

**ATTACHMENT 6. PROPOSED FINAL SURVEY FOR EARLY INDEPENDENCE PRINCIPAL INVESTIGATOR (VERSIONS A & B)**

**OMB# 0925-0665      EXP. DATE: 12/31/2015**

*Public reporting burden for this collection of information is estimated to average 45 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: NIH, Project Clearance Branch, 6705 Rockledge Drive, MSC 7974, Bethesda, MD 20892-7974, ATTN: PRA (0925-0476). Do not return the completed form to this address.*

1. Have you changed institutions since you received the Early Independence Award? Yes No  
*(If respondents answer NO to Question 1, respondent will complete version A of the online survey  
(If respondents answer YES to Question 1, respondent will complete version B of the online survey)*

**Version A - EIA Principal Investigators who HAVE NOT CHANGED institutions.**

2. Please provide the title of your current position. \_\_\_\_\_
3. Overall, how do you feel the level of commitment from your host institution compares to what it was last year?  
A. Increased B. Stayed the same C. Decreased
4. [If A or C are checked in Question 3 above.] If the level of commitment from your host institution has changed (increased or decreased), please explain.
5. During the past fiscal year, have you negotiated changes with your host institution regarding your position, and/or the type and level of support you receive?  
Yes  
What changes were made and why? \_\_\_\_\_  
No
6. How many collaborations are you currently involved in? \_\_\_\_\_  
6a. How many of these collaborations are related to your EIA research project? \_\_\_\_\_  
6b. Overall, what is the estimated percentage of your time dedicated to collaborations? \_\_\_\_\_  
6c. Of the time dedicated to collaborations, what is the estimated percentage related specifically to the EIA research project? \_\_\_\_\_  
6d. Please elaborate on your collaborations related to the EIA research project (e.g., topic, expected outcomes, collaborators inside or outside the institution, etc.) \_\_\_\_\_  
6e. Please elaborate on your collaborations not related to the EIA research project (e.g., topic, expected outcomes, collaborators inside or outside the institution, etc.) \_\_\_\_\_
7. During the past fiscal year, which of the following challenges or barriers have you encountered as EIA PI? (Please check all that apply)  
None  
Administrative (e.g., lack of support staff, inefficient ordering systems, etc.)  
Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.  
Operations (e.g., difficulties managing day-to-day activities of the laboratory, difficulty obtaining/maintaining equipment and supplies, etc.)  
Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.  
Recruitment (e.g., difficulty recruiting and hiring staff to work in the laboratory and/or on the project, etc.)  
Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.  
Supervising staff (e.g., challenges delegating tasks, problems addressing low productivity, etc.)

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

Career Development (e.g., relevant training not offered, lack of opportunities to attend training, conferences, etc.)

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

Collaboration within the institution (e.g., unable to establish collaborations with senior scientists within the institution, etc.)

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

Collaboration outside the institution (e.g., unable to access senior scientists outside the institution, etc.)

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

Teaching (e.g., heavy teaching load, etc.)

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

Scientific Independence (e.g., institution expectation to work under senior faculty, etc.)

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

Institution Leadership (e.g., lack of access to leadership within the institution, division, or department, etc.)

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

Funding (e.g., unable to identify and apply for other sources of funding, institution not facilitating transition to other sources of funding, etc.)

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

Integration into Institution Structures

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

Management (e.g., challenges overseeing budget, not effective in securing resources, challenges managing time, etc.)

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

Other (please specify): \_\_\_\_\_

8. Overall, how satisfied were you with your host institution's responses to the challenges discussed in Question 7?

Very Satisfied  Satisfied  Dissatisfied  Very Dissatisfied  Not Applicable

Please comment: \_\_\_\_\_

9. During the past fiscal year, has your institution formally evaluated you and reviewed progress made in your NIH EIA research project?

