

**Supporting Statement for
Survey of Minority-Owned Institutions
OMB Control No. 1557-0236**

A. Justification

1. Circumstances that make the collection necessary:

The OCC has a continuing commitment to assess its efforts to provide support and technical assistance to minority-owned national banks and Federal savings associations (minority-owned institutions). To perform this assessment, it is necessary to obtain, from minority-owned institutions, feedback on the effectiveness of the OCC's current efforts and suggestions for enhancing its supervisory efforts and assistance. The OCC will use the survey to collect non-generalizable information about customer satisfaction.

2. Use of the information:

The OCC uses the information to assess the needs of minority-owned institutions and its current efforts to address those needs. The OCC also uses the information to focus and enhance its supervisory, training, and outreach activities with respect to minority-owned institutions.

3. Consideration of the use of improved information technology:

The OCC administers all minority-owned institution surveys in an online format.

4. Efforts to identify duplication:

This information collection is unique and is not duplicated anywhere. Minority-owned institutions have been surveyed by other regulatory agencies on various topics in the past, but this survey specifically focuses on the quality of OCC supervision and support.

5. Methods used to minimize burden if the collection has a significant impact on small businesses or other small entities:

This information collection does not have a significant impact on a substantial number of small entities.

6. Consequences to the Federal program if the collection were conducted less frequently:

The frequency of this information collection is no more than annual. Less frequent collection would inhibit the OCC's ability to gauge its progress in addressing issues and improving its supervision and technical assistance to minority-owned institutions.

7. Special circumstances that would cause the collection to be conducted in a manner inconsistent with 5 CFR Part 1320:

Not applicable. This information collection is conducted in accordance with OMB guidelines in 5 CFR 1320.

8. Efforts to consult with persons outside the agency:

On April 26, 2013, the OCC published a notice in the *Federal Register* soliciting comments for 60 days on this proposed information collection (78 FR 24804). No comments received.

9. Payment or gift to respondents:

None.

10. Any assurance of confidentiality provided to respondents:

Responses to the questionnaire are voluntary. The OCC uses this information only to improve its supervision and assistance to minority-owned institutions. The OCC accepts anonymous responses.

11. Justification for questions of a sensitive nature:

Not applicable. There are no questions of a sensitive nature.

12. Burden estimate:

The OCC estimates the burden as follows:

55 banks @ 1 response per year = 55 responses
55 responses @ 2 hours per response = 110 burden hours

13. Estimate of annualized cost to respondents:

Compliance Officer: 110 burden hours x \$110 per burden hour = \$12,100.00

14. Estimate of annualized cost to the Federal government:

Not applicable.

15. Changes in burden:

There is no change in burden.

16. Information regarding collections whose results are planned to be published for statistical use:

Not applicable.

17. Display of expiration date:

Not applicable. The OCC will display the OMB control number and expiration date on the first page of the collection.

18. Exceptions to the certification statement:

None.

B. Collections of Information Employing Statistical Methods:

Not applicable.