

ATTACHMENT 6. PROPOSED FINAL SURVEY FOR EARLY INDEPENDENCE PRINCIPAL INVESTIGATOR (VERSIONS A & B)

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1. Have you changed institutions since you received the Early Independence Award? Yes No

(If respondents answer NO to Question 1, respondent will complete version A of the online survey)

(If respondents answer YES to Question 1, respondent will complete version B of the online survey)

Version A - EIA Principal Investigators who HAVE NOT CHANGED institutions.

2. Please provide the title of your current position. _____
3. Overall, how do you feel the level of commitment from your host institution compares to what it was last year?
 A. Increased B. Stayed the same C. Decreased
4. [If A or C are checked in Question 3 above.] If the level of commitment from your host institution has changed (increased or decreased), please explain.
5. During the past fiscal year, have you negotiated changes with your host institution regarding your position, and/or the type and level of support you receive?
 Yes
What changes were made and why? _____
 No
6. How many collaborations are you currently involved in? _____
6a. How many of these collaborations are related to your EIA research project? _____
6b. Overall, what is the estimated percentage of your time dedicated to collaborations? _____
6c. Of the time dedicated to collaborations, what is the estimated percentage related specifically to the EIA research project? _____
6d. Please elaborate on your collaborations related to the EIA research project (e.g., topic, expected outcomes, collaborators inside or outside the institution, etc.) _____
6e. Please elaborate on your collaborations not related to the EIA research project (e.g., topic, expected outcomes, collaborators inside or outside the institution, etc.) _____
7. During the past fiscal year, which of the following challenges or barriers have you encountered as EIA PI? (Please check all that apply)
 None
 Administrative (e.g., lack of support staff, inefficient ordering systems, etc.)
Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.
 Operations (e.g., difficulties managing day-to-day activities of the laboratory, difficulty obtaining/maintaining equipment and supplies, etc.)
Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.
 Recruitment (e.g., difficulty recruiting and hiring staff to work in the laboratory and/or on the project, etc.)
Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.
 Supervising staff (e.g., challenges delegating tasks, problems addressing low productivity, etc.)

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

Career Development (e.g., relevant training not offered, lack of opportunities to attend training, conferences, etc.)

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

Collaboration within the institution (e.g., unable to establish collaborations with senior scientists within the institution, etc.)

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

Collaboration outside the institution (e.g., unable to access senior scientists outside the institution, etc.)

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

Teaching (e.g., heavy teaching load, etc.)

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

Scientific Independence (e.g., institution expectation to work under senior faculty, etc.)

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

Institution Leadership (e.g., lack of access to leadership within the institution, division, or department, etc.)

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

Funding (e.g., unable to identify and apply for other sources of funding, institution not facilitating transition to other sources of funding, etc.)

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

Integration into Institution Structures

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

Management (e.g., challenges overseeing budget, not effective in securing resources, challenges managing time, etc.)

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

Other (please specify): _____

8. Overall, how satisfied were you with your host institution's responses to the challenges discussed in Question 7?

Very Satisfied Satisfied Dissatisfied Very Dissatisfied Not Applicable

Please comment: _____

9. During the past fiscal year, has your institution formally evaluated you and reviewed progress made in your NIH EIA research project?

Yes

Describe the evaluation process, the issues discussed, and who performed the evaluation _____

No

Will you be formally evaluated in the future? If so, when and what process will be used to conduct your evaluation?

10. Please comment on the advisory structure in place for the EIA program at your host institution and any changes in the past fiscal year. Include how often have you met with your advisor(s) and what issues were discussed during the meeting(s). _____

