## **Attachment 4: Awardee survey instrument (US/HIC version)**

Note: A web-based preview of this survey instrument is also available at: <a href="https://stpi.qualtrics.com/SE/?">https://stpi.qualtrics.com/SE/?</a>
<a href="mailto:SID=SV\_3JHdEJbAKQtczcN&Preview=Survey&BrandID=stpi">https://stpi.qualtrics.com/SE/?</a>
<a href="mailto:SID=SV\_3JHdEJbAKQtczcN&Preview=Survey&BrandID=stpi">https://stpi.qualtrics.com/SE/?</a>

Front Matter:



OMB #: 0925-xxxx Expiration Date: xx/xxxx

Public reporting burden for this collection of information is estimated to average thirty minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: NIH, Project Clearance Branch, 6705 Rockledge Drive, MSC 7974, Bethesda, MD 20892-7974, ATTN: PRA (0925-xxxx\*).

#### Welcome to the Brain Disorders in the Developing World Awardee Survey!

The purpose of this survey is to collect information relevant to an evaluation of the Brain Disorders in the Developing World: Research Across the Lifespan program (referred to hereafter as "Brain Disorders Program") administered by the John E. Fogarty International Center. You have been invited to participate in the survey because you participated in one or more research projects funded by this program. Please note that the evaluation will be focused on the program as a whole rather than on your individual funded project.

If you agree to participate in the survey, you will be asked a series of questions about your experiences via a web-based questionnaire. You may refuse to answer any particular question and you may stop answering questions at any time. Your responses will be kept confidential and will not be disclosed to anyone but the researchers conducting this study except as otherwise required by law. There will be no immediate benefit to you from participation, nor are there any known risks. You will not be identified as the individual who provided specific information in any reports or publications resulting from the evaluation study.

Your participation in this survey is completely voluntary. You may stop answering questions at any time. If you wish to withdraw your consent after completing the survey form, please contact the lead evaluator, Christina Viola Srivastava, at cviola@ida.org or 617-721-9055.

If you have any questions or concerns about the evaluation study, please contact the lead evaluator, Christina Viola Srivastava, at <a href="mailto:cviola@ida.org">cviola@ida.org</a> or (+1) 617-721-9055 or check the "I have questions or concerns" box below. If you have questions you would prefer to direct to NIH, please contact Dr. Rachel Sturke at <a href="mailto:sturke:sturk

- I have reviewed this statement and agree to participate
- I decline to participate at this time
- I have questions or concerns about the evaluation study; please contact me directly to discuss them

### Question 1:



Which of the following best describes the relationship between the research project funded by your Brain Disorders award(s) and the research projects you worked on prior to the award?

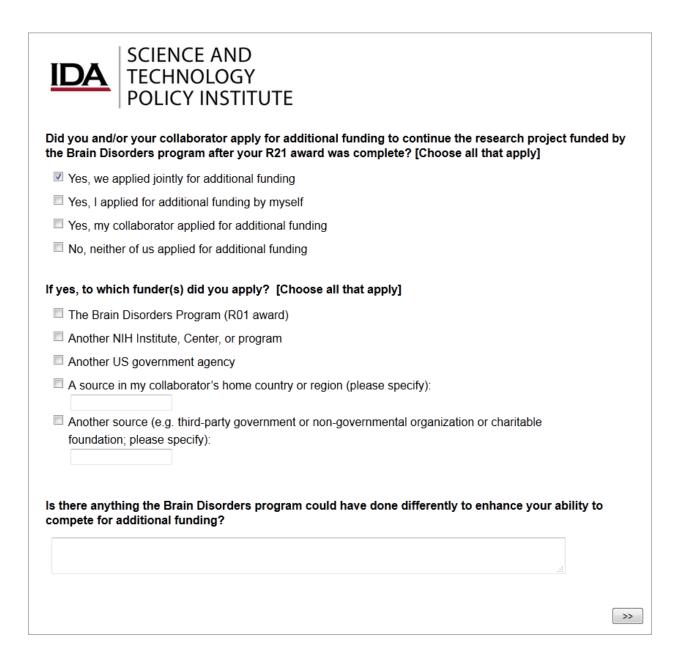
- The research funded by the Brain Disorders program was a continuation or expansion of one or more
  of my previous research projects (e.g. exploring a hypothesis generated by previous research,
  application in a new context, etc.)
- The research funded by the Brain Disorders program was in a similar field or scientific area as one or more of my previous research projects, but it was not closely related to any of my previous projects
- The research funded by the Brain Disorders program was in a field or scientific area that I had never worked in before

