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U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS

AND ACTING AS COLLECTION AGENT: RTI INTERNATIONAL

ARREST-RELATED DEATHS SUMMARY OF INCIDENTS, 2013



DATA SUPPLIED BY				
Name:	Title:			
Agency:	Telephone: ()			
	Fax: ()			
State:	E-mail Address:			

INSTRUCTIONS FOR REPORTING

- Report all civilian deaths in which the event causing the death occurred during an interaction with state or local law ٠ enforcement personnel, such as:
 - All deaths attributed to any use of force by law enforcement personnel (e.g., officer-involved shootings, accidental deaths caused by weapons or tactics)
 - Any death that occurs while the decedent's freedom to leave is restricted by state or local law enforcement prior to, during, or following an arrest:
 - While detained for guestioning or investigation (i.e., Terry stop)
 - During the process of apprehension (i.e., pursuit of criminal suspect, standoff with law enforcement)
 - While in the custody of, or shortly after restraint by, law enforcement (even if the decedent was not formally under arrest)
 - During transport to or from law enforcement or medical facilities
 - While confined in lockups or booking centers (i.e., facilities from which arrestees are usually transferred within 72 hours and not held beyond arraignment)
 - Any death that occurs during an interaction with law enforcement personnel during response to medical or mental health assistance (e.g., response to suicidal persons)
- For each arrest-related death, please ensure that you have submitted an ARREST-RELATED DEATH INCIDENT REPORT (CJ-11A).
- If no deaths occurred during the reporting period, it is still important that you complete and return this form.
- Arrest-Related Death (ARD) data may be submitted quarterly or annually. However, please return all completed forms (including corresponding CJ-11A forms) within 60 days of the end of the reporting period.

NUMBER OF DEATHS

Reporting Period (please specify): Quarter 1 (January 1 – March 31) Quarter 2 (April 1 – June 30) Quarter 3 (July 1 – September 30) Quarter 4 (October 1 – December 31)

Annual (January 1 – December 31)

During the specified reporting period, how many arrest-related deaths occurred in your state? Number of deaths:

Please enter the number of arrest-related deaths that occurred during the specified reporting period and submit this form and corresponding CJ-11A forms to RTI International. There are several ways to submit these data:

FAX (TOLL-FREE): 1-877-262-7654

EMAIL: ardhelpdesk@rti.org

***All emailed forms must be password-protected/encrypted.

ONLINE DATA ENTRY: www.bjsard.org

ELECTRONIC FILE TRANSFER: www.bjsard.org

MAIL: RTI International

Attn: Duren Banks 3040 Cornwallis Road, P.O. Box 12194 Research Triangle Park, NC 27709-2194

If you need assistance with the completion of the CJ-11 or CJ-11A, contact the ARD Help Desk at ardhelpdesk@rti.org or toll-free at 1-877-475-7039.

Burden Statement

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 5 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, N.W., Washington, DC 20531.

U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS

AND ACTING AS COLLECTION AGENT: RTI INTERNATIONAL

ARREST-RELATED DEATH INCIDENT REPORT, 2013

DATA SUPPLIED BY				
Name:	Title:			
Agency:	Telephone: ()			
	Fax: ()			
State:	E-mail Address:			

INSTRUCTIONS FOR COMPLETION

- Complete an Incident Report (CJ-11A) for all civilian deaths caused by an event occurring during an interaction with state or local law enforcement personnel. Report all civilian deaths that occur while the decedent's freedom to leave is restricted by state or local law enforcement personnel—including deaths that occur prior to, during, or following an arrest.
- Complete questions 1 through 22 for each arrest-related death in your jurisdiction. Any additional information or a short narrative of the incident may be provided in the "Notes" section at the end of the CJ-11A.
- Include the decedent's name on both pages 3 and 4 of the CJ-11A.
- Send the completed CJ-11A by **FAX** or **MAIL** to your State Reporting Coordinator (SRC) within 60 days of the arrest-related death. A national listing of SRCs is located on page 2 of this form. If needed, please contact SRCs directly for a mailing address.
- If no arrest-related deaths occurred in your jurisdiction, you will not need to complete a CJ-11A.
- If you need assistance completing the CJ-11A, please refer to the CJ-11A Question-by-Question Guide or contact the Arrest-Related Deaths (ARD) Help Desk at <u>ardhelpdesk@rti.org</u> or toll-free at 1-877-475-7039.

WHAT DEATHS SHOULD BE REPORTED?						
INCLUDE	EXCLUDE					
 All deaths resulting from any use of force by state or local law enforcement personnel. 	 Deaths attributed to federal law enforcement personnel (e.g., FBI, DEA). 					
 All deaths caused by injuries sustained while attempting to elude state or local law enforcement personnel or injuries incurred after custody had been established. 	• Any inmate death that occurred in the custody of local jails, state prisons, state juvenile correctional facilities, or private correctional facilities. These types of deaths should be reported					
 All deaths attributed to suicide, alcohol or other drug intoxications, or medical conditions (e.g., cardiac arrest) that occur during the process of arrest by or in the custody of state 	to the Jails and Prisons data collection component of the Deaths in Custody Reporting Program. Please contact Margaret Noonan at 202-307-0765 for additional information.					
or local law enforcement personnel.	• Law enforcement personnel killed in the line of duty or in the course of law enforcement activities.					
 All deaths occurring in the custody of state or local law enforcement personnel responding to a medical or mental health assistance or welfare call. 	 Any death of a criminal suspect that occurred before the decedent came into contact with law enforcement (e.g., a 					
 All deaths that occur while confined in lockups or booking centers (i.e., facilities from which arrestees are usually transferred within 72 hours and not held beyond arraignment). 	decedent with an active arrest warrant who died before law enforcement personnel attempted to apprehend him or her).					

Burden Statement

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 60 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, N.W., Washington, DC 20531.

National Listing of State Reporting Coordinators

Alabama: Lynn Childs

Alabama Criminal Justice Information Center PH: (334) 517-2415 FX: (334) 517-2740

Alaska: Bradley Myrstol Alaska Statistical Analysis Center PH: (907) 786-1837 FX: (907) 786-7777

Arizona: Phillip Stevenson Arizona Criminal Justice Commission PH: (602) 364-1157 FX: (602) 364-1175

Arkansas: Crystal Daye Arrest-Related Deaths Program Staff PH: (919) 541-7321 FX: (877) 262-7654

California: Amber Lozano Criminal Justice Statistics Center PH: (916) 227-3545 FX: (916) 227-0427

Colorado: Laurence Lucerno Colorado Department of Public Safety PH: (303) 239-4663 FX: (303) 239-4491

Connecticut: Ivan Kuzyk Connecticut Office of Policy & Management PH: (860) 418-6238 FX: (860) 418-6496

Delaware: Julia Cahill Delaware Statistical Analysis Center PH: (302) 739-2589 FX: (302) 739-4630

District of Columbia: Sgt. Harry Hill Office of the Assistant Chief of Police PH: (202) 576-3394 FX: (202) 727-3307

Florida: Susan Burton Florida Department of Law Enforcement PH: (850) 410-7140 FX: (850) 410-7150

Georgia: Stefanie Lopez-Howard Criminal Justice Coordinating Council PH: (404) 657-1960 FX: (404) 657-1957

Hawaii: Daniel Kauleinamoku Hawaii Department of Public Safety PH: (808) 587-1211 FX: (808) 587-1244

Idaho: Natalie Warner Idaho Department of Corrections PH: (208) 658-2127 FX: (208) 327-7480

Illinois: Shamsideen Balogun Illinois Criminal Justice Information Authority PH: (312) 793-8516 FX: (312) 793-8422

Indiana: Stephanie Rosenberg Indiana Department of Corrections PH: (317) 234-4417 FX: (317) 233-1474

Iowa: Paul Stageberg Iowa Division of Criminal & Juvenile Justice Planning PH: (515) 242-6122 FX: (515) 242-6119

Kansas: Fengfang Lu Kansas Sentencing Commission PH: (785) 296-0923 FX: (785) 296-0927 Kentucky: Marjorie Stanek Kentucky Justice & Public Safety Cabinet PH: (502) 564-8295 FX: (502) 564-6686

Louisiana: Kim Eiland Louisiana Department of Corrections PH: (225) 342-6630 FX: (225) 342-3095

Maine: Mark Belserene Office of the Chief Medical Examiner PH: (207) 624-7170 FX: (207) 624-7178

Maryland: Jeffrey Zuback Governor's Office of Crime Control & Prevention PH: (410) 821-2843 FX: (410) 321-3116

Massachusetts: Lisa Sampson Executive Office of Public Safety and Security PH: (617) 725-3361 FX: (617) 725-0260

Michigan: Renee Hultberg Michigan State Police PH: (517) 241-1889 FX: (517) 241-1904

Minnesota: Carolyn Robinson Minnesota Bureau of Criminal Apprehension PH: (651) 793-1054 FX: (651) 793-1001

Mississippi: Alan Thompson University of Southern Mississippi PH: (601) 266-6172 FX: (601) 266-4391

Missouri: Seth Veit Missouri State Highway Patrol PH: (573) 751-9000 FX: (573) 526-6274

Montana: Sarah Price Montana Department of Justice PH: (406) 444-3651 FX: (406) 444-4722

Nebraska: Mike Overton Nebraska Crime Commission PH: (402) 471-2194 FX: (402) 471-2837

Nevada: Timothy Hart Center for the Analysis of Crime Statistics PH: (702) 895-0233 FX: (702) 895-0252

New Hampshire: Timothy Brackett Office of the Attorney General PH: (603) 271-8090 FX: (603) 271-6290

New Jersey: Jean Petherbridge Division of Criminal Justice PH: (609) 984-5693 FX: (609) 984-3381

New Mexico: Lisa Broidy New Mexico Statistical Analysis Center PH: (505) 277-6247 FX: (505) 277-4215

New York: Adam Dean Division of Criminal Justice Services PH: (518) 457-1149 FX: (518) 485-8039

North Carolina: Julie Singer North Carolina Criminal Justice Analysis Center PH: (919) 899-9150 FX: (919) 733-4625 North Dakota: Colleen Weltz Office of Attorney General PH: (701) 328-5527 FX: (701) 328-5510

Ohio: Lisa Shoaf Office of Criminal Justice Services PH: (614) 466-5997 FX: (614) 466-0308

Oklahoma: Angie Baker Office of Criminal Justice Statistics PH: (405) 858-5271 FX: (405) 879-2301

Oregon: Lee Anna Bennett-Ashworth Office of Public Health PH: (971) 673-0129 FX: (971) 673-0990

Pennsylvania: Robert Ardner Office of Criminal Justice Systems Improvement PH: (717) 265-8456 FX: (717) 772-0550

Rhode Island: Thomas Mongeau Rhode Island Department of Public Safety PH: (401) 222-2620 FX: (401) 222-1294

South Carolina: Robert McManus South Carolina Department of Public Safety PH: (803) 896-8717 FX: (803) 896-8393

South Dakota: Brenda Manning Division of Criminal Investigation PH: (605) 773-6312 FX: (605) 773-6471

Tennessee: Jackie Vandercook Tennessee Bureau of Investigation PH: (615) 744-4014 FX: (615) 744-4662

Texas: Renee Watts Office of the Attorney General PH: (512) 305-8882 FX: (512) 494-8283

Utah: Ben Peterson Commission on Criminal & Juvenile Justice PH: (801) 538-1047 FX: (801) 538-9609

Vermont: Patricia Fischer Vermont Crime Information Center PH: (802) 244-8727 FX: (802) 241-5552

Virginia: James McDonough Department of Criminal Justice Services PH: (804) 786-4612 FX: (804) 225-3853

Washington: Tonya Todd Association of Sheriffs & Chiefs of Police PH: (360) 486-2380 FX: (360) 486-2381

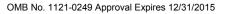
West Virginia: Monika Sterling Division of Justice and Community Services PH: (304) 558-8814 FX: (304) 558-0391

Wisconsin: Erin Kennedy Arrest-Related Deaths Program Staff PH: (919) 485-5736 FX: (877) 262-7654

Wyoming: Bistra Anatchkova Wyoming Survey & Analysis Center PH: (307) 760-3459 FX: (307) 766-2759 U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS

AND ACTING AS COLLECTION AGENT: RTI INTERNATIONAL

ARREST-RELATED DEATH INCIDENT REPORT, 2013





1.	What was the decedent's name?	10. What was the reason for the <u>initial</u> contact between the
	Last ' First M.I.	decedent and law enforcement personnel? (Mark only one Civilian request for response to criminal or suspicious
		activity, specify reason for request:
2.	What was the time and date of death?	
	: AM PM Month:Day:, 2013	Civilian request for medical, mental health, or welfare
		assistance, specify reason for request:
3.	What law enforcement agency was involved with the death?	
	Agency name:	Routine patrol/on-view (other than traffic stop), specify
	Check if multiple law enforcement agencies responded	observed behavior:
		Traffic/vehicle stop, specify reason for stop:
	Agency ORI:	
	Agency ORI unknown	Warrant service, specify charge:
4.	Where did the event causing the death occur?	
	Street Address:	Other reason for contact, specify reason:
		Unknown
	City, State, Zip:	OINNOWIT
5	What location category best describes where the event	11. At any time during the incident, did the decedent
•.	causing the death occurred? (Mark only one)	Yes No Unknow
	Residence/home	Make suicidal statements
	Law enforcement facility	Barricade self or initiate standoff
	Business, specify type:	Verbally threaten other(s)
	Roadway/highway/street/sidewalk	Resist being handcuffed or arrested
	Parking lot/garage	Attempt to escape/flee from custody
	Field/woods/lake/waterway/beach	Attempt to grab, hit, or kick officer(s)
	Other, specify:	Attempt to gain possession of officer's
	Unknown	weapon
	CINNOWI	Gain possession of officer's weapon
6.	What was the decedent's sex?	
	Male	12. At any time during the incident, did the <u>decedent</u> display
	Female	use a weapon?
		Yes, mark all that apply:
7.	What was the decedent's date of birth (DOB)?	Displayed firearm without discharge
	//or age at death if DOB unknown	Discharged firearm
		Displayed other weapon, specify weapon displayed:
8.	What was the decedent's ethnic origin? (Mark only one) Hispanic or Latino	Used other weapon, specify weapon used:
	Not Hispanic or Latino	
	Unknown	Used vehicle as a weapon
	CHRIOWI	No
•	What was the decedent's race? (Mark only one)	Unknown
э.	American Indian or Alaska Native	
	Asian	13. At any time during the incident, did the decedent attempt
	Black or African American	to injure or injure others?
		Yes, mark all that apply:
	Native Hawaiian or Other Pacific Islander	Fatally injured law enforcement personnel
	White	Non-fatally injured law enforcement personnel
	Two or more races	Attempted to injure law enforcement personnel
	Unknown	Fatally injured civilian(s)
		Non-fatally injured civilian(s)
		Attempted to injure civilian(s)
		No
		Unknown