11. During the past fiscal year, how satisfied were you with your advisor(s) associated with EIA program?

Very Satisfied Satisfied Dissatisfied Very Dissatisfied Not Applicable

Please comment: _____

12. Please comment on the type and level of support you have received from senior colleagues (excluding advisors) and leadership (e.g., Department Chair, Division Head, Dean, etc.) at your host institution during the past fiscal year?
13. How satisfied are you with the administrative and/or support resources that were provided to you during the past fiscal year?
 Very Satisfied Satisfied Dissatisfied Very Dissatisfied
[If Dissatisfied or Very Dissatisfied are checked] Please comment on the administrative and/or support resources provided during the past fiscal year (e.g., human resources, supply and equipment ordering systems, administrative assistance, budget manager, etc.).
14. How satisfied are you with the career development resources that you used during the past fiscal year?
 Very Satisfied Satisfied Dissatisfied Very Dissatisfied
[If Dissatisfied or Very Dissatisfied are checked] Please comment on your experience with your institution's career development resources during the past fiscal year (e.g., courses in laboratory management, grant writing, staff supervision, etc.).
15. During the past fiscal year, did you teach a course?
 Yes
Please describe the type of courses taught and your teaching load _____
 No
16. During the past fiscal year, which of the following sources of funding have you applied for? Please check all that apply.
 I have NOT applied for additional sources of funding during the past year
 NIH
What is the status of this funding request?
 Applied, but did not receive funding Applied, awaiting notification Funding received
Please list the name of the Institute or Office of the Director, the name of the program, the amount, and the period of funding _____
 Government Agencies (excluding NIH)
What is the status of this funding request?
 Applied, but did not receive funding Applied, awaiting notification Funding received
Please list the name of the organization, the name of the program, the amount, and the period of funding _____
 Institutional Resources
What is the status of this funding request?
 Applied, but did not receive funding Applied, awaiting notification Funding received
Please list the name of the organization, the name of the program, the amount, and the period of funding _____
 Private (e.g., foundations, industry, etc.)
What is the status of this funding request?
 Applied, but did not receive funding Applied, awaiting notification Funding received
Please list the name of the organization, the name of the program, the amount, and the period of funding _____
 Other: _____
What is the status of this funding request?
 Applied, but did not receive funding Applied, awaiting notification Funding received
Please list the name of the organization, the name of the program, the amount, and the period of funding _____
17. Which of the following have occurred during the past fiscal year? Please check all that apply.
 I applied for tenure
 I filed a patent application or have been granted a patent
 I expanded my research group (e.g., added staff)
 I formed new collaborations
 I received an award(s)
What award(s) did you receive? _____
 I was promoted

- What promotion did you receive? _____
- I published an original, peer-reviewed article
Are you the senior or corresponding author? _____
Please provide the URL or citation _____
- I published a book or other non-periodical, one time publication (e.g., monograph, any significant publication in the proceedings of a one-time conference or in the report of a one-time study, commission, or the like).
Please provide the URL or citation _____
- I produced other publications such as conference papers and presentations
Please provide the URL or citation _____
- I expanded my research focus
Please explain _____
- I changed my research focus
Please explain _____
- My work was featured in the popular press and/or media
In what popular press and/or media has your work been featured? Please provide the URL or citation _____
- I served as a grant reviewer
Please provide the name of the institution and the topic _____
- Other (please specify): _____

18. What resources resulted from your EIA scientific project during the past fiscal year? Please check all that apply.

- I do not have any resources to report
- Website(s) or other Internet site(s)
Please provide URL for the website or other internet sites that you can attribute to EIA funding and the percent attribution _____
- Technologies or techniques
Please list all your technologies or techniques that you can attribute to EIA funding and the percent attribution _____
- Inventions, patent applications, and/or licenses
Please list all your inventions, patent applications, and/or licenses that you can attribute to EIA funding and the percent attribution _____
- Other resources
Please check the resources that you can attribute to EIA funding and the percent attribution
- Databases
Please describe and provide the percent attribution to EIA funding _____
- Physical collections
Please describe and provide the percent attribution to EIA funding _____
- Audio or video products
Please describe and provide the percent attribution to EIA funding _____
- Software
Please describe and provide the percent attribution to EIA funding _____
- Models
Please describe and provide the percent attribution to EIA funding _____
- Educational aids or curricula
Please describe and provide the percent attribution to EIA funding _____
- Instruments or equipment
Please describe and provide the percent attribution to EIA funding _____
- Data & Research Material (e.g., cell lines, DNA probes, animal models, etc.)
Please describe and provide the percent attribution to EIA funding _____
- Other (Please describe and indicate the percent attribution to EIA funding): _____

19. Gender (Please choose only one)

- Male
- Female

20. Ethnicity (Please choose only one)

- Hispanic or Latino
 Not Hispanic or Latino

21. Race (Please choose all that apply)

- American Indian or Alaska Native
 Asian
 Black or African American
 Native Hawaiian or other Pacific Islander
 White

22. Please feel free to share any other thoughts you might have related to the EIA program.

THANK YOU!