Yes

Describe the evaluation process, the issues discussed, and who performed the evaluation \_\_\_\_\_

No

Will you be formally evaluated in the future? If so, when and what process will be used to conduct your evaluation?  
\_\_\_\_\_

10. Please comment on the advisory structure in place for the EIA program at your host institution and any changes in the past fiscal year. Include how often have you met with your advisor(s) and what issues were discussed during the meeting(s). \_\_\_\_\_

11. During the past fiscal year, how satisfied were you with your advisor(s) associated with EIA program?

Very Satisfied  Satisfied  Dissatisfied  Very Dissatisfied  Not Applicable

Please comment: \_\_\_\_\_

12. Please comment on the type and level of support you have received from senior colleagues (excluding advisors) and leadership (e.g., Department Chair, Division Head, Dean, etc.) at your host institution during the past fiscal year?
13. How satisfied are you with the administrative and/or support resources that were provided to you during the past fiscal year?  
 Very Satisfied  Satisfied  Dissatisfied  Very Dissatisfied  
*[If Dissatisfied or Very Dissatisfied are checked]* Please comment on the administrative and/or support resources provided during the past fiscal year (e.g., human resources, supply and equipment ordering systems, administrative assistance, budget manager, etc.).
14. How satisfied are you with the career development resources that you used during the past fiscal year?  
 Very Satisfied  Satisfied  Dissatisfied  Very Dissatisfied  
*[If Dissatisfied or Very Dissatisfied are checked]* Please comment on your experience with your institution's career development resources during the past fiscal year (e.g., courses in laboratory management, grant writing, staff supervision, etc.).
15. During the past fiscal year, did you teach a course?  
 Yes  
 Please describe the type of courses taught and your teaching load \_\_\_\_\_  
 No
16. During the past fiscal year, which of the following sources of funding have you applied for? Please check all that apply.
- I have NOT applied for additional sources of funding during the past year
- NIH  
 What is the status of this funding request?  
 Applied, but did not receive funding  Applied, awaiting notification  Funding received  
 Please list the name of the Institute or Office of the Director, the name of the program, the amount, and the period of funding \_\_\_\_\_
- Government Agencies (excluding NIH)  
 What is the status of this funding request?  
 Applied, but did not receive funding  Applied, awaiting notification  Funding received  
 Please list the name of the organization, the name of the program, the amount, and the period of funding \_\_\_\_\_
- Institutional Resources  
 What is the status of this funding request?  
 Applied, but did not receive funding  Applied, awaiting notification  Funding received  
 Please list the name of the organization, the name of the program, the amount, and the period of funding \_\_\_\_\_
- Private (e.g., foundations, industry, etc.)  
 What is the status of this funding request?  
 Applied, but did not receive funding  Applied, awaiting notification  Funding received  
 Please list the name of the organization, the name of the program, the amount, and the period of funding \_\_\_\_\_
- Other: \_\_\_\_\_  
 What is the status of this funding request?  
 Applied, but did not receive funding  Applied, awaiting notification  Funding received  
 Please list the name of the organization, the name of the program, the amount, and the period of funding \_\_\_\_\_
17. Which of the following have occurred during the past fiscal year? Please check all that apply.
- I applied for tenure  
 I filed a patent application or have been granted a patent  
 I expanded my research group (e.g., added staff)  
 I formed new collaborations  
 I received an award(s)  
 What award(s) did you receive? \_\_\_\_\_  
 I was promoted

- What promotion did you receive? \_\_\_\_\_
- I published an original, peer-reviewed article  
Are you the senior or corresponding author? \_\_\_\_\_  
Please provide the URL or citation \_\_\_\_\_
- I published a book or other non-periodical, one time publication (e.g., monograph, any significant publication in the proceedings of a one-time conference or in the report of a one-time study, commission, or the like).  
Please provide the URL or citation \_\_\_\_\_
- I produced other publications such as conference papers and presentations  
Please provide the URL or citation \_\_\_\_\_
- I expanded my research focus  
Please explain \_\_\_\_\_
- I changed my research focus  
Please explain \_\_\_\_\_
- My work was featured in the popular press and/or media  
In what popular press and/or media has your work been featured? Please provide the URL or citation \_\_\_\_\_
- I served as a grant reviewer  
Please provide the name of the institution and the topic \_\_\_\_\_
- Other (please specify): \_\_\_\_\_

