

Date: September 8, 2013

MEMORANDUM FOR THE RECORD

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Item	Title		Number		
1. Project Manual	Reimbursable Surveys				
2. Reference Year			10		
3. Chapter	Juvenile Residential Facility Census		JRFC		
4. Subchapter	Imputation Procedures Documentation		1		
5. Document	2010 Juvenile Residential Facility Census (JRFC) Imputation Documentation				
6. Status	<input type="checkbox"/> Draft Version Number ____ <input checked="" type="checkbox"/> Final Version Number __1__	Page number(s): -revised _____ -added _____ -deleted _____	Date: 9/8/13		
7. Direct any questions to ==>	Author: Natasha S. Isaac		Extension: 5938		
8. Other instructions or comments:					
9. Signature of Clearance			Date:		
10. Distribution: For Office Reference Only					
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2010 JUVENILE RESIDENTIAL FACILITY
CENSUS (JRFC)
IMPUTATION DOCUMENTATION

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Introduction

The 2010 Juvenile Residential Facility Census (JRFC) questionnaire obtains information about services, staff, and persons assigned beds in juvenile residential facilities. The questionnaire has seven sections: 1) General Facility Information, 2) Mental Health Services, 3) Educational Services, 4) Substance Abuse Services, 5) Last Month, 6) The Last Year, and 7) General Information.

After the questionnaire data were collected and edited there were some facilities that either did not respond to certain questions or did not respond at all (refusals). Refusals were imputed. Respondents for whom only the critical items were obtained were run through the critical item imputation program to impute non-critical item questions in section 1 and also the imputation program to impute the other sections of the questionnaire.

This document describes the imputation procedures and documents the imputation results. The imputation procedure includes checks done prior to imputation, facility type classifications that are used to define the imputation cells, collapsing the imputation cells, critical item imputation, imputation using either 2010 Census of Juvenile Residential Placement (CJRP) data, or 2008 JRFC data.

Files Used

2010 JRFC File

Two JRFC files were received from the Criminal Justice Statistics Branch (CJSB). The first file had both respondents and partial non-respondents. There were 2519 records on this file. The second file had total non-respondents (refusals). There were 26 records on this file. The two files were merged to create the full 2010 JRFC file. The territories and tribal facilities did not go through imputation. These 31 facilities were taken out of the files prior to processing.

2010 CJRP File

The 2010 final imputed CJRP file was used for JRFC imputation. Only Section 1 data on facility information and facility persons counts were used from this file. The file was condensed to contain one record for each responding facility. There were 2,679 records on the 2010 CJRP facility file used for imputation.

2008 JRFC File

There were 2,860 records on the 2008 JRFC file used for imputation. This file is used when there is no 2010 CJRP data and for prior year JRFC data on non-critical section 1 questions.

Facility Type Definition

Single-Type Facility

Question 13 in Section 1 was used to determine the facility type. The respondent was to mark all that applied from the ten choices. The ten choices were; Detention Center, Training School/Long-Term Secure Facility, Reception or Diagnostic Center, Group Home/Halfway House, Boot Camp, Residential Treatment Center, Ranch/Forestry Camp/Wilderness or Marine Program, or Farm, Runaway and Homeless Shelter, Other Type of Shelter, and Other-Specify. The respondent that marked only one facility type was classified as a single-type facility.

Multi-Type Facility

If more than one choice was selected in Question 13, then the respondent was classified as a Multi-Type Facility.

Table 1 shows the breakdown by reported facility type on the file used for JRFC imputation. The 21 records with facility type missing were the refusals. Five of the refusals which were territory or tribal were taken out prior to processing. The number in parenthesis shows the breakdown after using 2010 CJRP data, 2008 JRFC data, or information from CJSB to obtain facility type information for the 21 refusals.

There were 190 records for which only critical item data was obtained. The response in the 2010 CJRP was checked for Section 1, Questions 10a, 10b, 11 and 12. If there were any responses to these questions, that data were carried forward. Then the response box in Section 1 of the 2008 JRFC was checked, and if available the response was carried forward. For records that did not have any prior year data that could be carried forward, the responses were set to "9" - Don't Know.

