

Appendix E: Data Elements for Enforcement Remedies in Federally-facilitated Exchanges (Part 156, Subpart I)

The data elements for the collections of information included in Part 156, Subpart I– Enforcement Remedies in Federally-facilitated Exchanges (FFE), are as follows:

Grounds for Imposing Civil Money Penalties (§156.805)

Section 156.805 sets forth the bases for imposing a civil monetary penalty against an issuer in response to an issuer’s actions of non-compliance. We assume the issuer is expected to acknowledge receipt of this notice by providing a written statement that includes the following issuer information:

1. Issuer name
2. Issuer address
3. Date of receipt of notice
4. Date of submission of acknowledgement
5. Type of notice received

Bases and Process for Decertification of a QHP (§156.810)

Section 156.810 states the ability of HHS to impose a decertification action against an issuer in response to an issuer's actions of non-compliance. There are two types of decertification – standard and expedited. We assume the issuer is expected to acknowledge receipt of either type of notice in the same way, by providing a written statement that includes the following issuer information:

1. Issuer name
2. Issuer address
3. Date of receipt of notice
4. Date of submission of acknowledgement
5. Type of notice received