

FORM FIST-1

U.S DEPARTMENT OF JUSTICE
BUREAU OF JUSTICE STATISTICS
(REJIS ACTING AS DATA COLLECTION AGENT)



2012 FIREARMS INQUIRY STATISTICS (FIST)
Annual Survey of Background Checks for Firearm Transfers and Permits

Please correct any errors in the name and address information that is printed below.

OFFICIAL AGENCY NAME (If different from above)	
9-DIGIT NCIC-ORI NUMBER	
PERSON COMPLETING THE FORM	
NAME	TITLE
TELEPHONE	FAX
E-MAIL ADDRESS	

IMPORTANT – Please answer the following questions before proceeding with the questionnaire. Mark [X] the appropriate box below.

Did your agency conduct background checks for firearm transfers or permits at any time between January 1, 2012 and December 31, 2012?

Yes

No

↳ If no, the authorized checking agency for your jurisdiction is :

(Agency Name)

If your response to the above question is "No" or if any of the following conditions apply, you do not need to complete the questionnaire. Mark an [X] in the appropriate box below and return the survey using the instructions below.

Agency no longer in existence

Agency employed only part-time officers AND the total combined hours of work for these officers averaged less than 35 hours per week

RETURN INSTRUCTIONS

- Please submit your completed form by using the web reporting option at <https://websurvey.rejis.org/FIST2012>, mailing it to the Regional Justice Information Service (REJIS) in the enclosed postage paid envelope, or faxing each page to 1-314-535-1729.
- If you have any questions, comments, or feedback about the survey, please call the FIST project manager toll free at 1-800-531-2150, or send an e-mail to fist@rejis.org.
- Please retain a copy of your completed survey for 1 year.

Burden Statement

Federal agencies may not conduct or sponsor an information collection, and a person is not required to respond to a collection of information, unless it displays a currently valid OMB Control Number. Public reporting burden for this collection of information is estimated to average 25 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate, or any other aspects of this collection of information, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. The Omnibus Crime Control and Safe Streets Act of 1968, as amended (42 USC 3732), authorizes this information collection.

INSTRUCTIONS FOR COMPLETING THE FORM

- Please mark 'X' in the appropriate boxes.
- If possible, please answer all questions for the entire calendar year (January through December) 2012. If data are available for only part of the year, please supply any available information in the spaces provided.
- If the answer to a question is "not available" or "unknown," write "DK" in the space provided.
- If the answer to a question is "not applicable," write "NA" in the space provided.
- If the answer to a question is "none" or "zero," write "0" in the space provided. Please respond with zero only when the actual total reported count is zero, as opposed to when the data are not known or unavailable.
- When an exact numeric response is not available, provide an estimate and mark the estimate box next to the number field. Please provide a brief explanation in Section V to describe how the estimates were calculated.
- Please do not leave any items blank in unless otherwise directed.

Section I – AGENCY CHARACTERISTICS

1. Did your agency conduct background checks for firearm transfers or permits for the entire calendar year 2012, January 1, 2012, through December 31, 2012?

Yes

No → a. **Did your agency start or discontinue being the designated checking agency for your jurisdiction in 2012?**

Started

Discontinued

b. When did your agency start or discontinue conducting background checks?

____ / ____ / _____

mm/ dd/ yyyy

c. Name of old/new designated checking agency:

Agency Name

2. Does your agency conduct Point of Transfer background checks? This is a check that occurs after a seller transmits a prospective purchaser's application data to a checking agency by telephone, computer, mail, or other means.

Yes

No

Don't know

3. Does your agency conduct background checks for Purchase Permits? A purchase permit is a document (referred to as a permit, license, identification card, or other name) that a prospective purchaser must present to a seller to receive a firearm.

Yes

No

Don't know

4. Does your agency conduct background checks for exempt Concealed Carry Permits? An exempt concealed carry permit, for the purposes of this survey, is a state concealed firearm permit (issued after a background check) that exempts the holder from a check at the time of a firearm purchase under a Bureau of Alcohol, Tobacco, Firearms, and Explosives (ATF) regulation or state law.

Yes

No

Don't know

Section II –APPLICATIONS AND DENIALS

5. Between January 1, 2012, and December 31, 2012, how many applications were received by your agency?

Mark 'x' if estimate

a. Point of transfer checks
(if applicable)

b. Purchase permits
(if applicable)

c. Concealed carry permits
(if applicable)

6. Between January 1, 2012, and December 31, 2012, how many applications were denied? A denial occurs when an applicant is prohibited from receiving a firearm or permit that can be used to obtain a firearm because a disqualifying factor was found during a background check.

