

A. Introduction

- 1. Title:** **Disturbance Monitoring**
- 2. Number:** PRC-002-NPCC-01
- 3. Purpose:** Ensure that adequate disturbance data is available to facilitate Bulk Electric System event analyses. All references to equipment and facilities herein unless otherwise noted will be to Bulk Electric System (BES) elements.
- 4. Applicability:**
 - 4.1.** Transmission Owner
 - 4.2.** Generator Owner
 - 4.3.** Reliability Coordinator
- 5. (Proposed) Effective Date:** To be established.

B. Requirements

- R1.** Each Transmission Owner and Generator Owner shall provide Sequence of Event (SOE) recording capability by installing Sequence of Event recorders or as part of another device, such as a Supervisory Control And Data Acquisition (SCADA) Remote Terminal Unit (RTU), a generator plant Digital (or Distributed) Control System (DCS) or part of Fault recording equipment. This capability shall: *[Violation Risk Factor: Medium] [Time Horizon: Planning and Operations Planning]*
 - 1.1** Be provided at all substations and at locations where circuit breaker operation affects continuity of service to radial Loads greater than 300MW, or the operation of which drops 50MVA Nameplate Rating or greater of Generation, or the operation of which creates a Generation/Load island.

Be provided at generating units above 50MVA Nameplate Rating or series of generating units utilizing a control scheme such that the loss of 1 unit results in a loss of greater than 50MVA Nameplate Capacity, and at Generating Plants above 300MVA Name Plate Capacity.
 - 1.2** Monitor the following at each location listed in 1.1:
 - 1.2.1** Transmission and Generator circuit breaker positions
 - 1.2.2** Protective Relay tripping for all Protection Groups that operate to trip circuit breakers identified in 1.2.1.
 - 1.2.3** Teleprotection keying and receive

- R2.** Each Transmission Owner shall provide Fault recording capability for the following Elements at facilities where Fault recording equipment is required to be installed as per R3: *[Violation Risk Factor: Medium]* *[Time Horizon: Planning and Operations Planning]*
- 2.1** All transmission lines.
 - 2.2** Autotransformers or phase-shifters connected to busses.
 - 2.3** Shunt capacitors, shunt reactors.
 - 2.4** Individual generator line interconnections.
 - 2.5** Dynamic VAR Devices.
 - 2.6** HVDC terminals.
- R3.** Each Transmission Owner shall have Fault recording capability that determines the Current Zero Time for loss of Bulk Electric System (BES) transmission Elements. *[Violation Risk Factor: Medium]* *[Time Horizon: Planning and Operations Planning]*
- R4.** Each Generator Owner shall provide Fault recording capability for Generating Plants at and above 200 MVA Capacity and connected through a generator step up (GSU) transformer to a Bulk Electric System Element unless Fault recording capability is already provided by the Transmission Owner. *[Violation Risk Factor: Medium]* *[Time Horizon: Planning and Operations Planning]*
- R5.** Each Transmission Owner and Generator Owner shall record for Faults, sufficient electrical quantities for each monitored Element to determine the following: *[Violation Risk Factor: Medium]* *[Time Horizon: Planning and Operations Planning]*
- 5.1** Three phase-to-neutral voltages. (Common bus-side voltages may be used for lines.)
 - 5.2** Three phase currents and neutral currents.
 - 5.3** Polarizing currents and voltages, if used.
 - 5.4** Frequency.
 - 5.5** Real and reactive power.
- R6.** Each Transmission Owner and Generator Owner shall provide Fault recording with the following capabilities: *[Violation Risk Factor: Medium]* *[Time Horizon: Planning and Operations Planning]*
- 6.1** Each Fault recorder record duration shall be a minimum of one (1) second.
 - 6.2** Each Fault recorder shall have a minimum recording rate of 16 samples per cycle
 - 6.3** Each Fault recorder shall be set to trigger for at least the following:
 - 6.3.1** Monitored phase overcurrents set at 1.5 pu or less of rated CT secondary current or Protective Relay tripping for all Protection Groups.
 - 6.3.2** Neutral (residual) overcurrent set at 0.2 pu or less of rated CT secondary current.
 - 6.3.3** Monitored phase undervoltage set at 0.85 pu or greater.
 - 6.4** Document additional triggers and deviations from the settings in 6.3.2 and 6.3.3 when local conditions dictate.
- R7.** Each Reliability Coordinator shall establish its area's requirements for Dynamic Disturbance Recording (DDR) capability that: *[Violation Risk Factor: Medium]* *[Time Horizon: Planning and Operations Planning]*

