

State Energy Program (SEP) Reporting Collection Instrument

Performance and Accountability for Grants in Energy (PAGE) System

Quarterly Performance Report – Applicable to all 56 State Energy Program grantees

Project Title (Market)	Costs (DOE)	Ready For Approval
ADMIN - ADECA Program Support & Energy Emergency and Assurance (Policy, Planning and Energy Security)	Planned: \$119,003 To Date: \$86,008 Work Complete: 75%	<input type="checkbox"/>
ADMIN - Alternative Transportation Fuels & Transportation Rideshare (includes mandatory) (Transportation)	Planned: \$64,555 To Date: \$46,656 Work Complete: 75%	<input type="checkbox"/>
ADMIN - ARRA Financing Program: RLF/LLR/IRB - AlabamaSAVES (Buildings)	Planned: \$17,360 To Date: \$12,547 Work Complete: 75%	<input type="checkbox"/>
ADMIN - Energy Education and Consumer Outreach & Energy Efficient Procurement (includes mandatory) (Energy Education)	Planned: \$75,818 To Date: \$54,796 Work Complete: 70%	<input type="checkbox"/>
ADMIN - Industrial Energy (Industry)	Planned: \$27,435 To Date: \$19,828 Work Complete: 75%	<input type="checkbox"/>
ADMIN - Renewable Energy Program (Electric Power and Renewable Energy)	Planned: \$44,796 To Date: \$32,375 Work Complete: 75%	<input type="checkbox"/>
ADMIN - State Building Energy Efficiency Program - Building Energy Codes - Local Government Energy Loan Program (includes mandatory) (Buildings)	Planned: \$125,090 To Date: \$90,407 Work Complete: 70%	<input type="checkbox"/>
Alabama Energy Plan (Policy, Planning and Energy Security)	Planned: \$0 To Date: \$0 Work Complete: 20%	<input type="checkbox"/>
Building Energy Codes Training (Buildings)	Planned: \$0 To Date: \$0 Work Complete: 75%	<input type="checkbox"/>
Energy Efficient Retrofits of Local Government Facilities (Buildings)	Planned: \$25,000 To Date: \$5,000 Work Complete: 70%	<input type="checkbox"/>

- Grant Administration
- SEP Special Projects
- WAP
- WAP Training Center
- Weatherization Innovative Pilot
- Miscellaneous Grants
- Grant Monitoring
- Help Desk

Quarterly Performance Report

OMB Control No: 1910-5126
Expiration Date: 09/30/2013

Performance Period: 04/01/2013 - 06/30/2013 Approved

Title: ADMIN - ADECA Program Support & Energy Emergency and Assurance

Market: Policy, Planning and Energy Security

Status: Active **% of Work Complete:** 75

Description: The State Energy Office (SEO) will transform energy markets by increasing awareness of energy efficiency products, services and practices, supporting programs that contribute to a reduction in U.S.

Planned Start Date: 10/1/2012 **Planned End Date:** 9/30/2013

Milestones

04/01/2013 - 06/30/2013 Approved (ADMIN - ADECA Program Support & Energy Emergency and Assurance)

Milestone(s)					
Description	Planned Amount	Previously Reported	Actual Amount This Qtr	Actual Amount Cumulative To Date	Action
Promote energy programs and energy efficiency practices through presentations and exhibits	4	6	9	15	
Review subgrantee invoices for compliance with fiscal policies	30	37	18	55	
Prepare and execute Subgrant awards	10	12	0	12	
Maintain and update web site on energy programs and information on					

Required Metrics

04/01/2013 - 06/30/2013 Approved (ADMIN - State Building Energy Efficiency Program - Building Energy Codes - Local Government Energy Loan Program (includes mandatory))

