Department of the Treasury Departmental Offices

Application and Reports for the Direct Component and the Centers of Excellence Research Grants Program of the Gulf RESTORE Program

Supporting Statement and Request for Clearance OMB No. 1505-NEW

1. <u>Circumstances necessitating the collection of information</u>

Authorized under the Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States Act (RESTORE) (P.L. 112-141), the Department of the Treasury is implementing several provisions of the Act, more specifically the Direct Component and the Centers of Excellence Research Component. These programs require Treasury to make grants from the Gulf Coast Restoration Trust Fund to five States and certain counties and parishes impacted by the Deepwater Horizon Oil Spill. The five States are Alabama, Florida, Louisiana, Mississippi, and Texas. The counties and parishes are 23 counties in Florida and 20 parishes in Louisiana.

For the Direct Component, eligible activities include activities designed to protect or restore natural resources, create jobs, and promote tourism in the Gulf Coast Region. For the Centers of Excellence Program, eligible activities are the establishment of centers of excellence to conduct research only on the Gulf Coast Region.

2. Use of the data

The information will be used to (1) identify eligible recipients and activities; (2) determine the appropriate amount of funding; (3) ensure compliance with applicable laws; (4) track grantee progress; and (5) report on the effectiveness of the program.

3. <u>Use of information technology</u>

Applicants will complete a PDF fillable form and return the application electronically via a system chosen by Treasury. Grantees will enter progress information on spreadsheets and submit the progress reports electronically. Data from applications and reports will be stored electronically.

4. Efforts to identify duplication

The information is not known to overlap with any other data collected under previously approved information collections.

5. Impact on small businesses and entities

This collection is limited to certain States, counties, and parishes.

6. Consequences of less frequent collection and obstacles to burden reduction

Collection is at a minimum: Applications for when funds are available; quarterly reporting to assess progress.

7. Circumstances requiring special information collection

Not applicable.

8. Solicitation of comments on information collection

A notice of proposed rulemaking (NPRM) was published in the *Federal Register* on September 6, 2013, at 78 FR 54801, soliciting comments on the Gulf RESTORE Program, 31 CFR Part 34.

9. Provision of payments to recordkeepers

Not applicable.

10. Assurance of confidentiality

The Department is subject to all Federal regulations with respect to confidentiality of the information provided in this collection of information and provides no other assurances of confidentiality to respondents.

11. Justification of sensitive questions

No personal identifiable information (PII) is collected.

12. Estimated burden of information collection

Reporting	# Respondents	# Responses Per Respondent	Total annual Responses	Hours per response	Total Burden	Cost to Respondent (@ \$150 per hrs.)
Applications - Direct Component	47	2	94	10	940	\$141,000
Application - Centers of Excellence Research Grants Program	5	2	10	10	100	\$15,000
Reports - Direct Component	47	4	188	3	564	\$84,600
Reports - Centers of Excellence Research Grants Program	5	4	20	3	60	\$9,000
Total Reporting	52	6	312	5.33333333	1,664	\$249,600

Recordkeeping	# Recordkeeper S				Total Burden	Cost to Respondent (@ \$150 per hrs.)
Applications - Direct Component	47				4,700	\$705,000
Application - Centers of Excellence Research Grants Program	5				500	\$75,000
Total Recordkeeping	52	1	52	100	5,200	\$780,000
Total Burden	52	7	364	18.85714286	6,864	\$1,029,600

13. Estimated total annual cost burden to respondents

There will be no annualized capital/start-up costs for the grantees to provide this information.

14. Estimated cost to the federal government.

We have no way of estimating costs to the Federal government at this time. We will submit a revision to capture those costs either prior to or as part of our subsequent extension request.

15. Reasons for change in burden

This is a new collection.

16. Plans for tabulation, statistical analysis and publication

There are no plans for publication. The Treasury Department will conduct numerous types of analysis on these data. These analyses will include assessments of eligibility and effectiveness of program activities (e.g. types of activities, amounts funded) as well as compliance checks.

17. Reasons why displaying the OMB expiration date is inappropriate

Display of the expiration date may be confusing to respondents. Non-display of expiration date is requested.

18. Exceptions to certification requirement of OMB Form 83-I

Regarding this request for OMB approval, there are no exceptions to the certification statement in item 19 of Form 83-I.