Name of Company:				•	
		Employer ID No:		NFA ID No:	
	0010		0020		0030
ddress of Principal Place of Business:		Person to Contac	t Conc	erning this Report:	
	1	Toronto comun		and report	0040
	1		_	Telephone No:	
	0050	1		1	0060
		4		E-Mail Address:	
					0065
. Report for the period beginning			0070		
and ending:			0080		
ertified egular quarter pecial call by: ther Identify Check whether itial Filing mended Fi Name of FCM's Designated Self-Regulatoy Organizati	[0090]	annually O lo	0095]	
Name(s) of consolidated subsidiaries and affiliated cor	mpanies	Percentage Ownership		Line of Business	
NATITE	0110	Ownership	0120		0130
anc	0140	Ownership	0150		0160
anic	0140 0170		0150 0180		0160 0190
adiic	0140	Ownership	0150		0160
The futures commission merchant, or applicant for regis person whose signature appears below represent that, t true, correct and complete. It is understood that all requi and that the submission of any amendment represents t correct and complete as previously submitted. It is furthe facts constitute Federal Criminal Violations (see 18 U.S.	0140 0170 0200 0230 tration to the be- ired item that all user under C. 1001	herefor, submitting the st of their knowledgens, statements and sunamended items, stretood that any intention.	0150 0180 0210 0240 nis Forre, all inchedule atementional m	formation contained thes are integral parts of ts and schedules remains	0160 0190 0220 0250 and the erein is this Formain true,
The futures commission merchant, or applicant for regis berson whose signature appears below represent that, true, correct and complete. It is understood that all requi and that the submission of any amendment represents torrect and complete as previously submitted. It is furtheacts constitute Federal Criminal Violations (see 18 U.S. signed this day of	0140 0170 0200 0230 tration to the begined item that all user under C. 1001	herefor, submitting thest of their knowledgens, statements and sunamended items, storstood that any intence)	0150 0180 0210 0240 nis Forre, all inchedule atementional m	formation contained thes are integral parts of ts and schedules remains	0160 0190 0220 0250 and the erein is this Formain true,
he futures commission merchant, or applicant for regis erson whose signature appears below represent that, tue, correct and complete. It is understood that all requind that the submission of any amendment represents torrect and complete as previously submitted. It is furtheacts constitute Federal Criminal Violations (see 18 U.S. igned this day of	0140 0170 0200 0230 tration to the begined item that all user under C. 1001	herefor, submitting thest of their knowledgens, statements and sunamended items, storstood that any intence)	0150 0180 0210 0240 nis Forre, all inchedule atementional m	formation contained thes are integral parts of ts and schedules remains	0160 0190 0220 0250 and the erein is this Formain true,
The futures commission merchant, or applicant for regis person whose signature appears below represent that, true, correct and complete. It is understood that all requiand that the submission of any amendment represents to correct and complete as previously submitted. It is furthefacts constitute Federal Criminal Violations (see 18 U.S. Signed this day of	0140 0170 0200 0230 tration to the begined item that all user under C. 1001	herefor, submitting thest of their knowledgens, statements and sunamended items, storstood that any intence)	0150 0180 0210 0240 nis Forre, all inchedule atementional m	formation contained thes are integral parts of ts and schedules remains	0160 0190 0220 0250 and the erein is this Formain true,
O. Comand Bartinan	o140 o170 o200 o230 tration to the be- ired iten that all user under C. 1001	herefor, submitting thest of their knowledges, statements and submaneded items, stated that any intention, 19, 19	0150 0180 0210 0240 nis Forre, all inchedule atementional m	formation contained thes are integral parts of ts and schedules remains	0160 0190 0220 0250 and the erein is this Formain true,
The futures commission merchant, or applicant for regis person whose signature appears below represent that, t true, correct and complete. It is understood that all requi and that the submission of any amendment represents t correct and complete as previously submitted. It is furthe facts constitute Federal Criminal Violations (see 18 U.S. Signed this day of day of Type or Print Name O Chief Executive Officer O Chief	o140 o170 o200 o230 tration to the beined item that all user under C. 1001	herefor, submitting thest of their knowledges, statements and submaneded items, stated that any intention, 19, 19	0150 0180 0210 0240 nis Forre, all inchedule atementional m	formation contained thes are integral parts of ts and schedules remains	0160 0190 0220 0250 and the erein is this Formain true,
The futures commission merchant, or applicant for registers on whose signature appears below represent that, the correct and complete. It is understood that all required that the submission of any amendment represents the correct and complete as previously submitted. It is further acts constitute Federal Criminal Violations (see 18 U.S.) igned this day of lanual Signature ype or Print Name Chief Executive Officer Chief General Partner Sole	o140 o170 o200 o230 tration to the being ditem that all user under C. 1001	herefor, submitting thest of their knowledgens, statements and submitted items, statements and that any intended items, stated that any intended items, and items, an	o150 0180 0210 0240 mis Forre, all inchedule atemen tional m	formation contained thes are integral parts of ts and schedules remainsstatements or omiss	o160 0190 0220 0250 and the erein is this Form ain true, sions of

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Name of Company:		Employer ID No:	NFA ID N	
0	CFTC FORM 1-FR-F	O CM		0
s	TATEMENT OF FINANCIAL			
	AS OF 12/30/1899			
	<u>Assets</u>			
	<u>Current</u>	<u>NonCurrent</u>	<u>I</u>	<u>otal</u>
 Funds segregated or in separate accounts pursu 				
A. U.S. exchanges (page 11, line 13)	\$ 100		\$	0 100
B. Dealer options (page 12, line 2.C.)	0 101			0 101
C. Foreign exchanges (page 14, line 8)	0 102		1025	0 103
D. Cleared Swaps (page 15 line 13)	0 102	22	1027	0 103
(Do not duplicate line 1. Assets below)				
			1	
2. Cash	104	10	1045	0 10
3. Securities, at market value				
A. Firm owned	105		1060	0 10
B. Noncustomer-owned	107			0 10
C. Individual partners' securities accounts	109			0 10
D. Stock in clearing organization - cost	110	00	1105	0 11:
,				
4. Securities purchased under resale agreements	111	.5	1120	0 112
Receivables from and deposits with U.S. commo	dity clearing organizations			
A. Margins	113			0 113
B. Settlement receivable	114			0 11
C. Guarantee deposits	115			0 11
D. Net long (short) options value	115	57		0 11
Receivables from and deposits with foreign comr	modity clearing organizations			
A. Margins	116	60	1165	0 11
B. Settlement receivable	117	75		0 11
C. Guarantee deposits	118	32	1185	0 11
D. Net long (short) options value	119	91	1192	0 11
7. Receivables from registered FCMs				
A. Net liquidating equity	119	95	1200	0 12
B. Security Deposits			1210	0 12
C. Other	122	20	1225	0 12
8. Receivables from foreign commodity brokers				
A. Net liquidating equity	123	35	1240	0 12
B. Security deposits			1250	0 12
C. Other	126	-	1265	0 127