Mark 'x' if estimate

a. Point of transfer checks
(if applicable)

b. Purchase permits
(if applicable)

c. Concealed carry permits
(if applicable)

7. In 2012, did your agency track the reason(s) why an application was denied?

Yes

No *(skip to question number 11)*

8. For denials issued in 2012, why was the application denied? List total counts *(including zeros, where applicable)* for each reason for a denial *(please include all federal, state, and local law reasons for denial within the most appropriate category)*. Mark 'x' in the checkbox provided for any estimated counts.

	Point of Transfer	Purchase Permit	Concealed carry Permit
a. Felony indictment or charge.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b. Felony conviction.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c. Felony arrest with no disposition.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d. Fugitive or outstanding warrant.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e. Domestic violence misdemeanor.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f. Domestic violence, protective or restraining order.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
g. Addicted to or unlawful user of a controlled substance.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
h. Mental health commitment or adjudication.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
i. Illegal or unlawful alien.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
j. State law prohibitor <i>(if not included in above categories)</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
k. Local law prohibitor <i>(if not included in above categories)</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
l. Other reasons not included above <i>(including juveniles and dishonorable discharge)</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

9. If multiple reasons are found for a denial, what is recorded and reported?

- All reasons for denial are recorded and reported
(skip to question 11)
- Only one reason for the denial is recorded and reported
- Don't know *(skip to question 11)*

10. If only one reason for the denial is recorded or reported, what reason is reported?

- The first reason found during the background check
- The most serious charge listed on the criminal history record
- Other method *(Please explain. If more space is needed, please use the space provided in Section V)*

- Don't know

Section III –ARRESTS AND APPEALS

11. In 2012, did your agency track arrests that occurred as a result of a denied application?

An arrest, for the purposes of this survey, occurs when a denied person who submitted a false application or has an outstanding warrant is arrested by the checking agency or another agency that is notified.

- Yes
- No *(skip to question number 13)*

12. Between January 1, 2012, and December 31, 2012, how many arrests occurred as a result of a denied application?

Mark 'x' if estimate

a. Point of transfer checks
(if applicable)

b. Purchase permits
(if applicable)

c. Concealed carry permits
(if applicable)

13. In 2012, did your agency track appeals made to your agency for reconsideration of denial? An appeal, for the purposes of this survey, is an objection to a denial decision and includes reconsiderations, challenges, and other informal procedures.

- Yes
- No *(skip to question number 15)*

14. How many denied persons appealed to your agency, requesting that your agency reconsider its initial denial decision between January 1, 2012, and December 31, 2012?

Mark 'x' if estimate

a. Point of transfer checks
(if applicable)

b. Purchase permits
(if applicable)

c. Concealed carry permits
(if applicable)

15. In 2012, did your agency track reversals by your agency of initial denial decisions?

- Yes
- No *(skip to question number 17)*

16. Between January 1, 2012, and December 31, 2012, how many initial denial decisions were reversed by your agency?

Mark 'x' if estimate

a. Point of transfer checks
(if applicable)

b. Purchase permits
(if applicable)

c. Concealed carry permits

(if applicable)

17. In 2012, did your agency track appeals to a court of any denial decision?

Yes

No (skip to question number 19)

18. How many denials were appealed to a court between January 1, 2012, and December 31, 2012?

Mark 'x' if estimate

a. Point of transfer checks (if applicable)

b. Purchase permits (if applicable)

c. Concealed carry permits (if applicable)

19. In 2012, did your agency track reversals by a court of initial denial decisions?

Yes

No (skip to question 21)

20. Between January 1, 2012, and December 31, 2012, how many denials were reversed after being appealed to a court?

Mark 'x' if estimate

a. Point of transfer checks (if applicable)

b. Purchase permits (if applicable)

c. Concealed carry permits (if applicable)

Section IV – Partial Year Counts

21. Do any of the counts you provided for any of the items throughout the survey cover only part of the year?

Yes (please specify in Section IV which counts cover only part of the year and the months that are covered)
 No

Section V - COMMENTS

Please include any comments that would better explain how your agency collects information on background checks. If the reported totals are estimates please provide a brief explanation to describe how the estimates were calculated.

Multiple horizontal lines for entering comments.