Name of decedent

4. At any time during the incident, did <u>law enforcement</u>	18. Where did the death occur? (Mark only one)
<u>personnel</u> Yes No Unknown	Law enforcement facility/booking center Scene of incident
Fight or struggle with decedent	
Physically restrain decedent	Dead on arrival at medical facility
(e.g., control hold, body compression)	Medical facility following clinical intervention
Restrain decedent with equipment	Other, specify:
(e.g., handcuffs, leg shackles)	Unknown
Place decedent in prone position	19. What was the manner of death? (Mark only one)
Engage in motor vehicle pursuit	Natural
Engage in foot pursuit	Homicide
Arrest the decedent	Accident
Other, specify:	Suicide
	Could not be determined
. At any time during the incident, did <u>law enforcement</u>	Unknown
personnel use any of the following weapons?	
Yes No Unknown	20. What was the cause of death?
Firearm discharge	Immediate cause:
Conducted energy device (Taser) contact	
Pepper/OC spray, mace dispersion	Secondary causes (if applicable):
Baton/blunt instrument impact	
Other, specify:	
6. Did the decedent sustain a fatal injury during the incident?	
Yes, mark who caused the fatal injury (Mark only one)	Unknown (skip Item 21 if cause of death is unknown)
Law enforcement personnel	······································
Decedent	21. How was information provided for cause of death (Item 2
Other civilian(s)	determined? (Mark all that apply)
W}\}[, }Á,^¦•[}ĢDÁ&æě•^åÁs@∘Áææ‡/Áşbö¦^	Death certificate
No	Autopsy report or medical evaluation
Unknown、@c@\l&a^&^a^} o4 * • cænt ^a Aar Aar Aar Aar Aar Aar Aar Aar Aar Aa	Other source, specify:
7.If a weapon caused the death, what type of weapon caused	22. Did the autopsy report or medical evaluation indicate the
the death? (Mark only one)	presence of alcohol or of drugs other than alcohol?
Handgun	Yes, mark all that apply:
Rifle/shotgun	Alcohol
Firearm, unspecified	Drug(s) other than alcohol, specify:
Conducted energy device (e.g., Taser)	
Knife/edged instrument	No
Baton/blunt instrument	Unknown, did not obtain autopsy report or medical
Other weapon, specify:	evaluation
Vehicle-involved death (i.e., vehicle accident)	
Not applicable, weapon or vehicle did not cause death	
Unknown	

Notes:

U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS

AND ACTING AS COLLECTION AGENT: RTI INTERNATIONAL Arrest-Related Death INCIDENT REPORT, 2013



Question-by-Question Guide

Item 1: What was the decedent's name?

Please provide the decedent's legal name (last, first, and middle initial).

Item 2: What was the time and date of death?

Please indicate the *official* time and date of death. The *official* time and date of death indicate when the decedent was pronounced dead by either medical personnel or another authorized official. The official time or date of death may be different from the time or date of the fatal event. If the official time and date of death are unknown, estimate the time and date of when the fatal injury was sustained or the fatal medical condition presented.

Example: The decedent sustains a life-threatening injury (e.g., gunshot wound, blunt force trauma) during the process of arrest, but does not die until hours, days, or weeks later. The most appropriate response to this item would reflect the time and date life ceased rather than the time and date the injuries were sustained.

Item 3: What law enforcement agency was involved with the death?

Please indicate the name and ORI (<u>OR</u>iginating <u>I</u>dentifier) of the *primary* law enforcement agency involved with the death. When personnel from a single agency were involved with the decedent during the incident, the primary agency is the responding agency. In instances in which personnel from more than one agency interacted with the decedent during the incident, the primary agency is the one most involved with the *death*. For the purposes of ARD, the *primary* agency refers to the agency that caused or contributed to the death, or the agency detaining the decedent at the time of death. The primary agency may be different from the agency initially involved with decedent at the start of the incident.

Example 1: Law enforcement personnel from Agency X attempt a traffic stop. The suspect flees and initiates a high-speed chase that crosses several jurisdictions. Personnel from Agency Y and Agency Z join Agency X in the pursuit. Personnel from Agency Z deploy a spike strip (tire deflation device), which punctures the suspect's tires. The punctured tires cause the suspect to lose control of the vehicle and crash into a utility pole at a high rate of speed. The impact from the crash causes fatal injuries. In this instance, Agency Z should be listed as the primary agency because that agency effected the death.

Example 2: A small local police department receives a mental health assistance call for a suicidal person. Law enforcement personnel from this agency are dispatched to the scene and they request backup from the larger county sheriff's department and the assistance of the sheriff's Crisis Intervention Team (CIT). The CIT is given operational responsibility for the suicidal person, who is apprehended and placed under their custody. The suspect dies from complications attributed to a fatal drug overdose during transport to the medical facility. In this instance, the sheriff's department should be listed as the primary agency because the decedent was in their custody at the time of death.

If personnel from multiple law enforcement agencies were involved with an arrest-related death, mark the box labeled, "Check if multiple law enforcement agencies responded." In this case, only the primary law enforcement agency should be reported; additional agencies do not need to be listed.

The ORI number is an alpha-numeric identification code used by law enforcement agencies to report and access data. All law enforcement agencies are issued an ORI number by the FBI. ORI numbers are *not* derived from the incident or case number generated by the reporting law enforcement agency. If you are unsure of the reporting agencies' ORI number, mark the box labeled, "Agency ORI unknown."

Item 4: Where did the event causing the death occur?

Please indicate the address or the approximate location (i.e., street intersection, neighborhood) where the decedent was injured or developed the condition that resulted in death. The purpose of this item is to identify the location of where the death-causing event occurred, which may be different from the location where the incident began or where the decedent died or was pronounced dead (e.g., medical center). If the incident occurred at multiple locations, specify the location where the decedent was injured or developed the condition that resulted in death.

Example 1: Law enforcement personnel are dispatched to a residence for a disturbance. While at the residence, the decedent sustains a gunshot wound and later dies at a medical facility. The most appropriate response to this item would be the street address of the decedent's residence.

Example 2: Law enforcement personnel respond to a bank robbery in progress. The suspect flees the bank and engages law enforcement personnel in a pursuit. The suspect fires gunshots at law enforcement personnel during the pursuit, who return fire, causing a fatal injury. The most appropriate response to this item would be the address of where the gunfire occurred, not the bank where the incident originated.

Item 5: What location category best describes where the event causing the death occurred? (Mark only one)

Please categorize the address or the approximate location provided as response to Item 4 into one of the listed location types. The purpose of this item is to specify the type of location where the decedent was injured or developed the condition that resulted in death. If none of the listed

location categories describe where the event that resulted in death occurred, mark "Other" and specify the most appropriate location type. If the location type is unknown, mark "Unknown."

If the incident occurred at multiple locations, specify the location type of where the decedent was injured or developed the condition that resulted in death.

Residence/Home: Permanent residences, including apartments, condominiums, townhouses, nursing homes, residential driveways, and residential yards. Incidents occurring on public sidewalks or in public streets near residences should be categorized as "Roadway/Highway/Street/Sidewalk."

Law enforcement facility: Places where law enforcement activities are carried out, including local police departments, sheriffs' offices, substations, lockups, or booking centers, where arrestees are detained or confined before arraignment. Incidents occurring in local jails, state prisons, state juvenile correctional facilities, or private correctional facilities should be excluded from the ARD collection and reported to Jails or Prisons data collection components of the Deaths in Custody Reporting Program (DCRP).

Business, specify type: Places where an organization is engaged in the trade of goods or services to consumers. Includes the interior space occupied by the business, as well as related parking lots and walkways. Specify the <u>type</u> of business where the event resulting in death occurred (e.g., bank, convenience store, liquor store, bar/night club, restaurant, grocery store/supermarket).

Roadway/highway/street/sidewalk: Includes open public ways for the passage of vehicles, people, and animals, except where a business is associated with a walkway (mark "Business").

Parking lot/garage: Includes areas that are used primarily for parking motorized vehicles, except when a business is associated with a parking lot (mark "Business").

Field/woods/lake/waterway/beach: Includes areas that are primarily open fields or wooded areas, shorelines, lakes, streams, canals, or bodies of water other than swimming pools. This category does not include parks.

Other, specify: This category encompasses any location that is not applicable above. Specify the location type in the space provided (e.g. park; hotel or motel; school or college; church, synagogue, temple, or mosque).

Item 6: What was the decedent's sex? (Mark only one)

If the decedent's sex is not known, leave blank.

Item 7: What was the decedent's date of birth (DOB)?

Please indicate the decedent's date of birth (DOB) in a MM-DD-YYYY format. If the DOB is unknown, indicate the decedent's age at time of death. If both DOB and age at death are unknown, leave blank.

Item 8: What was the decedent's ethnic origin? (Mark only one)

Race and ethnicity are considered separate and distinct identities. There are two categories for data on ethnicity: "Hispanic or Latino" and "Not Hispanic or Latino." In addition to the decedent's race, please indicate whether the decedent was of Hispanic or Latino descent or origin. If ethnic origin is unknown, mark "Unknown."

Hispanic or Latino. A person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race. The term "Spanish origin" can be used in addition to "Hispanic or Latino."

Item 9: What was the decedent's race? (Mark only one)

In addition to ethnicity, please specify the decedent's race. There are five categories on race: "American Indian or Alaska Native," "Asian," "Black or African American," "Native Hawaiian or Other Pacific Islander, and "White." The categories in this classification are social-political constructs and should not be interpreted as being scientific or anthropological in nature. If the decedent's racial background is of two or more races, mark "Two of more races." If race is unknown, mark "Unknown."

American Indian or Alaska Native: A person having origins in any of the original peoples of North and South America (including Central America) who maintains tribal affiliation or community attachment.

Asian: A person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian subcontinent, including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam.

Black or African American: A person having origins in any of the black racial groups of Africa. Terms such as "Haitian" or "Negro" can be used in addition to "Black or African American."

Native Hawaiian or Other Pacific Islander: A person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific islands.

White: A person having origins in any of the original peoples of Europe, the Middle East, or North Africa.

Item 10: What was the reason for the initial contact between the decedent and law enforcement personnel? (Mark only one)

Please indicate how the decedent came into contact with law enforcement personnel and specify why the contact occurred. Contact between the decedent and law enforcement can be initiated by civilians, including the decedent, or by law enforcement personnel. Civilian-initiated contacts include "civilian request for response to criminal or suspicious activity" and "civilian request for medical, mental health, or welfare assistance." Law enforcement-initiated contacts include "routine patrol/on-view," "traffic/vehicle stop," and "warrant service." If the reason for contact between the decedent and law enforcement is not listed, mark "Other" and specify why

the interaction occurred. If the reason for contact between the decedent and law enforcement is unknown, mark "Unknown."

Civilian request for response to criminal or suspicious activity: Involves situations in which a civilian requested the assistance of law enforcement in response to actual, perceived, or suspected criminal activity. This includes 9-1-1 calls for law enforcement assistance as well as instances in which law enforcement personnel are flagged down by civilians.

Example 1: A woman calls 9-1-1 to report a domestic assault in progress. Law enforcement personnel are dispatched to her residence. Upon their arrival, they are confronted by a man with a gun. The man engages in a shoot-out with law enforcement personnel and is fatally wounded. The most appropriate response to this item would be "civilian request for response to criminal or suspicious activity," specified as "domestic violence/assault."

Civilian request for medical, mental health, or welfare assistance: Involves situations in which a civilian requested the assistance of law enforcement for reasons that are not criminally related, such as requests related to medical or mental health assistance (i.e., suicidal person) and welfare checks. This includes 9-1-1 calls for law enforcement assistance as well as instances in which law enforcement personnel are flagged down by civilians.

Example 2: A civilian makes a 9-1-1 call to request that law enforcement personnel perform a well-being check at a neighbor's residence. Law enforcement personnel arrive and make contact with the neighbor. After the initial contact, the individual threatens to commit suicide and then barricades himself in the residence. Law enforcement personnel hear a gunshot from inside the residence during the standoff. They later enter the residence and find the subject dead from what appears to be a self-inflicted gunshot wound. The most appropriate response would be "civilian request for medical, mental health, or welfare assistance."

Routine patrol/on-view (other than traffic stop): Involves situations in which law enforcement personnel witnessed an actual or perceived criminal act during the course of performing law enforcement duties. This category excludes contacts initiated by a traffic stop, even if the traffic stop was made during routine patrol activities. This category also excludes dispatched responses to 9-1-1 calls, which should be recorded as either "civilian request for response to criminal or suspicious activity" or "civilian request for medical, mental health, or welfare assistance."

Example 3: Law enforcement personnel are on routine patrol when they see a man attempting to break into the back door of a closed retail store. The subject flees the scene on foot and engages law enforcement personnel in a pursuit. The subject runs into traffic during the pursuit and is fatally struck by a civilian motorist. The most appropriate response would be "routine patrol/on-view (other than traffic stop)," specified as "burglary" or "breaking and entering."

Traffic/vehicle stop: Involves situations in which law enforcement personnel initiated contact with the decedent through a traffic or vehicle stop during the course of performing law enforcement duties.

Example 4: Law enforcement personnel observe a vehicle driving erratically and initiate a traffic stop. They suspect the subject is intoxicated and begin conducting field sobriety tests. The subject becomes belligerent during the tests and attempts to assault law enforcement personnel. Law enforcement personnel use their conductive energy device on the subject. The subject falls and strikes his head on the ground, causing fatal injury. The most appropriate response would be "traffic/vehicle stop."