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9 Receivables from traders on U.S. commodity exchanges			
A. Customer debit and deficit accounts	1275	1280	0 1285
B. Noncustomer and proprietary accounts	1290	1295	0 1300
C. Other	1305	1310	0 1315
D. Allowance for doubtful accounts		1320	0 1325
Receivables from traders on foreign boards of trade A. Customer Debit and deficit accounts	1330	1335	0 1340
B. Noncustomer and proprietary accounts	1345	1350	0 1355
C. Other	1360	1365	0 1370
D. Allowance for doubtful accounts	1000	1375	0 1380
11. Inventories of cash commodities, raw materials, work in pro-			
A. Covered	1385	1390	0 1395
B. Not covered	1400	1405	0 1410
12. Secured Demand Notes			
(value of collateral			
\$ 1415 Safety Factor			
\$ 1420	1425	1430	0 1435
Other receivables and advances A. Merchandise accounts receivable	1440	1445	0 1450
B. Notes receivable	1440	1445	0 1465
C. Commissions and brokerage receivable	1470	1475	0 1480
D. Receivables from employees	1470	1473	0 1400
and associated persons	1485	1490	0 1495
E. Advances on cash commodities	1500	1505	0 1510
F. Dividends and interest	1515	1520	0 1525
G. Taxes receivable	1530	1535	0 1540
H. Receivable from subsidiaries and affiliates	1545	1550	0 1555
Enter Total Itemize			
I. Other (itemize on a separate page)	0 1560	0 1565	0 1570
J. Allowance for doubtful accounts	0 1300	1575	0 1580
14. Unreallized gains on forward contracts	4505	4500	0 4505
and commitments	1585	1590	0 1595
15. Exchange memberships, at cost			
(market value)			
\$ 1600		1605	0 1610
16. Investments in subsidiaries	1612	1615	0 1620
17. Plant, property, equipment and capitalized leases (cost net of accumulated depreciation and amortization of)			
s Enter Total Itemize 1625	1630	1635	0 1640
18 Prepaid expenses and deferred charges		1645	0 1650
19			
A. Other assets (itemize on a separate page)	0 1655	0 1660	0 1665
B. Retail Forex Aggregate Assets	1657	1662	0 1667
20 Total Assets	0 1670 \$	0 1675 ¢	0 1680
20 Total Assets \$	0 10/0 \$	0 10/3 \$	0 1080

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Name of Company:	Emplo	oyer ID No:	NFA ID No:	
CFTC FO	RM 1-FR-FCM	0	0	
STATEMENT OF F AS OF	12/30/1899	ION		
	Ownership Equity			
Liabilities				
21. Payables to banks				
A. Secured loans			D	2000
B. Unsecured loans C. Overdrafts				2010
C. Overdraits				2020
22. Equities in commodity accounts				
A. Customers trading on U.S. commodity exchanges				2030
B. Customers trading on foreign exchanges				2040
C. Customers' dealer options accounts				2050
D. Noncustomers' accounts				2060
E. General partners' trading accounts (not included in capital)				2070
F. Customer Trading Cleared Swaps				2072
23. Payable to U.S. commodity clearing organizations				2080
Including short option value \$	2075			
24. Payable to foreign commodity clearing organizations	1			2090
Including short option value \$	2085			
25. Payable to registered futures commission merchants				2100
23.1 dyable to registered futures commission merchants				2100
26. Payable to foreign commodity brokers				2110
27. Accounts payable, accrued expenses and other payables				
A. Accounts payable and accrued expenses				2120
B. Salaries, wages, commissions and bonuses payable C. Taxes payable				2130 2140
D. Deferred income taxes				2150
E. Security deposits held				2160
F. Advances against commodities				2170
G. Unrealized losses on forward contracts and commitments				2180
H. Due to subsidiaries and affiliates				2190
I. Notes,mortgages and other payables due within twelve months				2200
J. Obligation to Retail FX Customers				2205
	Enter Total	Itemize		
1 Other (itemize on a congrete)				2210
J. Other (itemize on a separate page)			0	2210

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28. Notes, mortgages and other payables not due within twelve mon	ths of the date of this	statement		
A. Unsecured				2220
B. Secured				2230
		,		
29. Securities sold under agreements to repurchase				2240
30. Securities sold not yet purchased at market value				2250
31. Liabilities subordinated to the claims of general creditors				
A. Subject to a satisfactory subordination agreement				2260
B. Not subject to a satisfactory subordination agreement				2270
32. Total liabilities		\$	0	2280
Ownership Equity				
33. Sole proprietorship	\$	2500		
34. Partnership or Limited Liability Company				
A. Partnership or LLC contributed and retained capital	\$	2510		
B. Additional capital per partnership agreement	Ψ			
(equities in partners' trading accounts, etc.)		2515		
C. Total	\$	0 2520		
35. Corporation		2520		
A. Preferred stock B. Common stock	\$	2530 2535		
		2535		
C. Additional paid in capital		2540		
D. Retained earnings E. Subtotal	Φ.	0 2550		
	\$	2555		
F. Less: capital stock in treasury G. Total	.(0 2560)	
G. Total	\$	0 2500		
36. Total ownership equity (line 33, 34.C, or 35.G)		\$	0	2570
37. Total liabilities and ownership equity (add lines 32 and 36)			0	2580
37. Total natinues and ownership equity (add lines 32 and 30)		\$	U	2560

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Name of Company:	Employer ID No:	NFA II	O No:
0	0	0	
STATEMENT OF THE COMPIL	CFTC FORM 1-FR-FCM	FOLUDEMENTS	
STATEMENT OF THE COMPO	TATION OF THE MINIMUM CAPITAL R AS OF 12/30/1899	EQUIREMENTS	
Net Capital			
·			
1. Current assets (page 3, line 20)		\$	0
		_	
2. Increase/(decrease) to U.S. clearing organization stock to refl	ect margin value		
3. Net current assets		\$	0
		*	
4.Total liabilities (page 5, line 32)	\$	0 3030	
	*		
5. Deductions from total liabilities			
A. Liabilities subject to satisfactory subordination			
agreements (page 5, line 31. A)	0 3040		
B. Certain deferred income tax liability			
(see regulation 1.17(c) (4) (iv))	0 3050		
C. Certain current income tax liability			
(see regulation 1.17(c) (4) (v))	0 3060		
D. Long term debt pursuant to regulation			
1.17(c)(4)(vi)	0 3070		
E. Total Deductions (add lines 5.A 5.D)	(0 3080)
F. Adjusted liabilities (subtract line 5.E from line 4)			0
6. Net capital (subtract line 5.F from line 3)		\$	0
Charges against Net Capital (see regulation 1.1)	7(c) (5))		
7. Excess of advances paid on cash commodity	Enter Total	Itamiza	
contracts over 95% of the market value	Enter Total	Itemize	
of commodities covered by such contracts			\$ 0
8. Five percent (5%) of the market value of inventories covered l			
commodity options (no charges are applicable to inventories	registered as deliverable on a		
contract market and which are covered by futures contracts)			0
9. Twenty percent (20%) of market value of uncovered inventorion	es		0
10. Ten percent (10%) of the market value of commodities unde			
and forward contracts which are covered by open futures co	ntracts or commodity options		0
11. Twenty percent (20%) of the market value of commodities un	nderlying fixed price		
commitments and forward contracts which are not covered by	by open futures contracts		
or commodity options			0