Table 1 Frequency of Facility Type

<u>Facility Type</u>	<u>Frequency</u>
Detention Center	597 (600)
Training School/long-term secure facility	109 (109)
Reception or diagnostic center	24 (24)
Group home/Halfway House	538 (550)
Boot Camp	6 (7)
Residential Treatment Center	652 (654)
Ranch/forestry camp/wilderness or marine program/or farm	48 (48)
Runaway and homeless shelter	46 (46)
Other type of shelter	66 (66)
Other Single-type Facility	23 (23)
Multi-type facility	384 (387)
Facility type Missing – Refusal	21 (0)

File Preparation

Non-response Follow-up

In non-response follow-up interviewing, the Governments Division (GOVS) attempted to get as much data as possible about the facility. At a minimum, they asked for a response to the following questions:

- S1Q5a and b - One day count of persons assigned beds?
- S1Q6 - How many persons were age 21 or older?
- S1Q7a and b- How many young persons under age 21?
- S1Q8a and b - How many young persons under age 21 were delinquent offenders?
- S1Q9a and b - How many young persons under age 21 were non-delinquent offender?
- S1Q13 - What type of residential facility is it?
- S1Q17a - Is this facility operated by a private non-profit or for-profit agency or a government agency?
- S1Q22 - What is the total number of standard beds?
- S1Q23a and b - What is the number of makeshift beds in use?
- S5Q2a - Any young persons transported to a hospital emergency room by facility staff, transportation staff or by an ambulance?
- S5Q2b- Reason(s) why transported to a hospital emergency room.
- S6Q1- How many persons died while at facility?
- S6Q2- How many young persons died while assigned beds at facility?

S6Q3 - If persons died while at facility; cause of death, location of death, age at death, sex, race, date of admission to facility and date of death for each person.

Attachment 1 shows the response rate for each variable that needed imputation.

Defining Imputation Cells/Calculations

Imputation Cells Defined

The respondent and non-respondent records were sorted by state and facility type. For each cell that had non-respondents there had to be at least 15 respondents and a 75% response rate available for imputation. Otherwise, the cell has to be collapsed with another cell.

Collapsing procedures

If there were not fifteen respondents and a 75% response rate then the cell was collapsed with other cells to attempt to get fifteen respondents and a 75% response rate. A cell was collapsed with cells that contain the same facility type and were located in a state in the same Census division. In some situations it might have been necessary to collapse the whole Census division. If there were still not enough respondents and an adequate response rate after collapsing the whole Census division, then that Census division would be collapsed with a state in the same Census region. In some situations it might have been necessary to collapse the whole Census region into one imputation cell. If there were still not enough respondents after collapsing the whole Census region and another Census region then the imputation cell would consist of all facilities of that type in the U.S.

Attachment 2 shows the breakdown by imputation cell, of respondents and non-respondents. Only the imputation cells that contain non-respondents are shown.

Calculations (Cell Mean Growth Rates/Cell Median/ Cell Mean)

Once the cells were defined, the growth rate, cell median and cell mean were calculated. If reported CJRP data were available, the 2010 CJRP growth rate was used in the imputation process. If reported 2010 CJRP data were not available, then the 2008 JRFC was used in the imputation process. If no prior year data were available, the cell median or cell mean was used in the imputation process. Attachment 3, Table 1 shows for each imputation group the cell median for each variable. Attachment 3, Table 2 shows for each imputation group the cell mean for each variable. Attachment 3, Table 3 shows for each imputation group the cell

mean growth rate for each variable.

Imputation Procedures

CJRP or JRFC Data Available and Imputation Flag Assignment

If either of the variables S1Q5b (one day count of persons assigned beds) or S1Q9b (how many young persons under age 21 were non-delinquent offender) needed imputation and had reported CJRP data or reported JRFC data, they were imputed using the reported CJRP data or the reported JRFC data. Variable S1Q6 (how many persons were age 21 or older) was set to zero per CJSB request because all 21 refusals held no adults in their facility. The remaining variables, S1Q7b (how many young person under age 21) and S1Q8b (how many young persons under age 21 were delinquent offenders), were derived. S1Q7b is derived by calculating S1Q5b minus S1Q6, and S1Q8b is derived by S1Q7b minus S1Q9b.