Financial Metrics				
Source Of Funds	Previously Reported	This Quarter Outlays	Total Cumulative To Date	Action
Outlays				
Recipient				
Federal	\$60,629.56	\$29,777.27	\$90,406.83	
3rd Party Contributions Category: Local Government	\$0.00	\$0.00	\$0.00	
3rd Party Contributions Category: Matching funds provided by third parties	\$0.00	\$0.00	\$0.00	
Petroleum Violation Escrow OTHER	\$0.00	\$0.00	\$0.00	
Petroleum Violation Escrow EXXON	\$0.00	\$0.00	\$0.00	
State	\$0.00	\$0.00	\$0.00	
Total Outlays :	\$60,629.56	\$29,777.27	\$90,406.83	

Process Metrics						
Metric Description	Group	Metric Item	Previously Reported	This Quarter	Cumulative To Date	Action
Building Codes and Standards						
Building code adoption	Old Building Code: IECC 2006 New Building Code: IECC 2009	New and existing buildings covered by new code (count)	0	0	0	
Loans and Grants						
Loans given		Number of loans (count)	1	0	1	
		Total monetary value of loans (\$)	\$344,306	\$0	\$344,306	
Other						
Administrative		This is an administrative activity				
Technical Assistance						

Workshops, training, and education sessions, by sector	Sectors:	Number of workshops, training, and education sessions held (count)	3	3	6
	All sectors	Number of people attending (count)	126	68	194

Qualitative Descriptions

04/01/2013 - 06/30/2013 Approved (ADMIN - State Building Energy Efficiency Program - Building Energy Codes - Local Government Energy Loan Program (includes mandatory))

Infrastructure Investment Rationale | **Is Infrastructure Investment**

Remarks (problems, issues, variance from plan)

Accomplishments, publicity, good news

Two applications for the Local Government Energy Loan Program (LGELOP) were received and reviewed. Only one of the applications was approved for funding. The approved project involves the installation of energy-efficient lighting retrofits at two schools. The other application was denied because it was not an energy improvement project.

Energy Division personnel spoke at an Energy Management Workshop on June 26, 2013 about the loan program and distributed information to five entities. A presentation on performance contracting was also given. Information regarding LGELOP is currently being revised to include conversion of fleet vehicles to utilize alternative fuels.

Performance outcome data collected

Remarks (problems, issues, variance from plan)
Accomplishments, publicity, good news The Program Manager attended an evaluated Nuclear Power Plant Exercise on June 5, 2013 and represented ESF-12.
Performance outcome data collected
Burden Statement <input type="checkbox"/>
----- Total number of unduplicated respondents: 56 Reports filed per person: 4.08 reports annually per applicant Total Annual responses: 228 average reports annually Total annual burden hours: 7740 hours Per Applicant (Per Collection): 34 hours Per Applicant (Annual total): 139 hours -----

Financial Programs Report – Applicable to 39 State Energy Program grantees running ongoing ARRA-funded financing programs

State Energy Program: FPR/default.aspx?program=sep

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Grant Search:

Grant #: EE0004122 Grantee: Alabama Department of Economic and Community Affairs Status: Active

Financial Programs Reporting

Financial Programs Reports are due no later than 30 calendar days after the end of each period. The Financial Programs Report contains information on costs, metrics, and qualitative descriptions that combined provide a comprehensive view of financial programs (i.e., revolving loan funds and loan loss reserves).

Financial Programs Reports are submitted to, and approved by DOE via this page. After a grantee enters the performance information for all financial programs, simply check the "Ready for Submission" box on each, at which time a button will be displayed to sign and submit the Financial Programs Report to DOE.

After reviewing the Financial Programs Report for each program, DOE will follow the same process to approve or reject the submitted report.

For more information about the requirements of this report, please see the Reporting Guidance document series 10-006.