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12. Charges as specified in section 240.15c3-1(c) (2) (vi) and (vi)	vii) against securities ow	ned by the firr	m, including		
securities representing investments of domestic and foreign	customer funds:				
	Market Value	Char	ge		
A. U.S. and Canadian Government obligations	0	3160	0	3170	
B. State and Muncipal government					
obligations	0	3180	0	3190	
C. Certificates of Deposit, commercial					
paper and bankers acceptances	0	3200	0	3210	
D. Corporate obligations	0	3220	0	3230	
E. Stocks and warrants	0	3240	0	3250	
F. Other securities	0	3260	0	3270	
G. Total charges (add lines 12.A - 12.F)					0
13. Charges as specified in section 240.15c3-1(c) (2) (iv) (F)				_	
A. Against securities purchased under agreements to resell					0
B. Against securities sold under agreements to repurchase					0
44.01				_	-
14. Charges on securities options as specified in section 240.15	oc3-1 Appendix A				0
15. Undermargined commodity futures and option accounts a	amount in each account	required to			
meet maintenance margin requirements, less the amount of		•			
and the amount of any noncurrent deficit in the account					
A. Customer accounts				Г	0
B. Noncustomer accounts					0
C. Omnibus accounts					0
					-
16. Charges against open commodity positions in proprietary ac	counts				
A. Uncovered exchange-traded futures and granted option co	ontracts				
i. percentage of margin requirements applicable to such					
contracts		\$	0	3350	
ii. less: equity in proprietary accounts included in					
liabilities			0	3360	0
B. Ten percent (10%) of market value of commodities which	underlie commodity				
options not traded on a contract market carried long by the					
or registrant which has value and such value increased ad					
net capital (this charge is limited to the value attributed to				L	0
C. Commodity options which are traded on contract markets					
carried long in proprietary accounts . Charge is the same a					
be applied if the applicant or registrant was the grantor of				_	
options (this charge is limited to the value attributed to suc	ch options)				0
17. Five percent (5%) of all unsecured receivalbes from foreign	hrokers				0
17. The percent (370) of all unsecured receivables from foreign	DIORCIS				
18. Deficiency in collateral for secured demand notes					0
,					
40 Adiustos ant to aliminate la malita of samplidation	E	Inter Total	Itemize		
19. Adjustment to eliminate benefits of consolidation				г	0
(explain on a separate page)					0
20. Total charges (add lines 7 through 20)				\$	0
				Ψ	- v
Net Capital Computation					
21. Adjusted net capital (subtract line 21 from line 6)					0

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22. Net Capital required				
A. Risk Based Requirement				
i. Amount of Customer Risk				
Maintenance Margin	3515			
ii. Enter 8% of line 22.A.i		0	3525	
iii. Amount of Non-Customer Risk				
Maintenance Margin	3535			
iv. Enter 8% of line 22.A.iii		0	3545	
v. Enter the sum of 22.A.ii and 22.A.iv		0	3555	
B. Minimum DollarAmount Requirement			3565	
C. Other NFA Requirement			3575	
D. Enter the greater of lines 22.A.v, 22.B or 22.C.	'			#VALUE
23. Excess Net Capital (line 21 less line 22.C.)			\$	#VALUE!
Computation of Early Warning Level				
24. Enter the greater of 110% 22.A.v. or 150% of 22.B. or 150%	% of 22.C or \$375,000.		\$[#VALUE!
This is your early warning capital level. If the amount is greate	r than the amount on line 22, y	ou must immediately no	tify your	
DSRO and the Commission and begin filing monthly financial r	eports pursuant to section 1.12	of the regulations.		
Guaranteed Introducing Brokers				
25 List all IBs with which guarantee agreements have been enter	ered into by the FCM and whicl	n are currently in effect.		
	1			
(List additional IBs on a separate page)				

