# **Paperwork Reduction Act Submission**

Please read the instruction before completing this form. For additional forms or assistance in completing this forms, contact your agency's Paperwork Reduction Officer. Send two copies of this form, the collection instrument to be reviewed, the Supporting Statement, and any additional documentation to: Office of Information and Regulatory Affairs, Office of Management and Budget, Docket Library, Room 10102, 725 Seventeenth St. NW, Washington, DC 20503.

Agency/Subagency Originating Request:     U.S. Department of Housing and Urban Development     Office of Administration	2. OMB Control Number: a. <b>2501-0017</b> b. None	
<ul> <li>3. Type of information collection: (check one)</li> <li>a. New Collection</li> <li>b. Revision of a currently approved collection</li> <li>c. Extension of a currently approved collection</li> <li>d. Reinstatement, without change, of previously approved collection for which approval has expired</li> <li>e. Reinstatement, with change, of previously approved collection for which approval has expired</li> <li>f. Existing collection in use without an OMB control number</li> <li>For b-f, note item A2 of Supporting Statement instructions.</li> </ul>	<ul> <li>4. Type of review requested: (check one) <ul> <li>a. Regular</li> <li>b. Emergency - Approval requested by</li> <li>c. Delegated</li> </ul> </li> <li>5. Small entities: Will this information collection have a significant economic impact on a substantial number of small entities? <ul> <li>Yes No</li> </ul> </li> <li>6. Requested expiration date: <ul> <li>a. Three years from approval date</li> <li>b. Other (specify)</li> </ul> </li> </ul>	
7. Title: HUD Standardized Grant Application Forms		
8. Agency form number(s): (if applicable) SF-424, HUD-96011,, HUD-424-CB, HUD-424-CBW		
9. Keywords: Housing, Grants management, application		
	12. Obligation to respond: (mark primary with "P" and all others that apply with "X")  a. Voluntary  b. <b>P</b> Required to obtain or retain benefits	
13. Annual reporting and recordkeeping hour burden:  a. Number of respondents  b. Total annual responses  Percentage of these responses collected electronically  c. Total annual hours requested  d. Current OMB inventory  e. Difference (+,-)  f. Explanation of difference:  1. Program change:  2. Adjustment:	14. Annual reporting and recordkeeping cost burden: (in thousands of dollars) Do not include costs based on the hours in item 13. a. Total annualized capital/startup costs  b. Total annual costs (O&M)  c. Total annualized cost requested d. Current OMB inventory e. Difference f. Explanation of difference: 1. Program change: 2. Adjustment:	
<ul> <li>15. Purpose of Information collection: (mark primary with "P" and all others that apwith "X")</li> <li>a. P Application for benefits e. Program planning or managements.</li> <li>b. Program evaluation f. Research</li> <li>c. General purpose statistics g. Regulatory or compliance</li> <li>d. Audit</li> </ul>	a. Recordkeeping b. Third party disclosure	
17. Statistical methods:  Does this information collection employ statistical methods?  Yes No	Agency contact: (person who can best answer questions regarding the content of this submission)  Name: Anne Morillon  Phone: 202-402-4162	

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### 19. Certification for Paperwork Reduction Act Submissions

On behalf of the U.S. Department of Housing and Urban Development, I certify that the collection of information encompassed by this request complies with 5 CFR 1320.9.

**Note:** The text of 5 CFR 1320.9, and the related provisions of 5 CFR 1320/8(b)(3). appear at the end of the instructions. The certification is to be made with reference to those regulatory provisions as set forth in the instructions.

The following is a summary of the topics, regarding the proposed collections of information, that the certification covers:

- (a) It is necessary for the proper performance of agency functions;
- (b) It avoids unnecessary duplication;
- (c) It reduces burden on small entities;
- (d) It uses plain, coherent, and unambiguous terminology that is understandable to respondents;
- (e) Its implementation will be consistent and compatible with current reporting and recordkeeping practices;
- (f) It indicates the retention periods for recordkeeping requirements;
- (g) It informs respondents of the information called for under 5 CFR 1320.8(b)(3):
  - (i) Why the information is being collected;
  - (ii) Use of the information;

Wayne Eddins, Departmental Reports Management Officer,

Office of the Chief Information Officer

(iii) Burden estimate;

Signature of Program Official:

- (iv) Nature of response (voluntary, required for a benefit, or mandatory);
- (v) Nature and extent of confidentiality; and
- (vi) Need to display currently valid OMB control number;
- (h) It was developed by an office that has planned and allocated resources for the efficient and effective management and use of the information to collected (see note in item 19 of the instructions);
- (i) It uses effective and efficient statistical survey methodology; and
- (j) It makes appropriate use of information technology.