18. What resources resulted from your EIA scientific project during the past fiscal year? Please check all that apply.

- I do not have any resources to report
- Website(s) or other Internet site(s)  
Please provide URL for the website or other internet sites that you can attribute to EIA funding and the percent attribution \_\_\_\_\_
- Technologies or techniques  
Please list all your technologies or techniques that you can attribute to EIA funding and the percent attribution \_\_\_\_\_
- Inventions, patent applications, and/or licenses  
Please list all your inventions, patent applications, and/or licenses that you can attribute to EIA funding and the percent attribution \_\_\_\_\_
- Other resources  
Please check the resources that you can attribute to EIA funding and the percent attribution
- Databases  
Please describe and provide the percent attribution to EIA funding \_\_\_\_\_
- Physical collections  
Please describe and provide the percent attribution to EIA funding \_\_\_\_\_
- Audio or video products  
Please describe and provide the percent attribution to EIA funding \_\_\_\_\_
- Software  
Please describe and provide the percent attribution to EIA funding \_\_\_\_\_
- Models  
Please describe and provide the percent attribution to EIA funding \_\_\_\_\_
- Educational aids or curricula  
Please describe and provide the percent attribution to EIA funding \_\_\_\_\_
- Instruments or equipment  
Please describe and provide the percent attribution to EIA funding \_\_\_\_\_
- Data & Research Material (e.g., cell lines, DNA probes, animal models, etc.)  
Please describe and provide the percent attribution to EIA funding \_\_\_\_\_
- Other (Please describe and indicate the percent attribution to EIA funding): \_\_\_\_\_

19. Gender (Please choose only one)

- Male
- Female

20. Ethnicity (Please choose only one)

- Hispanic or Latino  
 Not Hispanic or Latino

21. Race (Please choose all that apply)

- American Indian or Alaska Native  
 Asian  
 Black or African American  
 Native Hawaiian or other Pacific Islander  
 White

22. Please feel free to share any other thoughts you might have related to the EIA program.

THANK YOU!

**Version B - EIA Principal Investigators who HAVE CHANGED institutions.**

2. When did you change host institutions? (month/date/year) \_\_\_\_\_
3. What was your position at your old host institution (e.g., tenure track faculty, non-tenure track research fellow, etc.)?
4. What is your current position and title at your new host institution (e.g., tenure track faculty, non-tenure track research fellow, etc.)?
5. What were the reasons for changing institutions?
6. Were there challenges associated with changing institutions? If so, what were they and how were they addressed?
7. How many collaborations are you currently involved in? \_\_\_\_\_
- 7a. How many of these collaborations are related to your EIA research project? \_\_\_\_\_
- 7b. Overall, what is the estimated percentage of your time dedicated to collaborations? \_\_\_\_\_
- 7c. Of the time dedicated to collaborations, what is the estimated percentage related specifically to the EIA research project? \_\_\_\_\_
- 7d. Please elaborate on your collaborations related to the EIA research project (e.g., topic, expected outcomes, collaborators inside or outside the institution, etc.) \_\_\_\_\_
- 7e. Please elaborate on your collaborations not related to the EIA research project (e.g., topic, expected outcomes, collaborators inside or outside the institution, etc.) \_\_\_\_\_

**PLEASE NOTE QUESTIONS 8-16 APPLY TO YOUR NEW INSTITUTION**

8. During the past fiscal year, which of the following challenges or barriers have you encountered as EIA PI? (Please check all that apply)
- None
- Administrative (e.g., lack of support staff, inefficient ordering systems, etc.)  
Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.
- Operations (e.g., difficulties managing day-to-day activities of the laboratory, difficulty obtaining/maintaining equipment and supplies, etc.)  
Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.
- Recruitment (e.g., difficulty recruiting and hiring staff to work in the laboratory and/or on the project, etc.)  
Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

Supervising staff (e.g., challenges delegating tasks, problems addressing low productivity, etc.)

