

Form NPS-4



**DEATHS IN CUSTODY—2012  
ANNUAL SUMMARY OF INMATE DEATHS  
IN STATE PRISONS**

U.S. DEPARTMENT OF JUSTICE  
BUREAU OF JUSTICE STATISTICS  
AND ACTING AS COLLECTION AGENT:

RTI INTERNATIONAL

**FORM COMPLETED BY:**

Name	<input type="text"/>	Title	<input type="text"/>
Official Address	<input type="text"/>	Telephone	<input type="text"/> <input type="text"/>
City	<input type="text"/>	FAX	<input type="text"/> <input type="text"/>
State	<input type="text"/>	Zip	<input type="text"/>
E-mail		<input type="text"/>	

**What deaths should be reported?**

**INCLUDE** deaths of ALL persons...

- Confined in your correctional facilities, whether housed under your jurisdiction or that of another state
- Under your jurisdiction but housed in private correctional facilities, whether located in or out of state
- Under your jurisdiction but in special facilities (e.g., medical/ treatment/release centers, halfway houses, police/court lockups, and work farms)
- In transit to or from your facilities while under your supervision

**EXCLUDE** deaths of ALL persons...

- Executed in your state
- Confined in local jail facilities, whether located in or out of state
- Under your jurisdiction but housed in a state-operated correctional facility in another state or in a federal facility
- Under probation or parole supervision in your state

**During 2012, how many persons died while in the custody of your state correctional facilities?**

Number of deaths in 2012

Please fill out the number of deaths that occurred in calendar year 2012 above and submit this form and corresponding NPS-4A forms to RTI International. You may submit these data in one of these ways:

ONLINE: Complete this form online at: <https://bjsdcrp.rti.org>

MAIL: RTI International, Attn: Data Capture  
Project Number: 0212335.001.302.200  
PO Box 12194  
Research Triangle Park, NC 27709-2194

E-MAIL: [bjsdcrp@rti.org](mailto:bjsdcrp@rti.org)

FAX (TOLL-FREE): 1-866-800-9179

For each inmate death, please ensure that you have submitted a **STATE PRISON INMATE DEATH REPORT (NPS-4A)** form. **IF NO DEATHS OCCURRED**, it is still important that you complete this form and return it to RTI International.

If you need assistance, contact Kim Aspinwall of RTI International toll-free at 1-800-344-1387 or send an e-mail to [bjsdcrp@rti.org](mailto:bjsdcrp@rti.org)

**BURDEN STATEMENT**

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 5 minutes per response for jurisdictions reporting zero deaths and 30 minutes per each reported death, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

Form NPS-4A  
(Addendum)
**DEATHS IN CUSTODY—2013  
STATE PRISON INMATE  
DEATH REPORT**

 U.S. DEPARTMENT OF JUSTICE  
 BUREAU OF JUSTICE STATISTICS  
 AND ACTING AS COLLECTION AGENT:  
 RTI INTERNATIONAL

**FORM COMPLETED BY:**

Name	<input type="text"/>	Title	<input type="text"/>
Official Address	<input type="text"/>	Telephone	<input type="text"/> <input type="text"/>
City	<input type="text"/>	FAX	<input type="text"/> <input type="text"/>
State	<input type="text"/>	Zip	<input type="text"/>
		E-mail	<input type="text"/>

**Instructions for Completion**
**If no deaths occurred in 2013:**

- You will not need to report anything at this time.
- At the beginning of 2014, you will be asked to complete a summary form whether or not you had a death occurrence in 2013.

**If you had more than one death in 2013:**

- Make copies of this form for each additional death.
- Complete the entire form for each inmate death.
- Once your death records are complete, there are several ways to submit a death report:

**ONLINE:** Complete the report online at: <https://bjsdcrp.rti.org>
**MAIL:** RTI International, Attn: Data Capture  
 Project Number: 0212335.001.302.200  
 PO Box 12194  
 Research Triangle Park, NC 27709-2194

**E-MAIL:** [bjsdcrp@rti.org](mailto:bjsdcrp@rti.org)
**FAX (TOLL-FREE):** 1-866-800-9179

*If you need assistance, call Kim Aspinwall of RTI International toll-free at 1-800-344-1387 or [bjsdcrp@rti.org](mailto:bjsdcrp@rti.org)*

**What deaths should be reported?**
**INCLUDE deaths of ALL persons...**

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- Under your jurisdiction but in special facilities (e.g., medical/treatment/release centers, halfway houses, police/court lockups, and work farms)
- In transit to or from your facilities while under your supervision

