, APPOINTMENT-LIST APPOINTMENT-NEW DAY: (current date with link to calendar to select a date) (Day of Week) [IF SELECT A DATE, Accept CHANGES DATE, Cancel MAINTAINS CURRENT DATE, <u>Left/Right Arrows</u> SELECT MONTH] TIME: HOUR (1-12) MINUTE (00, 05, 10, 15, 20, 25, 30, 35, 40, 45, 50, 55) AM/PM (AM, PM)**DESC:** (text entry of comments describing appointment) TYPE: (Options for Interview-A, Interview-B, Screening, S/I Other, Other) DUID: (CaseID for Interview-A, Interview-B, S/I Other, and Screening) Commit [CHECKS FOR COMPLETE DATA ENTRY, SAVES APPOINTMENT ENTRY, APPOINTMENT- LIST

Cancel [APPOINTMENT-LIST WITHOUT SAVING APPOINTMENT ENTRY]

#### APPOINTMENT-EDIT [APPOINTMENT-LIST, EDIT]

DAY: (current date with link to calendar to select a date)

(Day of Week)

[IF SELECT A DATE,

Accept CHANGES DATE,

Cancel MAINTAINS CURRENT DATE,
Left/Right Arrows SELECT MONTH]

TIME: HOUR (1-12)

MINUTE (00, 05, 10, 15, 20, 25, 30, 35, 40, 45, 50, 55)

AM/PM (AM, PM)

**DESC**: (text entry with comments describing appointment)

TYPE: (Options for Interview-A, Interview-B, Screening, S/I Other, Other) DUID: (CaseID for Interview-A, Interview-B, S/I Other, and Screening)

Commit [APPOINTMENT-LIST WITH EDITS SAVED]

Cancel [APPOINTMENT-LIST]

**APPOINTMENTS** [NSDUH SCREENER-PASSWORD, PASSWORD ENTRY, CONTINUE ARROW AND APPOINTMENTS SCHEDULED FOR CURRENT DAY]

"THERE ARE SCHEDULED APPOINTMENTS TODAY. PLEASE CHECK THE CALENDAR." OK, SELECT CASE.

### NSDUH DR Screening Application Specifications Section 6 Record of Calls

#### **Document Format:**

- Screen names bolded
- Screen/question/instructional text designated by black and red text and non-italicized text in parenthesis (Upper-lower black text to be read, red text is instructions to FI)
- Fills designated by parentheses and italics
- Logic designated by brackets
- Text of instructional message boxes provided in bracketed logic
- Response categories underlined

# **RECORD OF CALLS** [TOUCH CASE, ACTIONS MENU, GO TO RECORD OF CALLS OR ON ANY SUBSEQUENT SCREEN EXIT OR VERIFICATION, DONE OR RESPONDENT SELECTION, DONE]

Type (Data Type: S, A, B) Result (Result Code)

Date/time (Date, time, and day of the week ROC entered)

#### **Done** [SELECT CASE]

Add [IF CODE = PENDING SCREENING CODE, **SCREENING CALL RECORD.** FOR PENDING INTERVIEW CODES, **CHOOSE ROC TYPE**]

Selections [If CODE = 31 or 32, **RESPONDENT SELECTION**]

Cal [APPOINTMENT-LIST -FOR DETAILS SEE SECTION 5]

CD [CALL DISTRIBUTION - FOR DETAILS SEE SECTION 5]

**ACTIONS MENU** [RECORD OF CALLS, TOUCH AND HOLD CASE UNTIL ACTIONS MENU APPEARS]

### Edit [IF ROC EVENT SELECTED, SCREENING CALL RECORD or INTERIVEW CALL RECORD]

<u>Delete</u> [IF UNTRANSMITTED, NON-SYSTEM-GENERATED ROC EVENT (NE 26, 30, 31, 32), DELETES EVENT, **RECORD OF CALLS**]

<u>View Refusal</u> [IF CODE 07, 17, 57, 58, 77, 78, **REFUSAL REPORT** -FOR DETAILS SEE SECTION 7]

View Other Specify [IF CODE 09, 23, 59, or 79, CODE [fill with 09, 23, 59, or 79]: OTHER SPECIFY CATEGORIES]

#### **CHOOSE ROC TYPE** [IF CODE = 30-32, RECORD OF CALLS, ADD]

#### WHICH TYPE OF CALL RECORD DO YOU WANT TO ENTER?

Screening [SCREENING CALL RECORD]
Interview A [INTERVIEW A CALL RECORD]
Interview B [INTERVIEW B CALL RECORD]
Cancel [RECORD OF CALLS]

# **SCREENING CALL RECORD** [IF AT COMPLETION OF SCREENING PROCESS RESPONDENT SELECTION = DONE OR IF RECORD OF CALLS = ADD]

**RESULT** (01-23, 26, 29)

**COMMENTS**: (text entry)

DO NOT CHANGE ROC DATE/TIME UNLESS INSTRUCTED BY TECH SUPPORT OR FS.