Warrant service: Involves situations in which law enforcement initiated contact with the decedent through an attempt to serve an active warrant for their arrest. This category excludes situations in which the decedent had an active arrest warrant, but came into with law enforcement personnel for other reasons, such as a traffic stop.

Example 5: Law enforcement personnel obtain an arrest warrant for a murder suspect and attempt to apprehend the suspect at his residence. The suspect flees the house with a firearm and a pursuit ensues. The suspect fires several shots at law enforcement personnel as he attempts to escape. Law enforcement personnel return fire and fatally wound the decedent. The most appropriate response would be "warrant service," specified as "murder" or "homicide."

Item 11: At any time during the incident, did the decedent -

Please indicate whether the decedent engaged in any of the actions listed, during the incident that resulted in death, by marking either "Yes", "No" or "Unknown" for each item. For the purposes of the ARD program, the "incident" refers to the period of time from the decedent's initial contact with law enforcement personnel up to the time of death. Limit responses to actions taken by the decedent during the incident and disregard any similar behaviors or actions that occurred before contact with law enforcement personnel. If it is unknown whether the decedent engaged in one or more of the actions listed, mark "Unknown" for each relevant action.

Make suicidal statements: Includes all instances in which the decedent stated that he or she planned to commit suicide, expressed a desire to end his or her life, or directed law enforcement personnel to use lethal force against him or her. Mark "Yes" to this response if the contact between the decedent and law enforcement personnel was initiated because the decedent indicated he/she was suicidal (i.e., 9-1-1 call for mental health or welfare assistance).

Barricade self or initiate standoff: Includes all instances in which the decedent attempted to elude apprehension by refusing to surrender to law enforcement or by taking action aimed at preventing capture, such as using a structure to conceal him- or herself.

Verbally threaten other(s): Includes all instances in which the decedent made statements indicating a desire to inflict harm or to engage in violence against either law enforcement personnel or civilians.

Resist being handcuffed or arrested: Includes all instances in which the decedent physically struggled with law enforcement personnel in an attempt to get out from being restrained (e.g., handcuffed, put into a police vehicle). This category is representative of evasive actions taken by the decedent to avoid restraint, rather than direct physical assaults on law enforcement personnel.

Attempt to escape/flee from custody: Includes all instances in which the decedent attempted to or actually evaded law enforcement personnel to avoid apprehension or detention.

Attempt to grab, hit, or kick, officer(s): Includes all instances in which the decedent attempted to or actually physically attacked law enforcement personnel.

Attempt to gain possession of officer's weapon: Includes all instances in which the decedent attempted to disarm law enforcement personnel of his or her service firearm or other weapon (e.g., conducted energy device, baton).

Gain possession of officer's weapon: Includes all instances in which the decedent disarmed law enforcement personnel of his or her service firearm or other weapon (e.g., conducted energy device, baton).

Item 12: At any time during the incident, did the decedent display or use a weapon?

Please indicate whether the decedent displayed or used a weapon against law enforcement personnel or civilians, during the incident that resulted in death, by marking "Yes" or "No." For the purposes of the ARD program, the "incident" refers to the period of time from the decedent's initial contact with law enforcement personnel up to the time of death. Limit responses to actions taken by the decedent during the incident and disregard any similar behaviors or actions that occurred before contact with law enforcement personnel. If weapon display or use by the decedent is unknown, mark "Unknown."

If "Yes," mark all that apply to indicate if a weapon was displayed, used, or both, as well as the type of weapon the decedent possessed. For the purposes of this item, hands, fists, or feet are not considered weapons.

Displayed firearm without discharge: Includes instances in which the decedent openly brandished or pointed a firearm at another person without bullets being fired from it.

Discharged firearm: Includes instances in which the decedent expelled bullets from a firearm, regardless of whether the bullets struck another person.

Displayed other weapon: Includes instances in which the decedent made an instrument or device other than a firearm (e.g., knife, sword, pipe, baseball bat) visible to

others under threatening circumstances, without using the instrument in a physical attack. Specify the weapon the decedent displayed in the space provided.

Used other weapon: Includes instances in which the decedent used an instrument or device other than firearm (e.g., knife, sword, pipe, baseball bat) to attack, cut, strike, beat, etc., another person. Specify the weapon the decedent used in the space provided.

Used vehicle as weapon: Includes instances in which the decedent used a motor vehicle to attempt to or actually hit another person with a motor vehicle.

Item 13: At any time during the incident, did the decedent attempt to injure or injure others?

Please indicate whether the decedent attempted to injure or injured law enforcement personnel or another civilian, during the incident that resulted in death, by marking "Yes" or "No." For the purposes of the ARD program, the "incident" refers to the period of time from the decedent's initial contact with law enforcement personnel up to the time of death. Limit responses to actions taken by the decedent during the incident and disregard any similar behaviors or actions that occurred before contact with law enforcement personnel. If injuries inflicted by the decedent are unknown, mark "Unknown."

If "Yes," mark all that apply to indicate whether law enforcement personnel or civilians were injured during the incident.

Fatally injured law enforcement personnel: Includes instances in which the decedent caused the death of law enforcement personnel during the incident, regardless of whether the death was intentional or accidental.

Non-fatally injured law enforcement personnel: Includes instances in which the decedent caused physical harm to law enforcement personnel during the incident, regardless of whether the harm was intentional or accidental.

Attempted to injure law enforcement personnel: Includes instances in which the decedent intended to cause law enforcement personnel physical harm, but did not inflict physical injuries. This category includes instances in which the decedent discharged a firearm, in the direction of law enforcement personnel, without striking the intended target with the bullets.

Fatally injured civilians(s): Includes instances in which the decedent caused the death of a civilian during the incident, regardless of whether the death was intentional or accidental.

Non-fatally injured civilian(s): Includes instances in which the decedent caused physical harm to a civilian during the incident, regardless of whether the harm was intentional or accidental.

Attempted to injure civilian(s): Includes instances in which the decedent intended to cause a civilian physical harm, but did not inflict physical injuries. This category includes instances in which the decedent discharged a firearm, in the direction of another civilian, without striking the intended target with the bullets.

Item 14: At any time during the incident, did law enforcement personnel

Please indicate whether law enforcement personnel engaged in any of the actions listed, during the incident that resulted in death, by marking "Yes" or "No." For the purposes of the ARD program, the "incident" refers to the period of time from the decedent's initial contact with law enforcement personnel up to the time of death. If it is unknown whether law enforcement engaged in one or more of the actions listed, mark "Unknown" for each relevant action. If law enforcement personnel engaged in an action that is not listed, mark "other" and specify the action in the space provided.

Fight or struggle with decedent: Includes instances of physical contact between the decedent and law enforcement personnel (e.g. punching, grabbing, kicking, wrestling).

Physically restrain decedent (e.g., control hold, body compression): Includes instances in which law enforcement personnel used bodily contact to control, subdue, or restrain the decedent.

Restrain decedent with equipment (e.g., handcuffs, leg shackles): Includes instances in which law enforcement personnel placed the decedent in any confining or restraining device.

Place decedent in prone position: Includes instances in which law enforcement personnel restrained the decedent by laying him or her face down on the ground.

Engage in motor vehicle pursuit: Includes instances in which law enforcement personnel chased or followed a decedent fleeing in a motor vehicle.

Engage in foot pursuit: Includes instances in which law enforcement personnel chased or followed a decedent fleeing on foot.

Item 15: At any time during the incident, did law enforcement personnel use any of the following weapons?

Please mark "Yes," "No," or "Unknown" for every response category. In this question, "use" is defined as **any** use of weapons, even if they were not a cause of or contributing factor in the death. If law enforcement personnel used a weapon that is not listed, indicate "Other" and fill in the specific weapon used.

Item 16: Did the decedent sustain a fatal injury during the incident?

Please indicate whether the decedent sustained a fatal injury during the incident, by marking "Yes" or "No." For the purposes of the ARD program, the "incident" refers to the period of time from the decedent's initial contact with law enforcement personnel up to the time of death. A fatal injury is one that directly leads to the cause of death noted in Item 20. If it is unknown whether any injuries were sustained, or whether those injuries were fatal, mark "Unknown."

If "Yes," indicate whether law enforcement personnel, the decedent, or other civilians caused the fatal injury. The choice "decedent" referrs to self-inflicted injuries including any accidental injuries to the decedent's self, as well as suicide.

Item 17: If a weapon caused the death, what type of weapon caused the death? (Mark only one)

This item should be completed for all deaths, regardless of the manner of death. The purpose of this item is to identify specific weapon(s) that *caused* the death, instead of weapons that were *used* during the incident. All weapons used by law enforcement during the incident should be listed under Item 15. Please limit response to Item 17 to the *one* weapon to which the death was attributed, if any.

If a firearm was used, but the type of firearm is not known, mark "Firearm, unspecified." If the weapon that caused the death is not listed in Item 17, mark "Other weapon" and specify the type of weapon that caused the death. Deaths due to an individual struck by a vehicle or deaths attributed to a vehicle accident should be marked as "Vehicle-involved crash." If the weapon that caused the death is not known, mark "Unknown." If a weapon did not cause the death, mark "Not applicable, weapon or vehicle did not cause death."

Item 18: Where did the death occur? (Mark only one)

Please choose the best response for this question. The response option should reflect where the decedent actually expired, not necessarily where the incident originated. If the location of the death is unknown, mark "Unknown."

Item 19: What was the manner of death? (Mark only one)

The *manner* of death is how the person died, typically illustrated by a one-word description of intentions and circumstances that led to the stated medical *cause* of death. Essentially, the manner of death is the *way* in which death was caused (e.g., homicide, suicide).

Note the difference between "Could not be determined" and "Unknown." "Could not be determined" is an official cause of death, whereas "Unknown" indicates that the information is missing, unavailable, or unknown at the time the form is completed. If a medical examiner or coroner classified the death as "Undetermined," mark "Could not be determined."

Intentional alcohol or drug overdoses should be marked as "Suicide." Overuse of alcohol or drugs for recreational purposes, that *unintentionally* resulted in death, should be marked as "Accident."

Item 20: What was the cause of death?

Unlike the manner of death, which describes the circumstances leading to one's death, *cause* of death is a description of the specific medical factors leading to the termination of the biological functions that sustain life. In cases where cause of death is attributed to multiple factors, please list the *immediate* (e.g., primary) cause of death first. If any secondary causes are known, list those.

Example 1: During the incident, the decedent sustains a gunshot wound and subsequently dies as a result of massive blood loss. A response of "gunshot wound" would suffice as a cause of death, regardless of the manner of death.

Example 2: During the incident, the decedent swallows a packet of cocaine to conceal the narcotics from law enforcement personnel. The packet ruptures, causing the decedent to overdose. A response of "cocaine toxicity" would suffice as a cause of death.

Example 3: During an altercation with law enforcement personnel, the decedent suffers a heart attack. "Cardiovascular event" would suffice for the primary cause of death. The coroner indicates that diabetes was also a contributing factor in the death of the decedent. In this case, "Diabetes" would be an applicable secondary cause of death. If causes are not known or not indicated, leave this section blank.

Item 21: How was information provided for cause of death (item 20) determined? (Mark all that apply)

Please indicate whether the cause of death was determined from an official death certificate or an autopsy report or medical evaluation. If the cause of death was determined from a different source (e.g., press release), mark "Other source" and specify the source.

Item 22: Did the autopsy report or medical evaluation indicate the presence of alcohol or of drugs other than alcohol?

The purpose of this item is to identify the presence of drugs, alcohol, or both in the decedent's system. This information should be obtained from an official document such as a death certificate, autopsy report, or other type of medical evaluation. If drugs were reported to be present in the decedent's system on the basis of one of these sources, mark the checkbox "Drug(s) other than alcohol" and specify the type of drug reported (e.g., cocaine).

If the autopsy report, death certificate, or medical evaluation was obtained, but no alcohol or drugs were reported to be in the decedent's system, mark "No." If this information is not known, or an autopsy report or medical evaluation was not obtained, mark "Unknown, did not obtain autopsy report or medical evaluation."

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Bureau of Justice Statistics	



	Arrest-Related Deaths (ARD) Program				
Add Records View Cases Media Events Reports	Site Settings Account Settings Control Panel Public Logout				
Home > Add Records > <u>CJ-11A Death Report</u>					
Select a Form to Begin Data Entry					
2013 Quick Search					
New CJ-11A Record					
CJ-	11A Form 2013				
	Supplied By				
Name <u>Auto Populate with SRC</u>					
Agency	Telephone				
	Fax				
State	E-mail Address				
State I. What was the decedent's name? Last Name First Name Middle Initial C. What was the time and date of death? Time Month Day Year					
● AM ● PM 2013					
3. What law enforcement agency was involved with the death? ?					
Agency Name	lookup				
Check if multiple law enforcement agencies responded					
Agency ORI]				
Agency ORI unknown					
4. Where did the event causing the death occur? ? Street address					
City State Zip					
What location category best describes where the event causing the death of Residence/Home Law enforcement facility Business, specify type	curred? (Mark only one) 🕐				
 Roadway/Highway/Street/Sidewalk Parking Lot/Garage Field/Woods/Lake/Waterway/Beach Other, specify 					
© Unknown]				