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Part	Name of Company:	Employer ID No:	NFA ID No:		
STATEMENT OF INCOME_LOSS Revenues		0	0		
FOR THE PERIOD FROM 12/20/1899 THROUGH 12/20/1899 Commissions and brokerarge					
1. Commosions and brokerage	FOR T			899	
A. Commodity transactions on U.S. commodity exchanges B. Commodity transactions of treipin commodity exchanges B. Commodity transactions D. Other brotegrape activities (describe on a separate page) 2. Firm trading accounts A. Commodity transactions B. Socurities transactions C. Other firm trading (describe on a separate page) 3. Income from advisory services 4. Commodity transactions C. Other firm trading (describe on a separate page) 3. Income from advisory services 4. Interest and dividends A. Interest around on investments of customers' funds B. Interest earned on investments of customers' funds C. Dividends 4. Interest earned on investments of customers' funds C. Dividends 5. Other innome (Itemize on a separate page) 6. Total revenue 7. Sees personnel commissions 8. Finer Total 8. Promotional costs 8. Finer Total 8. Promotional costs 8. Point processing 8. Commissions 8. Sees personnel commissions 9. Sees personnel commissions 9. Sees personnel commissions 9. Sees person					
B. Commodity transactions on firelign commodity exchanges Content of transactions Content		nodity eychanges			4000
C. Socurities transactions 0 433 2. Firm trading accounts 3-02 3. Firm trading accounts 3-04 A. Commonotity transactions 3-04 5. Socurities transactions 3-04 5. Socurities transactions 3-04 5. Socurities transactions 3-04 5. Socurities transactions 3-04 6. Interest act and dividends 3-04 6. Interest act and dividends 3-04 6. Interest act aread on investments of customers' funds 3-06 8. Interest act aread on investments other than customers' funds 3-06 8. Interest act aread on investments other than customers' funds 3-06 6. Total revenue \$ 0 413 6. Total revenue \$ 0 420 7. Sales personnel commissions \$ 420 8. Floor brokerage 421 9. Clerical and administrative employees' expenses 422 10. Commissions to other FCMs 423 11. Exchange clearance fees 426 12. Occupancy and equipment costs 426 13. Promotional costs 426 14. Communications 426 15. Data processing 428 17. Trade errors 420 17. Trade errors 420 17. Trade errors 420 18. Other expenses (femize on a separate page) 0 433 18. Interest 5-04 19. Other expenses (femize on a separate page) 0 433 20. Total expenses 5 0 434 21. Income (loss) before income taxes and items below 5 0 400 22. Provision for income taxes 420 23. Equity in earnings (losses), liess applicable tax 420 24. Extraordinary gains (losses), liess applicable tax 420 25. Extraordinary gains (losses), liess applicable tax 420 26. Not mocome (loss) 5 5 455 27. Total expenses 5 0 445 28. Extraordinary gains (losses), liess applicable tax 420 29. Extraordinary gains (losses), liess applicable tax 420 20. Total expenses 420 20. Total expenses 420 20. Total expenses 420 20. Total expenses 420 21. Extraordinary gains (losses), liess applicable tax 420 22. Foreign for the first of			Enter Total		4010
2. Firm trading accounts				2 0001130	4020
A. Commodity transactions 4056 B. Securities transactions 4056 C. Other firm trading (describe on a separate page) 4076 3. Income from advisory services 4076 4. Interest and dividends 4088 A. Interest and dividends 4088 B. Interest and on investments of customers' funds 4088 C. Dividends 4098 C. Dividends 4	D. Other brokerage activities (describe on	a separate page)			0 4030
B. Securities transactions					1010
C. Other firm trading (describe on a separate page)			Enter Total	Describe	
4. Interest and dividends A. Interest earned on investments of customers' funds B. Interest earned on investments of customers' funds C. Dividends Enter Total Itemize Enter Total Itemize 5. Other income (itemize on a separate page) 6. Total revenue \$ 0 4110 Expenses 7. Sales personnel commissions \$ 4200 8. Floor brokerage 4211 9. Clerical and administrative employees' expenses 4221 10. Commissions to other FCMs 11. Exchange clearance fees 12. Occupancy and equipment costs 13. Promotional costs 14. Communications 14. Communications 15. Data processing 16. Bad debt expense 17. Trade errors A. Customers' accounts B. Other 18. Divident 19. Other expenses (itemize on a separate page) 19. Other expenses (itemize on a separate page) 10. data Enter Total Itemize Enter Total Itemize 19. Other expenses (itemize on a separate page) 10. 4300 21. Income (loss) before income taxes and items below \$ 0 4400 22. Provision for income taxes 23. Equity in earnings (losses), less applicable tax 24. Extraordinary gains (losses), less applicable tax 25. Cumulative effect of changes in accounting principles, less applicable tax 26. Neit income (loss) \$ 0 4456 Enter Total Itemize 4426 Enter Total Itemize 4427 4428 4440 455. Neit income (loss) 456. Neit income (loss) 457 458 459 458 459 450 450 450 450 450 450 450		ate page)			
4. Interest and dividends A. Interest earned on investments of customers' funds B. Interest earned on investments of customers' funds C. Dividends Enter Total Itemize Enter Total Itemize 5. Other income (itemize on a separate page) 6. Total revenue \$ 0 4110 Expenses 7. Sales personnel commissions \$ 4200 8. Floor brokerage 4211 9. Clerical and administrative employees' expenses 4221 10. Commissions to other FCMs 11. Exchange clearance fees 12. Occupancy and equipment costs 13. Promotional costs 14. Communications 14. Communications 15. Data processing 16. Bad debt expense 17. Trade errors A. Customers' accounts B. Other 18. Divident 19. Other expenses (itemize on a separate page) 19. Other expenses (itemize on a separate page) 10. data Enter Total Itemize Enter Total Itemize 19. Other expenses (itemize on a separate page) 10. 4300 21. Income (loss) before income taxes and items below \$ 0 4400 22. Provision for income taxes 23. Equity in earnings (losses), less applicable tax 24. Extraordinary gains (losses), less applicable tax 25. Cumulative effect of changes in accounting principles, less applicable tax 26. Neit income (loss) \$ 0 4456 Enter Total Itemize 4426 Enter Total Itemize 4427 4428 4440 455. Neit income (loss) 456. Neit income (loss) 457 458 459 458 459 450 450 450 450 450 450 450	3. Income from advisory services				4070
A. Interest earned on investments of customers' funds					
B. Interest earned on investments other than customers' funds		more! funde			4090
Enter Total Itemize 5. Other income (itemize on a separate page) 6. Total revenue 8. 0 412t Expenses 8. Floor brokerage 9. Clerical and administrative employees' expenses 4221 10. Commissions to other FCMs 11. Exchange clearance fees 12. Occupancy and equipment costs 13. Promotional costs 14. 26 14. Communications 15. Data processing 16. Bad debt expense 17. Trade errors 18. Other 19. Other expenses (itemize on a separate page) 18. Interest 19. Other expenses (itemize on a separate page) 20. Total expenses 21. Income (loss) before income taxes and items below 22. Provision for income taxes 23. Equity in earnings (losses) of unconsolidated subsidiaries, less applicable tax 24. Extraordinary gains (losses) of unconsolidated subsidiaries, less applicable tax 24. Extraordinary gains (losses), less applicable tax 25. Cumulative effect of changes in accounting principles, less applicable tax 26. Net Income (loss) 9. 0 4452 26. Net Income (loss)					4090
5. Other income (itemize on a separate page) 0 4110 6. Total revenue \$ 0 4120 Expenses 7. Sales personnel commissions \$ 420 8. Floor brokerage 421 421 9. Clerical and administrative employees' expenses 422 10. Commissions to other FCMs 423 11. Exchange clearance fees 424 12. Occupancy and equipment costs 425 13. Promotional costs 426 14. Communications 427 15. Data processing 428 16. Bad debt expense 429 17. Trade errors 430 A. Customers' accounts 430 B. Other 431 18. Interest 432 19. Other expenses (itemize on a separate page) 0 433 20. Total expenses 9 0 434 21. Income (loss) before income taxes and items below \$ 0 440 22. Provision for income taxes 441 442 23. Equity in earnings (losses), less applicable tax 443 24. Extraordinary gains (losses), less applicable	C. Dividends				4100
5. Other income (itemize on a separate page) 0 4110 6. Total revenue \$ 0 4120 Expenses 7. Sales personnel commissions \$ 420 8. Floor brokerage 421 421 9. Clerical and administrative employees' expenses 422 10. Commissions to other FCMs 423 11. Exchange clearance fees 424 12. Occupancy and equipment costs 425 13. Promotional costs 426 14. Communications 427 15. Data processing 428 16. Bad debt expense 429 17. Trade errors 430 A. Customers' accounts 430 B. Other 431 18. Interest 432 19. Other expenses (itemize on a separate page) 0 433 20. Total expenses 9 0 434 21. Income (loss) before income taxes and items below \$ 0 440 22. Provision for income taxes 441 442 23. Equity in earnings (losses), less applicable tax 443 24. Extraordinary gains (losses), less applicable					
Expenses		Enter Tota	al Itemize		
Expenses 7. Sales personnel commissions \$	5. Other income (itemize on a separate page)				0 4110
7. Sales personnel commissions 4 201 8. Floor brokerage 421 9. Clerical and administrative employees' expenses 4221 10. Commissions to other FCMs 423 11. Exchange clearance fees 424 12. Occupancy and equipment costs 425 13. Promotional costs 426 14. Communications 427 15. Data processing 428 16. Bad debt expense 429 17. Trade errors 4. Customers' accounts B. Other 431 18. Interest 430 20. Total expenses (itemize on a separate page) 0 434 20. Total expenses (itemize on a separate page) 0 434 21. Income (ioss) before income taxes and items below 0 440 22. Provision for income taxes 441 23. Equity in earnings (losses) of unconsolidated subsidiaries, less applicable tax 442 24. Extraordinary gains (losses), less applicable tax 443 25. Cumulative effect of changes in accounting principles, less applicable tax 444 26. Net Income (loss) 0 445	6. Total revenue			\$	0 4120
7. Sales personnel commissions 4 201 8. Floor brokerage 421 9. Clerical and administrative employees' expenses 4221 10. Commissions to other FCMs 423 11. Exchange clearance fees 424 12. Occupancy and equipment costs 425 13. Promotional costs 426 14. Communications 427 15. Data processing 428 16. Bad debt expense 429 17. Trade errors 4. Customers' accounts B. Other 431 18. Interest 430 20. Total expenses (itemize on a separate page) 0 434 20. Total expenses (itemize on a separate page) 0 434 21. Income (ioss) before income taxes and items below 0 440 22. Provision for income taxes 441 23. Equity in earnings (losses) of unconsolidated subsidiaries, less applicable tax 442 24. Extraordinary gains (losses), less applicable tax 443 25. Cumulative effect of changes in accounting principles, less applicable tax 444 26. Net Income (loss) 0 445	Expenses				
9. Clerical and administrative employees' expenses 4221 10. Commissions to other FCMs 4231 11. Exchange clearance fees 4241 12. Occupancy and equipment costs 4251 13. Promotional costs 4261 14. Communications 4261 14. Communications 4271 15. Data processing 4281 16. Bad debt expense 4291 17. Trade errors 4. Customers' accounts 8. Other 4311 18. Interest 4321 19. Other expenses (itemize on a separate page) 7. Total 8. Itemize 8. Other 8. Other 8. Other 9. Other expenses 9. Other 9. Other expenses 9. Other 9. Other expenses 9. Other 9. Other expenses 9. Other 9. Other 9. Other expenses 9. Other 9.	-			\$	4200