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## Question 2:

<u>IDA</u>	SCIENCE AND TECHNOLOGY POLICY INSTITUTE	
	or your collaborator apply for additional funding to continue the research project funded sorders program after your R21 award was complete? [Choose all that apply]	by
Yes, we a	applied jointly for additional funding	
Tes, I app	plied for additional funding by myself	
Tes, my c	collaborator applied for additional funding	
■ No, neither	er of us applied for additional funding	
_	hing the Brain Disorders program could have done differently to enhance your ability to additional funding?	
		>>

Question 2, any "yes" selected:



Question 2, menu if "Another NIH Institute, Center, or program" selected:

☐ The Brain Disorders Program (R01 award)	
Another NIH Institute, Center, or program	
Another US government agency	
☐ A source in my collaborator's home country or regi	on (please specify):
Another source (e.g. third-party government or nor foundation; please specify):  If you applied to an NIH Institute, Center, or programapply?	n-governmental organization or charitable m, to which NIH Institute, Center, or program did you
	▼
To which US government agency did you apply?  National Science Foundation (NSF)	

Question 2, menu if "Another US government agency" selected:

☐ The Brain Disorders Program (R01 award)	
Another NIH Institute, Center, or program	
Another US government agency	
A source in my collaborator's home country or region (please specify):	
Another source (e.g. third-party government or non-governmental organization foundation; please specify):	n or chantable
If you applied to an NIH Institute, Center, or program, to which NIH Institute, apply?	Center, or program did you
	Center, or program did you

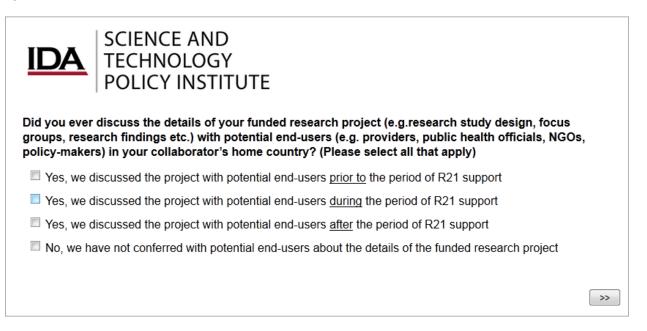
Question 2, "no" selected:

SCIENCE AND TECHNOLOGY POLICY INSTITUTE
Did you and/or your collaborator apply for additional funding to continue the research project funded by the Brain Disorders program after your R21 award was complete? [Choose all that apply]
Yes, we applied jointly for additional funding
Yes, I applied for additional funding by myself
Yes, my collaborator applied for additional funding
No, neither of us applied for additional funding
If no, why not?
Our original project is not yet complete or we haven't yet had time to apply for additional funding
The research project has reached its logical conclusion and/or is no longer of interest
I'm too busy with other research projects to continue with this one
I'm not confident that we could compete successfully for additional funding at this time
I'm no longer involved in brain or nervous system-related research
Other reason (please describe):
Is there anything the Brain Disorders program could have done differently to enhance your ability to compete for additional funding?
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Question 2, if "Have you been awarded additional funding from any of these sources?" is "yes":

lave you been awarded additional funding from any of these sources?
Yes
O No
○ I'm still waiting to hear
f yes, name(s) of funder(s) currently supporting your Brain Disorders project:
f yes, what is the approximate total dollar amount of the award(s)?
f yes, what is the approximate total dollar amount of the award(s)?  © Less than \$10k
© Less than \$10k
<ul><li>Less than \$10k</li><li>\$10k-\$25k</li></ul>