D Female	
7. What was the decedent's date of birt Month Day Y	ear
	or age at death if DOB unknown
3. What was the decedent's ethnic origi	n? (Mark only one) 🛛 ?
D Hispanic or Latino	
🖻 Not Hispanic or Latino D Unknown	
Onknown	
). What was the decedent's race? (Mar	konly one) ?
D American Indian/Alaska Native	
D Asian	
🖻 Black or African American	
D Native Hawaiian or other Pacific Island D White	er
Two or more races	
🔊 Don't know	
	contact between the decedent and law enforcement personnel? (Mark only one)
Civilian request for response to crimina	I or suspicious activity, specify reason for request:
Civilian request for modiant mental has	alth ar welfare assistance, specify reason for request.
Civilian request for medical, mental hea	alth, or welfare assistance, specify reason for request:
Routine patrol/on-view (other than trai	ffic stop), specify observed behavior:
Traffic/vehicle stop, specify reason for s	stop:
Warrant service, specify charge:	
-	
Other reason for contact, specify reaso	in:
B	
0 Unknown	Save
UNKNOWN	Save
Unknown	e decedent – 🔞
11. At any time during the incident, did the	e decedent – 🔞 Yes No Unknown
11. At any time during the incident, did the	e decedent - 7 Yes No Unknown © © ©
1. At any time during the incident, did the Make suicidal statements Barricade self or initiate standoff	e decedent – 🔞 Yes No Unknown
11. At any time during the incident, did the	e decedent - 12 Yes No Unknown 0 0 0 0 0 0
L1. At any time during the incident, did the Make suicidal statements Barricade self or initiate standoff /erbally threaten other(s)	e decedent - 7 Yes No Unknown 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0
L1. At any time during the incident, did the Make suicidal statements Barricade self or initiate standoff /erbally threaten other(s) Resist being handcuffed or arrested Attempt to escape/flee from custody Attempt to grab, hit, or kick officer(s)	e decedent - ? Yes No O O
L1. At any time during the incident, did the Make suicidal statements Barricade self or initiate standoff /erbally threaten other(s) Resist being handcuffed or arrested Attempt to escape/flee from custody Attempt to grab, hit, or kick officer(s) Attempt to gain possession of officer's weap	a decedent - ? Yes No O O O O O O O O O O O O O O O O O O O O O O O O O O O O O O O O O O O O O O
L1. At any time during the incident, did the Make suicidal statements Barricade self or initiate standoff /erbally threaten other(s) Resist being handcuffed or arrested Attempt to escape/flee from custody Attempt to grab, hit, or kick officer(s)	e decedent - ? Yes No O O
L1. At any time during the incident, did the Make suicidal statements Barricade self or initiate standoff /erbally threaten other(s) Resist being handcuffed or arrested Attempt to escape/flee from custody Attempt to grab, hit, or kick officer(s) Attempt to gain possession of officer's weap	a decedent - ? Yes No O O O O O O O O O O O O O O O O O O O O O O O O O O O O O O O O O O O O O O
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11. At any time during the incident, did the Make suicidal statements Barricade self or initiate standoff /erbally threaten other(s) Resist being handcuffed or arrested Attempt to escape/flee from custody Attempt to grab, hit, or kick officer(s) Attempt to gain possession of officer's weap Sain possession of officer's weapon	e decedent - Yes No Unknown
11. At any time during the incident, did the Make suicidal statements Barricade self or initiate standoff /erbally threaten other(s) Resist being handcuffed or arrested ttempt to escape/flee from custody Attempt to grab, hit, or kick officer(s) Attempt to gain possession of officer's weap Gain possession of officer's weap on the second of the sec	a decedent - ? Yes No Unknown
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	e decedent - ? Yes No Unknown O O O O O O O O O O O O O O O O O O
	e decedent - ? Yes No Unknown O O O O O O O O O O O O O O O O O O

14. At any time during the incident, did law enforcement p	erso	nnel	?		
		Yes		Unknown	
Fight or struggle with decedent		\bigcirc	\bigcirc	0	
Physically restrain decedent (e.g., control hold, body compre-			\bigcirc	0	
Restrain decedent with equipment (e.g., handcuffs, leg shack	kles)	\bigcirc	\bigcirc	0	
Place decedent in prone position		\bigcirc	\bigcirc	0	
Engage in motor vehicle pursuit		\bigcirc	\bigcirc	0	
Engage in foot pursuit		\bigcirc	\bigcirc	0	
Arrest the decedent		\bigcirc	\bigcirc	0	
Other, specify		\bigcirc	\bigcirc	0	
15. At any time during the incident, did law enforcement p	erso	nnel u	se an	v of the following weapons?	
		No Ur		,	
Firearm discharge	\bigcirc	\bigcirc	\bigcirc		
Conducted energy device (Taser) contact	\bigcirc	\bigcirc	\bigcirc		
Pepper/OC spray, mace dispersion (\bigcirc	\bigcirc	\bigcirc		
Baton/blunt instrument impact (0	0	\bigcirc		
Other, Specify:	0	\bigcirc	\bigcirc		
· ·					
16. If the decedent sustained fatal injuries during the incid	dent,	how	were	these injuries sustained? 🛛 🔞	
◎ Yes, mark who caused the fatal inury (Mark only one)					
© Law enforcement personnel					
O Decedent					
Other Civilian(s)					
Ounknown persons(s) caused the fatal injury					
© No					
Onknown, did not obtain autopsy report or medical evalua	ation				
17. If a weapon caused the death, what type of weapon of	cause	ed the	deat	n? (Mark only one) 🔹	
Handgun					
© Rifle/shotgun					
 Firearm, unspecified Conducted energy device (e.g., Taser) 					
© Knife/edged instrument					
Baton/blunt instrument					
Other weapon, specify:					
Vehicle-involved death (i.e., vehicle accident)					
© Not applicable, weapon or vehicle did not cause death					
O Unknown					
18. Where did the death occur? (Mark only one)					
 Law enforcement facility/booking center Scene of incident 					
 Scene of incident Dead on arrival at medical facility 					
 Medical facility following clinical intervention 					
Medical facility following clinical intervention Other, specify:					
O Unknown					
19. What was the manner of death? (Mark only one)]				
Natural					
Accident Suicide					
© Suidae © Could not be determined					
© Unknown					
20. What was the cause of death?					
Immediate cause					
Secondary causes (if applicable)					
Contractly coupes (ii applicable)					
				*	

21. How was information provided for cause of death (item 20) determined? (Mark all that apply)
Autopsy report or medical evaluation
Other source, specify
22. Did the autopsy report or medical evaluation indicate the presence of alcohol or of drugs other than alcohol?
© Yes, mark all that apply:

Alcohol Drug(s) other than alcohol, specify	
© No	

· · ·							
۲	Unknown,	did	not obtain	autopsy	report or	r medical	evaluation

Notes			
		*	
		~	
	Save	Submit	Cancel



Arrest-Related Deaths (ARD) Program

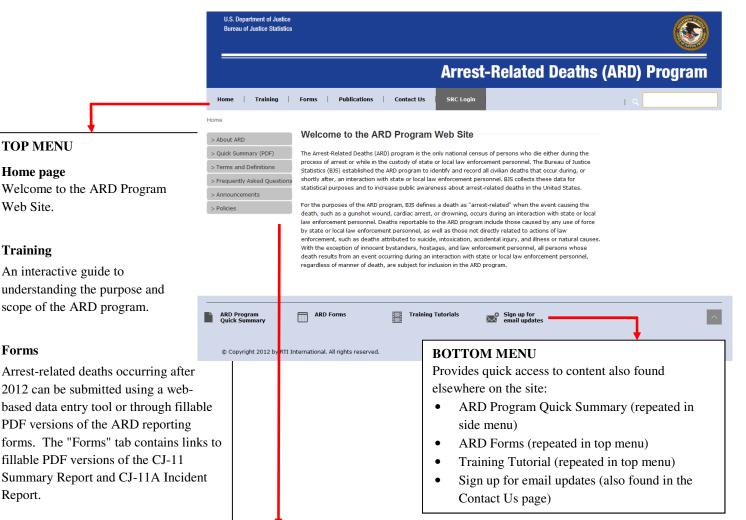
SRC Instructions for Navigating the ARD Program Website www.bjsard.org

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ARD Program Website Public Pages Overview

www.bjsard.org



Publications

Links to recent BJS reports on arrestrelated deaths and other data from the Deaths in Custody Reporting Program (DCRP).

Contact Us

ARD Program Helpdesk contact information, links to RTI and BJS websites, and an option to sign up for email updates.

SRC Login

Secure reporting portal restricted to State Reporting Coordinators (SRCs) and ARD program staff at RTI and BJS.

SIDE MENU

About ARD

Overview of the program that describes the history of the Deaths in Custody Reporting Program and the ARD program, data collection methods, and data uses.

Quick Summary

Direct link to the ARD program flyer (PDF format) which can be used to disseminate program information to law enforcement and other agencies.

Term and Definitions

An expandable, alphabetized list of terms that are relevant to the ARD program.

Frequently Asked Questions

An expandable list of responses to common questions about the ARD program, including the definition of an arrest-related death, how to report data, and information about data security.

Announcements

Information about current data collection and reporting activities.

ARD Program Policies

Information about data due dates, submitting data, storing data, data requests from the public, publications using ARD data, and assurances to state and local data providers.

Instructions for SRCs Accessing the Web-Based Data Entry Tool

The ARD Program web-based data entry tool is restricted to SRCs, ARD Program staff, and BJS. It will allow you to submit data using the electronic forms, download fillable PDF forms to complete at a later time, upload completed CJ-11 and CJ-11 PDF files, and view the information that has been previously entered from your state. The website also provides links to past reporting forms. Additional information about the ARD Program, including a web-based tutorial on understanding the purpose and scope of the ARD Program and reporting options, can be found on the public pages of the ARD Program website.

To access the web-based data entry tool, click on the "SRC Login" tab just under the ARD Program banner.

SRC Login

- ARD program staff will email SRCs with a username and temporary password to access the data entry tool through the "SRC Login" tab. If you did not receive this information, please contact the ARD Program Helpdesk at <u>ardhelpdesk@rti.org</u> or 1-877-475-7039. Passwords can by reset by clicking "Forgot my password" under the Login button.
- Upon login, you will be taken to the web page for submitting CJ-11 form data. If you prefer to view a different page upon login (such as the data entry page for the CJ-11A form), please follow the instructions found under the **Account Settings** page section below.

U.S. Department of Justice Bureau of Justice Statistics						(٢
			Arrest	-Related	Deaths (A	RD) Prog	ram
Home Training	Forms Publications	Contact Us	SRC Login			1 Q	
<u>Home</u> > Login							
> About ARD	Online Data Reporting	J					
> Quick Summary (PDF)	Login to submit 2013 Arrest-R	elated Deaths incid	ent reports online.				
> Terms and Definitions	User Name (email)						
> Frequently Asked Questions	SRC@rti.org						
> Announcements	Password						
> Policies	Romombor my Lloos Norro						
	Remember my User Name						
	Login						
	Forgot my Password						
ARD Program Quick Summary	ARD Forms	Training	Tutorial	Sign up for email updates			<u></u>
Quick Summary	ARD Forms	Training	Tutorial	Sign up for email updates			
Quick Summary U.S. Department of Justice	ARD Forms			email updates	Deaths (#	ARD) Pro	gram
Quick Summary	ARD Forms			email updates			gram
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CJ-11 Arrest-Related Deaths Summary of Incidents

U.S. Department of Justice Bureau of Justice Statistics

Selecting "Add Records" or "CJ-11 Arrest-Related Deaths Summary of Incidents" from the Add Records dropdown will take you to the CJ-11 data entry screen.

- Your state and the current data collection year (2013) are prepulated.
- SRCs should select the appropriate reporting period (quarter) and enter the number of arrest-related deaths that occurred in their state during that reporting period. If your state had no reportable arrest-related deaths, enter 0.
- To submit the information to the system, click "Save."
- **Arrest-Related Deaths (ARD) Program** Account Settings Control Panel Public Logout View Cases | Media | Events | Reports | Site Settings Add Records Home > Add Records > CJ-11 Quarterly Summary CJ-11 Quarterly Summary of Arrest Related Deaths CJ-11 Form State 2013 Arkansas Reporting Period 💿 Quarter 1 (January 1 - March 31) 💿 Quarter 2 (April 1 - June 30) 💿 Quarter 3 (July 1 - September 30) 💿 Quarter 4 (October 1 - December 31) 💿 Annual (January 1 - December 31) Number of deaths: State Reporting Coordinator Name:Daye, Crystal Agency:Arrest-Related Deaths Program Staff PH:(919) 541-7321 FAX:(877) 262-7654 Cancel
- Please review your SRC contact information found at the bottom of the screen and provide any updates to the ARD HelpDesk (<u>ARDHelpDesk@rti.org</u>).

CJ-11A Arrest-Related Deaths Incident Report

Selecting "CJ-11A Arrest-Related Deaths Incident Report" from the "Add Records" dropdown menu will take you to the data entry screen for the CJ-11A.

This page allows web-based data entry for all of the fields found on the 2013 CJ-11A form. Information included in the 2013 CJ-11A Question-by-Question Guide is also found on this page by clicking the 😨 next to each item on the form. Like the fillable PDF CJ-11A form, data entry is restricted to logical responses to each question. For example, under Item 1, Middle Initial field, you may only enter 1 letter; under Item 4, Zip code field, you may only enter numbers (no letters).

- The first field on this page, "Select a Form to Begin Data Entry" is pre-populated with the year 2013. At this time, data entry through the web-based reporting tool is only available for incidents occurring in 2013.
- The first section found under "CJ-11A Form 2013" is the "Data Supplied By" box. If the CJ-11A data were collected by the SRC, you can click on the "Auto Populate with SRC" button to automatically populate all of the fields in this box with the information we have on record for your state's SRC. If the information about the death was supplied by another agency, please enter the information for that agency in the spaces provided.

Add Records	View Cases	Forms Reports	Account Settings	Public	Logout	
Home > Add Recor	ds > <u>CJ-11A Death</u>	Report				
Select a Form to Beg	jin Data Entry					
2013	Quid	ck Search				
New CJ-11A Record						
			CJ-11A Fo		1	
			Data Supplie	ed By		
Name <u>Auto Po</u>	pulate with SRC		Title			- I
Agency			Telepho	one		
State			E-mail.	Address		
Alabama	•					

- The state where the incident occurred is prepopulated. If the death did not occur in your state, please contact the ARD Program HelpDesk.
- All remaining data collection fields on the page mirror those found on the PDF version of the form.

State		
Alabama	•	
1. What was t	he decedent's name? 🔞	
Last Name	First Name Middle Initial	
2. What was th	ne time and date of death?	
Time	Month Day Year	
	2013	
() AM	© PM	
3. What law e	nforcement agency was involved with the death? ?	
Agency Name		
Myelicy matte		
Agency Name		lookun
		lookup
	Itiple law enforcement agencies responded	lookup
		lookup
Check if mu		lookup
Check if mu	Itiple law enforcement agencies responded	lookup
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Check if mu Agency ORI Agency ORI Agency ORI 4. Where did t Street address	Itiple law enforcement agencies responded	lookup
Check if mu Agency ORI Agency ORI	Itiple law enforcement agencies responded Unknown the event causing the death occur?	lookup

• Clicking on the 🕐 next to any question will open a window that displays help text from the 2013 CJ-11A Question-by-Question Guide for the item selected.

