U.S. DEPARTMENT OF HEALTH and HUMAN SERVICES

Administration on Children, Youth and Families

Children's Bureau

DRAFT - MAY 2013

OMB APPROVED Control No. 0970-0205

FORM CB-496: TITLE IV-E PROGRAMS QUARTERLY FINANCIAL REPORT PART 1: EXPENDITURES and ESTIMATES (Including Caseload Data)

| State | Tribe: | | nt (Claiming) er Ended: | | Estimating) er Ending: | | Rev'd Report | |
|--------------|---|-----------------------|-------------------------------|---------------------------|--------------------------------|--|---------------|--|
| SEC | TION A: FOSTER CARE PR | | | Į saura | g. | 120 | | |
| | FFP rate for all cost categories, except where noted | | arter Claims (B) Fed Share | Prior Quarte (C) Total | r Adjustments (D) Fed Share | Next Quarter Estimates (E) Total (F) Fed Share | | |
| 1 | Maintenance Assistance Payments (FMAP rate) | ¢ | ¢ | ¢ | ¢ | e . | ¢ | |
| 2 | Tribal/State Agreement Maint Assist | 3 | | | | | | |
| STATES | Payments (Applicable FMAP Rate) Federal Share of Child Support | \$ | \$ | \$ | \$ | \$ | \$ | |
| STATES | Collections - From Form OCSE-34 Net Maintenance Assistance | 30.30.30.30. | \$ | | 30 30 30 | | \$ | |
| | Payments | | \$ | | \$ | | \$ | |
| 5 | In-Placement Administrative Costs - Case Planning and Management | ¢ | ¢ | ¢ | ¢ | | | |
| 6 | In-Placement Administrative Costs - | | | | | | | |
| 7 | Eligibility Determinations In-Placement Administrative Costs - | \$ | \$ | \$ | \$ | | | |
| | Provider Management In-Placement Administrative Costs - | \$ | \$ | \$ | \$ | | | |
| 8 | Agency Management | \$ | \$ | \$ | \$ | | | |
| 9 | Total In-Placement Administrative Costs | \$ | \$ | \$ | \$ | s | s | |
| 10 | Candidate Administrative Costs - | _ | | | | | | |
| 11 | Pre Placement Activities | \$ | \$ | \$ | \$ | \$ | \$ | |
| 12 | SACWIS Operational Costs SACWIS Developmental Costs: | \$ | \$ | \$ | \$ | \$ | \$ | |
| | Project 1 - APD Required | \$ | \$ | \$ | \$ | \$ | \$ | |
| | SACWIS Developmental Costs: Project 2 - APD Required | \$ | \$ | \$ | \$ | s | S | |
| 14 | SACWIS Developmental Costs | ¢ | • | ¢ | • | | ¢ | |
| 15 | No APD Required Training Costs - Staff and Provider (75% FFP Rate) | e | • | ¢ | ¢ | \$ ¢ | ¢ | |
| 16 | Training Costs - Professional | | | | | | | |
| 17 | Partner (Transitional FFP Rate) Demonstration Project Costs - | \$ | \$ | \$ | \$ | \$ | \$ | |
| 18 | From Part 3, Line 25c | \$ | \$ | \$ | \$ | \$ | \$ | |
| 10 | Total Costs | \$ | \$ | \$ | \$ | \$ | \$ | |
| 19 | Non-Federal (State or Tribal) Share of Total Costs | | s | | s | 0000 00 00 00 0000 00 00 00 | \$ | |
| 20 TRIBES | Tribal Share of Costs from Third Party In-Kind Sources | | \$ | | \$ | | \$ | |
| SEC | TION B: ADOPTION ASSIS | TANCE PROGE | RΔM | | | | | |
| | 0% FFP rate for all cost categories, except Current Quarter Claims Prior Quarter Adjustments Next Quarter Estimates | | | | | | | |
| 00,07 | where noted | (A) Total | (B) Fed Share | (C) Total | (D) Fed Share | (E) Total | (F) Fed Share | |
| 21 | Adoption Assistance | ¢ | ¢ | ¢ | • | ¢ | ¢ | |
| | Payments (FMAP rate) Tribal/State Agreement Adopt Assist | Ф | 3 | . | \$ | 3 | 3 | |
| | Payments (Applicable FMAP Rate) Administrative Costs - | \$ | \$ | \$ | \$ | \$ | \$ | |
| | Agency Administrative Costs - | \$ | \$ | \$ | \$ | \$ | \$ | |
| | Non-Recurring | \$ | \$ | \$ | \$ | \$ | \$ | |
| 25 | Training Costs - Staff and Provider (75% FFP Rate) | \$ | \$ | \$ | \$ | \$ | \$ | |
| 26 | Training Costs - Professional Partner (Transitional FFP Rate) | \$ | \$ | \$ | \$ | \$ | \$ | |
| 27 | Demonstration Project Costs From Part 3, Line 25c | \$ | \$ | \$ | \$ | \$ | \$ | |
| 28 | Total Costs | \$ | \$ | \$ | \$ | \$ | \$ | |
| 29 | Non-Federal (State or Tribal) Share of Total Costs | | \$ | | \$ | | \$ | |
| | Tribal Share of Costs from | 8.8.8.8 | ¢ | | ¢ | | ¢ | |
| IRIBES | Third Party In-Kind Contributions | 10-000-000-0000-000-0 | ĮΦ | 164-906-9906-9965-995 | [⊅ | P0000-0003-003-003-003-0 | ĮΦ | |
| | | | | | | | | |