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

Career Development (e.g., relevant training not offered, lack of opportunities to attend training, conferences, etc.)

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

Collaboration within the institution (e.g., unable to establish collaborations with senior scientists within the institution, etc.)

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Collaboration outside the institution (e.g., unable to access senior scientists outside the institution, etc.)

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

Teaching (e.g., heavy teaching load, etc.)

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

Scientific Independence (e.g., institution expectation to work under senior faculty, etc.)

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

Institution Leadership (e.g., lack of access to leadership within the institution, division, or department, etc.)

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Funding (e.g., unable to identify and apply for other sources of funding, institution not facilitating transition to other sources of funding, etc.)

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

Integration into Institution Structures

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

Management (e.g., challenges overseeing budget, not effective in securing resources, challenges managing time, etc.)

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

Other (please specify): \_\_\_\_\_

9. Overall, how satisfied were you with your host institution's responses to the challenges discussed in Question 8?

Very Satisfied  Satisfied  Dissatisfied  Very Dissatisfied  Not Applicable

Please comment: \_\_\_\_\_

10. During the past fiscal year, has your institution formally evaluated you and reviewed progress made in your NIH EIA research project?

Yes

Describe the evaluation process, the issues discussed, and who performed the evaluation \_\_\_\_\_

No

Will you be formally evaluated in the future? If so, when and what process will be used to conduct your evaluation?  
\_\_\_\_\_

11. Please comment on the advisory structure in place for the EIA program at your host institution and any changes in the past fiscal year. Include how often have you met with your advisor(s) and what issues were discussed during the meeting(s). \_\_\_\_\_

12. During the past fiscal year, how satisfied were you with your advisor(s) associated with EIA program?

Very Satisfied  Satisfied  Dissatisfied  Very Dissatisfied  Not Applicable

Please comment: \_\_\_\_\_

13. Please comment on the type and level of support you have received from senior colleagues (excluding advisors) and leadership (e.g., Department Chair, Division Head, Dean, etc.) at your host institution during the past fiscal year?
14. How satisfied are you with the administrative and/or support resources that were provided to you during the past fiscal year?  
 Very Satisfied  Satisfied  Dissatisfied  Very Dissatisfied  
[If Dissatisfied or Very Dissatisfied are checked] Please comment on the administrative and/or support resources provided during the past fiscal year (e.g., human resources, supply and equipment ordering systems, administrative assistance, budget manager, etc.).
15. How satisfied are you with the career development resources that you used during the past fiscal year?  
 Very Satisfied  Satisfied  Dissatisfied  Very Dissatisfied  
[If Dissatisfied or Very Dissatisfied are checked] Please comment on your experience with your institution's career development resources during the past fiscal year (e.g., courses in laboratory management, grant writing, staff supervision, etc.).
16. During the past fiscal year, did you teach a course?  
 Yes  
Please describe the type of courses taught and your teaching load \_\_\_\_\_  
 No