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- 7.1 Provides a minimum of 1 DDR per 3,000 MW of peak Load.
- 7.2 Records dynamic disturbance information with consideration of the following facilities/locations:
 - 7.2.1 Major Load centers.
 - 7.2.2 Major Generation clusters.
 - 7.2.3 Major voltage sensitive areas.
 - 7.2.4 Major transmission interfaces.
 - 7.2.5 Major transmission junctions.
 - 7.2.6 Elements associated with Interconnection Reliability Operating Limits (IROLs).
 - 7.2.7 Major EHV interconnections between operating areas.
- R8. Each Reliability Coordinator shall specify that DDRs installed, after the approval of this standard, function as continuous recorders. *[Violation Risk Factor: Medium] [Time Horizon: Planning and Operations Planning]*
- R9. Each Reliability Coordinator shall specify that DDRs are installed with the following capabilities: *[Violation Risk Factor: Medium] [Time Horizon: Planning and Operations Planning]*
 - 9.1 A minimum recording time of sixty (60) seconds per trigger event.
 - 9.2 A minimum data sample rate of 960 samples per second, and a minimum data storage rate for RMS quantities of six (6) data points per second.
 - 9.3 Each DDR shall be set to trigger for at least one of the following (based on manufacturers' equipment capabilities):
 - 9.3.1 Rate of change of Frequency.
 - 9.3.2 Rate of change of Power.
 - 9.3.3 Delta Frequency (recommend 20 mHz change).
 - 9.3.4 Oscillation of Frequency.
- R10. Each Reliability Coordinator shall establish requirements such that the following quantities are monitored or derived where DDRs are installed: *[Violation Risk Factor: Medium] [Time Horizon: Planning and Operations Planning]*
 - 10.1 Line currents for most lines such that normal line maintenance activities do not interfere with DDR functionality.
 - 10.2 Bus voltages such that normal bus maintenance activities do not interfere with DDR functionality.
 - 10.3 As a minimum, one phase current per monitored Element and two phase-to-neutral voltages of different Elements. One of the monitored voltages shall be of the same phase as the monitored current.
 - 10.4 Frequency.
 - 10.5 Real and reactive power.
- R11. Each Reliability Coordinator shall document additional settings and deviations from the required trigger settings described in R9 and the required list of monitored quantities as described in R10, and

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report this to the Regional Entity (RE) upon request. *[Violation Risk Factor: Lower] [Time Horizon: Operations Planning]*