Version B - EIA Principal Investigators who HAVE CHANGED institutions.

2. When did you change host institutions? (month/date/year) _____
3. What was your position at your old host institution (e.g., tenure track faculty, non-tenure track research fellow, etc.)?
4. What is your current position and title at your new host institution (e.g., tenure track faculty, non-tenure track research fellow, etc.)?
5. What were the reasons for changing institutions?
6. Were there challenges associated with changing institutions? If so, what were they and how were they addressed?
7. How many collaborations are you currently involved in? _____
7a. How many of these collaborations are related to your EIA research project? _____
7b. Overall, what is the estimated percentage of your time dedicated to collaborations? _____
7c. Of the time dedicated to collaborations, what is the estimated percentage related specifically to the EIA research project? _____
7d. Please elaborate on your collaborations related to the EIA research project (e.g., topic, expected outcomes, collaborators inside or outside the institution, etc.) _____
7e. Please elaborate on your collaborations not related to the EIA research project (e.g., topic, expected outcomes, collaborators inside or outside the institution, etc.) _____

PLEASE NOTE QUESTIONS 8-16 APPLY TO YOUR NEW INSTITUTION

8. During the past fiscal year, which of the following challenges or barriers have you encountered as EIA PI? (Please check all that apply)

- None
 Administrative (e.g., lack of support staff, inefficient ordering systems, etc.)

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

- Operations (e.g., difficulties managing day-to-day activities of the laboratory, difficulty obtaining/maintaining equipment and supplies, etc.)

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

- Recruitment (e.g., difficulty recruiting and hiring staff to work in the laboratory and/or on the project, etc.)

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

- Supervising staff (e.g., challenges delegating tasks, problems addressing low productivity, etc.)
Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.
 Career Development (e.g., relevant training not offered, lack of opportunities to attend training, conferences, etc.)

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

- Collaboration within the institution (e.g., unable to establish collaborations with senior scientists within the institution, etc.)

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

- Collaboration outside the institution (e.g., unable to access senior scientists outside the institution, etc.)

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

- Teaching (e.g., heavy teaching load, etc.)

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

- Scientific Independence (e.g., institution expectation to work under senior faculty, etc.)

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

- Institution Leadership (e.g., lack of access to leadership within the institution, division, or department, etc.)

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

- Funding (e.g., unable to identify and apply for other sources of funding, institution not facilitating transition to other sources of funding, etc.)

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

- Integration into Institution Structures

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

- Management (e.g., challenges overseeing budget, not effective in securing resources, challenges managing time, etc.)

Please describe the challenges, steps taken by you and the host institution to address them, and if the challenges are still ongoing.

- Other (please specify): _____

9. Overall, how satisfied were you with your host institution's responses to the challenges discussed in Question 8?

- Very Satisfied Satisfied Dissatisfied Very Dissatisfied Not Applicable

Please comment: _____

10. During the past fiscal year, has your institution formally evaluated you and reviewed progress made in your NIH EIA research project?

- Yes

Describe the evaluation process, the issues discussed, and who performed the evaluation _____

- No

Will you be formally evaluated in the future? If so, when and what process will be used to conduct your evaluation?

11. Please comment on the advisory structure in place for the EIA program at your host institution and any changes in the past fiscal year. Include how often have you met with your advisor(s) and what issues were discussed during the meeting(s). _____

12. During the past fiscal year, how satisfied were you with your advisor(s) associated with EIA program?

- Very Satisfied Satisfied Dissatisfied Very Dissatisfied Not Applicable

Please comment: _____

13. Please comment on the type and level of support you have received from senior colleagues (excluding advisors) and leadership (e.g., Department Chair, Division Head, Dean, etc.) at your host institution during the past fiscal year?

14. How satisfied are you with the administrative and/or support resources that were provided to you during the past fiscal year?

Very Satisfied Satisfied Dissatisfied Very Dissatisfied

[If Dissatisfied or Very Dissatisfied are checked] Please comment on the administrative and/or support resources provided during the past fiscal year (e.g., human resources, supply and equipment ordering systems, administrative assistance, budget manager, etc.).