DAY/DATE: (*Day of week and Date ROC event entered*) TIME: HOUR (1-12) MINUTE (00-59) AM/PM (AM, PM)

Commit [IF CODE = 32, INTERVIEW EVENT: "REMEMBER TO ENTER CALL RECORDS FOR INTERVIEWS A AND B." OK, RECORD OF CALLS.]

[IF CODE = 31, INTERVIEW EVENT: "REMEMBER TO ENTER INTERVIEW CALL RECORD FOR INTERVIEW A." OK, RECORD OF CALLS]

[IF CODE = 10, 13, 18, 26, OR 30, VERIFICATION]

[IF 07 OR 17, REFUSAL REPORT -FOR DETAILS SEE SECTION 7]

[IF 09 OR 23, OTHER, SPECIFY]

[IF 56 OR 76, OTHER LANGUAGE]

[IF 04, 14, 54 OR 74, INCAPABLE, SPECIFY]

Cancel [ARE YOU SURE?: "ARE YOU SURE YOU WANT TO CANCEL THIS CALL RECORD?" IF Yes, SELECT CASE. IF No, SCREENING CALL RECORD!

#### INTERVIEW CALL RECORD [RECORD OF CALLS, ADD, CHOOSE ROC TYPE, A OR B]

**RESULT** (50-59, 70-79)

**COMMENTS**: (text entry)

DO NOT CHANGE ROC DATE/TIME UNLESS INSTRUCTED BY TECH SUPPORT OR FS.

DAY/DATE: (Day of week and Date ROC event entered)
TIME: HOUR (1-12) MINUTE (00-59) AM/PM (AM, PM)

Commit [SAVES DATA, **RECORD OF CALLS**]
[IF 57, 58, 77, 78, **REFUSAL REPORT** -FOR DETAILS SEE SECTION 7]
[IF 59 OR 79, **OTHER, SPECIFY**]

# Cancel [ARE YOU SURE?: "ARE YOU SURE YOU WANT TO CANCEL THIS CALL RECORD?" Yes, SELECT CASE, No, INT. CALL RECORD-A OR B]

#### **CODE 09: OTHER SPECIFY CATEGORIES** [SCREENING CALL RECORD =

**RESULT CODE 091** 

#### **OTHER SPECIFY CATEGORIES**

Screening breakoff

Selected wrong line number

Added in error

Safety issue

Controlled access

Possible vacant

Possible vacation/not primary residence

GQU is institution (ONLY ACTIVE FOR GQU CASES)

Other listing problem

Need to discuss with FS

Something else, Specify

**Done [RECORD OF CALLS]** 

# **CODE 23: OTHER SPECIFY CATEGORIES** [SCREENING CALL RECORD = FINAL RESULT CODE 23]

#### **OTHER SPECIFY CATEGORIES**

Added in error

Safety issue

GQU is institution (ONLY ACTIVE FOR GQU CASES)

Something else, Specify

Done [RECORD OF CALLS]

# **CODE 59: OTHER SPECIFY CATEGORIES** [INTERVIEW A CALL RECORD OR INTERVIEW B CALL RECORD = RESULT CODE 59]

#### **OTHER SPECIFY CATEGORIES**

R moved

R under age 12

R in military

R rostered in error

R deceased

Interviewed wrong person

Screened wrong line

Safety issue

Controlled access

Need to discuss with FS

Something else, Specify

# **Done** [RECORD OF CALLS]

# **CODE 79: OTHER SPECIFY CATEGORIES** [INTERVIEW A CALL RECORD OR INTERVIEW B CALL RECORD = RESULT CODE 79]

#### **OTHER SPECIFY CATEGORIES**

R moved

R under age 12

R in military

R rostered in error

R deceased

Interviewed wrong person

Screened wrong line

Safety issue

Controlled access

Something else, Specify

Done [RECORD OF CALLS]