- R12.** Each Reliability Coordinator shall specify its DDR requirements including the DDR setting triggers established in R9 to the Transmission Owners and Generator Owners. *[Violation Risk Factor: Medium] [Time Horizon: Planning and Operations Planning]*
- R13.** Each Transmission Owner and Generator Owner that receives a request from the Reliability Coordinator to install a DDR shall acquire and install the DDR in accordance with R12. Reliability Coordinators, Transmission Owners, and Generator Owners shall mutually agree on an implementation schedule. *[Violation Risk Factor: Medium] [Time Horizon: Planning and Operations Planning]*
- R14.** Each Transmission Owner and Generator Owner shall establish a maintenance and testing program for stand alone DME (equipment whose only purpose is disturbance monitoring) that includes: *[Violation Risk Factor: Medium] [Time Horizon: Operations Planning]*
- 14.1** Maintenance and testing intervals and their basis.
 - 14.2** Summary of maintenance and testing procedures.
 - 14.3** Monthly verification of communication channels used for accessing records remotely (if the entity relies on remote access and the channel is not monitored to a control center staffed around the clock, 24 hours a day, 7 days a week (24/7)).
 - 14.4** Monthly verification of time synchronization (if the loss of time synchronization is not monitored to a 24/7 control center).
 - 14.5** Monthly verification of active analog quantities.
 - 14.6** Verification of DDR and DFR settings in the software every six (6) years.
 - 14.7** A requirement to return failed units to service within 90 days. If a DME device will be out of service for greater than 90 days the owner shall keep a record of efforts aimed at restoring the DME to service.
- R15.** Each Reliability Coordinator, Transmission Owner and Generator Owner shall share data within 30 days upon request. Each Reliability Coordinator, Transmission Owner, and Generator Owner shall provide recorded disturbance data from DMEs within 30 days of receipt of the request in each of the following cases: *[Violation Risk Factor: Lower] [Time Horizon: Operations]*
- 15.1** NERC, Regional Entity, Reliability Coordinator.
 - 15.2** Request from other Transmission Owners, Generator Owners within NPCC.
- R16.** Each Reliability Coordinator, Transmission Owner and Generator Owner shall submit the data files conforming to the following format requirements: *[Violation Risk Factor: Lower] [Time Horizon: Operations]*
- 16.1** The data files shall be capable of being viewed, read, and analyzed with a generic COMTRADE analysis tool as per the latest revision of IEEE Standard C37.111.
 - 16.2** Disturbance Data files shall be named in conformance with the latest revision of IEEE Standard C37.232.
 - 16.3** Fault Recorder and DDR Files shall contain all monitored channels. SOE records shall contain station name, date, time resolved to milliseconds, SOE point name, status.

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R17. Each Reliability Coordinator, Transmission Owner and Generator Owner shall maintain, record and provide to the Regional Entity (RE), upon request, the following data on the DMEs installed to meet this standard: [*Violation Risk Factor: Lower*] [*Time Horizon: Operations*]

17.1 Type of DME.

17.2 Make and model of equipment.

17.3 Installation location.

17.4 Operational Status.

17.5 Date last tested.

17.6 Monitored Elements.

17.7 All identified channels.

17.8 Monitored electrical quantities.

C. Measures

M1. Each Transmission Owner and Generator Owner shall have, and provide upon request, evidence that it provided Sequence of Event recording capability in accordance with 1.1 and 1.2. (R1)

M2. Each Transmission Owner shall have, and provide upon request, evidence that it provided Fault recording capability in accordance with 2.1 to 2.6. (R2)

M3. Each Transmission Owner shall have, and provide upon request, evidence that it provided Fault recording capability that determined the Current Zero Time for loss of Bulk Electric System (BES) transmission Elements in accordance with R3.

M4. Each Generator Owner shall have, and provide upon request, evidence that it provided Fault recording capability for its Generating Plants at and above 200 MVA Capacity in accordance with R4.

M5. Each Transmission Owner and Generator Owner shall have, and provide upon request, evidence that it records for Faults, sufficient electrical quantities for each monitored Element to determine the parameters listed in 5.1 to 5.5. (R5)

M6. Each Transmission Owner and Generator Owner shall have, and provide upon request, evidence that it provided Fault recording capability in accordance with 6.1 to 6.4. (R6)

M7. Each Reliability Coordinator shall have, and provide upon request, evidence that it established its area's requirements for Dynamic Disturbance Recording (DDR) capability in accordance with 7.1 and .2. (R7)

M8. Each Reliability Coordinator shall have, and provide upon request, evidence that DDRs installed after the approval of this standard function as continuous recorders. (R8)

M9. Each Reliability Coordinator shall have, and provide upon request, evidence that it developed DDR setting triggers to include the parameters listed in 9.1 to 9.3. (R9)

M10. Each Reliability Coordinator shall have, and provide upon request, evidence that DDRs monitor the Elements listed in 10.1 through 10.5. (R10)

