

Business Entity ID Number	For P&SP Stamp Only	P&SP Bar Code Only
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U.S. Department of Agriculture
 Grain Inspection,
 Packers and Stockyards
 Administration

**ANNUAL REPORT OF DEALER OR
 MARKET AGENCY BUYING ON
 COMMISSION**

Indicate your preference to submit forms electronically, or by paper copy	
Electronic Filing	<input type="checkbox"/>
Manual Filing	<input type="checkbox"/>

Packers and
 Stockyards
 Program

Instructions: If an item's value is zero, enter "0". If an item does not apply, enter "NA". Upon completion please verify that all items have been answered. Return completed form to the P&SP Central Reporting Unit. See separate instructions for complete information about this report.

GENERAL INFORMATION - SECTION 1

101	Reporting Period (mm/dd/yy-mm/dd/yy)	a. from	b. to
102	Legal Business Name		
103	Business Name (dba)		
104	Mailing Address		
105	City, State, Zip		
106	Physical Address		
107	City, State, Zip		
108	Contact Name		
109	Telephone Number	110	Fax Number
111	E-Mail Address	112	Web Address

ORGANIZATIONAL STRUCTURE - SECTION 2

List owners, officers, partners, and members in control of this business

	a. Name	b. Title	c. Percentage Ownership
201			
202			
203			
204			
205			

206	Type of organization: <input type="checkbox"/> Individual <input type="checkbox"/> Partnership <input type="checkbox"/> Corporation <input type="checkbox"/> L.L.C. <input type="checkbox"/> L.L.P. <input type="checkbox"/> Co-op <input type="checkbox"/> Association <input type="checkbox"/> Other
207	Is this the same organization type reported last year? <input type="checkbox"/> Yes <input type="checkbox"/> No
208	Is this business owned or controlled by another business entity? <input type="checkbox"/> Yes <input type="checkbox"/> No
209	If line 208 is yes, identify the owner/controlling business name and address
210	Does this business own or control other businesses within the livestock-meat industry? <input type="checkbox"/> Yes <input type="checkbox"/> No

If line 210 is yes, then provide the names of businesses that you own or control and percentage of control in items 211-214

	a. Name of Business	b. Percentage of Control
211		
212		
213		
214		

215	Does the business own or operate a scale on which you weigh livestock purchased? <input type="checkbox"/> Yes <input type="checkbox"/> No
216	What is the basis of payment made to the business for most livestock you sell? <input type="checkbox"/> Liveweight <input type="checkbox"/> Dressed Weight or Carcass Basis

Your response to this form is required under the Packers and Stockyards Act of 1921 (9 CFR 201.97 and 7 U.S.C. 181-229). According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information is 0580-0015. The time required to complete this information collection is estimated to average 2 hour per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection.

BOND INFORMATION - SECTION 3

Livestock Dealer Activity		a. Cattle & Calves	b. Hogs	c. Sheep & Goats	d. Horses & Mules	e. Total (a+b+c+d)
301	Cost of livestock purchased on a dealer basis for registrant's account	\$	\$	\$	\$	\$
302	Number of head of livestock purchased on a dealer basis for registrant's account					

Livestock Bought on Commission		a. Cattle & Calves	b. Hogs	c. Sheep & Goats	d. Horses & Mules	e. Total (a+b+c+d)
303	Cost of livestock bought on commission for the account of others regardless of who paid for the livestock	\$	\$	\$	\$	\$
304	Number of head of livestock bought on commission for the account of others regardless of who paid for the livestock					

305 Number of days business conducted during the reporting year _____

Livestock Dealer Activity		a. First Quarter	b. Second Quarter	c. Third Quarter	d. Fourth Quarter
306	Cost of livestock purchased as a dealer, per quarter	\$	\$	\$	\$
Livestock Bought on Commission		a. First Quarter	b. Second Quarter	c. Third Quarter	d. Fourth Quarter
307	Cost of livestock bought on commission, per quarter	\$	\$	\$	\$

308 Total Cost of Livestock Purchased (should be equal to 301e plus 303e) \$ _____

CLEARER AND CLEAROR DESIGNATIONS - SECTION 4

401	Are you a clearer (cleared by another business for your livestock purchases)?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
402	If you checked yes in item 401, provide the name of the clearing agent:		
403	Are you a clearor (provide clearing services for clearers)?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
		If yes provide information for items 404-412	
	Clearee's Name as Registered		Gross Value of Livestock Purchased
404		405	\$
406		407	\$
408		409	\$
410		411	\$
	Total Livestock Purchases of all Clearers	412	\$

BALANCE SHEET - SECTION 5

All clearors and dealers whose line 301e plus 303e plus 412 total is below a specified \$ amount (see instructions) do not need to complete Sections 5 and 6.

Assets

Current Assets

501	Cash (enter overdrafts under Current Liabilities-Line 515)	\$ _____
502	Temporary Cas Investments (Marketable Securities, Certificates of Deposit, Commercial Paper, etc.)	\$ _____
503	Accounts Receivable (Due in 1 Year or Less)	\$ _____
504	Notes and Loans Receivable (Portion due in 1 Year or Less)	\$ _____
505	Livestock inventory	\$ _____
506	Other Inventory	\$ _____
507	Total Inventory	\$ _____
508	Other Current Assets	\$ _____
509	Total Current Assets	\$ _____

Non Current Assets

510	Investments	\$ _____
511	Property, Plant, & Equipment net of Depreciation and Amortization	\$ _____
512	Other Long-Term Assets	\$ _____
513	Total Long-Term Assets	\$ _____
514	Total Assets	\$ _____

Continuation of Section 5 Balance Sheet

Liabilities and Net Worth

Liabilities

Current Liabilities

515 Bank Overdraft	-----	\$	_____
516 Accounts Payable - Livestock	-----	\$	_____
517 Accounts Payable - Other	-----	\$	_____
518 Total Accounts Payable	-----	\$	_____
519 Notes and Loans Payable (Demand Notes and Portion of Long-Term Notes due in 1 Year or Less)	-----	\$	_____
520 Other Current Liabilities	-----	\$	_____
521 Total Current Liabilities	-----	\$	_____

Non-Current Liabilities

522 Long-Term Liabilities (Due after 1 Year)	-----	\$	_____
523 Total Liabilities	-----	\$	_____
524 Total Net Worth	-----	\$	_____
525 Total Liabilities and Net Worth	-----	\$	_____

INCOME STATEMENT - SECTION 6

All clearors and dealers whose line 301e plus 303e plus 412 total is below a specified \$ amount (see instructions) do not need to complete Sections 5 and 6.

Income Statement

601 Gross Dealer Profit (+) or Loss (-)	-----	601	\$	_____
602 Buying Commission	-----	602	\$	_____
603 Other Income	-----	603	\$	_____
604 Total Income	-----	604	\$	_____
605 Total Expenses	-----	605	\$	_____
606 Net Profit (+) or Loss (-)	-----	606	\$	_____

CERTIFICATION - SECTION 7

Under the Packers and Stockyards Act any person who willfully makes, or causes any false entry or statement of fact in this report shall be deemed guilty of offense against the United States, and be subject to a fine of \$1,000 to \$5,000, or to imprisonment for a term of not more than 3 years, or to both fine and imprisonment.

I certify that this report has been prepared by me or under my direction, and to the best of my knowledge and belief correctly reflects reporting entity operations.

701 Print Name		702 Signature (Must be signed by a person listed on 201-205)	
703 Phone Number	704 Date	705 Title	

Submitted information is confidential (9 CFR 201.96). Failure to report will result in forfeiture to the United States \$110 per day until report receipt 7 (U.S.C. 222).

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