# **OTHER LANGUAGE** [SCREENING CALL RECORD = 06 OR 16, INTERVIEW A CALL RECORD OR INTERVIEW B CALL RECORD = 56 OR 76]

#### LANGUAGE CATEGORIES

Arabic

Chinese

French

German

Italian

Korean

Polish

Portuguese

Russian

**Tagalog** 

Vietnamese

Other, Specify

Specify other language or comments: (text entry)

**Done [RECORD OF CALLS]** 

# INCAPABLE, SPECIFY [SCREENING CALL RECORD = 04 OR 14, INTERVIEW A CALL RECORD OR INTERVIEW B CALL RECORD = 54 OR 74]

CODE [fill with 04 or 14 or 54 or 74]: INCAPABLE CATEGORIES

Physically Incapable

Mentally Incapable

Done [RECORD OF CALLS]

# **NSDUH DR Screening Application Specifications Section 7**

# **Screening and Interview Refusal**

#### **Document Format:**

- Screen names bolded
- Screen/question/instructional text designated by black and red text and non-italicized text in parenthesis (Upper-lower black text to be read, red text is instructions to FI)
- Fills designated by parentheses and italics
- Logic designated by brackets
- Text of instructional message boxes provided in bracketed logic
- Response categories underlined

# **REFUSAL REPORT** [IF SCREENING CALL RECORD = 07 P REFUSAL OR

IF SCREENING CALL RECORD = 17 F REFUSAL AND ALREADY HAS A PENDING REFUSAL ENTERED OR IF INTERVIEW CALL RECORD = 57 P REFUSAL OR IF INTERVIEW CALL RECORD = 58 P PARENTAL REFUSAL OR IF INTERVIEW CALL RECORD = 77 F REFUSAL AND ALREADY HAS A PENDING REFUSAL ENTERED OR IF INTERVIEW CALL RECORD = 78 F PARENTAL REFUSAL AND ALREADY HAS A PENDING REFUSAL ENTERED]

[IF SCREENING CALL RECORD = 17 F REFUSAL AND DOES NOT ALREADY HAVE A PENDING REFUSAL ENTERED: **RESULT CODE ERROR:** "TRY AT LEAST ONE MORE TIME BEFORE ASSIGNING THIS FINAL CODE." <u>OK</u>. **SCREENING CALL RECORD**]

[IF INTERVIEW CALL RECORD = 77 F REFUSAL AND DOES NOT ALREADY HAVE A PENDING REFUSAL ENTERED, OR IF INTERVIEW CALL RECORD = 78 F PARENTAL REFUSAL AND DOES NOT ALREADY HAVE A PENDING REFUSAL ENTERED: **RESULT CODE ERROR:** "TRY AT LEAST ONE MORE TIME BEFORE ASSIGNING THIS FINAL CODE." OK. INTERVIEW CALL RECORD]

#### Reason for refusal

Too busy/no time/did too many already
Surveys/Govt. invasive/teen exposure
Clarify confidentiality, legitimacy, selection
"Nothing in it for me"/Uncooperative
Gatekeeper/Parent/HH member disallow
Welfare/INS concern
Too ill/house messy/not dressed
Need to discuss with FS

Comment: (text entry)

<u>Done</u> [SAVES REASON FOR REFUSALAND COMMENTS ENTERED, **RECORD OF CALLS**]

# **Screening and Interview Refusal Functions on ROC**

# 1. View refusal report or edit refusal report prior to transmission:

**REFUSAL REPORT** [IF RECORD OF CALLS = TOUCH AND HOLD CALL RECORD, ACTION MENU, VIEW REFUSAL]

#### Reason for refusal

Too busy/no time/did too many already
Surveys/Govt. invasive/teen exposure
Clarify confidentiality, legitimacy, selection
"Nothing in it for me"/Uncooperative
Gatekeeper/Parent/HH member disallow
Welfare/INS concern
Too ill/house messy/not dressed
Need to discuss with FS

Comment: (text entry)

<u>Done</u> [IF EDITED (BEFORE TRANSMISSION) SAVES REASON FOR REFUSAL AND COMMENTS ENTERED, THEN **RECORD OF CALLS**]

# NSDUH DR Screening Application Specifications Section 8 Verification

#### **Document Format:**

- Screen names bolded
- Screen/question/instructional text designated by black and red text and non-italicized text in parenthesis (Upper-lower black text to be read, red text is instructions to FI)
- Fills designated by parentheses and italics
- Logic designated by brackets
- Text of instructional message boxes provided in bracketed logic
- Response categories underlined

# **VERIFICATION** [IF SCREENING RESULT CODE = 10, 13, 18, 22, 26 OR IF RESPONDENT SELECTION = 'NONE' FOR BOTH A AND B INTERVIEW]

So that my supervisor may check the quality of my work, may I please have your first name and telephone number?