	Question 4: Where did the event event causing the death
2. What was the time and date of death? 🛛	occur?
Time Month Day Year O AM O PM V (2013)	Please indicate the address or the approximate location (e.g., street intersection, neighborhood) where the decedent was injured or developed the condition that resulted in death. The
3. What law enforcement agency was involved with the death? 2 Agency Name	purpose of this item is to identify the location of where the deatl causing event occurred, which may be different from the location where the incident began or where the decedent died or was
Check if multiple law enforcement agencies responded Agency ORI	pronounced dead (e.g., medical center). If the incident occurred at multiple locations, specify the location where the decedent we injured or developed the condition that resulted in death.
Agency ORI unknown	Example 1: Law enforcement personnel are dispatched to a residence for a disturbance. While at the residence, the
4. Where did the event causing the death occur? 2 Street address	
City State Zip Alabama V	Example 2: Law enforcement personnel respond to a bank robbery in progress. The suspect flees the bank and engages law enforcement personnel in a pursuit. The suspect fires
5. What location category best describes where the event causing the death occurred? (Ma \bigcirc Residence/Home f additiv	gunshots at law enforcement personnel during the pursuit, who return fire, causing a fatal injury. The most appropriate response to this item would be the address of where the gunfre occurred, not the bank where the incident originated
© Business, specify type	
© Roadway/Highway/Street/Sidewalk	Close

• For Question 3, you can look up a local agency in the state and automatically populate the agency name and ORI number fields by clicking on the "lookup" link.

3. What law enforcement agency was involved with the death? ?	
Agency Name	
	lookup
Check if multiple law enforcement agencies responded	
Agency ORI	
Agency ORI unknown	

- There are "Save" buttons at the bottom of each "page" (as defined by the PDF form). Certain information must be entered before a case can be saved (to aid in retrieving the case later):
 - Item 1. Decedent name (if unknown, enter "John Doe").
 - Item 2. Month and date of death (if unknown, please estimate).
 - Item 3. Law enforcement agency involved and ORI number.

Please save your work often, as users will be timed out after 20 minutes of inactivity. Requesting a report, uploading a file, or saving information entered into the data entry screens will ensure you remain logged into the data entry tool.

- Once you have completed all of the information requested on the CJ-11A form, you may click "Save" or "Submit."
- Save Submit Cancel
 Submitting this case will send it to your
 Agency Liaison for review.

Decedent name is required. If decedent name is unknown, enter JOHN DOE.

Cancel

- If you plan to update any of the fields on this form before sending it to the ARD program staff, click "Save" and you will be able to con
- staff, click "Save," and you will be able to continue entering information for this case at a later time.
 If you have entered all of the information you have collected for a case and that information is correct to the best of your knowledge, click "Submit." This will send the case to the ARD Program staff for review, and the case will be locked for editing until that review is complete. If you do need to make a change after clicking "Submit," please contact the ARD HelpDesk, and we will unlock the case for you to edit.

Form Upload

Cases may also be submitted through the Form Upload function.

- The state is pre- populated.
- You first should name the file as it will be saved on the website. The file name should include your 2-letter state abbreviation, the last name of the decedent and the year of the death (for example, CA_Doe_2013.pdf). Once you have named the file, Click "Browse" to locate the file to upload.
- PDFs, Word and zip files may be uploaded to the site.

	Arrest-Related Deaths (ARD) Program
Add Records View	w Cases Forms Reports Account Settings Public Logout
CJ-11 Arrest-Related Deaths Summary of Incidents	Form Unload
CJ-11A Arrest-Related Deaths Incident Report	Select "Form Upload" from the "Add
Form Upload Click browse to locate t	Decender drendown meny to access the
	Browse file uploading tool.
	dd Records > <u>CP-11 Form Upload</u>

ARD Program Staff review

Once you have submitted CJ-11A information for all of the arrest-related deaths that occurred in your state during the calendar year, please contact the ARD Program staff to let them know that your data submission is complete. When the ARD Program Staff receive this notification, we review the information to ensure that each case meets ARD Program eligibility requirements, and does not contain any missing or inconsistent information.

Each SRC will then receive a status report (generally in the spring of the year following the data collection reference year). This status report will:

- 1. Confirm the cases that have been submitted to the ARD Program.
- 2. Identify any cases that may require additional follow up. For example, if there are questions about eligibility or missing or inconsistent data.
- 3. List any additional cases ARD Program staff found that *may* be eligible for inclusion.

After reviewing the status report, if there is any follow-up required, please log back into the web-based reporting tool to provide additional information. You may also provide this information by phone, fax, traditional mail, or encrypted/ password-protected email.

- When the status report is sent to you, ARD Program staff will unlock cases where further SRC input is requested. These cases will be available for editing until the SRC reviews the case, provides any edits required, and clicks "Submit" again.
- Please also review the list of additional cases that the ARD Program staff identified. If these cases meet the ARD Program definition of an "arrest-related death" and involved a local or state law enforcement agency in your state, please submit corresponding CJ-11A data.

During the review process, ARD Program staff flag cases by whether they are "complete" <u>and</u> whether they are "confirmed."

- ARD Program staff mark a case as "complete" when no further CJ-11A information is required or expected. In other words, the case has not been flagged for follow-up in the status report due to missing or inconsistent information. Once a case is "complete," ARD Program staff review the information for eligibility.
- ARD Program staff mark a case as "confirmed" if it meets eligibility requirements based on the ARD program definition. "Unconfirmed" cases do not contain enough information to determine eligibility. "Discarded" cases do not meet ARD Program eligibility criteria and are not included in any datasets used for analysis and reporting.

View Cases

This page allows SRCs to view CJ-11A information already entered.

• First, select the types of cases that you would like to view (state and year fields are prepopulated). You may select cases by:

U.S. Department Bureau of Justic							Logged in as: SKC@rtl.org	Ser Type: Sk
				Arre	est-R	elated Deat	hs (ARD) Pro	gram
Add Records	View Cases	Forms	Reports	Account Settings	Public	Logout		
ome > View Cases	Death Records							
ielect a State, Year an	d/or Last Name to Searc	h for Records						
Select a State Alabama	v 2013	ct a Year	Confirm Status	Completion Status	-	Search by Last Name	Search	

Cases selected by	Returns
Case status	
Blank	All cases entered, regardless of case status
Confirmed	Cases confirmed as eligible by ARD Program staff
Not confirmed	Cases requiring further information to determine eligibility
Discarded	Cases that ARD Program staff found to be ineligible, according to the definition of an "arrest-related death"
Completion Status	
Blank	All cases entered, regardless of completion status
Complete	Cases marked as "complete" by ARD Program staff (requiring no further follow-up by the SRC)
Incomplete	Cases marked as "incomplete" by ARD Program staff, with areas for SRC follow-up identified on the status report
Search by Last Name	
Blank	All cases entered
Letters found in the last name of a case entered	Cases with a last name that includes the letters entered into this field. For example, entering "Davis" will return all cases with a last name of "Davis." Entering "D" will return all cases with a last name beginning with the letter "D."

- After defining your search parameters, click "Search," and then a list of submitted cases will appear.
- You can view and edit details of each case by selecting "Edit Record"

oct a State, Ye	ar and\or Last N	lame to S	earch for Records						
Select a Sta	te		elect a Year	Case Staus		Completion Status		Search by Last Name	
Alabama		- 2	013	•	-		-		Search
	10 0.05	0.50	100 0 150 0						
	bage 10 25 tch your criter) 100 ⊙ 150 ⊙						
	•	ia.		Cause of Death					
2 records ma	tch your criter	ia. <u>State</u>		Cause of Death					

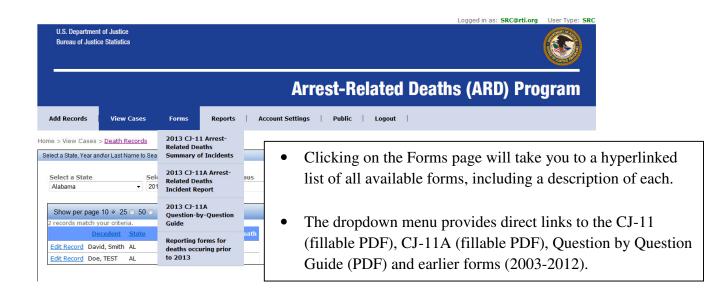
• If a case is currently being reviewed by ARD Program Staff, the CJ-11A information can be viewed, but not edited by SRCs. A message will appear at the top of the CJ-11A form page for this case noting that:

This case is currently under ARD Program staff review and is locked for editing. If you would like to unlock this case, please contact the ARD Program Helpdesk.

• Any cases requiring further input from SRCs will be identified in the status report and unlocked for SRC editing at that time. SRCs may also update case information at any time by requesting that the case be unlocked through the ARD HelpDesk.

Forms

This page provides links to all ARD Program forms.



Reports

This page allows the SRC to view summary information about the cases entered. This information can be viewed for 2011, 2012 and 2013 by selecting the desired year.

U.S. Department of Justice Bureau of Justice Statistics		Logged in as: SRC@rti.org User Type: SI		
	Arrest-Related Deat	Arrest-Related Deaths (ARD) Program		
Add Records View Cases Forms	Reports Account Settings Public Logout			
ome > Reports > <u>Totals</u>				
Report Total				
2013 👻				
State Total Total Complete Total Incomple	ete Confirmed Not Confirmed			
Alabama The summary prov	vides the total number of cases submitt	ted the number confirm		
J 1				
and the number no	ot confirmed to be eligible for the ARD) Program.		

Account Settings

This page allows the SRC to change his/her password or to select a specific page as the homepage. Once selected, the SRC will be directed to this page upon login.

		Logged in as: SRC@rti.org User Type: SRC				
U.S. Department of Justice Bureau of Justice Statistics						
Arrest-Related Deaths (ARD) Program						
Add Records View Cases Forms Reports	Account Settings	Public Logout				
Home > Account Settings > <u>Change Password Case</u>	Change Password					
Change Password	Set Home Page					
Please note, new passwords must be at least 8 characters long and Old Password	l have 1 upper case chara	icter and 1 number.				
New Password						
Retype New Password						
Change Password						
ardhelpdesk@rti.org 1 1-877-475-7039						

U.S. Department of Justice Bureau of Justice Statistics		Logged in as: SRC@rti.org User Type: SRC			
Arrest-Related Deaths (ARD) Program					
Add Records View Cases Forms Reports	Account Settings	Public Logout			
Home > Account Settings > <u>Set Home Page</u>	Change Password				
Select a page to display when you log in	Set Home Page				
Select a home page Search Death Records 2011/2012 Change Password Form CJ-11A Form CJ-11 Search Death Records 2011/2012 Search Death Records 2013 Report Totals					
wardhelpdesk@rti.org ₺ 1-877-475-7039		~			

Public takes the SRC to the ARD Program Website public pages (see ARD Program Website Public Pages Overview) found at <u>www.bjsard.org</u>

Logout logs the user out.



Arrest-Related Deaths (ARD) Program

Home Training Forms Dublications Contact Up	CDC Login	
Home Training Forms Publications Contact Us	SRC Login	

Home > About ARD

About ARD

Quick Summary (PDF)

Terms and Definitions

Frequently Asked Questions

Announcements

Policies

About ARD

<u>History</u> <u>The Deaths in Custody Reporting Program</u> <u>Data Collection Methods</u>

<u>Data Uses</u>

The ARD program is a national census of deaths that result from an event occurring during an interaction with state or local law enforcement personnel. The program collects information about the decedent, the incident involving the decedent and law enforcement personnel, and other related characteristics of the death.

History

The Deaths in Custody Reporting Act (DICRA) of 2000 (P.L. 106-297) sanctioned BJS to collect data on arrestrelated deaths beginning in 2003. Before the legislation, there were no national mandates for states or municipal governments to report information on deaths that occur during the arrest process.

The DICRA legislation expired in 2006, but BJS continued to collect, analyze, and report on deaths in custody since then, as public concerns remain about the safety and humane treatment of suspects, defendants, and offenders while in contact with or under the control or supervision of criminal justice agencies.

In 2011, the reauthorization of the original Deaths in Custody Reporting Act (DICRA) was proposed in Congress. The new Death in Custody Reporting Act of 2011 (H.R. 2189) passed the House of Representatives on September 20, 2011, and was referred to the Senate but has not been signed into law. The DICRA of 2011 maintained the provisions for local and state agency reporting that were included in the DICRA of 2000, but it also expanded the reporting coverage to include federal law enforcement and correctional agencies. DICRA 2011 legislative updates can be tracked <u>here</u>.

The Deaths in Custody Reporting Program

Since 2000, BJS has collected data under the Deaths in Custody Reporting Program (DCRP), which operates as three separate collections. Together, these three collections cover deaths of suspects and offenders from the time they come in contact with law enforcement through the time they are incarcerated in jail or prison.

- The DCRP-Jails collection, implemented in 2000, includes all deaths that occur while an
 offender or suspect is in the custody of a local jail facility.
- The DCRP-State Prisons collection, implemented in 2001, includes all deaths that occur while an offender is in the custody of a state prison facility.
- The Arrest-Related Deaths collection, implemented in 2003, includes deaths that occur anytime a person's freedom to leave is restricted by state or local law enforcement personnel.

The importance of the DCRP is rooted in the uniqueness of the information collected. The program's breadth provides national information on three components of the criminal justice system and covers the death of suspects and offenders from the time they come in contact with law enforcement through the time they are incarcerated in jail or prison. DCRP data describe the circumstances surrounding the death and include information about the criminal justice system's actors' involvement with decedents; information that is not available in other national databases on mortality.

Data Collection Methods

The goal of the ARD program is to have a comprehensive data collection process that covers every state and local law enforcement agency in the United States. ARD program staff work with State Reporting Coordinators (SRCs) in each state and the District of Columbia to identify and report information about arrest-related deaths.

SRCs record the number of arrest-related deaths on a <u>CJ-11, Arrest-Related Deaths Summary of Incidents</u> form. If no ARD-eligible deaths are identified, the form should still be completed with a "zero". For each arrestrelated death, a <u>CJ-11A, Arrest-Related Death Incident Report</u> is then completed. More information about completing each of these forms can be obtained by <u>contacting the ARD HelpDesk</u>.