**EXCLUDE deaths of ALL persons...**

- Executed in your state
- Confined in local jail facilities, whether located in or out of state
- Under your jurisdiction but housed in a state-operated correctional facility in another state or in a federal facility
- Under probation or parole supervision in your state
- Under your jurisdiction but on AWOL or escape-status at the time of death

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# STATE PRISON INMATE DEATH REPORT

**1. What was the inmate's name?**

LAST	FIRST	MI

**2. On what date did the inmate die?**

MONTH	DAY	YEAR	2	0	1	3	

**3. What was the name and location of the correctional facility involved?**

Facility Name:

Facility City:

Facility State:

**4. What was the inmate's date of birth?**

MONTH	DAY	YEAR					

**5. What was the inmate's sex?**

- Male
- Female

**6. Was the inmate of Hispanic, Latino, or Spanish origin?**

- Yes
- No

**7. In addition, what was the inmate's race? Please select one or more of the following racial categories:**

- White
- Black or African American
- American Indian or Alaska Native
- Asian
- Native Hawaiian or Pacific Islander
- Some other race

↳

**8. On what date was the inmate admitted to one of your correctional facilities?**

MONTH	DAY	YEAR					

**9. For what offense(s) was the inmate being held?**

- a.
- b.
- c.
- d.
- e.

**10. Since admission, did the inmate ever stay overnight in a mental health facility?**

- Yes
- No
- Don't Know

**11. Where did the inmate die?**

- In a general housing unit in the facility or on prison grounds
- In a segregation unit
- In a special medical unit/infirmery within your facility
- In a special mental health services unit within your facility
- In a medical center outside your facility
- In a mental health center outside your facility
- While in transit
- Elsewhere

↳

12. Are the results of a medical examiner's or coroner's evaluation (such as an autopsy, postmortem exam, or review of medical records) available to establish an official cause of death?

- YES → **CONTINUE TO Q13**
- Evaluation complete—results are pending  
↳ **SKIP REMAINING QUESTIONS AND SUBMIT THIS FORM—YOU WILL BE CONTACTED AT A LATER TIME FOR THE CAUSE OF DEATH**
- No evaluation is planned → **CONTINUE TO Q13**

13. What was the cause of death? \*\*\* Please SPECIFY cause of death—it is critical information \*\*\*

- Illness—Exclude AIDS-related deaths [Specify] →
- Acquired Immune Deficiency Syndrome (AIDS)
- Accidental alcohol/drug intoxication [Describe] →
- Accidental injury to self [Describe] →
- Accidental injury by other (e.g., vehicular accidents during transport) [Describe] →
- Suicide (e.g., hanging, knife/cutting instrument, intentional drug overdose) [Describe] →
- Homicide [Describe] →
- Other cause(s) [Specify] →

14. Where did the incident (e.g., accident, suicide, or homicide) take place?

- NOT APPLICABLE—Cause of death was illness, intoxication, or AIDS-related
- In the prison facility or on the prison grounds
  - In the inmate's cell/room
  - In a temporary holding area/lockup
  - In a common area within the facility (e.g., yard, library, cafeteria)
  - In a special medical unit/infirmery
  - In a special mental health services unit
  - In a segregation unit
  - On death row, special unit awaiting capital punishment
  - Elsewhere within the prison facility

[PLEASE SPECIFY]

↳ Please Specify:

- Outside the prison facility (e.g., while on work release or on work detail)
- Elsewhere  
↳ Please Specify:

15. When did the incident (e.g., accident, suicide, or homicide) causing the inmate's death occur?

- NOT APPLICABLE—Cause of death was illness, intoxication, or AIDS-related
- Morning (6 am to Noon)
- Afternoon (Noon to 6 pm)
- Evening (6 pm to Midnight)
- Overnight (Midnight to 6 am)

**16. Excluding emergency care provided at the time of death, did the inmate receive any of the following medical services for the medical condition that caused his/her death after admission to your correctional facilities?**

NOT APPLICABLE—Cause of death was accidental injury, intoxication, suicide, or homicide

	YES	NO	DON'T KNOW	
a. Evaluated by physician/medical staff .....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<b>PLEASE PROVIDE A RESPONSE FOR EACH ITEM (a-f)</b>
b. Diagnostic tests (e.g., X-rays, MRI) .....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
c. Medications .....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
d. Treatment/care other than medications .....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
e. Surgery .....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
f. Confinement in special medical unit .....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	

**17. Was the cause of death the result of a pre-existing medical condition or did the inmate develop the condition after admission? (If multiple conditions caused the death and any of the conditions were pre-existing, mark "Pre-existing medical condition.")**

- NOT APPLICABLE—Cause of death was accidental injury, intoxication, suicide, or homicide
- Pre-existing medical condition
- Deceased developed condition after admission
- Could not be determined

**Please add any additional notes regarding this death here:**