#### Question 3:



Question 3, any "yes" selected:

<u>IDA</u>	SCIENCE AND TECHNOLOGY POLICY INSTITUTE
-	discuss the details of your fund

POLICY INSTITUTE	
Did you ever discuss the details of your funded research project (e.g. research study design, focus groups, research findings etc.) with potential end-users (e.g. providers, public health officials, NGOs, policy-makers) in your collaborator's home country? (Please select all that apply)	
Yes, we discussed the project with potential end-users prior to the period of R21 support	
Yes, we discussed the project with potential end-users <u>during</u> the period of R21 support	
Yes, we discussed the project with potential end-users <u>after</u> the period of R21 support	
No, we have not conferred with potential end-users about the details of the funded research project	
If yes, please describe any significant impacts of these discussions on your research plan.	
	_

## Question 4:



Did any institution or funder other than NIH contribute the following types of resources to your Brain Disorders project?

	Your home institution	Your collaborator's home institution	Another third party
Supplemental funds for research			
Salary support for your foreign collaborators			
Facilities or equipment			
Technical support, including paid students/postdocs			
Administrative support			
Other (Please describe):			

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# Question 4, if "another third party" selected:

Disorders project?	er other than NIH contribute th	ie ioliowing types of re	sources to your Brain
	Your home institution	Your collaborator's home institution	Another third party
Supplemental funds for research			<b>V</b>
Salary support for your foreign collaborators			
Facilities or equipment			
Technical support, including paid students/postdocs			
Administrative support			
Other (Please describe):			

Question 5:



Please rate the degree to which you agree or disagree with the statements below regarding your Brain Disorders R21 planning award:

	Strongly Agree	Agree	Neutral	Disagree	Strongly Disagree
A significant amount of effort was devoted to overcoming barriers due to lack of research infrastructure at my collaborator's home institution.	0	0	•	0	•
l established a solid relationship or significantly enhanced an existing relationship with my main collaborator.	0	0	•	•	•
Training for my foreign collaborator or others at his/her institution was an integral part of our R21 award.	0	0	•	•	•
The funds provided with our R21 award were sufficient to complete all of the activities we described in our proposal.	0	0	©	•	•
The duration of our R21 award was sufficient to complete all of the activities we described in our proposal.	0	0	©	©	•
I was satisfied with the procedures for transferring funds to collaborating institutions	0	0	0	0	•
After the period of R21 support, I felt that we were prepared to compete successfully for an R01 or comparable award from another source.	0	0	0	0	•
The Brain program provided sufficient opportunities to interact with other awardees and their collaborators.	0	0	•	•	•
Participation in the Brain program expanded my network of contacts among brain researchers in my collaborator's country or region.	0	0	0	0	•

Question 6:



[Version for PIs] How were resources transferred from your institution to your collaborator's institution? (Choose all that apply)

cods and services were purchased by my institution and subsequently shipped or transferred to my billaborator's institution  cods and services were purchased directly by my collaborator's institution and later reimbursed by y institution  cods and services (used or new) were donated by my institution to my collaborator's institution  cy institution set up purchase orders with my collaborator's institution listed as a recipient  y institution issued a university credit card to the collaborating institution  nother mechanism (Please describe):  con for collaborators] How were award funds transferred from the Principal Investigator's (Pl's)  ands were transferred directly from the Pl's institution to my institution
y institution  cods and services (used or new) were donated by my institution to my collaborator's institution  y institution set up purchase orders with my collaborator's institution listed as a recipient  y institution issued a university credit card to the collaborating institution  nother mechanism (Please describe):  esources were not transferred between our institutions  on for collaborators] How were award funds transferred from the Principal Investigator's (Pl's)  attion to your institution?
y institution set up purchase orders with my collaborator's institution listed as a recipient y institution issued a university credit card to the collaborating institution nother mechanism (Please describe):  esources were not transferred between our institutions  on for collaborators] How were award funds transferred from the Principal Investigator's (PI's) ation to your institution?
y institution issued a university credit card to the collaborating institution nother mechanism (Please describe): esources were not transferred between our institutions on for collaborators] How were award funds transferred from the Principal Investigator's (Pl's) ation to your institution?
nother mechanism (Please describe): esources were not transferred between our institutions on for collaborators] How were award funds transferred from the Principal Investigator's (PI's) ation to your institution?
esources were not transferred between our institutions on for collaborators] How were award funds transferred from the Principal Investigator's (PI's) ition to your institution?
on for collaborators] How were award funds transferred from the Principal Investigator's (PI's)
tion to your institution?
ands were transferred directly from the PI's institution to my institution
oods and services were purchased by the Pl's institution and subsequently shipped or transferred my institution
oods and services were purchased directly by my institution and later reimbursed by the Pl's stitution
oods and services (used or new) were donated by the Pl's institution to my institution
ne PI's institution set up purchase orders with my institution listed as a recipient
ne PI's institution issued a university credit card to the collaborating institution
nother mechanism (Please describe):
esources were not transferred between our institutions
ere any additional comments you'd like to make about the transfer of funds?

