

BANK SERVICE COMPANY APPLICATION

NATIONAL BANK IDENTIFYING INFORMATION

Charter No.:

Bank Name (exact corporate title):

Street Address:

City: County: State: ZIP:

CONTACT PERSON

Requests for additional information or other communications should be directed to:

Name:

Title:

Street Address:

City: State: ZIP:

Phone: Fax: E-mail:

BANK SERVICE COMPANY DETAILS

The bank requests approval to:

- Invest in the capital stock of a bank service company
- Perform a new activity in an existing bank service company

Name of bank service company:

Street Address:

City: State: ZIP:

I/We desire OCC action on this application no later than: (mm/dd/yyyy)

APPLICATION DETAILS

To determine permissibility, completely describe the investment and the activity to be performed, the percentage of control by each investing bank, the organizational structure, management, and relationships between the bank and the subsidiary, and any significant effect that the bank service company will have on the bank's condition and earnings. Also, cite the OCC precedent.

The bank service company will perform only those services each investing depository institution shareholder or member is authorized to perform and will perform such services only at locations in a state in which each such shareholder or member is authorized to perform the activities directly.

YES NO

If no, has the Federal Reserve Board approved an exception to this requirement?

YES NO

If no, explain:

Is this the initial affiliation of the bank with a company engaged in insurance activities? (including a broker dealer selling annuities that are considered insurance products under state law?)

YES NO

If yes, describe the type of insurance activities that the company is engaged in and has present plans to conduct:

For each state in which the company holds or will hold an insurance license, indicate the lines of business, the state where the subsidiary holds or will hold a resident license or charter, and the type of license (enter additional lines as needed):

1) Line of Business:
State:
Type of License:

2) Line of Business:
State:
Type of License:

3) Line of Business:
State:
Type of License:

CERTIFICATION AND SIGNATURE

The bank represents and undertakes that the proposed activity will be conducted according to the OCC policies contained in guidance issued for this activity. This investment is less than 10 percent of unimpaired capital and surplus and all of the bank's investments in bank service companies collectively total less than 5 percent of the bank's assets.

I certify that the information contained in this filing has been examined carefully by me and is true, correct, and complete, and is current as of the date of the submission. I acknowledge that any misrepresentation or omission of a material fact constitutes fraud in the inducement and may subject me to legal sanctions provided by 18 USC 1001.

I acknowledge that approval of this application is in the discretion of the OCC. Actions or communications, whether oral, written, or electronic, by the OCC or its employees in connection with this filing, including approval of the application, if granted, do not constitute a contract, either express or implied, or any other obligation binding upon the OCC, other federal banking agencies, the United States, any other agency or entity of the United States, or any officer or employee of the United States. Such actions or communications will not affect the ability of any federal banking agency to exercise its supervisory, regulatory, or examination powers under applicable law and regulations. I further acknowledge that the foregoing may not be waived or modified by any employee or agent of a federal banking agency or of the United States.

Signature: _____

Print or type Name: _____

Title: _____

Date: _____