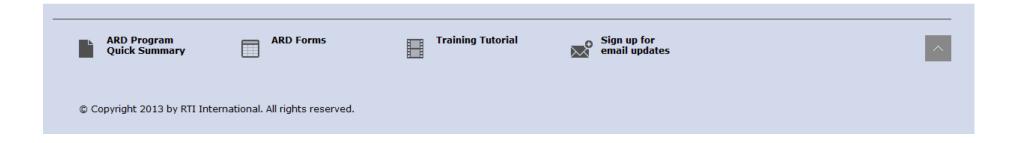
SRCs may submit data directly through the <u>secure, web-based reporting platform</u>. Data collection forms are also <u>available as fillable PDFs</u>, which may be completed and submitted via fax, mail, or encrypted/password-protected email. Local agencies may complete and submit forms through their SRC. If you are unable or unsure how to submit data through any of these options, please contact <u>the ARD helpdesk</u>.

Data Uses

The ARD program is designed to increase awareness of the prevalence of, and circumstances surrounding, arrest-related deaths.

BJS collects and reports aggregate information about arrest-related deaths to disseminate this information to the public and increase awareness. ARD Program reports are published regularly and can be found on <u>BJS's</u> <u>website</u>.

In accordance with BJS's authorizing statute, all information is held in strict confidence according to law (Section 308(d) of the Public Service Health Act, 42 U.S.C. 242m(d) and the Confidential Information and Statistical Efficiency Act, P.L. 107-347). All data are confidential and are handled and stored in a confidential manner. More information about data security and confidentiality protections <u>can be found here</u>.



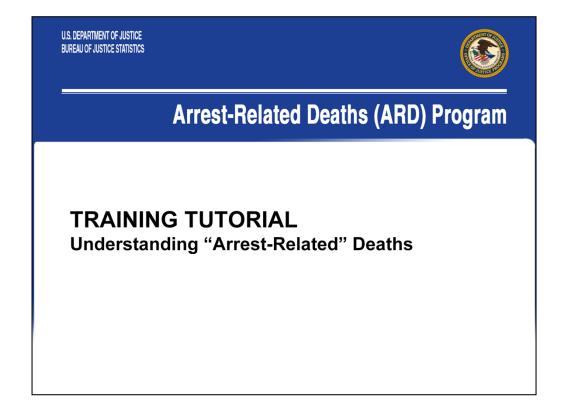
ARD Public Website Terms and Definitions

Arraignment	A formal reading of a criminal complaint in the presence of the defendant to inform the defendant of the charges against him/her. For the purposes of the ARD program, any deaths occurring during or after arraignment are not reportable.
Arrest	The act of detaining in legal custody. An "arrest" is the deprivation of a person's liberty by legal authority in response to a criminal charge.
Arrest-Related	The range of circumstances associated with the actions or events that take place during an attempt by law enforcement to detain an individual under the authority of the law.
Arrest-Related Death	Any civilian death when the event causing the death (e.g., gunshot wound, self-inflicted injury, cardiac arrest, fall from a height, drowning, etc.) occurs during an interaction with state or local law enforcement personnel. An arrest-related death can occur prior to, during, or following an arrest.
Cause of death	A description of the specific factors leading to the termination of the biological functions that sustain life.
Conducted energy device	Also known as CEDs, these weapons are designed to get noncompliant or hostile suspects to comply with law enforcement. CEDs, such as Tasers, induce involuntary muscle contractions, causing the suspect to be temporarily incapacitated. Although designed to be less-than-lethal weapons, arrest-related deaths may occur after contact with a CED.
Federal law enforcement agencies	Agencies charged with the enforcement of federal statutes. Deaths that occur during an interaction with officers from federal law enforcement agencies, such as the FBI, DEA, or Marshals Service, are only reportable to the ARD program if personnel from a State or local law enforcement agency were also interacting with the decedent during the event causing the death.
Freedom to leave restricted	For the purposes of the ARD program, freedom to leave restricted is not limited to physical custody or arrest. Freedom to leave restrictions can occur during the process of apprehension, regardless of whether physical custody was established.
Homicide	Killing of a human being by another human being. The ARD program gathers data on homicides that occur during an arrest process regardless of whether the homicide was attributed to law enforcement personnel or a civilian. Homicides by law enforcement personnel were included in the ARD collection because they resulted from a direct use of force by law enforcement officers. However, not all homicides by law enforcement personnel involve shooting deaths. Other types of homicides by law enforcement officers included deaths attributed to asphyxia during restraint, injuries sustained during an altercation, and the use of technologies such as, chemical sprays

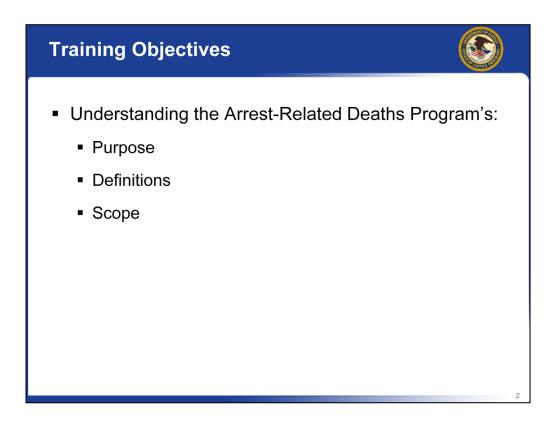
	and conducted energy devices.
In custody	The state of being detained or held under guard. For the purposes of the ARD program "in custody" of law enforcement occurs prior to arraignment or transfer to a long-term correctional facility, such as a jail or prison.
Innocent bystander	A person who is neither in the custody of law enforcement personnel or a criminal suspect. Deaths of innocent bystanders are not reportable to the ARD program.
Less-than-lethal weapons or tactics	Tactics or weapons used by police to control violent, combative people, and may include conducted-energy devices (such as Tasers), beanbag rounds, pepper spray, and stun grenades. Deaths resulting from tactics designated as "less than lethal" are reportable to the ARD program.
Local law enforcement agency	A general purpose law enforcement agency is assigned to enforce local laws and is operated by a unit of local government such as a town, city, township, or county. Local law enforcement agencies are responsible for investigations, prevention, and patrol functions.
Long-term holding facility	Includes jails and prisons. Jails are facilities that typically hold offenders after arraignment, during adjudication, and for sentences of less than a year. Prisons are typically overseen by a state department of correction and house convicted or sentenced inmates for periods of longer than one year. Deaths occurring in long-term holding facilities are not reportable to the ARD program, but may be reportable to the DCRP-Jails or the DCRP-State Prisons data collection.
Manner of death	An explanation of how a person died; typically illustrated by a one word description of the intentions and circumstances that led to the stated medical cause of death. The manner of death is the way in which death was caused and is typically listed as natural, accident, homicide, suicide, or undetermined.
Natural cause of death	Deaths attributed to natural agents such as illness or internal malfunctions of the body. Arrest-related deaths recorded as "natural" may be due to heart complications or complications from long term illnesses.
Non-criminal suspects	Arrest-related deaths can occur with individuals that are not considered criminal suspects or the subjects of arrest, if the death is attributed to events that occur during an interaction with law enforcement personnel. Arrest-related deaths of non-criminal suspects occur most often during law enforcement's response to service calls for assistance and transport.
Pursuit	The act of law enforcement pursuing an individual for the purposes of detainment, including vehicle and foot pursuits. Deaths of suspects occurring during law enforcement pursuit (e.g., due to traffic accidents) are reportable to the ARD program.

Restraint tactics	Physical fighting or struggling with law enforcement personnel, deaths. Deaths resulting from law enforcement restraint tactics, including deaths caused by positional asphyxia, control holds, neck restraint, and body compression are reportable to the ARD program.
Self-imposed events	Events that include accidents caused by the decedent, suicides, and intoxications. Deaths resulting from self-imposed events during an interaction with law enforcement are reportable to the ARD program.
Short-term holding facility	Facilities from which arrestees are usually transferred within 72 hours and not held beyond arraignment, also referred to as lockups or booking centers. Deaths that occur in short-term holding facilities prior to arraignment and while in the custody of local law enforcement are reportable to the ARD program.
Standoff or barricade situations	Situations where the focus of law enforcement agency pursuit is threatening violent or other negative actions if law enforcement continue to pursue him/her. Barricade situations occur when the individual has protected him/herself from law enforcement with a physical barrier or other shield. Standoff and barricade situations can involve hostages and police negotiators.
State law enforcement agency	The agency responsible for enforcement of state laws, including investigations, prevention and patrol functions. State law enforcement agencies can include highway patrol, state bureaus of investigation, investigative units of state Attorney General's offices, and others.
State Reporting Coordinator (SRC)	Each state and some large jurisdictions have a designated state reporting coordinator (SRC). The SRC is responsible for identifying all arrest-related deaths in the jurisdiction during the reporting period, and collecting additional information on the circumstances of each arrest-related death. SRCs work with ARD program staff to collect and report the requested information. SRCs typically work in a state agency that has access to or regularly reports information about arrest-related deaths, such as a state bureau of investigation, medical examiner or coroner's office, attorney general's office, or statistical analysis center.
Suicide by cop	A suicide method in which an individual deliberately acts in a threatening way, with the goal of provoking a lethal response from a law enforcement officer or other legitimately armed individual.
Terry stop	A brief detention based on a reasonable suspicion that the person may be engaged in a criminal act; also referred to as a stop and frisk search.
Undetermined cause of death	A designation used by medical examiner's and coroner's offices as the cause of death on a death certificate, when no cause could be (or has been) determined through the autopsy or other investigative process. May also be used when the cause of death is still to be determined through additional testing or investigation.

Use of force	The amount of effort required by police to compel compliance by an unwilling subject. Can include a range of approaches from basic verbal and physical restraint, less-than-lethal force, and lethal force. All deaths attributable to law enforcement use of force are reportable to ARD.
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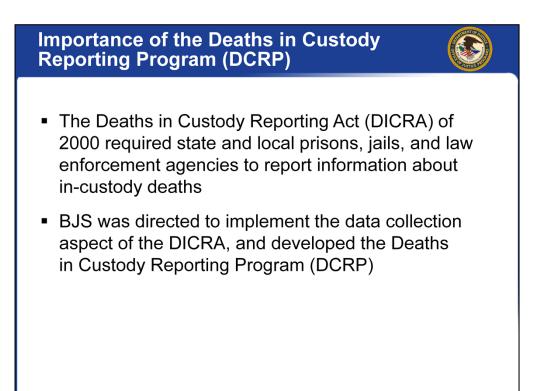


Hello and welcome to the United States Department of Justice, Bureau of Justice Statistics' Arrest-Related Deaths program tutorial, Understanding "Arrest-Related" Deaths.



This first module will focus on program concepts and on developing a deeper understanding of the program.

By the end of this module, we hope you have a clear understanding of the Arrest-Related Deaths or ARD program, including its purpose, definitions, and scope. Let's begin by discussing the history of BJS's Deaths in Custody Reporting Program and the importance of ARD.



Public concern about the completeness and accuracy of information regarding the deaths of those under the control of the criminal justice system led to the passage of *The Deaths in Custody Reporting Act of 2000* or DICRA. The DICRA legislation required state and local prisons, jails, and law enforcement agencies to report information about in-custody deaths and deaths occurring in the process of arrest to the Department of Justice on a quarterly basis. As the statistical agency for the Department of Justice, BJS was directed by the Attorney General to implement the data collection aspect of the DICRA.

Since 2000, BJS has collected data under the Deaths in Custody Reporting Program or DCRP, which operates as three separate collections. The importance of the DCRP is rooted in the uniqueness of the information collected. The program's breadth provides national information on three components of the criminal justice system and covers the deaths of suspects and offenders from the time they come in contact with law enforcement through the time they are incarcerated in jail or prison.

DCRP data describe the circumstances surrounding the death and include information about the criminal justice system's actors' involvement with decedents; information that is not available in other national databases on mortality. These data can also be used to track changes in mortality rates of persons who have contact with law enforcement or are in jail or prison.

Prior to the DCRP, the public's knowledge of deaths occurring in the criminal justice system was limited. Information about the cause and circumstances of deaths can be used to improve the public's understanding about the deaths of those under the control of the criminal justice system.

Although DICRA expired in 2006, BJS remains committed to maintaining the goals of the legislation and the DCRP. Let's focus more specifically on the Arrest-Related Deaths component of DCRP.

Importance of the Deaths in Custody Reporting Program (DCRP)



- Important aspects of the DCRP include
 - National coverage of three components of the CJ system
 - Local Jails (2000)
 - State Prisons (2001)
 - Arrest-Related Deaths (2003)
- Provides information unavailable through other sources
- Identifies changes in mortality rates
- Improves the public's understanding

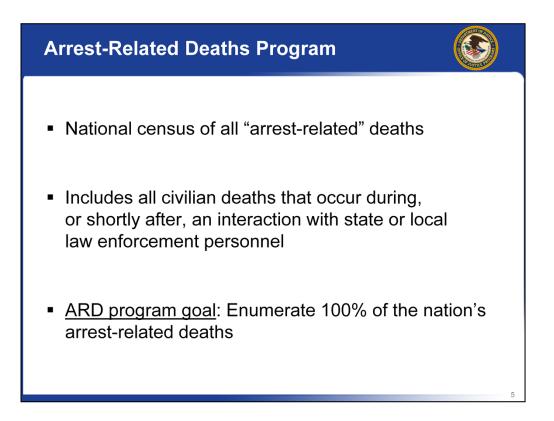
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Although DICRA expired in 2006, BJS remains committed to maintaining the goals of the legislation and the DCRP. Let's focus more specifically on the Arrest-Related Deaths component of DCRP.



The term "arrest-related" includes a range of circumstances associated with the actions or events that take place during an attempt by law enforcement to detain an individual under the authority of the law. The Arrest-Related Deaths program is the only national census of all manners of arrest-related deaths, including homicides, suicides, fatal intoxications, accidental deaths, and deaths attributed to illness or natural causes.

The Arrest-Related Deaths program was established to identify and record all civilian deaths that occur during, or shortly after, an interaction with state or local law enforcement personnel.