## Question 7:



Which of the following statements is most accurate regarding where goods and services were purchased for your Brain Disorders award(s)?

- Goods and services were purchased primarily in my home country
- Goods and services were purchased in both my home country and other countries
- Goods and services were purchased primarily in other countries
- Expenditures on goods and services were minimal

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### Question 8:



Which of the following statements best describes the attitude of <u>your collaborator's institution ([insert name])</u> towards your Brain Disorders project?

- My collaborator's institution was enthusiastically supportive of our Brain Disorders project
- My collaborator's institution was somewhat supportive of our Brain Disorders project
- My collaborator's institution was not particularly supportive of our Brain Disorders project
- My collaborator's institution was not supportive of our Brain Disorders project and/or made it more difficult to complete the work

Which of the following statements best describes the attitude of <u>your home institution ([insert name])</u> towards your Brain Disorders project?

- My home institution was enthusiastically supportive of our Brain Disorders project
- My home institution was somewhat supportive of our Brain Disorders project
- My home institution was not particularly supportive of our Brain Disorders project
- My home institution was not supportive of our Brain Disorders project and/or made it more difficult to complete the work

Are there any comments you would like to add about your collaborator's institution or your own in relation to your Brain Disorders project?

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Question 9:



was generated from your progress reports and other sources. Please indicate whether the following publications resulted entirely or in part from your Brain Disorders award(s):
[insert publications list]
Were any of these publications incorrectly attributed to your Brain Disorders award(s)?
O Yes
© No
Are there additional publications associated with your Brain award(s) that don't appear in the list above?
O Yes
© No

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Question 9, both "yes" options selected:



was generated from	t of peer-reviewed publications resulting from your Brain Disorders award(s) that your progress reports and other sources. Please indicate whether the following d entirely or in part from your Brain Disorders award(s):
[insert publications l	ist]
Were any of these pเ	ublications incorrectly attributed to your Brain Disorders award(s)?
Yes	
◎ No	
	.ti
Are there additional	publications associated with your Brain award(s) that don't appear in the list above
Are there additional	publications associated with your Brain award(s) that don't appear in the list above
_	publications associated with your Brain award(s) that don't appear in the list above
<ul><li>Yes</li><li>No</li><li>If yes, please provide</li></ul>	publications associated with your Brain award(s) that don't appear in the list above the the second
<ul><li>Yes</li><li>No</li></ul>	

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Question 10:

SCIENCE AND TECHNOLOGY POLICY INSTITUTE  Apart from publications, did your Brain Disorders award(s) produce any of the following types of research outputs? [Choose all that apply]	
☐ Software or other analytic tools	
Patient registries or other databases	
☐ Patents or patent applications	
Devices or prototypes	
☐ Clinical protocols	
Curricula or training materials	
Other research outputs (Please describe):  None of the above	
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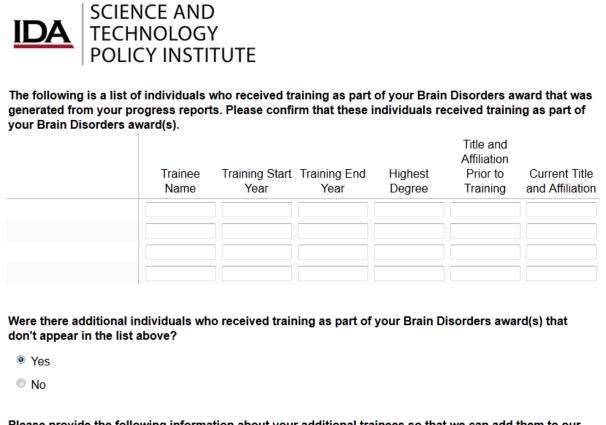
Question 11:



The following is a list of individuals who received training as part of your Brain Disorders award that was generated from your progress reports. Please confirm that these individuals received training as part of your Brain Disorders award(s).

Were there additional individua don't appear in the list above?	als who received t	raining as part of yo	ur Brain Disorder	s award(s) that
© Yes				

Question 11, "yes" selected:

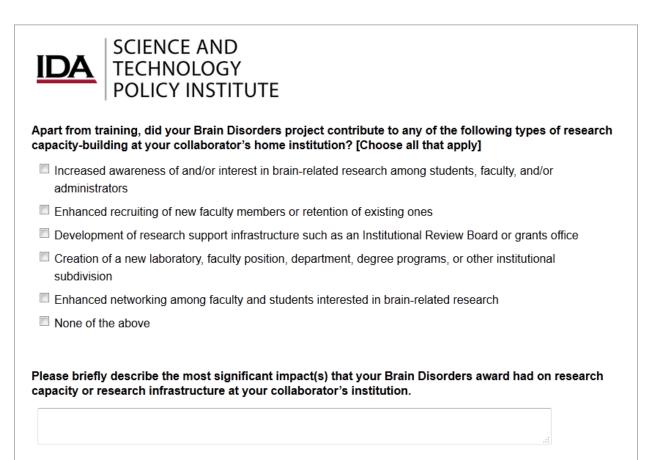


Please provide the following information about your additional trainees so that we can add them to our records.

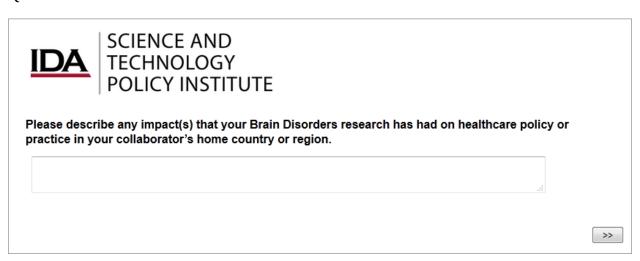
Trainee Name	Training Start Year	Training End Year	Highest Degree	Current Title and Affiliation

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Question 12:

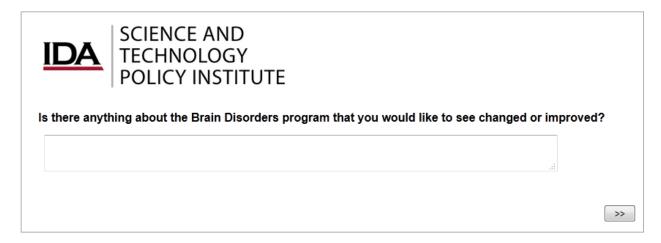


#### Question 13:

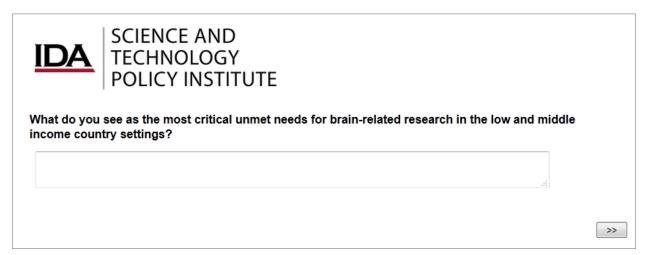


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Question 14:



### Question 15:



### Question 16:

