

# Department of the Interior U.S. Fish and Wildlife Service

OMB Control No. 1018-0092 Expires:

## Federal Fish and Wildlife Permit Application Form

Return to

U.S. Fish and Wildlife Service Office of Law Enforcement

Send to the appropriate Issuing Office listed on Page 4 for the State you listed in Block B.1.c.

# Type of Activity: Designated Port Exception Permit\*

New - \$100.00

Requesting Renewal of Permit #LE-\_\_\_\_\_ - \$100.00

Requesting Change/Amendment of Permit #LE-\_\_\_\_\_ \$50.00

\*If you import/export through a Designated Port, you do not need this permit.

Go to: <a href="http://www.fws.gov/le/designated-ports.html">http://www.fws.gov/le/designated-ports.html</a> for current list of Designated Ports.

Complete all sections of this application.

See attached instruction pages for information on how to make your application complete and help avoid unnecessary delays.

Α.				AII	i appiicant	s MUS1 con	ipiete			
1. Name	of Business or Name of I	Person (La	ast, First, Mid	dle) (as sh	nown on you	r invoice and o	other shippin	g documents)		
2. Federa	l Tax Identification No. o	or Social	Security No.	3. Date	of Birth (mr	n/dd/yyyy)		4. Descriptio	n of Business (if appli	cable)
5.a. Princ	cipal Officer Last Name			5.b. Prin	cipal Office	First Name	5.c.	Principal Of	ficer Middle Name	5.d. Suffix
6. Princij	oal Officer Title					7. Primar	y Contact Na	nme		
8.a. Telej	phone Number	8.b. Alte	rnate Telepho	ne Numbe	er 8.c. Fa	x Number		8.d. E-ma	il Address	
В.						s MUST com		201.1		
	ress (No P.O. Boxes, Reta	ul Postal	or Mail Servic	e Center)	Normally v	vhere you will	receive all o	fficial notices		
1.b. City			1.c. State		1.d. Zip cod	e/Postal Code	1.e. County	y/Province	1.f. Country	(If not US)*
2 - 14-11	A 11 (:	L X7 10 4100	41 1	1 41	1		: 11 . CC .	.1	*See Page 2;	Question 1
Include n	ing Address (include ON ame of contact person.	LY II QIII	erent than abo	ove and th	is is where y	ou want to rec	eive all offic	iai notices se	nt from the Service).	
2.b. City			2.c. State		2.d. Zip cod	e/Postal Code	2.e. County	/Province	2.f. Country (	(If not US)*
									*See Page 2;	Question 1
C.				Al	l applicant	s MUST con	nplete			
1.	Attach check or money or refundable. Federal, Tri – attach documentation	bal, State	and local gov	S. FISH A ernment a	ND WILDL agencies, and	IFE SERVICE I those acting of	in the amou on behalf of s			
2.	Do you currently have on Yes If yes, list the nur No	-	-							
3.	Certification: I hereby con <b>Regulations</b> and the other application for a permit is subject me to the criminal	er <i>applica</i> is comple	<i>ble parts in su</i> te and accurat	ubchapter e to the be	B of Chapt	er I of Title 50	, and I certif	y that the info	ormation submitted in	this
	Signature (in blue ink)	of applica	nt/person resp	onsible fo	or permit (N	o photocopied	or stamped s	signatures)	Date of signature (m	m/dd/yyyy)

Please continue to next page

3-200-2 Rev. November 2013 Page 1 of 6

# DESIGNATED PORT EXCEPTION APPLICATION CONTINUATION SHEET

# SECTION D: ALL APPLICANTS MUST COMPLETE

NOTE: U.S. Persons/Businesses continue to Question 3.

<b>U.S. address</b> (not a P.O. Box, Retail Postal or Mail Service Center) <b>for foreign applicant.</b> (50 CFR 13.12 requires this information for issuance). This is the mailing address where you will receive all official notices sent from the Service. Question 2 must also be completed. <b>NOTE: No Designated Port Exception Permit will be issued without this information.</b>
Applicants residing or located outside the United States. The name, physical address (no P.O. Box, Retail Postal or Mail Service Center address) telephone and fax numbers of your agent that is located in the United States who will maintain your records for five (5) years from the expiration of the permit. If the location is the same as Question 1, then indicate "same as Question 1". (50 CFR 13.12 and 13.46 requires this information for issuance). NOTE: No Designated Port Exception Permit will be issued without this information.
 Certification of U.S. Agent:  I hereby agree to be U.S. Agent for
(Print name of Person or Business listed in Block A on Page 1 of this application)
Acting in the capacity of U.S. Agent includes maintaining complete and accurate records of any taking, possession, transportation,
sale, purchase, barter, exportation or importation of wildlife for the above mentioned entity for a period of five (5) years from the
date of the expiration of this Designate Port Exception Permit. Such records shall be kept current and shall include names and
addresses of persons with whom any wildlife has been purchased, sold, bartered, or otherwise transferred, and the date of such
transaction, and other information as may be required or appropriate. Such records shall be legibly written or reproducible in English. The name, address and telephone number listed above in #2 is complete and accurate and is not a retail postal or mail
service center.
Printed Name of Responsible Person from #2 Above
Signature Date

NOTE: You must notify the U.S. Fish and Wildlife Service immediately of any change in U.S. Agent

Please continue to next page

3-200-2 Rev. November 2013 Page 2 of 6

(check all that app	ly):	ice for of Emay you	wish to import/export your goods through
Blaine, Washing	eton Dunseitl	n, North Dakota	Port Huron, Michigan
Brownsville, Te			San Diego, California
Champlain, Nev			Sweetgrass, Montana
Denver, Colorac		oolis, Minnesota	Tampa, Florida
Detroit, Michiga	an Nogales	, Arizona	Guaynabo, Puerto Rico
Dulles, Virginia Other (list all re		a, North Dakota	Tamuning, Guam
Address one of the designated port red documentation tha	e following as the <b>primar</b> quirement. Supporting do t is attached will be consi	y reason for your requirementation should be dered a part of the ap  ): Provide a very det	our valid Import/Export License.  Juest to be allowed an exception from the e attached to this application. All supporting plication.  ailed written statement of the scientific purpose the benefits to the bona fide research project
purpose or at a design  To allevia	use of the wildlife to be ated port would result in te undue economic hard	imported or exported substantial deteriorat <b>lship</b> (50 CFR 14.33)	<ul><li>, and satisfactorily explain how import or explication or loss of wildlife.</li><li>: Provide a very detailed written statement</li></ul>
purpose or at a design  To allevia show the r	use of the wildlife to be ated port would result in te undue economic hard	imported or exported substantial deteriorat <b>lship</b> (50 CFR 14.33) een the cost of import	, and satisfactorily explain how import or explain or loss of wildlife.  : Provide a <b>very detailed written statement</b> thation or exportation at the port requested and
purpose or at a design  To allevia show the r lowest cos	tuse of the wildlife to be nated port would result in te undue economic hard monetary difference between the of importation or exportant to the transfer of the wildlife to be not the transfer of the wildlife to be not the wildlife to the wildlife to be not the wildlife to be not the wildlife to the wildlife to be not the wildlife to	imported or exported substantial deteriorat <b>Iship</b> (50 CFR 14.33) een the cost of importation at a designated	, and satisfactorily explain how import or explain or loss of wildlife.  : Provide a <b>very detailed written statement</b> thation or exportation at the port requested and
purpose or at a design  To allevia show the r lowest cos  General descripti  a. Live	tuse of the wildlife to be nated port would result in te undue economic hard monetary difference between the of importation or exportant to the transfer of the wildlife to be not the transfer of the wildlife to be not the wildlife to the wildlife to be not the wildlife to be not the wildlife to the wildlife to be not the wildlife to	imported or exported substantial deteriorat <b>Iship</b> (50 CFR 14.33) een the cost of importation at a designated life products to be im	, and satisfactorily explain how import or experion or loss of wildlife.  : Provide a <b>very detailed written statement</b> to sation or exportation at the port requested and port.  ported/exported. (Choose all that apply)
purpose or at a design  To allevia show the r lowest cos  General descripti  a. Live Products (1)	tuse of the wildlife to be lated port would result in te undue economic hard monetary difference between the of importation or exportant of the wildlife or wild dead	imported or exported substantial deteriorat <b>Iship</b> (50 CFR 14.33) een the cost of importation at a designated life products to be im	, and satisfactorily explain how import or exposion or loss of wildlife.  : Provide a <b>very detailed written statement</b> to sation or exportation at the port requested and apport.  ported/exported. (Choose all that apply)
purpose or at a design  To allevia show the r lowest cos  General descripti  a. Live Products (I Other (Design 1))  Other (Design 2)	tuse of the wildlife to be lated port would result in te undue economic hard monetary difference between the of importation or export on of the wildlife or wild Dead Describe: sport hunted	imported or exported substantial deteriorat lship (50 CFR 14.33) een the cost of importation at a designated life products to be importation, jewelry, for Reptiles	: Provide a very detailed written statement to ation or exportation at the port requested and a port.  ported/exported. (Choose all that apply)  potwear, carvings, etc.)  Amphibians
purpose or at a design  To allevia show the r lowest cos  General descripti  a. Live Products (I	tuse of the wildlife to be lated port would result in te undue economic hard monetary difference between the of importation or exportant on of the wildlife or wild Dead Describe: sport hunted scribe)	imported or exported substantial deteriorat substantial deterioration at a designated substantial substantial deterioration substantial substantial deterioration substantial substantial dete	, and satisfactorily explain how import or explain or loss of wildlife.  : Provide a very detailed written statement that it is not exportation at the port requested and port.  ported/exported. (Choose all that apply)  botwear, carvings, etc.)  Amphibians
purpose or at a design  To allevia show the r lowest cos  General descripti  a. Live Products (I Other (Design 1))  Other (Design 1)	tuse of the wildlife to be lated port would result in te undue economic hard monetary difference between the of importation or export on of the wildlife or wild Dead Describe: sport hunted scribe)  Coral	imported or exported substantial deteriorat lship (50 CFR 14.33) een the cost of importation at a designated life products to be importation, jewelry, for Reptiles	, and satisfactorily explain how import or expion or loss of wildlife.  : Provide a very detailed written statement ration or exportation at the port requested and port.  ported/exported. (Choose all that apply)  ootwear, carvings, etc.)  Amphibians

# Office of Law Enforcement Regional Permit Offices

# Region 1: Guam, Hawaii, Idaho, Oregon, & Washington

U.S. Fish & Wildlife Service Office of Law Enforcement

911 NE 11th Ave. Portland, OR 97232 Tel: (503) 231-6899 Fax: (503) 231-2193

Email: permitsR1LE@fws.gov

# Region 2: Arizona, New Mexico, Oklahoma, & Texas

U.S. Fish & Wildlife Service Office of Law Enforcement 2545 W. Frye Road

Suite 8

Chandler, AZ 85224-6273 Tel: (480) 967-2007 Fax: (480) 966-9766

Email: permitsR2LE@fws.gov

# Region 3: Illinois, Indiana, Iowa, Michigan, Minnesota, Missouri, Ohio, & Wisconsin

U.S. Fish & Wildlife Service Office of Law Enforcement 5600 American Blvd, West, Suite 990 Bloomington, MN 55437-1458

Tel: (612) 713-5356 Fax: (612) 713-5283

Email: permitsR3LE@fws.gov

# Region 4: Alabama, Arkansas, Florida, Georgia, Kentucky, Louisiana, Mississippi, North Carolina, South Carolina, Puerto Rico, & Tennessee

U.S. Fish & Wildlife Service Office of Law Enforcement 1875 Century Blvd, Suite 380

Atlanta, GA 30345 Tel: (404) 679-7195 Fax: (404) 679-7065

Email: permitsR4LE@fws.gov

# Region 5: Connecticut, District of Columbia, Delaware, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Vermont, Virginia, & West Virginia

U.S. Fish & Wildlife Service Office of Law Enforcement 70 E. Sunrise Hwy, Suite 419 Valley Stream, NY 11580 Tel: (516) 825-3950 Fax: (516) 825-3597

Email: permitsR5LE@fws.gov

# Region 6: Colorado, Kansas, Montana, Nebraska, North Dakota, South Dakota, Utah, & Wyoming

U.S. Fish & Wildlife Service Office of Law Enforcement

P.O. Box 492098

Attn: Wildlife Inspector (DIA)

Denver, CO 80249 Tel: (303) 342-7430 Fax: (303) 342-7433

Email: permitsR6LE@fws.gov

# Region 7: Alaska

U.S. Fish & Wildlife Service Office of Law Enforcement

P.O. Box 190045

Anchorage, AK 99519-0045

Tel: (907) 786-3311 Fax: (907) 786-3313

Email: permitsR7LE@fws.gov

## Region 8: California and Nevada

U.S. Fish & Wildlife Service Office of Law Enforcement 2800 Cottage Way, W-2928 Sacramento, CA 95825 Tel: (916) 414-6660

Tel: (916) 414-6660 Fax: (916) 414-6715

Email: permitsR8LE@fws.gov

3-200-2 Rev. November 2013 Page 4 of 6

## PERMIT APPLICATION FORM INSTRUCTIONS

The following instructions pertain to the form 3-200-2 that must be completed as an application for a U.S. Fish and Wildlife Service permit. The General Permit Procedures in 50 CFR 13 address the permitting process.