If you are unable to certify compliance with any of these provisions, identify the item below and explain the reason in item 18 of the Supporting Statement.

Date:

x	
Signature of Senior Officer or Designee:	Date:

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## **Supporting Statement for Paperwork Reduction Act Submissions**

#### A. Justification

- 1. In keeping with the provisions of Public Law 106-107, The Federal Financial Assistance Management Improvement Act of 1999, which requires the Federal agencies to streamline and develop common grant application requirements to ease burdens on the public, HUD supplements the Standard Form series 424 established under Circular A-102.
- 2. The subject information collection is required to rate and rank competitive grant applications and to ensure eligibility of applicants for funding. The Grant Application forms are the standard within the Department for submitting Application for Federal Assistance, Federal Financial Assistance application budget information and Third-Party documentation. HUD's method for electronic collection of Application for Federal Assistance, Federal Financial Assistance application budget information and Third-Party documentation standardizes the format for information collection requirements for the applicants as the forms are prepopulated with data.

It provides a breakdown by specific program and Fiscal Year. It allows the collection of organization data and budget data for multiple programs and multiple Fiscal Years via an electronic application, that is prepopulated. It will be used to make the determination as to whether the proposed budget information is reasonable, allowable and allocable.

#### This format:

- Provides for parsing of information to allow the agency to better convert applicant data to an electronic grants management system;
- Consolidates OMB approved versions into a application package which consist of applicant organizational information, third-party documents and budget data forms into a single information collection package for submission electronically for all competitive grant programs within the Department. Use of the budget forms will be optional for formula programs and mandatory for discretionary/competitive programs;
- The application package allows applicants the ability to provide organizational information, proposed budget data and third-party documents for multiple programs via grants.gov application submission process.
- 3. The information collected through grant applications is submitted electronically. These forms may be downloaded via the the internet. Calculations are automated.
- 4. The necessary information is not available except through this submission process.
- 5. This collection does not have a significant economic impact on small businesses or entities.
- 6. The Statute, regulations, and the Department's grant application processes all mandate application selection criteria and content. If application content was reduced, HUD staff's ability to select those applicants most in need of funds and most capable of administering funds could be impaired.
- 7. There are no special circumstances required in the collection of the information.
- 8. This information is collected in a manner consistent with guidelines of 5 CFR 1320.8(d). The Notice announcing the collection of information appeared in the *Federal Register* on Tuesday April 30, 2013, Volume 78, No. 83, page 25290. No comments were received.
- 9. No payments or gifts are provided to respondents.
- 10. There is no assurance of confidentiality provided to the respondents; however respondents are covered by the Privacy Act of 1974.
- 11. The collection does not contain information of a sensitive nature about sexual behavior or attitudes, religious beliefs, or private matters.

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#### 12. Estimated burden:

Form	Burden hours per form
SF 424 Application for Federal Assistance	0.05
HUD-424-CB Grant Application Detailed Budget	2.6
HUD-424-CBW Grant Application Detailed Budget Worksheet CBW-I Instructions	3.2
HUD-96011 Facsimile Transmittal form	0.5
Total per submission	6.35

The estimated total of all grant applications in all the Department's current grant programs is approximately 11,100. The actual burden is assessed against each individual grant program submission.

- 13. There are no additional costs associated with the collection of this information.
- 14. The costs to the Federal government is assessed on each individual grant program submission.
- 15. This is an extension of a currently approved collection.
- 16. The information will not be published.
- 17. The information collection instruments will display the expiration date for OMB approval of the information collection.
- 18. There are no exceptions to the certification statement identified in item 19 of the OMB 83-I.

### B. Collections of Information Employing Statistical Methods

There are no statistical methods used in this collection.

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