10. Commissions to other FCMs 11. Exchange clearance fees 12. Occupancy and equipment costs 12. Occupancy and equipment costs 13. Promotional costs 14. Communications 14. Communications 14. 27. Tische errors 17. Trade errors 18. Other 19. Other expenses (itemize on a separate page) 19. Other expenses (itemize on a separate page) 19. Other expenses 10. Total expenses 11. Income (loss) before income taxes and items below 12. Provision for income taxes 13. Equity in earnings (losses) of unconsolidated subsidiaries, less applicable tax 14. Extraordinary gains (losses), less applicable tax 15. Net income (loss) 15. Expenses 16. Expenses 17. Trade errors 18. Azotomer's accounts and tems below 18. Azotomer's accounts	8. Floor brokerage				4210
10. Commissions to other FCMs 11. Exchange clearance fees 12. Occupancy and equipment costs 12. Occupancy and equipment costs 13. Promotional costs 14. Communications 14. Communications 14. 27. Tische errors 17. Trade errors 18. Other 19. Other expenses (itemize on a separate page) 19. Other expenses (itemize on a separate page) 19. Other expenses 10. Total expenses 11. Income (loss) before income taxes and items below 12. Provision for income taxes 13. Equity in earnings (losses) of unconsolidated subsidiaries, less applicable tax 14. Extraordinary gains (losses), less applicable tax 15. Net income (loss) 15. Expenses 16. Expenses 17. Trade errors 18. Azotomer's accounts and tems below 18. Azotomer's accounts	Clerical and administrative employees' eyne	nses			4220
11. Exchange clearance fees 424 12. Occupancy and equipment costs 425 13. Promotional costs 426 14. Communications 426 14. Communications 427 15. Data processing 428 16. Bad debt expense 429 17. Trade errors A. Customers' accounts B. Other 430 18. Interest 430 19. Other expenses (itemize on a separate page) 430 20. Total expenses (itemize on a separate page) 9 434 21. Income (loss) before income taxes and items below \$ 0 440 22. Provision for income taxes 441 23. Equity in earnings (losses), less applicable tax 442 24. Extraordinary gains (losses), less applicable tax 444 25. Cumulative effect of changes in accounting principles, less applicable tax 444 26. Net Income (loss) \$ 0 4456		11000			
12. Occupancy and equipment costs 4250 13. Promotional costs 4261 14. Communications 4270 15. Data processing 4280 16. Bad debt expense 4290 17. Trade errors 4300 A. Customers' accounts 4310 B. Other 4311 18. Interest 4320 20. Total expenses (itemize on a separate page) 0 4330 20. Total expenses \$ 0 4340 21. Income (loss) before income taxes and items below \$ 0 4400 22. Provision for income taxes 4410 23. Equity in earnings (losses) of unconsolidated subsidiaries, less applicable tax 4420 24. Extraordinary gains (losses), less applicable tax 4430 25. Cumulative effect of changes in accounting principles, less applicable tax 4440 26. Net Income (loss) \$ 0 4450	10. Commissions to other FCMs				4230
13. Promotional costs 426 14. Communications 4271 15. Data processing 4280 16. Bad debt expense 4290 17. Trade errors A. Customers' accounts B. Other 4311 18. Interest 4321 19. Other expenses (itemize on a separate page) 4331 20. Total expenses \$0 4341 21. Income (loss) before income taxes and items below \$0 4400 22. Provision for income taxes 4410 23. Equity in earnings (losses), less applicable tax 4430 24. Extraordinary gains (losses), less applicable tax 4440 25. Cumulative effect of changes in accounting principles, less applicable tax 4440 26. Net Income (loss) \$0 4456	11. Exchange clearance fees				4240
14. Communications 4270 15. Data processing 4280 16. Bad debt expense 4290 17. Trade errors 34300 A. Customers' accounts 4300 B. Other 4310 18. Interest 4320 20. Total expenses (itemize on a separate page) 0 4330 21. Income (loss) before income taxes and items below \$ 0 4400 22. Provision for income taxes 4410 23. Equity in earnings (losses) of unconsolidated subsidiaries, less applicable tax 4420 24. Extraordinary gains (losses), less applicable tax 4430 25. Cumulative effect of changes in accounting principles, less applicable tax 4430 26. Net Income (loss) \$ 0 4456	12. Occupancy and equipment costs				4250
15. Data processing 428 16. Bad debt expense 429 17. Trade errors A. Customers' accounts B. Other 431 18. Interest 432 Enter Total Itemize 19. Other expenses (itemize on a separate page) 0 433 20. Total expenses \$ 0 434 21. Income (loss) before income taxes and items below \$ 0 440 22. Provision for income taxes 441 23. Equity in earnings (losses), less applicable tax 442 24. Extraordinary gains (losses), less applicable tax 443 25. Cumulative effect of changes in accounting principles, less applicable tax 444 26. Net Income (loss) \$ 0 4456	13. Promotional costs				4260
15. Data processing 428 16. Bad debt expense 429 17. Trade errors A. Customers' accounts B. Other 431 18. Interest 432 Enter Total Itemize 19. Other expenses (itemize on a separate page) 0 433 20. Total expenses \$ 0 434 21. Income (loss) before income taxes and items below \$ 0 440 22. Provision for income taxes 441 23. Equity in earnings (losses), less applicable tax 442 24. Extraordinary gains (losses), less applicable tax 443 25. Cumulative effect of changes in accounting principles, less applicable tax 444 26. Net Income (loss) \$ 0 4456	14 Communications				4270
16. Bad debt expense 4290 17. Trade errors A. Customers' accounts B. Other 4310 18. Interest 14320 Enter Total Itemize 19. Other expenses (itemize on a separate page) 0 4340 20. Total expenses \$ 0 4340 21. Income (loss) before income taxes and items below \$ 0 4400 22. Provision for income taxes 4410 23. Equity in earnings (losses), less applicable tax 4420 24. Extraordinary gains (losses), less applicable tax 4430 25. Cumulative effect of changes in accounting principles, less applicable tax 4440 26. Net Income (loss) \$ 0 4450					
17. Trade errors A. Customers' accounts B. Other Enter Total Itemize Enter Total Itemize 19. Other expenses (itemize on a separate page) 0 4330 20. Total expenses \$ 0 4440 21. Income (loss) before income taxes and items below \$ 0 4400 22. Provision for income taxes 4410 23. Equity in earnings (losses) of unconsolidated subsidiaries, less applicable tax 4420 24. Extraordinary gains (losses), less applicable tax 4430 25. Cumulative effect of changes in accounting principles, less applicable tax 4440 26. Net Income (loss) \$ 0 4450	15. Data processing				4280
A. Customers' accounts B. Other 18. Interest Enter Total Itemize 19. Other expenses (itemize on a separate page) 0 4330 20. Total expenses \$ 0 4340 21. Income (loss) before income taxes and items below \$ 0 4400 22. Provision for income taxes 4410 23. Equity in earnings (losses) of unconsolidated subsidiaries, less applicable tax 4420 24. Extraordinary gains (losses), less applicable tax 4430 25. Cumulative effect of changes in accounting principles, less applicable tax 4440 26. Net Income (loss) \$ 0 4450	16. Bad debt expense				4290
B. Other 4310 18. Interest 4320 Enter Total Itemize 19. Other expenses (itemize on a separate page) 0 4330 20. Total expenses \$ 0 4340 21. Income (loss) before income taxes and items below \$ 0 4400 22. Provision for income taxes 4410 23. Equity in earnings (losses) of unconsolidated subsidiaries, less applicable tax 4420 24. Extraordinary gains (losses), less applicable tax 4430 25. Cumulative effect of changes in accounting principles, less applicable tax 4440 26. Net Income (loss) \$ 0 4450	17. Trade errors				
Enter Total Itemize 19. Other expenses (itemize on a separate page) 0 4330 20. Total expenses 10 4340 21. Income (loss) before income taxes and items below 22. Provision for income taxes 23. Equity in earnings (losses) of unconsolidated subsidiaries, less applicable tax 24. Extraordinary gains (losses), less applicable tax 25. Cumulative effect of changes in accounting principles, less applicable tax 26. Net Income (loss) 10 4330 4440 4440 4440					4300
Enter Total Itemize 19. Other expenses (itemize on a separate page) 20. Total expenses \$ 0 4340 21. Income (loss) before income taxes and items below \$ 0 4400 22. Provision for income taxes 4410 23. Equity in earnings (losses) of unconsolidated subsidiaries, less applicable tax 4420 24. Extraordinary gains (losses), less applicable tax 4430 25. Cumulative effect of changes in accounting principles, less applicable tax 4440 26. Net Income (loss) \$ 0 4450	B. Other				4310
19. Other expenses (itemize on a separate page) 20. Total expenses \$ 0 4340 21. Income (loss) before income taxes and items below \$ 0 4400 22. Provision for income taxes 23. Equity in earnings (losses) of unconsolidated subsidiaries, less applicable tax 24. Extraordinary gains (losses), less applicable tax 25. Cumulative effect of changes in accounting principles, less applicable tax 26. Net Income (loss) \$ 0 4430 4430 4440	18. Interest				4320
19. Other expenses (itemize on a separate page) 20. Total expenses \$ 0 4340 21. Income (loss) before income taxes and items below \$ 0 4400 22. Provision for income taxes 23. Equity in earnings (losses) of unconsolidated subsidiaries, less applicable tax 24. Extraordinary gains (losses), less applicable tax 25. Cumulative effect of changes in accounting principles, less applicable tax 26. Net Income (loss) \$ 0 4430 4430 4440					
20. Total expenses \$ 0 4340 21. Income (loss) before income taxes and items below \$ 0 4400 22. Provision for income taxes \$ 4410 23. Equity in earnings (losses) of unconsolidated subsidiaries, less applicable tax \$ 4420 24. Extraordinary gains (losses), less applicable tax \$ 4430 25. Cumulative effect of changes in accounting principles, less applicable tax \$ 4440 26. Net Income (loss) \$ 0 4450			Itemize		
21. Income (loss) before income taxes and items below \$ 0 4400 22. Provision for income taxes 23. Equity in earnings (losses) of unconsolidated subsidiaries, less applicable tax 24. Extraordinary gains (losses), less applicable tax 25. Cumulative effect of changes in accounting principles, less applicable tax 26. Net Income (loss)	19. Other expenses (itemize on a separate page	je)			0 4330
22. Provision for income taxes 23. Equity in earnings (losses) of unconsolidated subsidiaries, less applicable tax 24. Extraordinary gains (losses), less applicable tax 25. Cumulative effect of changes in accounting principles, less applicable tax 26. Net Income (loss)	20. Total expenses			\$	0 4340
22. Provision for income taxes 23. Equity in earnings (losses) of unconsolidated subsidiaries, less applicable tax 24. Extraordinary gains (losses), less applicable tax 25. Cumulative effect of changes in accounting principles, less applicable tax 26. Net Income (loss)	04 (1997)	balani			0
23. Equity in earnings (losses) of unconsolidated subsidiaries, less applicable tax 24. Extraordinary gains (losses), less applicable tax 25. Cumulative effect of changes in accounting principles, less applicable tax 26. Net Income (loss) 420 441 4420 4430 4440	21. Income (loss) before income taxes and iter	is delow		\$	
24. Extraordinary gains (losses), less applicable tax 4430 25. Cumulative effect of changes in accounting principles, less applicable tax 4440 26. Net Income (loss)	22. Provision for income taxes				4410
25. Cumulative effect of changes in accounting principles, less applicable tax 4440 26. Net Income (loss)	23. Equity in earnings (losses) of unconsolidate	ed subsidiaries, less applicable	tax		4420
25. Cumulative effect of changes in accounting principles, less applicable tax 4440 26. Net Income (loss)	24. Extraordinary gains (losses), less applicable	e tax			4430
26. Net Income (loss)					4440
· · · ·		popico, icos applicable tax			
(= 55)	(2-88)			\$	0 4450