#### **GENERAL INSTRUCTIONS:**

- Complete all blocks/lines/questions in Sections A through D.
- An incomplete application may cause delays in processing or may be returned to the applicant. Be sure you are filling in the appropriate application form for the proposed activity.
- Print clearly or type in the information. Illegible applications may cause delays.
- Sign the application in <u>blue</u> ink. Faxes or copies of the original signature will not be accepted.
- Mail the original application to the appropriate address on the attached address list. The application is to be submitted to the issuing office address in the Region your company is located.
- Keep a copy of your completed application.
- Please plan ahead. Allow at least 60 days for your application to be processed. (50 CFR 13.11)
- Applications are processed in the order they are received.

#### **COMPLETE SECTION A:**

- Enter the complete name of the business (or person if non-commercial shipment) who will be the Permittee if a Designated Port Exception Permit is issued. If no company name exists, then enter the Name (Last, First, Middle) of the owner of the business in Block A.1. Enter personal information that identifies the applicant.
- If you are applying on behalf of a client, the personal information must pertain to the client, and a document evidencing power of attorney must be included with the application.
- If applicable, give a brief description of the type of business in which the applicant is engaged (Taxidermy, Jewelry, Apparel, etc.).
- **Doing business as (dba)**: dba's are not accepted. The name on the Designated Port Exception Permit must match the Import/Export License (if applicable), invoice and other shipping documents that accompany the shipment to or from the United States.
- **Principal Officer** is the person in charge of the listed business. The principal officer is the person responsible for the application and any permitted activities. Often the principal officer is a Director or President. This will be the same person on the company's Import/Export License (if applicable). **Primary Contact** is the person at the business who will be available to answer questions about the application or permitted activities. Often this is the preparer of the application.

#### **COMPLETE SECTION B:**

• Mailing address: This is the address where you will receive all official notices sent from the Service (ONLY if different than address listed in Block 1.a.).

## **COMPLETE SECTION C:**

## **Application processing fee:**

- An application processing fee is required at the time of application. The fee does not guarantee the issuance of a permit. Fees will not
  be refunded for applications that are approved, abandoned, or denied. We may return fees for withdrawn applications if no
  significant processing has occurred.
- Documentation of fee exempt status is not required for Federal, Tribal, State or local government agencies, but must be supplied by those applicants acting on behalf of such agencies. Those applicants acting on behalf of such agencies must submit a letter on agency letterhead and signed by the head of the unit of government, confirming that the applicant will be carrying out the permitted activity for the agency.

#### Federal Fish and Wildlife permits:

• List the number(s) of your most current Service permit or the number of the most recent permit if none are currently valid.

# **CERTIFICATION:**

• The individual identified in Section A or person with a valid power of attorney (documentation must be included in the application) must sign and date the application in blue ink. This signature binds the applicant to the statement of certification. This means that you certify that you have read and understand the regulations that apply to the permit. You also certify that everything included in the application is true to the best of your knowledge. Be sure to read the statement and re-read the application and your answers before signing the application.

## COMPLETE SECTION D:

- Question 1. Foreign Applicant ONLY: Enter the complete U.S. address. This is the address where you will receive all official notices sent from the Service. 50 CFR 13.12 requires this information for issuance.
- Question 2. Foreign Applicant ONLY: Enter the complete name, physical address, telephone and fax numbers of your agent located in the United States where your records (as defined in 50 CFR 13.46) will be maintained for five (5) years from the date of expiration of the designated port exception permit. This can be a customshouse broker, relative, etc. It cannot be a retail postal or mail service center. 50 CFR 13.12 requires this information for issuance.

Please continue to next page

3-200-2 Rev. November 2013 Page 5 of 6

#### APPLICATION FOR A FEDERAL FISH AND WILDLIFE PERMIT

Paperwork Reduction Act, Privacy Act, and Freedom of Information Act - Notices

In accordance with the Paperwork Reduction Act of 1995 (44 U.S.C. 3501, et seq.) and the Privacy Act of 1974 (5 U.S.C. 552a), please be advised:

1. The gathering of information on fish and wildlife is authorized by:

(Authorizing statutes can be found at: http://www.gpoaccess.gov/cfr/index.html and http://www.fws.gov/permits/ltr/ltr.shtml.)