M11. Each Reliability Coordinator shall have, and provide upon request, evidence that it documented additional settings and deviations from the required trigger settings described in R9 and the required list of monitored quantities as described in R10. (R11)

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- M12.** Each Reliability Coordinator shall have, and provide upon request, evidence that it specified its DDR requirements which included the DDR setting triggers established in R9 to the Transmission Owners and Generator Owners in the Reliability Coordinator's area. (R12)
- M13.** Each Transmission Owner and Generator Owner shall have, and provide upon request, evidence that it acquired and installed the DDRs in accordance with the specifications contained in the Reliability Coordinator's request, and a mutually agreed upon implementation schedule. (R13)
- M14.** Each Transmission Owner and Generator Owner shall have, and provide upon request, evidence that it has a maintenance and testing program for stand alone DME
(equipment whose only purpose is disturbance monitoring) that meets the requirements in 14.1 through 14.7. (R14)
- M15.** Each Reliability Coordinator, Transmission Owner and Generator Owner shall have, and provide upon request, evidence that it provided recorded disturbance data from DMEs within 30 days of the receipt of the request from the entities listed in 15.1 and 15.2. (R15)
- M16.** Each Reliability Coordinator, Transmission Owner and Generator Owner shall have, and provide upon request, evidence that it submitted the data files in a format that meets the requirements in 16.1 through 16.3. (R16)
- M17.** Each Reliability Coordinator, Transmission Owner and Generator Owner shall have, and provide upon request, evidence that it maintained a record of and provided to NPCC when requested, the data on DMEs installed meeting the requirements 17.1 through 17.8. (R17)

D. Compliance

1. Compliance Monitoring Process

1.1. Compliance Enforcement Authority

NPCC Compliance Committee

1.2. Compliance Monitoring Period and Reset Time Frame

Not Applicable

1.3. Data Retention

The Transmission Owner and Generator Owner shall keep evidences for three calendar years for Measures 1, 5, 6, 13, 16 and 17.

The Transmission Owner shall keep evidence for three years for Measures 2 and 3.

The Generator Owner shall keep evidence for three years for Measure 4.

The Reliability Coordinator shall keep evidence for three years for Measures 7, 8, 9, 10, 11, 12, 16 and 17.

The Transmission Owner and Generator Owner shall keep evidences for twenty-four calendar months for Measures 14 and 15.

The Reliability Coordinator shall keep evidence for twenty-four calendar months for Measure 15.

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If a Transmission Owner, Generator Owner or Reliability Coordinator is found non-compliant, it shall keep information related to the non-compliance until found compliant.

The Compliance Enforcement Authority shall keep the last audit and all subsequent record.

1.4. Compliance Monitoring and Assessment Processes

- Self-Certifications
- Spot Checking
- Compliance Audits
- Self-Reporting
- Compliance Violation Investigations
- Complaints

1.5. Additional Compliance Information

None

2. Violation Severity Levels

R #	Lower VSL	Moderate VSL	High VSL	Severe VSL
R1 The Transmission Owner or Generator Owner provided the Sequence of Event recording capability meeting the bulk of R1 but missed...	Up to and including 10% of the total set, which is the product of the total number of locations in 1.1 times the total number of parameters in 1.2.	More than 10% and up to and including 20% of the total set, which is the product of the total number of locations in 1.1 times the total number of parameters in 1.2.	More than 20% and up to and including 30% of the total set, which is the product of the total number of locations in 1.1 times the total number of parameters in 1.2.	More than 30% of the total set, which is the product of the total number of locations in 1.1 times the total number of parameters in 1.2.
R2 The Transmission Owner provided the Fault recording capability meeting the bulk of R2 but missed...	Up to and including 10% of the total set, which is the total number of Elements at all locations required to be installed as per R3 that meet the criteria listed in 2.1 through 2.6.	More than 10% and up to and including 20% of the total set, which is the total number of Elements at all locations required to be installed as per R3 that meet the criteria listed in 2.1 through 2.6.	More than 20% and up to and including 30% of the total set, which is the total number of Elements at all locations required to be installed as per R3 that meet the criteria listed in 2.1 through 2.6.	More than 30% of the total set, which is the total number of Elements at all locations required to be installed as per R3 that meet the criteria listed in 2.1 through 2.6.
R3 The Transmission	Not applicable.	Not applicable.	Not applicable.	Fault recording capability that determines the