#### CONFIRM NUMBER WITH R.

FIRST NAME: (Screening Respondent's first name)

Not Avail. Ref.

PHONE: (Area code and phone number)
Home Cell Work

Not Avail. Ref.

NOTES TO VERIFICATION CALLER: (Text field for notes about best times to call screening respondent or clarification about work number if caller has to go through an operator.)

<u>Done</u> [CHECKS FOR COMPLETE DATA ENTRY, **RECORD OF CALLS**]

<u>Clear</u> [VERIFICATION WITH ENTRY CLEARED]

This page intentionally left blank.

# NSDUH DR Screening Application Specifications Section 9 FI Debriefing Questions

#### **Document Format:**

- Screen names bolded
- Screen/question/instructional text designated by black and red text and non-italicized text in parenthesis (Upper-lower black text to be read, red text is instructions to FI)
- Fills designated by parentheses and italics
- Logic designated by brackets
- Text of instructional message boxes provided in bracketed logic
- Response categories underlined

# **QFTDBF1** [IF SCREENING CALL RECORD = RESULT CODE 30, 31 or 32]

#### THESE QUESTIONS ARE FOR YOU TO ANSWER. DO NOT READ TO THE R.

Did the respondent remember receiving the Lead Letter?

**YES** 

NO

# Next [QFTDBF2]

#### **QFTDBF2** [IF QFTDBF1 NE BLANK]

What comments, if any, did the respondent make about the **Lead Letter** or in response to the **Lead Letter**? *Check all that apply* 

- 1. THE RESPONDENT DID NOT MAKE ANY COMMENTS ABOUT THE LEAD LETTER
- 2. R WAS LOOKING FORWARD TO YOUR VISIT/BEEN WAITING FOR YOU
- 3. R WAS **INTERESTED** IN THE STUDY
- 4. R WOULD LIKE TO PARTICIPATE IN THE STUDY
- 5. R DO NOT BELIEVE THE GOVERNMENT IS PAYING \$30/WASTE OF TAX DOLLARS
- 6. THE LETTER **ANSWERED THE R'S QUESTIONS**/CONCERNS
- 7. R DID **NOT WANT SOMEONE COMING TO MY HOME** WITHOUT MY PERMISSION
- 8. R WAS **CONFUSED** BY THE LETTER
- 9. THE LETTER DID NOT ANSWER ALL OF THE R'S QUESTIONS/CONCERNS
- 10. R DOES NOT BELIEVE THE SURVEY IS CONFIDENTIAL
- 11. R THOUGHT THIS WAS A SCAM
- 12. R DOES NOT OPEN ANYTHING ADDRESSED TO "RESIDENT"
- 13. OTHER

# Next [RECORD OF CALLS]

#### **INTERVIEW DEBRIEFING QUESTIONS:**

#### THESE QUESTIONS ARE FOR YOU TO ANSWER. DO NOT READ TO THE R.

# **QFTDBF3** [IF INTERVIEW A CALL RECORD OR INTERVIEW B CALL RECORD = RESULT CODE 70]

When did you give the respondent (or parent/guardian of youth respondent) the Q&A Brochure?

- 1. BEFORE THE INTERVIEW
- 2. DURING THE INTERVIEW
- 3. AT THE END OF THE INTERVIEW

Next [QFTDBF3a]

#### **QFTDBF3**a [IF QFTDB3 NE BLANK]

What comments, if any, did the respondent (or parent/guardian) make about the Q&A Brochure? *Check all that apply* 

- 1. THERE WERE NO COMMENTS ABOUT THE Q&A BROCHURE
- 2. THE BROCHURE **DID NOT ANSWER ALL OF THE RESPONDENT'S QUESTIONS** ABOUT THE STUDY.
- 3. THE BROCHURE ADDRESSED THE RESPONDENT'S QUESTIONS
- 4. RESPONDENT WAS CONFUSED BY THE BROCHURE.
- 5. THE BROCHURE ENCOURAGED THE RESPONDENT TO PARTICIPATE.
- 6. OTHER

Next [QFTDBF4]

#### **QFTDBF4** [IF QFTDBF3a NE BLANK]

Did you conduct this interview at the respondent's home, either inside or outside?