- a. Bald and Golden Eagle Protection Act (16 U.S.C. 668), 50 CFR 22;
- b. Endangered Species Act of 1973 (16 U.S.C. 1531-1544), 50CFR 17;
- c. Migratory Bird Treaty Act (16 U.S.C. 703-712), 50 CFR 21;
- d. Marine Mammal Protection Act of 1972 (16 U.S.C. 1361, et. seq.), 50 CFR 18;
- e. Wild Bird Conservation Act (16 U.S.C. 4901-4916), 50 CFR 15;
- f. Lacey Act: Injurious Wildlife (18 U.S.C. 42), 50 CFR 16;
- g. Convention on International Trade in Endangered Species of Wild Fauna and Flora (TIAS 8249), http://www.cites.org/, 50 CFR 23;
- h. General Provisions, 50 CFR 10;
- i. General Permit Procedures, 50 CFR 13; and
- j. Wildlife Provisions (Import/export/transport), 50 CFR 14.
- 2. Information requested in this form is purely voluntary. However, submission of requested information is required in order to process applications for permits authorized under the above laws. Failure to provide all requested information may be sufficient cause for the U.S. Fish and Wildlife Service to deny the request. Response is not required unless a currently valid Office of Management and Budget (OMB) control number is displayed on the form.
- 3. Certain applications for permits authorized under the Endangered Species Act of 1973 (16 U.S.C. 1539) and the Marine Mammal Protection Act of 1972 (16 U.S.C. 1374) will be published in the **Federal Register** as required by the two laws.
- 4. Disclosures outside the Department of the Interior may be made without the consent of an individual under the routine uses listed below, if the disclosure is compatible with the purposes for which the record was collected. (Ref. 68 FR 52611, September 4, 2003)
  - a. Routine disclosure to subject matter experts, and Federal, tribal, State, local, and foreign agencies, for the purpose of obtaining advice relevant to making a decision on an application for a permit or when necessary to accomplish a FWS function related to this system of records.
  - b. Routine disclosure to the public as a result of publishing **Federal Register** notices announcing the receipt of permit applications for public comment or notice of the decision on a permit application.
  - c. Routine disclosure to Federal, tribal, State, local, or foreign wildlife and plant agencies for the exchange of information on permits granted or denied to assure compliance with all applicable permitting requirements.
  - d. Routine disclosure to Captive-bred Wildlife registrants under the Endangered Species Act for the exchange of authorized species, and to share information on the captive breeding of these species.
  - e. Routine disclosure to Federal, tribal, State, and local authorities who need to know who is permitted to receive and rehabilitate sick, orphaned, and injured birds under the Migratory Bird Treaty Act and the Bald and Golden Eagle Protection Act; federally permitted rehabilitators; individuals seeking a permitted rehabilitator with whom to place a bird in need of care; and licensed veterinarians who receive, treat, or diagnose sick, orphaned, and injured birds.
  - f. Routine disclosure to the Department of Justice, or a court, adjudicative, or other administrative body or to a party in litigation before a court or adjudicative or administrative body, under certain circumstances.
  - g. Routine disclosure to the appropriate Federal, tribal, State, local, or foreign governmental agency responsible for investigating, prosecuting, enforcing, or implementing statutes, rules, or licenses, when we become aware of a violation or potential violation of such statutes, rules, or licenses, or when we need to monitor activities associated with a permit or regulated use.
  - h. Routine disclosure to a congressional office in response to an inquiry to the office by the individual to whom the record pertains.
  - i. Routine disclosure to the Government Accountability Office or Congress when the information is required for the evaluation of the permit programs.
  - j. Routine disclosure to provide addresses obtained from the Internal Revenue Service to debt collection agencies for purposes of locating a debtor to collect or compromise a Federal claim against the debtor or to consumer reporting agencies to prepare a commercial credit report for use by the FWS.
- 5. For individuals, personal information such as home address and telephone number, financial data, and personal identifiers (social security number, birth date, etc.) will be removed prior to any release of the application.
- 6. The public reporting burden on the applicant for information collection varies depending on the activity for which a permit is requested. The relevant burden for a Designated Port Exception Permit application is 1.25 hours. This burden estimate includes time for reviewing instructions, gathering and maintaining data and completing and reviewing the form. Comments on this form should be mailed to the Service Information Collection Clearance Officer, U.S. Fish and Wildlife Service, MS 2042-PDM, 4401 North Fairfax Drive, Arlington, VA 22203 (mail); or INFOCOL@fws.gov (email). Please include "1018-0092" in the subject line of your comments.

#### Freedom of Information Act - Notice

For organizations, businesses, or individuals operating as a business (i.e., permittees not covered by the Privacy Act), we request that you identify any information that should be considered privileged and confidential business information to allow the Service to meet its responsibilities under FOIA. Confidential business information must be clearly marked "Business Confidential" at the top of the letter or page and each succeeding page and must be accompanied by a non-confidential summary of the confidential information. The non-confidential summary and remaining documents may be made available to the public under FOIA [43 CFR 2.13(c)(4), 43 CFR 2.15(d)(1)(i)].