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Expires XX/XX/2016

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| | FANT I. | LAFLINDITO | NES and EST | INATES (IIICI | duling Caseloa | iu Dalaj | | |
|--------------|--|------------------------|----------------------|--|---------------------|-------------------|----------------|--|
| State/Tribe: | | | nt (Claiming) | | Estimating) | | Initial Report | |
| CEC | TION C: GUARDIANSHIP A | | er Ended: | Quarte | er Ending: | Box: Rev'd Report | | |
| | FFP rate for all cost categories, except | | uarter Claims | Prior Quarte | r Adjustments | Next Quart | er Estimates | |
| 30% | where noted | (A) Total | (B) Fed Share | (C) Total | (D) Fed Share | (E) Total | (F) Fed Share | |
| 31 | Guardianship Assistance | | | | | | | |
| 32 | Payments (FMAP rate) Tribal/State Agreement Guard Assist | \$ | \$ | \$ | \$ | \$ | \$ | |
| STATES | Payments (Applicable FMAP Rate) | \$ | \$ | \$ | \$ | \$ | \$ | |
| 33 | Administrative Costs - Agency | \$ | \$ | \$ | \$ | \$ | s | |
| 34 | Administrative Costs - Non-Recurring | \$ | \$ | \$ | \$ | \$ | \$ | |
| 35 | Training Costs - Staff (75% FFP Rate) | \$ | \$ | \$ | \$ | \$ | \$ | |
| 36 | Train. Costs - Relative Guardian and Prof Partner (Transitional FFP Rate) | • | s | ¢ | s | ¢ | ls . | |
| 37a | Demonstration Project Costs - | - | Ψ | Ψ | Ψ | Ψ | Ψ | |
| 37b | From Part 3, Line 25c Post Demonstration Guardianship | \$ | \$ | \$ | \$ | \$ | \$ | |
| STATES | | \$ | \$ | \$ | \$ | \$ | \$ | |
| 38 | Total Costs | · | ¢ | ¢ | \$ | ¢ | \$ | |
| 39 | Non-Federal (State or Tribal) | 81166011660116601 | 1 | 30-100-100-100-100-100-100-100-100-100-1 | 19 | 80 10000 100 100 | 19 | |
| 40 | Share of Total Costs Tribal Share of Costs from | | \$ | | \$ | | \$ | |
| | Third Party In-Kind Sources | | \$ | | \$ | | \$ | |
| SEC | TION D: AVERAGE MONTH | ILY NUMBER (| OF CHILDREN | ASSISTED | | | | |
| | HORE TAXABLE MORE | | <u> </u> | Actual Count | Estimated Count | | | |
| | | | | Current Quarter | Next Quarter | | | |
| | | FOSTER CARE | PROGRAM | | | | | |
| 41 | Number of Children: | - Ai-t D | | | | | | |
| 42 | In-Placement - Title IV-E Maintenance Number of Children: | a Assistance Payment | <u>S</u> | | | | | |
| 40 | In-Placement - Title IV-E Funded Adm | ninistrative Costs | | | | | | |
| 43 | In-Placement - Any Payments or Administrative Costs | | | | | | | |
| 44 | Number of Children: Pre-Placement –Title IV-E Funded Candidate Administrative Costs. | | | | | | | |
| | Pre-Placement – Title TV-E Funded Ca | ndidate Administrative | Cosis. | | |] - | | |
| | | OPTION ASSIST | ANCE PROGRAM | VI | | | | |
| 45 | 45 Number of Children: Title IV-E Assistance Payments | | | | | | | |
| 46 | 46 Number of Children: | | | | | | | |
| 47 | Any Assistance Payments Number of Children: | | | | | | | |
| 77 | / Inumber of Children: Title IV-E Non-Recurring Administrative Cost Payments | | | | | | | |
| | GUARI | DIANSHIP ASSIS | STANCE PROGR | RAM | | 1 | | |
| 48 | Number of Children: | | | | | 1 | | |
| 49 | Title IV-E Assistance Payments Number of Children: | | | | | ł | | |
| | Any Assistance Payments | | | | | | | |
| 50 | Number of Children: Title IV-E Non-Recurring Administration | ve Cost Payments | | | | | | |
| | Number of Children: | | | | | 1 | | |
| STATES | | | | | | | 102510 | |
| | This certifies that the This also certifies that | the "Next Quarter" e | stimates of the Non- | | penditures for each | | | |
| Signa | ture, Approving Official | on rule rortho | oc rormo arc, or win | be available as requi | irea by law. | | | |
| | фр | | | | | | | |
| Typeo | l Name, Title, Agency | | | | | Date: | | |
| . , poc | , | | | | | | | |
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No. 0970-0205 Expires