Name of Company:	Employer ID No:		NFA II	O No:	
	CFTC FORM 1-FR-F OF CHANGES IN OW OD FROM 12/30/1899	NERSHIP EQUITY			
Total ownership equity as previously reported			Φ.		4500
			\$		1000
2. Net Income (loss) for the period				0	4510
3. Other Additions to capital (explain below)	Enter Total	Explain		0	4520
4. Dividends				,	4530
4. Dividends				()	4550
5. Other deductions from capital (including partner and proprietary withdrawals) (explain below)	Enter Total	Explain		0 01	4540
6. Balance (page 5 line 36)			\$	0	4550
		TO THE CLAIMS OF G	NT	AL CREDITORS Debt that Qualifies as Equity Capital*	
4. Total autominated home vines as provinced under one	.al		4000		4005
1. Total subordinated borrowings as previously reported	ed \$		4600	\$	4605
2. Increases (explain below)	Explain	0	4610	0	4615
Enter Total 3. Decreases (explain below)	Explain	(0)	4620	(0 ₎	4625
,		1		1	
4. Balance (page 5, line 31.A)	\$	0	4630	\$ 0	4635
* Equity Capital is defined in regulation 1.17(d). (2-88)					

Name of Company:	Employer ID No:	NFA ID No:
0 CFTC FORM 1-FR-F	O CM	0
STATEMENT OF SEGREGATION REQIREMENTS AND FUNDS IN SEGREGATION F AS OF 12/30/189	FOR CUSTOMERS TRADING ON	U.S. COMMODITY EXCHANGES
SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)		
1. Net ledger balance		
A. Cash		\$ 5000
B. Securities (at market)		5010
2. Net unrealized profit (loss) in open futures contracts traded on a contract i	market	5020
 Exchange traded options Market value of open option contracts purchased on a contract market 		5030
B. Market value of open option contracts granted (sold) on a contract market		5040
4. Net equity (deficit) (Add lines 1, 2 and 3)		\$ 0 5050
5. Accounts liquidating to a deficit and accounts with debit balances-		
gross amount	\$ 5060]
Less: amount offset against U.S. Treasury obligations owned by particular customers	γ) 5070	0 5080
particular customers) 3070	0 3000
6. Amount required to be segregated (add lines 4 and 5)		\$ 0 5090
FUNDS IN SEGREGATED ACCOUNTS		
7. Deposited in segregated funds bank accounts		
A. Cash		\$ 5100
B. Securities representing investments of customers' funds (at market)	. a a la	5110
C. Securities held for particular customers or option customers in lieu of of margins (at market)	asn	5120
8. Margins on deposit with clearing organizations of contract markets:		F120
A. Cash B. Securities representing investments of customers' funds (at market)		5130 5140
C. Securities held for particular customers or option customers in lieu of c	ash	
margins (at market)		5150
Net settlement from (to) clearing organizations of contract markets		5160
(t)		
10. Exchange traded options		
A. Value of open long option contracts B. Value of open short option contracts		5170 () 5180
Di Valde di Span Short Spiloti Schilade) 0200
11. Net equities with other FCMs		
A. Net liquidating equity B. Securities representing investments of customers' funds (at market)		5190 5200
Securities held for particular customers or option customers in lieu of customers.	ash (at market)	5210
	Enter Total Describe	
12. Segregated funds on hand (describe on separate page):	Enter Total Describe	0 5215
		5 3213
13. Total amount in segregation (add lines 7 through 12)		\$ 0 5220
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)		¢ 0 5230
27. Excess (denoted by) failus in segregation (subtract line o from line 13)		\$ 0 5230
15. Management Target Amount for Excess funds in segregation		
		5240