The goal of the ARD program is to identify and record 100% of arrest-related deaths. Through your assistance, and that of other data providers, the reporting coverage of the program has improved each year. BJS hopes to increase program awareness and continue to improve reporting in order to achieve a comprehensive program. Now that we've established the purpose of the program, let's discuss BJS's definition of "arrest-related."

Defining an "Arrest-Related" Death



- A death is "arrest-related" when the <u>event causing</u> <u>the death</u> (i.e., gunshot wound, cardiac arrest, drowning) occurs during an interaction with law enforcement personnel
- Includes deaths that occur:
 - During the process of arrest or attempted arrest
 - While in custody (prior to transfer to jail)
 - Or shortly after freedom to leave is restricted

For the purposes of the ARD program, BJS defines a death as "arrest-related" when the event causing the death, such as a gunshot wound, cardiac arrest, or drowning, occurs during an interaction with state or local law enforcement personnel.

Arrest-related deaths include: All deaths that occur during an interaction with law enforcement personnel in the process of arrest or attempted arrest, regardless of whether physical custody was established prior to the death. Deaths that occur while law enforcement personnel attempt to apprehend or arrest an individual, such as those that occur during pursuits or standoffs, are reportable.

Deaths that occur while an individual is detained by state or local law enforcement personnel are also reportable to ARD. In-custody deaths can occur at the scene of the incident, during transport of a criminal suspect or transport of a person in need of medical or mental health assistance, or while a suspect is being held at a law enforcement facility, such as a booking center or lockup facility. It is important to note that for the purposes of ARD, an in-custody death only refers to deaths that occur in the custody of police officers and sheriff's deputies prior to arraignment and prior to transfer to long-term holding facilities such as a jail or prison.

All deaths that occur shortly after a person's freedom to leave is restricted by state or local law enforcement personnel should be reported to ARD <u>if</u> the circumstances

causing the death occurred during the interaction with law enforcement. For example, if a suspect sustained an injury during an arrest, attempted arrest, or detainment and died from those injuries after release from custody, the death is reportable.

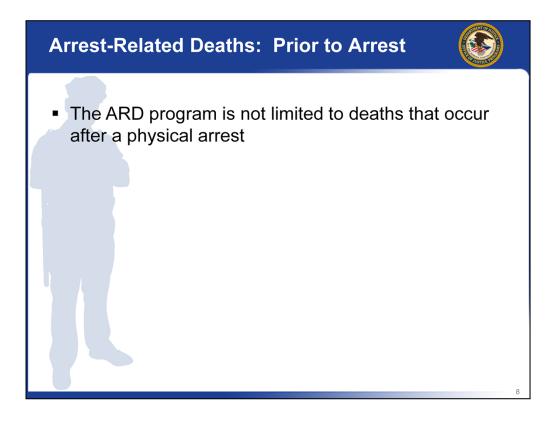
Now that we've discussed the definition of "arrest-related," let's explore the scope of the ARD program.



Much like the terms "school-related" or "drug-related," which include a variety of situations, the term "arrest-related" encompasses a range of circumstances pertaining to an arrest, including those occurring from the process of apprehension to detention. Therefore, the event causing the death can occur before, during, or after the arrest of a criminal suspect.

Please note, individuals not considered criminal suspects or subjects of arrest can be detained by law enforcement personnel, such as those in the custody of law enforcement for medical or mental health assistance. Deaths of non-criminal suspects occurring in the custody of state or local law enforcement personnel are reportable to the ARD program.

Let's take a closer look at each of these situations.



Although the ARD program is meant to be a national census of arrest-related deaths, many reportable deaths are not identified or recorded. One source of underreporting is related to misconceptions about the program's scope. One common misconception is that the ARD scope is limited to deaths that occur after a physical arrest. The ARD program in not limited to deaths that occur in the physical custody of law enforcement personnel. In fact, arrest-related deaths can occur prior to a formal arrest process in which physical custody is established.

Deaths that occur during the process of apprehension by state or local law enforcement personnel should be reported to the ARD program, regardless of whether physical custody was established. These deaths can occur during vehicle or foot pursuits of criminal suspects, as well as during standoff and barricade situations.

Common examples of deaths that occur during the process of apprehension include: Officer-involved shootings; Deaths related to the use-of-force or less-than-lethal weapons or tactics; Vehicle accidents, caused by either the decedent, intervening law enforcement personnel, or un-related civilians; Other types of accidental injuries sustained while attempting to elude, such as falls from heights, collisions, and drowning; and Suicides committed during standoffs and barricade situations. Deaths that occur during interviews and interrogations, or while a criminal suspect is detained for questioning or investigation, should also be reported to the ARD program. Such arrest-related deaths can occur at a law enforcement facility or in the field, such as during a stop and frisk search, also known as a Terry stop, or at a vehicle stop.

Examples of these types of deaths include those attributed to: Alcohol and other drug intoxications; Sudden medical conditions, such as cardiac arrest and asthma; Choking on ingested objects or other forms of asphyxiation; Accidental injury; and Suicides.



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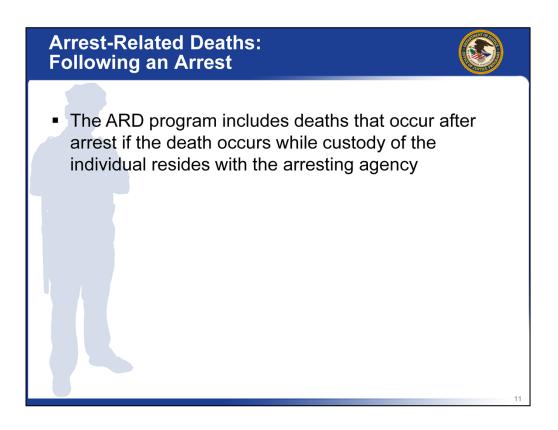
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In addition to deaths occurring prior to a physical arrest, deaths that occur while law enforcement attempt to establish physical custody of a suspect are also reportable to the ARD program.

All deaths that are attributed to weapon use by state or local law enforcement officers are considered "arrest-related" and should be reported. Common examples of deaths attributed to law enforcement's use of weapons include: Officer-involved shootings; Complications related to the use of conducted energy devices, such as Tasers and stunguns; Accidents caused by the use of spike strips or other tire deflation devices; Injuries due to the use of impact devices, such as batons and soft projectiles; and Complications due to the use of chemical agents such as OC or pepper spray and tear gas.

In addition to deaths caused by law enforcement's use of weapons, all deaths caused by restraint tactics should be reported to the ARD program. Eligible deaths attributed to restraint or use of other tactics include: Injures caused by physical fighting or struggle with law enforcement personnel; Deaths caused by positional asphyxia or being restrained in prone position; Injuries due to physical restraint by law enforcement personnel, such as those attributed to the use of control holds or neck restraint; and Complications due to body compression. It is important to note that while BJS gathers information about all deaths attributed to police use-of-force, this information is not used for investigative purposes at the agency or officer level. The information – at the aggregate level – is instead used to better understand the nature of arrest-related deaths.



Deaths that occur after law enforcement personnel have established physical custody of an arrestee are reportable to the ARD program if the death occurs while custody of the individual resides with the arresting agency.

Deaths of arrest-subjects are reported to the ARD program if the death occurs while an arrestee is being transferred from the scene of the arrest to either a law enforcement or medical facility.

In addition, deaths that occur at short-term holding facilities under the jurisdiction of state or local law enforcement, such as booking centers or lock up facilities, are within the scope of ARD. Once an arrestee is arraigned or custody of the individual is transferred to a long-term correctional facility, such as a jail or prison, the death is no longer reportable to the ARD program.

Common examples of deaths occurring during transport or confinement include those attributable to: Complications related to the use of weapons, such as firearms or conducted energy devices, during the arrest incident; Injuries caused by the use of restraints or impact devices, as well as those resulting from physical altercations; Fatal intoxications attributed to the over use of alcohol and other drugs or other medical conditions; and Suicides.

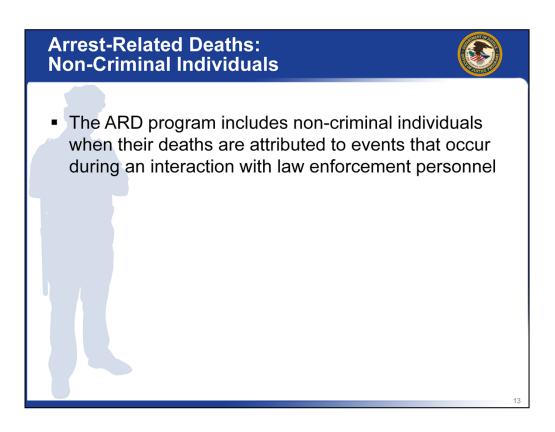


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While the vast majority of deaths reported to the ARD program involve criminal suspects, the scope of the program is inclusive of non-criminal individuals when their deaths are attributed to events that occur during an interaction with law enforcement personnel.

The most common types of non-criminal deaths reportable to the ARD program occur during law enforcement's response to service calls for assistance and transport. Oftentimes, these calls are requests for mental health or medical assistance. These incidents may involve individuals who are suicidal or displaying erratic behavior or occur during transport to a mental health facility or hospital.



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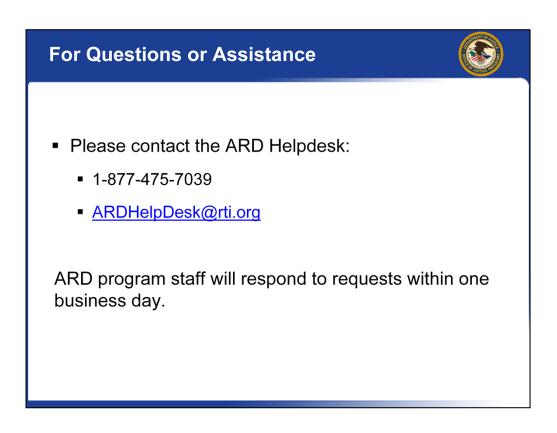
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To recap, with the exception of innocent bystanders, hostages, and law enforcement personnel, all juvenile and adult deaths of criminal suspects and non-criminal individuals, whose death was attributed to events that occurred during an interaction with state or local law enforcement personnel, should be reported to the Arrest-Related Deaths program.

These deaths may occur prior to, during, or following an arrest event, including non-criminal incidents.

Potential ARD cases may be attributed to: any use of force incident; injuries sustained while attempting to elude law enforcement or injuries incurred while in custody; self-imposed events such as suicides, accidents caused by the decedent, and intoxications; and medical conditions.



Thank you for participating in this tutorial. We hope you found the training useful and that you have a better understanding of the purpose, definition, and scope of the Arrest-Related Deaths program. For more information about the ARD program, please contact the ARD Helpdesk by calling 1-877-475-7039 or by sending an email to ardhelpdesk@rti.org.



Arrest-Related Deaths (ARD) Program

Frequently Asked Questions

1. What Is the Bureau of Justice Statistics?

The U.S. Department of Justice's Bureau of Justice Statistics (BJS), one of the 13 recognized federal statistical agencies, is the sponsor of the Arrest-Related Deaths (ARD) program. BJS' principal function is the compilation and analysis of criminal justice data and the dissemination of information for statistical purposes. BJS' procedures for collecting, analyzing, publishing, and distributing data are subject to strict federal controls to ensure the quality, security, and integrity of our criminal justice statistics. Included in these governing regulations and statutes are the following:

- 42 U.S.C. ch. 46, subchapter 3, §3731–§3735 (BJS authorizing legislation)¹
- 42 U.S.C. §3789g (BJS information confidentiality statute)²

2. <u>What Is the ARD Program?</u>

The ARD program is a national census of deaths occurring during the process of arrest or an attempt by law enforcement to detain an individual under the authority of the law. The program collects information about the decedent, the incident involving the decedent and law enforcement personnel, and other related characteristics of the death.

The Deaths in Custody Reporting Act (DICRA) of 2000 (P L 106-297) sanctioned BJS to collect data on arrest-related deaths beginning in 2003. Before this legislation, there were no national mandates for states or municipal governments to report information on deaths that occur during the arrest process.

The DICRA legislation expired in 2006, but BJS has continued to collect, analyze, and report on deaths in custody since then, as public concerns remain about the safety and

¹ Specifically, 42 U.S.C. §3735, Use of data, states, "Data collected by the Bureau shall be used only for statistical or research purposes, and shall be gathered in a manner that precludes their use for law enforcement or any purpose relating to a private person or public agency other than statistical or research purposes."

² 42 U.S.C. §3789g, Confidentiality of information: "Except as provided by federal law other than this chapter, no officer or employee of the Federal Government, and no recipient of assistance under the provision of this chapter shall use or reveal any research or statistical information furnished under this chapter by any person and identifiable to any specific private person for any purpose other than the purpose for which it was obtained in accordance with this chapter."

humane treatment of suspects, defendants, and offenders while in contact with or under the control or supervision of criminal justice agencies.

In addition, continued concerns about deaths in custody led to the proposed reauthorization of the DICRA through the Death in Custody Reporting Act of 2011 (H.R. 2189). The bill passed the House of Representatives on September 20, 2011, and was referred to the Senate. The DICRA of 2011 maintained the provisions for local and state agency reporting that were included in the DICRA of 2000, but it expanded the reporting coverage to include federal law enforcement and correctional agencies.

3. What Is an Arrest-Related Death?

For the purposes of the ARD program, a death is "arrest-related" when the event causing the death (e.g., gunshot wound, self-inflicted injury, cardiac arrest, fall from a height, drowning, etc.) occurs during an interaction with state or local law enforcement personnel.

The term "arrest-related" encompasses a range of circumstances pertaining to an arrest, including those occurring from the process of apprehension to detention. Therefore, the event causing the death can occur before, during, or after the arrest of a criminal suspect. Deaths of non-criminal suspects occurring during an interaction with state or local law enforcement personnel are also reportable to the ARD program.