15. How satisfied are you with the career development resources that you used during the past fiscal year?

Very Satisfied Satisfied Dissatisfied Very Dissatisfied

[If Dissatisfied or Very Dissatisfied are checked] Please comment on your experience with your institution's career development resources during the past fiscal year (e.g., courses in laboratory management, grant writing, staff supervision, etc.).

16. During the past fiscal year, did you teach a course?

Yes

Please describe the type of courses taught and your teaching load _____

No

PLEASE NOTE QUESTIONS 17-23 APPLY TO THE TIME AT BOTH YOUR OLD AND NEW INSTITUTIONS

17. During the past fiscal year, which of the following sources of funding have you applied for? Please check all that apply.

I have NOT applied for additional sources of funding during the past year
 NIH

What is the status of this funding request?

Applied, but did not receive funding Applied, awaiting notification Funding received

Please list the name of the Institute or Office of the Director, the name of the program, the amount, and the period of funding _____

Government Agencies (excluding NIH)

What is the status of this funding request?

Applied, but did not receive funding Applied, awaiting notification Funding received

Please list the name of the organization, the name of the program, the amount, and the period of funding _____

Institutional Resources

What is the status of this funding request?

Applied, but did not receive funding Applied, awaiting notification Funding received

Please list the name of the organization, the name of the program, the amount, and the period of funding _____

Private (e.g., foundations, industry, etc.)

What is the status of this funding request?

Applied, but did not receive funding Applied, awaiting notification Funding received

Please list the name of the organization, the name of the program, the amount, and the period of funding _____

Other: _____

What is the status of this funding request?

Applied, but did not receive funding Applied, awaiting notification Funding received

Please list the name of the organization, the name of the program, the amount, and the period of funding _____

18. Which of the following have occurred during the past fiscal year? Please check all that apply.

I applied for tenure
 I filed a patent application or have been granted a patent

I expanded my research group (e.g., added staff)

I formed new collaborations

I received an award(s)

What award(s) did you receive? _____

I was promoted

What promotion did you receive? _____

I published an original, peer-reviewed article

Are you the senior or corresponding author? _____

Please provide the URL or citation _____

I published a book or other non-periodical, one time publication (e.g., monograph, any significant publication in the proceedings of a one-time conference or in the report of a one-time study, commission, or the like).

Please provide the URL or citation _____

I produced other publications such as conference papers and presentations

Please provide the URL or citation _____

I expanded my research focus

Please explain _____

I changed my research focus

Please explain _____

My work was featured in the popular press and/or media

In what popular press and/or media has your work been featured? Please provide the URL or citation _____

I served as a grant reviewer

Please provide the name of the institution and the topic _____

Other (please specify): _____

19. What resources resulted from your EIA scientific project during the past fiscal year? Please check all that apply.

I do not have any resources to report

Website(s) or other Internet site(s)

Please provide URL for the website or other internet sites that you can attribute to EIA funding and the percent attribution _____

Technologies or techniques

Please list all your technologies or techniques that you can attribute to EIA funding and the percent attribution _____

Inventions, patent applications, and/or licenses

Please list all your inventions, patent applications, and/or licenses that you can attribute to EIA funding and the percent attribution _____

Other resources

Please check the resources that you can attribute to EIA funding and the percent attribution

Databases

Please describe and provide the percent attribution to EIA funding _____

Physical collections

Please describe and provide the percent attribution to EIA funding _____

Audio or video products

Please describe and provide the percent attribution to EIA funding _____

Software

Please describe and provide the percent attribution to EIA funding _____

Models

Please describe and provide the percent attribution to EIA funding _____

Educational aids or curricula

Please describe and provide the percent attribution to EIA funding _____

Instruments or equipment

Please describe and provide the percent attribution to EIA funding _____

Data & Research Material (e.g., cell lines, DNA probes, animal models, etc.)

Please describe and provide the percent attribution to EIA funding _____

Other (Please describe and indicate the percent attribution to EIA funding): _____

20. Gender (Please choose only one)

- Male
- Female

21. Ethnicity (Please choose only one)

- Hispanic or Latino
- Not Hispanic or Latino

22. Race (Please choose all that apply)

- American Indian or Alaska Native
- Asian
- Black or African American
- Native Hawaiian or other Pacific Islander
- White

23. Please feel free to share any other thoughts you might have related to the EIA program.

THANK YOU!