The variable S1Q22 (number of standard beds) was imputed using the imputed value of S1Q5b and the cell mean ratio of number of persons assigned beds to number of standard beds. This variable has an imputation flag of 2.

CJRP and JRFC Data Not Available and Imputation Flag Assignment

If either of the variables S1Q5b or S1Q9b still needed imputing but there were no reported CJRP data and no reported JRFC data, the variable was imputed using the minimum of the cell mean or the cell median. Variable S1Q6 was set to 0, per CJSB request, and the remaining variables, S1Q7b and S1Q8b were derived. S1Q7b is derived by S1Q5b minus S1Q6 and S1Q8b is derived by S1Q7b minus S1Q9b.

The variable S1Q22 (number of standard beds) was imputed using the imputed value of S1Q5b and the cell mean ratio of number of persons assigned beds to number of standard beds. This variable has an imputation flag of 2.

There were no refusals for which prior year data were not available.

Imputation of the variable S1Q24, S1Q25, S1Q26 a-c

The imputed value for S1Q24 and S1Q25 was the most commonly reported response per imputation cell. We carried forward a prior year response if it was available. The imputation flag was set to 7.

For S1Q26a, the prior year response is carried forward if available. Otherwise, a yes/no response is randomly assigned. To randomly assign a yes/no response, the frequency counts of the 'yes' responses and 'no' responses by imputation cell are obtained. The frequency counts are used to get the percentage of responses that are 'yes'. This percentage becomes the sampling rate for assigning units that will be imputed with a 'yes' response. The units not selected using the sampling rate are imputed with a 'no' response. If the imputed response to S1Q26a was 'yes', variables S1Q26b and S1Q26c were imputed using the cell median and both received imputation flags of 5. If the imputed response to S1Q26a was 'no', variables S1Q26b and S1Q26c were imputed as missing.

Imputation Flags Assigned to These Records

After the records go through imputation, the variables that were imputed were assigned an imputation flag. The imputation flag tells how the imputed value was determined. The possible imputation flag values are listed below:

- 0 Reported data
- 2 Value derived from response to other variables
- 3 Imputed using 2010 CJRP, 2008 JRFC data times a growth rate
- 4 Imputed using cell mean
- 5 Imputed using cell median
- 6 Imputed using distribution ratio or cell mean distribution ratio
- 7 Imputed using most commonly reported response
- 8 Imputed using respondent response rate
- 9 Imputed using analyst methodology

Final File

After the imputations were completed, the yes/no variables S1Q5a, S1Q7a, S1Q8a, and S1Q9a were set. If the value of part b of these variables was greater than zero, part a was set to 1 for 'Yes'. If the value of part b was equal to zero then part a was set to 2 for 'No'.

The final file was created with only the variables from the 2010 JRFC Survey form and the imputation flag variables. The territories and tribal facilities were appended to the end of the file.

The final imputed 2010 JRFC file was given to CJSB in ASCII text format.

Attachments:

Attachment 1 shows the response rate for each variable that is imputed.

The next two attachments summarize the imputation information. Attachment 2 shows the imputation cells that contain units that require imputation. Attachment 3 shows the growth rates and ratios that were used in the imputations.

Attachment 4 shows the number of records reported and imputed by imputation method for each variable.

Attachment 5 shows the number of records reported, the number of records imputed, the percent of records imputed, the reported total, the imputed total, and the percent of the total imputed for each variable.

Attachment 1

Response rate for each variable (SIQ5B, SIQ6, SIQ7B, SIQ8B, SQ9B, SIQ22, SIQ23B, SIQ26B, SIQ26C)

Table 1:

SIQ5B: The number of persons assigned beds in the facility:

resp5	Frequency	Percent	Cumulative Frequency	Cumulative Percent
non-respondent	21	0.84	21	0.84
respondent	2493	99.16	2514	100.00

SIQ6: The number of persons 21 or older assigned a bed in this facility:

resp6	Frequency	Percent	Cumulative Frequency	Cumulative Percent
non-respondent	21	0.84	21	0.84
respondent	2493	99.16	2514	100.00