Reporting Period: 04/01/2013 - 06/30/2013 Approved

Active Financial Programs

Financial Program Title (Program Administered)	Final ARRA Program Funding	Ready For Approval	Action
(1) AlabamaSAVES (Third Party)	\$25,500,000.00	<input type="checkbox"/>	<input type="button" value="Reject - Post Approval"/>

Completed Financial Programs

Financial Program Title (Program Administered)	Final ARRA Program Funding	Period Completed
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Grant Search:

Grant #: EE0004122 Grantee: Alabama Department of Economic and Community Affairs Status: Active

Financial Programs Report

OMB Control No: 1910-5126
Expiration Date: 09/30/2013

Reporting Period: 04/01/2013 - 06/30/2013 Approved

Title: (1) AlabamaSAVES

Financial Program Summary

Linked Grant: EE0004122: Alabama Department of Economic and Community Affairs

Financial Program Title: (1) AlabamaSAVES

Description: [View the full text...](#)
The State Energy Office (SEO) will coordinate with a third party administrator to provide low cost loans and loan subsidies in the form of loan loss reserves and interest rate buydowns for energy efficiency and renewable energy projects at private industrial, commercial and institutional facilities in Alabama.

Program Administered: In-House Third Party

Final ARRA Program Funding: \$25,500,000.00

Financial Program Status: Active

FINANCIAL METRICS SUMMARY (This section is pre-populated from information entered below in this report)

Program Size	
Previous Program Size	\$24,947,182.72
+ Non-Principal Income (Including Interest and Fees)	\$141,400.99

www.page.energy.gov/FPR/default.aspx?program=sep

Financial Metrics (Funds)				
Description	Previously Reported	This Period	Cumulative To Date	Action
Funds				
Non-Principal Income (Including Interest and Fees)	\$281,394.77	\$141,400.99	\$422,795.76	
Principal Repaid	\$593,360.63	\$149,108.58	\$742,469.21	
Inter-Program Transfers	\$0.00	\$0.00	\$0.00	
Total Funds	\$874,755.40	\$290,509.57	\$1,165,264.97	

Financial Metrics (Outlays)				
Description	Previously Reported	This Period	Cumulative To Date	Action
Outlays				
Administrative Outlays	\$284,637.62	\$171,580.17	\$456,217.79	
Total Loans Written Off (Pre-populated from metrics below)	\$0.00	\$0.00	\$0.00	
Total Loan Loss Reserves Written Off (Pre-populated from metrics below)	\$0.00	\$0.00	\$0.00	
Total Grants Given (Pre-populated from metrics below)	\$549,574.43	\$133,860.73	\$683,435.16	
Total Outlays	\$834,212.05	\$305,440.90	\$1,139,652.95	

Financial Metrics (Loans/Loans Supported by LLRs/Grants) (by sector)						
Metric Description	Group	Metric Item	Previously Reported	This Period	Cumulative To Date	Action
Loans						
Loans given, written off, fully repaid	Sectors: Commercial	Number of loans given (count)	6	0	6	
		Monetary value of loans given (\$)	\$4,916,705.72	\$0.00	\$4,916,705.72	
		Number of loans written off (count)	0	0	0	
		Monetary value of loans written off (\$)	\$0.00	\$0.00	\$0.00	
		Number of loans fully repaid (count)	0	0	0	
		Monetary value of loans fully repaid (\$)	\$0.00	\$0.00	\$0.00	
		Number of loans given (count)	2	1	3	