For the purposes of the ARD program, reportable civilian deaths include those that occur:

- While detained for questioning or investigation (e.g., a Terry stop in which there is brief detention based on a reasonable suspicion that person may be engaged in a criminal act)
- During law enforcement response to medical or mental health assistance (e.g., response to suicidal persons)
- During the process of apprehension, such as pursuits or standoffs with law enforcement. Deaths resulting from vehicular accidents after a pursuit are included in the ARD program, regardless of whether or not law enforcement personnel took direct action against the deceased or the deceased's vehicle (for example, used a roadblock or spike strip or blew out a tire).
- In the custody of, or shortly after restraint by, law enforcement personnel (even if the
 person was not formally under arrest). These may be instances in which a person
 dies in the physical custody of law enforcement although an arrest process had not
 been initiated. Officers may restrain a person for his or her own safety or for the
 safety of medical personnel on the scene. Even though these persons do not face any
 criminal charges, they are still under the restraint of law enforcement officers and their
 deaths should be reported to the ARD program.
- While in transport to or from law enforcement or medical facilities. Please note that if such persons die at medical or mental health facilities after police transport, they are reportable to the ARD program **only** if the final determination directly links the cause of death to the period of law enforcement custody.

• While confined in lockups or booking centers (i.e., facilities from which arrestees are usually transferred within 72 hours and not held beyond arraignment). This includes arrest-related suicides occurring at booking centers and lockup facilities.

4. Why/How Was My Agency Selected?

The goal of the ARD program is to have a comprehensive data collection process that covers every state and local law enforcement agency in the United States. Agencies that experience an arrest-related incident (as defined by the ARD program) are then requested to submit a CJ-11A, Arrest Related Death Incident Report, for each of these deaths.

5. Why Is Collecting Data About Arrest-Related Deaths Important?

The ARD program provides data that are essential for understanding deaths that occur during the process of arrest by state and local law enforcement personnel. These data represent the only national collection of information about all manners of death occurring during interactions between law enforcement personnel and civilians.

ARD data can be used to disseminate information to the public about the circumstances surrounding deaths that occur when police officers and sheriff's deputies are present.

6. What Deaths Should Be Reported to the ARD Program?

- All deaths resulting from any use of force by state or local law enforcement personnel (e.g., officer-involved shootings, accidental deaths caused by less-than-lethal weapons or tactics)
- Suicides that occur during the process of apprehension or welfare assistance (including those that occur before physical custody is established), as well as those that occur at short-term lockups or booking centers
- Fatal alcohol and drug overdoses that occur during the process of arrest or while in the custody of law enforcement personnel
- Accidental injuries sustained while attempting to elude law enforcement personnel or those incurred after custody has been established. Deaths resulting from vehicular accidents that take place during law enforcement pursuits of an individual are included in the ARD program. For example, if law enforcement personnel engage in a pursuit after an attempted traffic stop and the person runs off the road and dies, this case should be reported to ARD. Law enforcement pursuit cases that result in traffic accident-related deaths should be reported to ARD regardless of whether law enforcement took direct action against the decedent or the decedent's vehicle during the process of apprehension.

• Fatal medical conditions that present during an arrest-process (e.g., cardiac arrest)

<u>**Do not**</u> include the deaths of any persons held in jails (i.e., facilities that typically hold offenders after arraignment, during adjudication, and for sentences of less than a year) or

prisons (i.e., facilities that are typically overseen by a state department of correction and house convicted or sentenced inmates for longer-term sentences). Once an arrestee is arraigned or booked into a jail, his or her death is excluded from the ARD collection. Deaths occurring in jails and prisons are captured in BJS' Jails and Prisons collection (for more information on these collections, please contact Margaret Noonan at 202-307-0765).

7. Were There Any Changes to the 2013 Forms?

The content of both the 2013 CJ-11, Arrest-Related Deaths Summary of Incidents, and the 2013 CJ-11A, Arrest-Related Death Incident Report, has changed. These changes were designed to support more efficient and consistent reporting, and include updates to response categories, elimination of some questions, and reordering of others. If you are submitting paper forms, it is very important to use the form for the correct year, as 2013 form question numbers and content will be different from other forms. Current CJ-11 and CJ-11A reporting forms can be found on the ARD website (www.bjsard.org).

8. How Do I Report Data to the ARD Program?

Record the number of arrest-related deaths on the CJ-11, Arrest-Related Deaths Summary of Incidents form. For each arrest-related death, please submit a CJ-11A, Arrest-Related Death Incident Report. More information about completing each of these forms is found below.

All forms may be downloaded in PDF-fillable and hard copy format from the ARD website (<u>www.bjsard.org</u>). The ARD program website includes materials to assist in completing the forms, such as the CJ-11A Question by Question Guide and video training tutorials.

Forms can be submitted via the website (SRCs only), fax, mail or email; but should always be transmitted in a secure manner. Transmitting this information securely protects it from disclosure to unintended recipients and from unauthorized use. The ARD Program Policies page on the ARD website has more information on submitting data securely. You may also contact your SRC or the ARD Helpdesk to discuss options for secure data transfer.

If you are a state reporting coordinator:

Data may be submitted electronically through the ARD website (<u>www.bjsard.org</u>) using data entry screens or the file transfer platform. You may also submit paper forms by mail, fax, or secure e-mail.

Please enter the combined number of arrest-related deaths that took place in your state on the CJ-11, Arrest-Related Deaths Summary of Incidents, for the given period, even if that number is zero.

For each individual death, if the local jurisdiction has not already done so, complete a CJ-11A, Arrest-Related Death Incident Report.

As an SRC, how do I submit data through the ARD website?

Data may be entered directly into the online CJ-11 and CJ-11A forms, which are available through the ARD website, <u>www.bjsard.org</u>. You may also download fillable-PDF forms from the website, and then submit the completed forms via electronic file transfer.

All access to the reporting section of the ARD website requires a secure login and password. Each SRC will receive instructions to set up a website user account. If you are having difficulty establishing or accessing your account, please call the ARD Helpdesk at (877) 262-7654 or send an e-mail to <u>ardhelpdesk@rti.org</u>.

As an SRC, how do I submit data by mail? Please mail your completed CJ-11 and CJ-11A forms to

RTI International c/o Duren Banks, ARD Program Director 3040 Cornwallis Road, P.O. Box 12194 Research Triangle Park, NC 27709-2194

As an SRC, how do I submit data by fax?

If you prefer to fax your forms, please use the dedicated ARD program fax number: (877) 262-7654. Please include a cover page that includes your name, telephone number, and state name.

As an SRC, how do I submit scanned data by e-mail?

If you prefer to scan your paper forms and send them via e-mail, you may send the images in a password-protected/encrypted email to <u>ardhelpdesk@rti.org</u>. Please note that because of the large size of these messages, this is the *least* preferred mode of submission.

If you are in law enforcement or a medical examiner's/coroner's office:

Completed CJ-11A forms should be submitted directly to your state reporting coordinator (SRC). For SRC contact information, visit the ARD website (<u>www.bjsard.org</u>) or see page 2 of the CJ-11A for a list by state. For additional assistance in contacting your SRC or submitting forms, please contact the ARD Helpdesk at (877) 262-7654 or <u>ardhelpdesk@rti.org</u>.

9. As an SRC, How Can I View My Agency's Online Forms?

The information that is submitted to the ARD Program can be viewed by SRCs through the ARD program reporting website. The secure login allows SRCs to only view information from his/her own state.

<u>CJ-11, Arrest-Related Deaths Summary of Incidents</u>: Once you have completed and submitted a CJ-11 summary form, you may return to the form by selecting the "Totals" page under the "Reports" tab. Once you select a year for the summary report, the web-

page will display the total number of arrest-related deaths submitted, the number confirmed as eligible for the ARD program, and the number not confirmed.

<u>CJ-11A</u>, <u>Arrest-Related Death Incident Report</u>: All of the death reports that a state or an agency has submitted can be found on the "Death Records" page under the "View and Edit" tab. From this page, SRCs must first select a year before running any report of CJ-11A information. Reports can then be customized by selecting a confirmation status or completion status before clicking on the "Search" button. SRCs may also view a specific record by searching on the decedent's last name. Each CJ-11A report displays and can be sorted by the decedent's name, state, date of death, and cause of death. Each death record can be viewed and edited by clicking on the corresponding "Edit Record" link.

10. <u>Is It Too Late to Submit New or Corrected Data From Previous Collection</u> <u>Years?</u>

No, it's not too late. You can submit new or corrected data from previous years and the current data collection year via electronic file transfer, mail, secure e-mail, or fax at any time. If you have any questions, please call the ARD Helpdesk at (877) 262-7654. We appreciate your efforts to ensure that the information we have is complete and accurate.

11. <u>I Have a Death to Report, But I Am Waiting on the Autopsy Report. What Should I Do?</u>

Please wait until you have an official autopsy report before completing a CJ-11A, Arrest-Related Death Incident Report. BJS prefers to have a complete report, albeit delayed, than one that is missing critical items such as the manner and cause of death.

12. <u>I Already Submitted Forms for My Agency, But Someone Contacted Me</u> <u>About My Reports. What Do They Want?</u>

After forms are submitted, they are reviewed for completeness and clarity. To ensure that your agency's information is as accurate as possible, reviewers will sometimes call or e-mail you to ask about information that is missing or ambiguous.

13. <u>I Am an SRC and My State Has No Deaths To Report. Do I Have to Fill</u> <u>Anything Out?</u>

Yes. If your state has no deaths to report at the end of a calendar year, you need to submit only the CJ-11, Arrest-Related Deaths Summary of Incidents. Completing this form will take about 5 minutes. The information collected is necessary to calculate a true count of arrest-related deaths in your state or jurisdiction.

14. How Secure Are the Data?

In accordance with BJS's authorizing statute, all information collected is held in strict confidence according to law [Section 308(d) of the Public Health Service Act (42 U.S.C. 242m(d) and the Confidential Information Protection and Statistical Efficiency Act (PL 107-347)]. All data you provide are confidential and will be handled and stored in a confidential manner. We have developed safeguards for the transfer of electronic data. Data retrieved using paper documents will be secured at RTI for a specified amount of time.

15. Should I Report Juvenile Deaths?

Yes. If a juvenile death results from an event that occurs during an interaction with state or local law enforcement personnel, that death should be reported to the ARD program.

16. <u>Are Deaths That Occur While the Arrest Subject Was in the Custody of</u> <u>Federal Law Enforcement Personnel Included in the ARD Program?</u>

Deaths that result from an event occurring during an interaction with officers from federal law enforcement agencies (e.g., FBI, DEA, Marshals Service) are reportable to the ARD program only if personnel from a state or local law enforcement agency were also interacting with the decedent during the event causing the death.

The ARD program was developed to measure the number of deaths that result from an event occurring during an interaction with state and local law enforcement personnel. Therefore, arrest-related deaths occurring <u>outside</u> of an interaction with state or local law enforcement personnel are excluded from the ARD program.

If you are unsure whether a death is reportable to the ARD program, please contact the ARD Helpdesk (1-877-475-7039; <u>ardhelpdesk@rti.org</u>).

17. Will the Information I Report Be Made Public?

BJS disseminates ARD program data as summary statistics aggregated to national and state levels. No case-level or agency-specific information will be released by BJS. Public disclosure of that information would violate both the confidentiality agreement BJS has in place for this collection and its stated use, which is solely for statistical purposes. (See details in footnotes 1 and 2 to Question 1.) However, BJS has no legal basis to prohibit the distribution of information at the state level. Therefore, SRCs are advised to follow state-specific statues in determining whether to release ARD data. BJS can make assurances only that personally identifiable information will be withheld from public dissemination at the national level. Therefore, SRCs should not tell state and local law enforcement agencies that data will be kept confidential if their state statues do not support this declaration.

18. Who Is RTI?

RTI International is a nonprofit research institute that works as the data collection agent for BJS' ARD program. RTI has been helping BJS with this program since 2011. For more information about RTI, visit <u>www.rti.org</u>.



Arrest-Related Deaths (ARD) Program

The ARD program provides data essential for understanding deaths that occur during the process of arrest by state or local law enforcement personnel. The scope of the ARD program is broad and includes all deaths that occur anytime a person's freedom to leave is restricted by law enforcement personnel, regardless of whether an arrest was made prior to death. It is important to note that ARD data are not used for investigative purposes at the agency or officer level.

Why Is Collecting ARD Data Important?

- To better understand the nature of arrest-related deaths. The ARD program is the only national collection of information about all manners of death that occur during an interaction between civilians and law enforcement personnel.
- To increase awareness. The ARD program quantifies and disseminates information on the causes and circumstances of arrest-related deaths in order to better inform the public and criminal justice community.



How Is an Arrest-Related Death Reported?

What Is an Arrest-Related Death?

A death is defined as "arrest-related" when the **event causing the death** (e.g., gunshot wound, self-inflicted injury, cardiac arrest, fall from a height, drowning, etc.) occurs during an interaction with state or local law enforcement personnel. An "arrest-related" death can occur prior to, during, or following an arrest. For the purposes of the ARD program, reportable civilian deaths include those that occur:

- While detained for questioning or investigation (e.g., Terry stop)
- During law enforcement response to medical or mental health assistance
- During the process of apprehension, such as pursuits or standoffs
- In the custody of, or shortly after restraint by, law enforcement personnel (even if the person was not formally under arrest)
- While in transport to or from law enforcement or medical facilities
- While confined in lockups or booking centers (i.e., facilities from which arrestees are usually transferred within 72 hours and not held beyond arraignment)

What Types of Deaths Should Be Reported to the ARD Program?

- All deaths resulting from any use of force by state or local law enforcement personnel
- Suicides that occur during the process of apprehension or during welfare assistance, as well as those that occur at lockups or booking centers
- Fatal alcohol and drug overdoses that occur during the process of arrest or while in the custody of law enforcement personnel
- Accidental injuries sustained while attempting to elude law enforcement personnel or those incurred after custody has been established
- Fatal medical conditions that present during an arrest-process (e.g., cardiac arrest, asthma)

Each arrest-related death should be reported on a CJ-11A Arrest-Related Death Incident Report. An electronic version of the CJ-11A form is available on the ARD website (**www.bjsard.org**) along with instructions on how to submit completed forms. A detailed CJ-11A question-by-question guide, training resources, and FAQs are also provided on the ARD website to assist those completing an arrest-related death incident report.

Contact Us

More information on the ARD program, including technical assistance, can be found at **www.bjsard.org** or by contacting the ARD Helpdesk at **ardhelpdesk@rti.org** or **1-877-475-7039**.