SIQ7B: Number of persons under 21 assigned a bed in this facility:

resp7	Frequency	Percent	Cumulative Frequency	Cumulative Percent
non-respondent	21	0.84	21	0.84
respondent	2493	99.16	2514	100.00

SIQ8B: Number of persons under 21 charged with or adjudicated for an offense that are assigned a bed in this facility:

resp8	Frequency	Percent	Cumulative Frequency	Cumulative Percent
non-respondent	21	0.84	21	0.84
respondent	2493	99.16	2514	100.00

SIQ9B: Number of persons under 21 that are assigned a bed for reasons other than offenses in this facility:

resp9	Frequency	Percent	Cumulative Frequency	Cumulative Percent
non-respondent	21	0.84	21	0.84
respondent	2493	99.16	2514	100.00

SIQ22: Number of standard beds in this facility:

resp 22	Frequency	Percent	Cumulative Frequency	Cumulative Percent
non-respondent	21	0.84	21	0.84
respondent	2493	99.16	2514	100.00

SIQ23B: Number of makeshift beds occupied the night of Wednesday, October 27, 2010:

resp23b	Frequency	Percent	Cumulative Frequency	Cumulative Percent
non-respondent	21	0.84	21	0.84
respondent	2493	99.16	2514	100.00

SIQ24: Number of actual occupants per sleeping room the night of, Wednesday, October 27, 2010:

resp24	Frequency	Percent	Cumulative Frequency	Cumulative Percent
non-respondent	21	0.84	21	0.84
respondent	2493	99.16	2514	100.00

SIQ26A: Participation in large muscle activity required:

resp26a	Frequency	Percent	Cumulative Frequency	Cumulative Percent
non-respondent	2	0.08	2	0.08
respondent	2512	99.92	2514	100.00

*Note- Only 2 non-respondents did not have prior year data, requiring them to go through imputation.

SIQ26B: Number of minutes per day young persons are required to participate in muscle activity at a location either inside or outside this facility:

resp26b	Frequency	Percent	Cumulative Frequency	Cumulative Percent
non-respondent	21	0.84	21	0.84
respondent	2493	99.16	2514	100.00

SIQ26C: Number of days per week young persons are required to participate in large muscle activity at a location either inside or outside this facility:

resp26c	Frequency	Percent	Cumulative Frequency	Cumulative Percent
non-respondent	21	0.84	21	0.84
respondent	2493	99.16	2514	100.00

Attachment 2

Imputation Cells

Category: Needs=Needs Imputing; Donor=Respondent

Level of Imputation=Division 1: New England

<u>Imputation Group</u>	<u>Facility</u>	<u>Category</u>	<u># of Records</u>	<u>% of Records</u>
14	Group Home/ Halfway House	donor	23	85.19
14	Group Home/ Halfway House	needs	4	14.81
-----			-----	
implevel			27	

Level of Imputation=Division 3: South Atlantic

<u>Imputation Group</u>	<u>Facility</u>	<u>Category</u>	<u># of Records</u>	<u>% of Records</u>
36	Residential Treatment Center	donor	78	97.50
36	Residential Treatment Center	needs	2	2.50
311	Multi-type Facility	donor	39	98.96
311	Multi-type Facility	needs	1	1.04
-----			-----	
implevel			120	

Level of Imputation=Division 6: East North Central

<u>Imputation Group</u>	<u>Facility</u>	<u>Category</u>	<u># of Records</u>	<u>% of Records</u>
61	Detention Center	donor	95	98.96
61	Detention Center	needs	1	1.04
-----			-----	
implevel			96	

Level of Imputation=Division 8: Moutain

<u>Imputation Group</u>	<u>Facility</u>	<u>Category</u>	<u># of Records</u>	<u>% of Records</u>
811	Multi-type Facility	donor	40	97.56
811	Multi-type Facility	needs	1	2.44
-----			-----	
implevel			41	