repaid	Commercial	Monetary value of loans written off (\$)	\$0.00	\$0.00	\$0.00	
		Number of loans fully repaid (count)	0	0	0	
		Monetary value of loans fully repaid (\$)	\$0.00	\$0.00	\$0.00	
Loans given, written off, fully repaid	Sectors: Industrial	Number of loans given (count)	2	1	3	
		Monetary value of loans given (\$)	\$2,389,983.49	\$3,750,000.00	\$6,139,983.49	
		Number of loans written off (count)	0	0	0	
		Monetary value of loans written off (\$)	\$0.00	\$0.00	\$0.00	
		Number of loans fully repaid (count)	0	0	0	
		Monetary value of loans fully repaid (\$)	\$0.00	\$0.00	\$0.00	
Loans Supported by LLRs						
Loans supported by LLRs given, written off, fully repaid	Sectors: Agricultural	Number of loans supported by LLRs given (count)	1	0	1	
		Monetary value of loans supported by LLRs given (\$)	\$144,900.00	\$0.00	\$144,900.00	
		Number of loans supported by LLRs written off (count)	0	0	0	
		Monetary value of loans supported by LLRs written off (\$)	\$0.00	\$0.00	\$0.00	
		Number of loans supported by LLRs fully repaid (count)	0	0	0	
		Monetary value of loans supported by LLRs fully repaid (\$)	\$0.00	\$0.00	\$0.00	
Loans supported by LLRs given, written off, fully repaid	Sectors: Commercial	Number of loans supported by LLRs given (count)	6	4	10	
		Monetary value of loans supported by LLRs given (\$)	\$1,722,525.77	\$711,065.80	\$2,433,591.57	
		Number of loans supported by LLRs written off (count)	0	0	0	
		Monetary value of loans supported by LLRs written off (\$)	\$0.00	\$0.00	\$0.00	
		Number of loans supported by LLRs fully repaid (count)	0	0	0	
		Monetary value of loans supported by LLRs fully repaid (\$)	\$0.00	\$0.00	\$0.00	
		Number of loans supported by LLRs given (count)	1	1	2	

Loans supported by LLRs given, written off, fully repaid	Sectors: Industrial	Monetary value of loans supported by LLRs written off (\$)	\$0.00	\$0.00	\$0.00
		Number of loans supported by LLRs fully repaid (count)	0	0	0
		Monetary value of loans supported by LLRs fully repaid (\$)	\$0.00	\$0.00	\$0.00
Loans supported by LLRs given, written off, fully repaid	Sectors: Institutional	Number of loans supported by LLRs given (count)	1	0	1
		Monetary value of loans supported by LLRs given (\$)	\$576,126.00	\$0.00	\$576,126.00
		Number of loans supported by LLRs written off (count)	0	0	0
		Monetary value of loans supported by LLRs written off (\$)	\$0.00	\$0.00	\$0.00
		Number of loans supported by LLRs fully repaid (count)	0	0	0
		Monetary value of loans supported by LLRs fully repaid (\$)	\$0.00	\$0.00	\$0.00
Grants (Including interest rate buy-downs, third-party insurance)					
Grants given	Sectors: Agricultural	Number of grants given (count)	2	0	2
		Monetary value of grants given (\$)	\$66,015.68	\$0.00	\$66,015.68
Grants given	Sectors: Commercial	Number of grants given (count)	7	4	11
		Monetary value of grants given (\$)	\$333,541.75	\$103,610.73	\$437,152.48
Grants given	Sectors: Industrial	Number of grants given (count)	1	1	2
		Monetary value of grants given (\$)	\$103,639.00	\$30,250.00	\$133,889.00
Grants given	Sectors: Institutional	Number of grants given (count)	1	0	1
		Monetary value of grants given (\$)	\$46,378.00	\$0.00	\$46,378.00

Financial Metrics (Leveraged Funds)				
Description	Previously Reported	This Period	Cumulative To Date	Action
Leveraged Funds				
Value of private capital	\$5,208,430.31	\$986,065.80	\$6,194,496.11	
Total Leveraged Funds	\$5,208,430.31	\$986,065.80	\$6,194,496.11	

Alternative fuel vehicles purchased	Vehicles purchased (count)	0	0	0
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Remarks:

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 The AlabamaSAVES Loan Review Committee approved three new subsidized loans (Dixie Project 2, Baldwin Transfer, and Johnston Textiles) for projects totaling \$2,291,000.00 (\$1,460,000, \$101,000, and \$730,000, respectively). One of these loans (Baldwin Transfer) and five previously approved loans (Wise Alloys, Pitts Enterprises, Long Lewis, Harrigan Lumber, and Birmingham Rail) were funded during th...

Burden Statement

Total number of unduplicated respondents: 56
 Reports filed per person: 4.08 reports annually per applicant
 Total Annual responses: 228 average reports annually
 Total annual burden hours: 7740 hours
 Per Applicant (Per Collection): 34 hours
 Per Applicant (Annual total): 139 hours

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