

ATTACHMENT III-B - Key Contacts for Compliance Program Operations
 {Sponsor's Name}
 {Date}

Purpose: This contact list provides the name, title, and business area for the personnel responsible for the compliance program data requests and sample documentation.

Element Name	Name	Title	Business Area
Element I - Standards of Conduct			
Element I - Policies and Procedures, Compliance and FWA Plan			
Element II - Compliance Program, Compliance Committee, and/or Audit-Compliance Committee			
Element II - Governing Body Meeting Information			
Element III - Training and Education (Employees)			
Element III - Training and Education (FDRs)			
Element IV - Communication and Reporting Mechanisms			
Element V - Disciplinary Standards/Actions (Employee)			
Element V - Disciplinary Standards/Actions (FDRs)			
Element VI - Oversight Systems/Mechanisms			
Element VI- Risk Assessments			
Element VI - Monitoring and Auditing Activities			
Element VI - FDR/Delegation Oversight			
Element VII - Incidents and Investigations of Non-Compliance			
Element VII - Incidents and Investigations of Potential Fraud, Waste, and Abuse			