Level of Imputation=National

<u>Imputation Group</u>	<u>Facility</u>	<u>Category</u>	<u># of Records</u>	<u>% of Records</u>
2210	Bootcamp	donor	25	96.15
2210	Bootcamp	needs	1	3.85
3010	Group home/ Halfway House	donor	62	96.88
3010	Group home/ Halfway House	needs	2	3.13
3012	Multi-type Facility	donor	16	94.12
3012	Multi-type Facility	needs	1	5.88
3013	Group home/ Halfway House	donor	32	91.43
3013	Group home/ Halfway House	needs	3	8.57
3014	Detention Center	donor	32	94.12
3014	Detention Center	needs	2	5.88
3015	Group home/ Halfway House	donor	51	94.44
3015	Group home/ Halfway House	needs	3	5.56
----- implevel			----- 230	

Attachment 3**Table 1: Cell Median**

observation number	imputation group	median question 5b	median question 7b	median question 26b	median question 26c	median question 9b	median ratio question 22
1	14	7.0	7.0	50	5	5.0	1.00000
2	36	33.5	33.5	60	7	14.0	0.93923
3	61	24.0	24.0	60	7	1.0	0.67500
4	311	30.0	30.0	60	7	9.5	0.88889
5	811	13.0	13.0	60	7	4.0	0.79041
6	2210	11.0	11.0	45	6.5	8.0	0.88889
7	3010	6.0	6.0	120	5	4.0	1.00000
8	3012	24.5	24.5	60	7	1.0	0.57143
9	3013	6.0	6.0	30	7	5.0	0.65714
10	3014	8.0	8.0	55	7	3.5	0.58333
11	3015	8.0	8.0	60	5	4.0	0.85714

MDN(x)_b=cell median for that question number in Section 1
mdrat22 is the cell median ratio of persons to beds

Table 2: Cell Means

observation number	imputation group	mean question 5b	mean question 7b	mean question 9b	mean question 26b	mean question 26c	mean ratio question 22
1	14	7.5652	7.5652	5.6471	53.0000	5.00000	0.87506
2	36	44.8077	44.7949	22.0000	65.2703	6.59459	0.86173
3	61	34.2000	34.2000	1.0000	60.8974	6.52564	0.69109
4	311	35.4872	35.4872	11.1667	61.5000	6.50000	0.83259
5	811	35.2500	35.2500	7.0625	65.7692	6.46154	0.69268
6	2210	15.9600	15.3600	10.9500	45.0000	6.50000	0.84139
7	3010	12.7742	12.7742	4.2857	92.1429	5.42857	0.87848
8	3012	32.3750	32.3750	11.0000	53.0000	6.60000	0.63564
9	3013	7.6875	7.6875	5.0000	47.5000	6.33333	0.61421
10	3014	16.0313	16.0313	3.5000	56.6667	6.06667	0.60682
11	3015	10.6667	10.6667	6.2258	52.9545	5.36364	0.80076

MN(x)b=cell mean for that question number in section 1
mnrat22 is the cell mean ratio of persons to beds

Table 3: Cell Mean Growth Rate (2010 CJRP) and (2008 JRFC)

observation number	imputation group	Cell mean growth rate question 5b (CJRP)	Cell mean growth rate question 7b (CJRP)	Cell mean growth rate question 9b (CJRP)	Cell mean growth rate question 5b (JRFC)	Cell mean growth rate question 7b (JRFC)	Cell mean growth rate question 9b (JRFC)
1	14	0.90763	0.90763	0.93051	0.99501	0.99501	0.89991
2	36	0.94582	0.94571	0.97081	0.89496	0.89496	0.97688
3	61	0.87394	0.87394	1.00000	0.80216	0.80216	0.99158
4	311	0.95015	0.95015	0.92171	0.95517	0.95517	0.96466
5	811	0.99667	1.00902	1.02777	0.85082	0.85082	0.94502
6	2210	1.04355	1.08695	0.96974	1.17161	1.17161	0.98207
7	3010	0.93927	0.93927	0.99630	0.87473	0.87473	0.96798
8	3012	0.89737	0.89737	0.93710	0.89153	0.89153	0.94908
9	3013	0.87159	0.87159	0.99175	0.70468	0.70468	0.93929
10	3014	0.67627	0.67627	1.00000	0.82955	0.82955	1.00000
11	3015	0.93614	0.93614	0.94263	0.83944	0.83944	0.91426

cgr_(x)b=2010 CJRP cell mean growth rate for that question number in Section 1

jgr_(x)b=2008 JRFC cell mean growth rate for that question number in Section 1

Attachment 4

Number of records imputed using each imputation method

S1Q5B- Number of persons assigned a bed in this facility:

impflag5b	Frequency	Percent	Cumulative Frequency	Cumulative Percent
0	2493	99.16	2493	99.16
3	21	0.84	2514	100.00

S1Q6- Number of persons 21 or older assigned a bed in this facility

impflag6	Frequency	Percent	Cumulative Frequency	Cumulative Percent
0	2493	99.16	2493	99.16
9	21	0.84	2514	100.00

S1Q7B- Number of persons under 21 assigned a bed in this facility:

impflag7b	Frequency	Percent	Cumulative Frequency	Cumulative Percent
0	2493	99.16	2493	99.16
2	21	0.84	2514	100.00

S1Q8B- Number of persons under 21 charged with or adjudicated for an offense that are assigned a bed in the facility:

impflag8b	Frequency	Percent	Cumulative Frequency	Cumulative Percent
0	2493	99.16	2493	99.16
2	21	0.84	2514	100.00

S1Q9B- Number of persons under 21 that are assigned a bed for reasons other than offenses in this facility:

impflag9b	Frequency	Percent	Cumulative Frequency	Cumulative Percent
0	2493	99.16	2493	99.16
3	21	0.84	2514	100.00

S1Q22- Number of standard beds in this facility:

impflag22	Frequency	Percent	Cumulative Frequency	Cumulative Percent
0	2493	99.16	2493	99.16
9	21	0.84	2514	100.00

S1Q23B- Number of makeshift beds occupied the night of Wednesday, October 27, 2010:

impflag23b	Frequency	Percent	Cumulative Frequency	Cumulative Percent
0	2493	99.16	2493	99.16
2	21	0.84	2514	100.00

S1Q26B- Number of minutes per day young persons are required to participate in large muscle activity at a location either inside or outside this facility:

impflag26b	Frequency	Percent	Cumulative Frequency	Cumulative Percent
0	2493	99.16	2493	99.16
5	21	0.84	2514	100.00

S1Q26C- Number of days per week young persons are required to participate in large muscle activity at a location either inside or outside this facility:

impflag26C	Frequency	Percent	Cumulative Frequency	Cumulative Percent
0	2493	99.16	2493	99.16
5	21	0.84	2514	100.00

- 0 = Reported Data
- 2 = Imputed Value Derived
- 3 = Imputed Using CJRP or Prior Year JRFC data with cell mean growth rate applied
- 4 = Imputed Using the Cell Mean
- 5 = Imputed Using the Cell Median
- 6 = Imputed Using Distribution Ratio or Cell Mean Distribution Ratio
- 7 = Imputed Using Most Commonly Reported Response (used only for questions new to the survey)
- 8 = Imputed Using Respondent Response Rate
- 9 = Imputed using analyst methodology

Attachment 5

For each variable, the number of records reported, the number of records imputed, the percent of records imputed, the reported total, the imputed total, and the percent of total imputed.

Variable	Reported	# of records Imputed	% Imputed	Reported	# of Persons Imputed	Imputed
Total persons	2493	21	0.84%	79357	531	0.66%

Variable	Reported	# of records Imputed	% Imputed	Reported	# of Persons Imputed	% Imputed
Total 21 and over	2493	21	0.84%	367	0	0.00%

Variable	Reported	# of records Imputed	% Imputed	Reported	# of Persons Imputed	% Imputed
Total under 21	2493	21	0.84%	78990	531	0.67%

Variable	Reported	# of records Imputed	% Imputed	Reported	# of Persons Imputed	% Imputed
Total under 21 offenders	2493	21	1.03%	66322	332	0.50%

Variable	Reported	# of records Imputed	% Imputed	Reported	# of Persons Imputed	% Imputed
Total under 21 non-offenders	2493	21	0.84%	12665	189	1.47%

Variable	Reported	# of records Imputed	% Imputed	Reported	# of beds Imputed	% Imputed
Total number of standard beds	2493	21	0.84%	111425	531	0.47%

Variable	Reported	# of records Imputed	% Imputed	Reported	# of beds Imputed	% Imputed
Total number of makeshift beds	2493	21	0.84%	225	0	0.00%