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Owner failed to provide...				current zero time for loss of transmission Elements.
R4 The Generator Owner failed to provide Fault recording capability at...	Up to and including 10% of its Generating Plants at and above 200 MVA Capacity and connected to a Bulk Electric System Element if Fault recording capability for that portion of the system is inadequate.	More than 10% and up to and including 20% of its Generating Plants at and above 200 MVA Capacity and connected to a Bulk Electric System Element if Fault recording capability for that portion of the system is inadequate.	More than 20% and up to 30% of its Generating Plants at and above 200 MVA Capacity and connected to a Bulk Electric System Element if Fault recording capability for that portion of the system is inadequate.	More than 30% of its Generating Plants at and above 200 MVA Capacity and connected to a Bulk Electric System Element if Fault recording capability for that portion of the system is inadequate.
R5 The Transmission Owner or Generator Owner failed to record for the Faults...	Up to and including 10% of the total set of parameters, which is the product of the total number of monitored Elements and the number of parameters listed in 5.1 through 5.5.	More than 10% and up to and including 20% of the total set of parameters, which is the product of the total number of monitored Elements and the number of parameters listed in 5.1 through 5.5.	More than 20% and up to and including 30% of the total set of parameters, which is the product of the total number of monitored Elements and the number of parameters listed in 5.1 through 5.5.	More than 30% of the total set of parameters, which is the product of the total number of monitored Elements and the number of parameters listed in 5.1 through 5.5.
R6 The Transmission Owner or Generator Owner failed ...	To provide Fault recording capability for up to and including 10% of the total set of requirements, which is the product of the total number of monitored Elements and the total number of capabilities identified in 6.1	To provide Fault recording capability for more than 10% and up to and including 20% of the total set of requirements, which is the product of the total number of monitored Elements and the total number of capabilities identified in 6.1 through 6.2. OR Failed to document additional triggers or	To provide Fault recording capability for more than 20% and up to and including 30% of the total set of requirements, which is the product of the total number of monitored Elements and the total number of capabilities identified in 6.1 through 6.2. OR Failed to document additional triggers or deviations from the settings stipulated in	To provide Fault recording capability for more than 30% of the total set of requirements, which is the product of the total number of monitored Elements and the total number of capabilities identified in 6.1 through 6.2. OR Failed to document additional triggers or deviations from the settings stipulated in 6.3 through 6.4 for more than ten (10) locations.

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	through 6.2. OR Failed to document additional triggers or deviations from the settings stipulated in 6.3 through 6.4 for up to 2 locations.	deviations from the settings stipulated in 6.3 through 6.4 for more than two (2) and up to and including five (5) locations.	6.3 through 6.4 for more than five (5) and up to and including ten (10) locations.	
R7 The Reliability Coordinator failed to establish its area's requirements for...	Up to and including 10% of the required DDR coverage for its area as per 7.1 and 7.2.	More than 10% and up to and including 20% of the required DDR coverage for its area as per 7.1 and 7.2.	More than 20% and up to and including 30% of the required DDR coverage for its area as per 7.1 and 7.2.	More than 30% of the required DDR coverage for its area as per 7.1 and 7.2.
R8 The Reliability Coordinator failed to specify that DDRs installed...	Not applicable.	Not applicable.	Not applicable.	Function as continuous recorders.
R9 The Reliability Coordinator failed to specify that DDRs are installed without...	Not applicable.	Not applicable.	Not applicable.	The capabilities listed in 9.1 through 9.3.
R10 The Reliability Coordinator failed to ensure that the quantities listed in 10.1 through 10.5 are monitored or derived...	Not applicable.	Not applicable.	Not applicable.	Where DDRs are installed.
R11 The Reliability Coordinator failed to document and report to the Regional Entity upon request additional settings and deviations from the required trigger settings described in R9	Up to two (2) facilities within the Reliability Coordinator's area that have a DDR.	More than two (2) and up to five (5) facilities within the Reliability Coordinator's area that have a DDR.	More than five (5) and up to ten (10) facilities within the Reliability Coordinator's area that have a DDR.	More than ten (10) facilities within the Reliability Coordinator's area that have a DDR.