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FORM CB-496: TITLE IV-E PROGRAMS QUARTERLY FINANCIAL REPORT PART 2: PRIOR QUARTER EXPENDITURE ADJUSTMENTS

| | | (Attach | this repo | ort to Part | 1. U | se as many a | ttachment | s as ne | cessary.) | | |
|--|------------------|----------------|--------------|--------------------------|--|---|--|-------------------------|---|----------------------------|--|
| 7.1 <u>v</u> | | | er Care | Adoption Assistance | | | | Guardianship Assistance | | | |
| State/Tribe: | | | | Current (Claiming) | | | | Mark | Initial Report | | |
| | | | | | Quart | er Ended: | | | Box: | Revised Report | |
| | (A) Total | (B) Feder | al Share | (C) Fun | dina | (D) Applic | able to | (E) Adii | etment Identifi | cation and Explanation | |
| | djustment | of Adjus | | Category | | Fiscal Quar | | (L) Auju | | licable) | |
| SECTI | ON A: INCR | EASING A | DJUSTM | | | | | | V | | |
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| ; | | \$ | | | | <=== TOTAL I | NCREASING | adjusti | MENTS: | | |
| SECTI | ON B: DECI | REASING | ADJUSTN | IENTS | | | | | | | |
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| 5 | | \$ | | | | <=== TOTAL [| DECREASING | ADJUST | MENTS | 2000 - 201 - 201 - 201 - 2 | |
| | | \$ | | <=== NET | ADJUS | TMENTS (Secti | on A Totals n | ninus Sec | tion B Totals) | | |
| Fund | ling Category | Codes - S | See form | instructio | ns fo | r a complete | list of app | licable | funding cod | es. | |
| | ing Categories | | | ine number | s from | , | A denti | elet B | | | |
| PY PA | Foster Care Main | | | | . 2 \ | APY APA | | | nents (Line 21) nents w/ Aareem | ont (Line 22) | |
| AC | Foster Care Adn | | | | 2 | APA AAD | | | ency (Line 23) | i eni (Line 22) | |
| AE | Foster Care Adn | | 0 0 | . , | | AAN- | • | • | n-Recurring (Lin | e 24) | |
| AM | Foster Care Adm | 0 , | | , , | | ATS | | | sts - Staff & Pro | • | |
| AA | Foster Care Adm | nin - Agency F | Prog Mgmt (| Line 8) | | ATP | Adoption Tra | aining Co | sts - Professiona | al Partners (Line 26) | |
| CP | Candidate Admir | n - Pre-Placer | ment (Line 1 | 10) | | ADE | Adoption De | mo Proje | ct Costs (Line 2 | 7) | |
| SACWIS Admin - Operational Costs (Line | | • | • | | GPY | Guardianshi | Guardianship Assist Payments (Line 31) | | | | |
| SACWIS Development Costs - Project 1 (| | | • | | GPA | A Guardianship Assist Payments w/ Agreement | | | , , | | |
| S2 | SACWIS Develo | • | | | | GAD | Guardianship Admin - Agency (Line 33) | | | | |
| SN | SACWIS Develo | • | | . , | | GAN | | • | Non-Recurring | ` ' | |
| TS | Foster Care Trai | · · | | ` ' | | GTS- | | | Costs - Staff (L | • | |
| TP | Foster Care Trai | ning Costs - I | | ⊢aπners (Li i | ne 16) | GTP | | | g Costs - Profes | sional Partners (Line 3 | |