Name of Company:	Employer ID No:	NFA ID No:
0	0	0
	CFTC FORM 1-FR-FCM	
STATEMENT OF SEGREGATION REQIREMENTS A	ND FUNDS IN SEGREGATION FOR CUSTOMERS TRADING	G ON U.S. COMMODITY EXCHANGES
	AS OF 12/30/1899	
SEGREGATION REQUIREMENTS (Section 4	d(2) of the CEAct)	
16. Excess (deficiency) funds in segregation over	er (under) Management	
Target Amount Excess		
		0 5250
(2-88)		

Name of Company:	Employer ID No:	NFA ID I	No:			
0 0						
CF	TC FORM 1-FR-FCM					
STATEMENT OF SEGR	REGATION REQIREMENTS AND FUNDS	S				
IN SEGREGATION FOR C	USTOMERS DEALER OPTIONS ACCOL	JNTS				
	AS OF 12/30/1899					
Amount required to be segregated in accordance with	h Commission regulation 32.6	¢	5.	400		
	3,	<u> </u>				
2. Funds in segregated accounts						
, v						
a. Cash	\$ 5.	410				
b. Securities (at market)	54	420				
c. Total			0 5	430		
3. Excess (deficiency) funds in segregation (subtract line	e2.C from line 1)	\$	0 5	440		
		·Ψ				

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Name of Company: 0	Employer ID No: 0	NFA ID No: 0	
CFTC FORM 1-FR-FCM	,	l ^v	
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE A REGULATION 30.7 AS OF 12/30/1899	ACCOUNTS PURSU	ANT TO COMMISSION	
FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS			
Amount required to be set eside pursuant to law rule or regulation of the fednogion			
<u> </u>		5	5605
4. Netledon below - Farring February of Farring Oction - Tred" - 1110			
Net ledger balance - Foreign Futures and Foreign Options Trading - All Customers A. Cash		-	5615
B. Securities (at market)			5617
B. Occumies (at market)			3011
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trad	le		
2. Net unrealized profit (1033) in open futures contracts traded on a foreign board of trad		Ę	5625
2. Evabanga traded entions			
 Exchange traded options A. Market value of open option contracts purchased on a foreign board or 	f trade	- 5	5635
B. Market value of open contracts granted (sold) on a foreign board of tra		5	5637
4. Net equity (deficit) (add lines 1., 2., and 3.)			
4. Net equity (deficit) (add liftes 1., 2., and 5.)		0 5	5645
5. Accounts liquidating to a deficit and accounts with	505	7	
debit balances - gross amount	565		5054
Less: amount offset by customer owned securities	565	2 0 5	5654
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Meth	nod (add lines		
4 and 5)	iou (dud iiiics	0 5	5655
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or	line 6.		
or deficient required to be set aside parsadire to foreign jurisdiction (above) or		0 5	5660



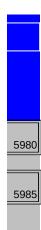
Name of Company:	Employer ID No:	NFA ID No:
0	0	0
CFTC FORM 1-FR-FC STATEMENT OF SECURED AMOUNTS AND FUNDS I FOR FOREIGN FUTURES AND OPTIC PURSUANT TO COMMISSION REG AS OF 12/30/1899	HELD IN SEPARATE ACCOU DNS CUSTOMERS	NTS
FUNDS DEPOSITED IN SEPARATE DESUITATION 20.7 ACCOUNTS		
1. Cash in Banks		
A. Banks located in the United States	5700	1
B. Other banks qualified under Regulation onter Total etail		•
30.7		
Name(s): <u>5710</u>	0 5720	0
2. Securities		
A. In safekeeping with banks located in the United States	5740]
B. In safekeeping with other banks ter Total etail		
qualified under Regulation 30.7 ter Iotal Name(s):	0 5760	I 0
<u> </u>	0 0.00	
3. Equities with registered futures commission merchants		
A. Cash B. Saguritian	5780 5790	
B. Securities C. Unrealized gain (loss) on open futures contracts	5800	-
D. Value of long option contracts	5810	
E. Value of short option contracts	() 5815	0
Amounts held by clearing organizations of foreign boards of trade		
4. Amounts field by cleaning organizations of foreign boards of frade		
Name(s): 5830 O		
A. Cash	0 5840	1
B. Securities	0 5850	
C. Amount due to (from) clearing organizations - daily variation	0 5860 0 5870	
D. Value of long option contracts E. Value of short option contracts	0 5870	
5. Amounts held by members of foreign boards of trade		
Name(s): 5890 O O		
	0 5900	1
A. Cash B. Securities	0 5910	
C. Amount due to (from) clearing organizations - daily variation	0 5920	
D. Value of long option contracts	0 5930	
E. Value of short option contracts	(0 5935	0
6 Amounts with other depositories designated by foreign	nter Total O stail	
6. Amounts with other depositories designated by foreign boards of trade Name(s):		0
boardo or addo Pramo(o).		,
7. Segregated funds on hand	nter Total O etail	
(describe:)	0
8. Total funds in separate section 30.7 accounts (to Page 13, line 2)		0
Evene (deficiency) cet Acide Fundo for Convent Amount (subtract Line 7 Convent	urad Ctatament 7575	
9. Excess (deficiency) set Aside Funds for Secured Amount (subtract Line 7 Sec 16 from Line 8)	ureu Statement page	0