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and the required list of monitored quantities as described in R10 for...				
R12 The Reliability Coordinator failed to specify to the Transmission Owners and Generator Owners its DDR requirements including the DDR setting triggers established in R9 but missed...	Not applicable.	Not applicable.	Not applicable.	Established setting triggers.
R13 The Transmission Owner or Generator Owner failed to comply with the Reliability Coordinator's request installing the DDR in accordance with R12 for...	Up to and including 10% of the requirement set of the Reliability Coordinator's request to install DDRs, with the requirement set being the total number of DDRs requested times the number of setting triggers specified for each DDR.	More than 10% and up to 20% of the requirement set requested by the Reliability Coordinator for installing DDRs, with the requirement set being the total number of DDRs requested times the number of setting triggers specified for each DDR.	More than 20% and up to 30% of the requirement set requested by the Reliability Coordinator for installing DDRs, with the requirement set being the total number of DDRs requested times the number of setting triggers specified for each DDR.	More than 30% of the requirement set requested by the Reliability Coordinator and installing DDRs, with the requirement set being the total number of DDRs requested times the number of setting triggers specified for each DDR OR The Reliability Coordinator, Transmission Owners, and Generator Owners failed to mutually agree on an implementation schedule.
R14 The Transmission Owner or Generator Owner...	Established a maintenance and testing program for stand alone DME but provided incomplete data for any one (1) of 14.1 through	Established a maintenance and testing program for stand alone DME but provided incomplete data for more than one (1) and up to and including three (3) of 14.1 through 14.7.	Established a maintenance and testing program for stand alone DME but provided incomplete data for more than three (3) and up to and including six (6) of 14.1 through 14.7.	Did not establish any maintenance and testing program for DME; OR The Transmission Owner or Generator Owner established a maintenance and testing program for DME but did not provide any data that

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	14.7.			meets all of 14.1 through 14.7.
R15 The Reliability Coordinator, Transmission Owner or Generator Owner provided recorded disturbance data from DMEs but was late for...	Up to and including fifteen (15) days in meeting the requests of an entity, or entities in 15.1, or 15.2.	More than fifteen (15) days but less than and including thirty (30) days in meeting the requests of an entity, or entities in 15.1 or 15.2.	More than 30 days but less than and including forty-five (45) days in meeting the requests of an entity, or entities in 15.1 or 15.2.	More than forty-five (45) days in meeting the requests of an entity, or entities in 15.1 or 15.2.
R16 The Reliability Coordinator, Transmission Owner or Generator Owner failed to submit...	Up to and including two (2) data files in a format that meets the applicable format requirements in 16.1 through 16.3.	More than two (2) and up to and including five (5) data files in a format that meets the applicable format requirements in 16.1 through 16.3.	More than five (5) and up to and including ten (10) data files in a format that meets the applicable format requirements in 16.1 through 16.3.	More than ten (10) data files in a format that meets the applicable format requirements in 16.1 through 16.3.
R17 The Reliability Coordinator, Transmission Owner or Generator Owner failed to maintain or provide to the Regional Entity , upon request...	Up to and including two (2) of the items in 17.1 through 17.8.	More than two (2) and up to and including four (4) of the items in 17.1 to 17.8.	More than four (4) and up to and including six (6) of the items in 17.1 through 17.8.	More than six (6) of the items in 17.1 through 17.8.

E. Associated Documents

Version History

Version	Date	Action	Change Tracking
1	November 4, 2010	Adopted by NERC Board of Trustees	New
1	October 20, 2011	FERC Order issued approving PRC-002-NPCC-01 (FERC’s Order became effective on October 20, 2011)	