**PLEASE NOTE QUESTIONS 17-23 APPLY TO THE TIME AT BOTH YOUR OLD AND NEW INSTITUTIONS**

17. During the past fiscal year, which of the following sources of funding have you applied for? Please check all that apply.
- I have **NOT** applied for additional sources of funding during the past year
- NIH  
What is the status of this funding request?  
 Applied, but did not receive funding  Applied, awaiting notification  Funding received  
Please list the name of the Institute or Office of the Director, the name of the program, the amount, and the period of funding \_\_\_\_\_
- Government Agencies (excluding NIH)  
What is the status of this funding request?  
 Applied, but did not receive funding  Applied, awaiting notification  Funding received  
Please list the name of the organization, the name of the program, the amount, and the period of funding \_\_\_\_\_
- Institutional Resources  
What is the status of this funding request?  
 Applied, but did not receive funding  Applied, awaiting notification  Funding received  
Please list the name of the organization, the name of the program, the amount, and the period of funding \_\_\_\_\_
- Private (e.g., foundations, industry, etc.)  
What is the status of this funding request?  
 Applied, but did not receive funding  Applied, awaiting notification  Funding received  
Please list the name of the organization, the name of the program, the amount, and the period of funding \_\_\_\_\_
- Other: \_\_\_\_\_  
What is the status of this funding request?  
 Applied, but did not receive funding  Applied, awaiting notification  Funding received  
Please list the name of the organization, the name of the program, the amount, and the period of funding \_\_\_\_\_
18. Which of the following have occurred during the past fiscal year? Please check all that apply.
- I applied for tenure
- I filed a patent application or have been granted a patent

- I expanded my research group (e.g., added staff)
- I formed new collaborations
- I received an award(s)  
What award(s) did you receive? \_\_\_\_\_
- I was promoted  
What promotion did you receive? \_\_\_\_\_
- I published an original, peer-reviewed article  
Are you the senior or corresponding author? \_\_\_\_\_  
Please provide the URL or citation \_\_\_\_\_
- I published a book or other non-periodical, one time publication (e.g., monograph, any significant publication in the proceedings of a one-time conference or in the report of a one-time study, commission, or the like).  
Please provide the URL or citation \_\_\_\_\_
- I produced other publications such as conference papers and presentations  
Please provide the URL or citation \_\_\_\_\_
- I expanded my research focus  
Please explain \_\_\_\_\_
- I changed my research focus  
Please explain \_\_\_\_\_
- My work was featured in the popular press and/or media  
In what popular press and/or media has your work been featured? Please provide the URL or citation \_\_\_\_\_
- I served as a grant reviewer  
Please provide the name of the institution and the topic \_\_\_\_\_
- Other (please specify): \_\_\_\_\_

19. What resources resulted from your EIA scientific project during the past fiscal year? Please check all that apply.

- I do not have any resources to report
- Website(s) or other Internet site(s)  
Please provide URL for the website or other internet sites that you can attribute to EIA funding and the percent attribution \_\_\_\_\_
- Technologies or techniques  
Please list all your technologies or techniques that you can attribute to EIA funding and the percent attribution \_\_\_\_\_
- Inventions, patent applications, and/or licenses  
Please list all your inventions, patent applications, and/or licenses that you can attribute to EIA funding and the percent attribution \_\_\_\_\_
- Other resources  
Please check the resources that you can attribute to EIA funding and the percent attribution
  - Databases  
Please describe and provide the percent attribution to EIA funding \_\_\_\_\_
  - Physical collections  
Please describe and provide the percent attribution to EIA funding \_\_\_\_\_
  - Audio or video products  
Please describe and provide the percent attribution to EIA funding \_\_\_\_\_
  - Software  
Please describe and provide the percent attribution to EIA funding \_\_\_\_\_
  - Models  
Please describe and provide the percent attribution to EIA funding \_\_\_\_\_
  - Educational aids or curricula  
Please describe and provide the percent attribution to EIA funding \_\_\_\_\_
  - Instruments or equipment  
Please describe and provide the percent attribution to EIA funding \_\_\_\_\_
  - Data & Research Material (e.g., cell lines, DNA probes, animal models, etc.)  
Please describe and provide the percent attribution to EIA funding \_\_\_\_\_



Other (Please describe and indicate the percent attribution to EIA funding): \_\_\_\_\_

20. Gender (Please choose only one)

- Male
- Female

21. Ethnicity (Please choose only one)

- Hispanic or Latino
- Not Hispanic or Latino

22. Race (Please choose all that apply)

- American Indian or Alaska Native
- Asian
- Black or African American
- Native Hawaiian or other Pacific Islander
- White

23. Please feel free to share any other thoughts you might have related to the EIA program.

THANK YOU!