**YES** 

NO

#### Next [IF QFTDBF4=YES, GO TO QFTDBF6]

# **QFTDBF5** [IF QFTDBF4=NO]

Where did you conduct this interview?

- 1. AT THE RESPONDENT'S WORKPLACE
- 2. AT THE HOME OF THE RESPONDENT'S RELATIVE OR FRIEND
- 3. <u>IN SOME TYPE OF CONFERENCE ROOM IN A RESIDENCE HALL, SCHOOL OR APARTMENT COMPLEX</u>
- 4. AT A LIBRARY
- 5. <u>IN SOME TYPE OF COMMON AREA, SUCH AS A LOBBY, HALLWAY, STAIRWELL, OR LAUNDRY ROOM</u>
- 6. SOME OTHER PLACE

# Next [IF QFTDBF5=6, GO TO QFTDBF5a]

#### **QFTDBF5**a [IFQFTDBF5=6]

Where did the interview take place?

**ALLOW 140 CHARACTERS** 

Next [QFTDBF6]

**QFTDBF6** [IF QFTDBF4=YES; OR QFTDBF5=1, 2, 3, 4, OR 5; OR QFTDBF5a NE BLANK]

Please indicate how private the interview was. Do not count yourself or a project observer as another person in the room.

- 1. COMPLETELY PRIVATE NO ONE WAS IN THE ROOM OR COULD OVERHEAR ANY PART OF THE INTERVIEW
- 2. MINOR DISTRACTIONS PERSON(S) IN THE ROOM OR LISTENING LESS THAN 1/3 OF THE TIME
- 3. PERSON(S) IN THE ROOM OR LISTENING ABOUT 1/3 OF THE TIME
- 4. SERIOUS INTERRUPTIONS OF PRIVACY MORE THAN HALF THE TIME
- 5. CONSTANT PRESENCE OF OTHER PERSON(S)

# Next [IF QFTDBF6=1, GO TO QFTDBF9; IF QFTDBF6 NE1, GO TO QFTDBF7]

#### **QFTDBF7** [IF QFTDBF6 NE1]

Not including yourself or project observers, other people present or listening to the interview were: *Check all that apply* 

- 1. PARENT(S)
- 2. SPOUSE
- 3. <u>LIVE-IN PARTNER/BOYFRIEND/GIRLFRIEND</u>
- 4. OTHER ADULT RELATIVE(S)
- 5. OTHER ADULT(S)
- 6. CHILD(REN) UNDER 15
- 7. OTHER

#### Next [IF QFTDBF7=1, 2, 3, 4, 5, OR 6, GO TO QFTDBF9]

# **QFTDBF8** [IF QFTDBF7=7]

Please enter a description of the other person(s) present or listening to the interview. This description may be relationship to the respondent if you have this information, or simply the gender and estimated age.

#### **ALLOW 140 CHARACTERS**

Next [QFTDBF9]

#### **OFTDBF9** [IF OFTDBF6=1; OR IF OFTDBF7=1, 2, 3, 4, 5, OR 6; OR IF OFTDBF8 NE BLANK]

Did the respondent make any comments about the interview being too long?

**YES** 

NO

Next [QFTDBF10]

# **QFTDBF10** [IF QFTDBF9 NE BLANK]

Did the respondent have any questions or comments about the Prescription Drug questions in the ACASI section of the questionnaire?

**YES** 

NO

Next [IF QFTDBF10 =NO, GO TO QFTDBF11]

**QFTDBF10a** [IF QFTDBF10= YES]

Please describe the respondent's comments about the Prescription Drug questions.

**ALLOW 140 CHARACTERS** 

Next [QFTDBF11]

#### **QFTDBF11** [IF QFTDBF10 = NO OR QFTDBF10a NE BLANK]

Did the respondent have any questions or comments about the on-screen calendars in the **ACASI** section of the questionnaire? If the respondent asked how to access the calendar at any time during the ACASI portion of the interview, select "YES."

