

**Section 3 Business
Registry Survey for
Businesses**

**U.S. Department of Housing and Urban
Development**
Office of Fair Housing and Equal Opportunity

2529-0053

Exp.
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This information is designed to collect information from eligible businesses for HUD regarding the Section 3 Business Registry pursuant to Section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and the implementing regulations at 24 CFR § 135. Public reporting burden for this collection of information is estimated to be 30 minutes per response. This agency may not collect this information, and you are not required to complete this survey, unless it displays a currently valid OMB control number. This collection does not require the retention of confidential or sensitive material.

Business Name: _____

Address: _____

City/State/Zip: _____

Sec3 Business ID #: _____

1. Which business category best describes your firm:
 - a. Brick Masonry
 - b. Carpentry
 - c. Electrical
 - d. General/Prime Contractor
 - e. HVAC
 - f. Information Technology (IT)
 - g. Janitorial
 - h. Landscaping
 - i. Lead Hazard Control
 - j. Maintenance
 - k. Painting/Drywall
 - l. Plumbing
 - m. Security
 - n. Other (describe): _____

2. Which definition of a Section 3 Business best describes your firm:
 - a. 51% or more owned by Section 3 Residents
 - b. 30% or more of current full-time employees are Section 3 Residents

- c. 25% of subcontracts awarded are provided to businesses that meet the definitions of
 - a. or b. above
- 3. How did you hear about the Section 3 Business Registry:
 - a. HUD (Website sponsored meeting, local staff)
 - b. Public Housing Authority
 - c. Unit of Local Government (i.e., City , County, State agency)
 - d. Small Business Administration (SBA)
 - e. Local Chamber of Commerce
 - f. Workforce Investment Board or other Employment Agency
 - g. Other (describe) _____
- 4. How user-friendly would you rate the Section 3 Business Registry Website, Self-Certification Application, and Database?
 - a. Excellent
 - b. Fair
 - c. Poor
 - d. Unacceptable
- 5. How many HUD-funded contracting or subcontracting opportunities were you notified about by agencies that receive HUD funding (e.g., Public Housing Agencies (PHAs), units of State and local government, etc.), developers, or contractors since you registered your business with HUD?
 - a. None
 - b. 1-4
 - c. 5-9
 - d. More than 10
- 6. How many qualified bids did you submit for HUD-funded contracts or subcontracts advertised by agencies that receive HUD funding (e.g., Public Housing Agencies (PHAs), units of State and local government, etc.), developers, or contractors since you registered your business with HUD?
 - a. None
 - b. 1-4
 - c. 5-9
 - d. More than 10

7. How many HUD-funded contracts or subcontracts did you receive from agencies that receive HUD funding (e.g., Public Housing Agencies (PHAs), units of State and local government, etc.), developers, or contractors since you registered your business with HUD?
 - a. None
 - b. 1-4
 - c. 5-9
 - d. More than 10

8. As a result of the Section 3 Business Registry, did your business:
 - a. Receive more HUD-funded contracts
 - b. Receive fewer HUD-funded contracts
 - c. Experienced no change in the amount of HUD-funded contracts awarded

9. Do you believe that you were denied contracting/subcontracting opportunities by any agencies that receive HUD funding (e.g., Public Housing Agencies (PHAs), units of State and local government, etc.), developers, or contractors since you registered your business with HUD?
 - a. Yes
 - b. No

10. If so, did you file a Section 3 complaint (form HUD 958) with the local HUD office in your jurisdiction?
 - a. Yes
 - b. No

11. Did any Section 3 residents contact you in search of employment opportunities as a result of being listed in the Section 3 Business Registry?
 - a. Yes
 - b. No

12. If so, please indicate the number:
 - a. 1-3 residents
 - b. 4-6 residents
 - c. 7-9 residents
 - d. 10 or more residents

13. Did you hire any additional Section 3 residents that contacted you as a result of the Business Registry?

- a. Yes
- b. No

14. If so, please indicate the number of Section 3 residents that you hired:

- a. 1-3
- b. 4-6
- c. 7-9
- d. 10 or more

15. In 2014, President Obama announced a new White House Initiative that will take a collaborative, multi-disciplinary approach to build ladders of opportunity and unlock the full potential of boys and young men of color—i.e., [“My Brother’s Keeper”](#). Did your firm provide any mentoring, employment, or other related opportunities to young men or boys in your community that meet the criteria for the White House’s “My Brother’s Keeper” Initiative?

- a. Yes
- b. No

16. If so, please indicate the number of young men and boys of color that you provided direct mentoring, employment, or other related opportunities to pursuant to “My Brother’s Keeper”. Briefly describe your efforts, outcomes, and any other relevant information:

- a. 1-3
- b. 4-6
- c. 7-9
- d. 10 or more

Please describe the efforts that you took: _____

17. Did you use the Section 3 Business Registry to notify prospective firms about subcontracting opportunities?
- a. Yes
 - b. No
18. If so, please indicate the number of businesses that you notified:
- a. 1-3
 - b. 4-6-
 - c. 7-9
 - d. 10 or more
19. Have you awarded any subcontracts to businesses listed in the Section 3 Business Registry since you registered your business with HUD?
- a. Yes
 - b. No
20. If so, please indicate the number of businesses in the registry that you awarded subcontracts to:
- a. 1-3
 - b. 4-6
 - c. 7-9
 - d. 10 or more
21. Did you find that any of the firms in the Section 3 Business Registry misrepresented their status?
- a. Yes
 - b. No
22. If so, please indicate the number of firms that misrepresented themselves and the steps that you took to address these findings:

23. As a result of the Section 3 Business Registry, do you believe that agencies that receive HUD funding (e.g., Public Housing Agencies (PHAs), units of State and local government, etc.) will:

- a. Be more likely to notify Section 3 Businesses about HUD-funded contracting opportunities
- b. Neither increase or decrease their efforts to notify Section 3 Businesses about HUD-funded contracting opportunities
- c. Be less likely to notify Section 3 Businesses about HUD-funded contracting opportunities

24. As a result of the Section 3 Business Registry, do you believe that developers or contractors will:

- a. Be more likely to award HUD-funded subcontracts to Section 3 Businesses
- b. Neither increase or decrease their efforts to award HUD-funded subcontracts to Section 3 Businesses
- c. Be less likely to award HUD-funded subcontracts to Section 3 Businesses

25. What suggestions do you have for improving HUD's Section 3 Business Registry?

26. The U.S. Small Business Administration (SBA) offers a number of services to help increase the capacity of Section 3 Businesses. Please indicate the types of services that you may be interested in receiving additional information and/or training on:

- a. Expanding Your Business
- b. Writing a Business Plan
- c. Loans, Grants, and Funding
- d. Surety Bonds/Tax-Exempt Bonds
- e. Licenses and Permits
- f. Federal Contracting Opportunities
- g. Other (describe)_____