**YES** 

<u>NO</u>

# Next [IF QFTDBF11=NO, GO TO QFTDBF12]

**QFTDBF11a** [IF QFTDBF11 = YES]

What comments did the respondent make about the on-screen calendars? Check all that apply

- 1. THE RESPONDENT ASKED **HOW TO ACCESS** THE CALENDAR.
- 2. THE RESPONDENT ASKED **HOW TO CLOSE** THE CALENDAR.
- 3. THE RESPONDENT **DID NOT SEE** THE REFERENCE DATES ON THE CALENDAR.
- 4. THE CALENDAR **HELPED** THE RESPONDENT ANSWER THE QUESTION.
- 5. THE CALENDAR COVERED THE QUESTIONS OR THE IMAGES ON THE SCREEN.
- 6. OTHER

Next [QFTDBF12]

#### **QFTDBF12** [IF QFTDBF11=NO; OR IF QFTDBF11a NE BLANK]

Did the respondent have trouble understanding any **other questions** asked during the interview?

**YES** 

NO

# Next [IF QFTDBF12=NO, GO TO QFTDBF13]

# **QFTDBF12a** [IF QFTDBF12=YES]

Enter the screen name and a brief description of what the respondent found confusing. If you do not know the screen name, please provide as much information as possible.

#### **ALLOW 140 CHARACTERS**

Next [QFTDBF13]

# **QFTDBF13** [IF QFTDBF12=NO OR QFTDBF12a NE BLANK]

Was a proxy used for the income and health insurance questions?

YES

NO

#### Next [IF QFTDBF13=NO, GO TO RECORD OF CALLS]

#### **QFTDBF14** [IF QFTDBF13=YES]

Did the **respondent** have any questions or concerns about his/ her answers being revealed to the proxy?

**YES** 

NO

Next [QFTDBF15]

#### **QFTDBF15** [IF QFTDBF14 NE BLANK]

Did the **respondent** have any other questions or comments about the proxy interview?

YES

NO

# Next [IF QFTDBF15 =NO, GO TO QFTDBF16]

# **QFTDBF15**a [IF QFTDBF15=YES]

Please describe the other questions or comments the **respondent** had about the proxy interview.

#### **ALLOW 140 CHARACTERS**

# Next [QFTDBF16]

#### **QFTDBF16** [IF QFTDBF15 =NO; OR QFTDBF15a NE BLANK]

Were there any problems with the **proxy's** understanding of the ACASI tutorial?

**YES** 

NO

## Next [IF QFTDBF16 =NO, GO TO QFTDBF17]

#### **QFTDBF16a** [IF QFTDBF16=YES]

Which of the following describes the problems with the **proxy's** understanding of the tutorial? *Check all that apply* 

- 1. THE PROXY DID **NOT UNDERSTAND HOW** TO ANSWER THE QUESTIONS.
- 2. THE PROXY DID NOT KNOW WHY HE/SHE WAS ASKED TO ANSWER THESE QUESTIONS
- 3. OTHER

# Next [IF QFTDBF16a=1 OR 2, GO TO QFTDBF17]

#### **QFTDBF16b** [IF QFTDBF16a=3]

Please describe the other problems with the **proxy's** understanding of the tutorial.

**ALLOW 140 CHARACTERS** 

Next [QFTDBF17]

# **QFTDBF17** [IF QFTDBF16a=1 OR 2; OR QFTDBF16b NE BLANK]

Were there any problems with the **proxy's** use of ACASI to answer the income and health insurance questions?

**YES** 

NO

#### Next [IF QFTDBF17= NO, GO TO RECORD OF CALLS]

#### **QFTDBF17a** [IF QFTDBF17=YES]

Which of the following describes the problems with the **proxy's** use of ACASI in answering the income and health insurance questions? *Check all that apply*.

- 1. THE PROXY **DID NOT KNOW THE ANSWERS** TO THE QUESTIONS
- 2. THE PROXY DID NOT KNOW HOW TO ENTER HIS/HER ANSWERS TO THE QUESTIONS
- 3. THE PROXY **REFUSED** TO ANSWER SOME QUESTIONS
- 4. THE PROXY DID NOT KNOW **WHY HE/SHE WAS ASKED** TO ANSWER THESE QUESTIONS
- 5. OTHER

# Next [RECORD OF CALLS]