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Name of Company:		Employer ID No:	NFA ID No:	
0		0	0	
	CFTC FORM 1-FR-FC	VI		
STATEMENT OF SECURED AMO	DUNTS AND FUNDS H	IELD IN SEPARATE AC	COUNTS	
FOR FOREIGN F	UTURES AND OPTIO	NS CUSTOMERS		
PURSUANT 1	O COMMISSION REG	ULATION 30.7		
	AS OF 12/30/1899			
10. Management Target Amount for Excess funds in separ	ate section 30.7 accour	nts		
11. Excess (deficiency) funds in separate 30.7 accounts ov	ver (under) Managemen	t Target		0
A. If any securities shown above are other than the types of		Commission regulation	1.25, attach a separat	.e
schedule detailing the obligations shown on each such line	١.			

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(2-88) - 16 -



(2-88) - 17 -

Name of Company: Employer ID No:				
0 CETC FORM 1 FR.	0	0		
CFTC FORM 1-FR-FCM STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) of CEA AS OF 12/30/1899				
Cleared Swaps Customer Requirements				
1. Net ledger balance				
A. Cash		\$	8500	
B. Securities (at market)		Ψ	8510	
2. Net unrealized profit (loss) in open cleared OTC derivatives			8520	
3. Cleared OTC derivatives options				
A. Market value of open cleared OTC derivatives option contracts purcha	sed		8530	
B. Market value of open cleared OTC derivatives option contracts grante			8540	
4. Net equity (deficit) (Add lines 1, 2 and 3)		\$	0 8550	
5. Accounts liquidating to a deficit and accounts with debit balances-				
gross amount	¢.	8560		
gross amount	Ъ			
Less: amount offset by customer owned securities		8570	0 8580	
6. Amount required to be sequestered for cleared OTC derivatives customer	o (add lines 4 and E)	φ.	0 8590	
6. Amount required to be sequestered for cleared OTC derivatives customer	s (aud lines 4 and 5)	<u> </u>	0 8590	
Funds in Cleared OTC Derivatives Customer Sequestered Accounts				
7. Deposited in cleared OTC derivatives customer sequestered accounts at	banks			
A. Cash		\$	8600	
 B. Securities representing investments of customers' funds (at market) C. Securities held for particular customers or option customers in lieu of c 	ach		8610	
margins (at market)	asii		8620	
8. Margins on deposit with derivatives clearing organizations in cleared OTC	derivatives customer se	questered accour	nts	
A. Cash			8630	
 B. Securities representing investments of customers' funds (at market) C. Securities held for particular customers or option customers in lieu of c 	nach		8640	
margins (at market)	,a311		8650	
9. Net settlement from (to) derivatives clearing organizations			8660	
Cleared OTC derivatives options A. Value of open cleared OTC derivatives long option contracts			8670	
B. Value of open cleared OTC derivatives short option contracts		() 8680	
			,,	
11. Net equities with other FCMs				
A. Net liquidating equity			8690	
 B. Securities representing investments of customers' funds (at market) C. Securities held for particular customers or option customers in lieu of c 	each (at market)		8700 8710	
C. Securities field for particular customers of option customers in field of the	Lasii (at iliaiket)		0710	
12. Cleared OTC derivatives customer funds on hand			0 8715	
13. Total amount in sequestration (add lines 7 through 12)		\$	0 8720	
14. Excess (deficiency) funds in sequestration (subtract line 6 from line 13)		Φ.	0 8730	
Land Land Control of the Control of		\$	0 0730	
15. Management Target Amount for Excess funds in cleared swaps segregate	ed accounts		0 8760	
16. Excess (deficiency) funds in cleared swaps customer accounts over (unde	er)		0 8770	

Name of Company:	Employer ID No:	NFA ID No:			
0	0	0			
CFTC FORM 1-FR-FCM					
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) of CEA AS OF 12/30/1899					
Cleared Swaps Customer Requirements					
Management Target Excess					
(2-88)					



Name of Company:	Employer ID No:	NFA ID No:
0	0 CFTC FORM 1-FR-FCM	U
	Exchange Supplementary	
NFA Finan	cial Requirements Section 16 Information	
	AS OF 12/30/1899	
Leverage Calculation		
a. Total Assets		0
b. Amount required to be segregatedc. Amount required to be set aside in separate sec	tion 30.7 accts	0
d. Amount required to be sequestered for cleared		0
derivatives customers		
f. US Treasury securities - Long (firm owned) g. US Government agency and government spons	arad antition	
Long(firm owned)	ored endues -	
h. Reverse Repos backed by US Treasury securiti		
US Government agency and govt sponsored en	tities(firm owned)	
i. Ownership Equity j. Subordinated Loans		0
j. Caboralitatea Estatio		
Leverage		
Depositories		
Depositories		
During the month did the firm maintain customer segre	gated funds at a depository which is an affiliate	?
During the month did the firm maintain separate 30.7 ft	ınds at a depository which is an affiliate?	
During the month did the firm maintain cleared swaps of	customer segregated funds at a depository which	ch is an affiliate?
FOMIs Customer Commented Funds Decidual Intern	and Tourist (also and area).	
FCM's Customer Segregated Funds Residual Intere	est rarget (choose one):	
a. Minimum dollar amount:		
b. Minimum percentage of customer segregated funds	required:	
c. Dollar amount range between:d. Percentage range of customer segregated funds req	uired hetween:	
FCM's Customer Secured Amount Funds Residual	Interest Target (choose one):	
a. Minimum dollar amount:		
b. Minimum percentage of customer segregated funds	required:	
c. Dollar amount range between:d. Percentage range of customer segregated funds req	uired hetween:	
u. Percentage range of customer segregated funds req	uneu between.	
FCM's Cleared Swaps Customer Collateral Residua	ll Interest Target (choose one):	
a. Minimum dollar amount:		
b. Minimum percentage of cleared swaps customer co	llateral required:	
c. Dollar amount range between:d. Percentage range of cleared swaps customer collate	eral required between:	
a. For contage range of cleared swaps customer condition	ara required between.	
Eligible Contract Participant Information		

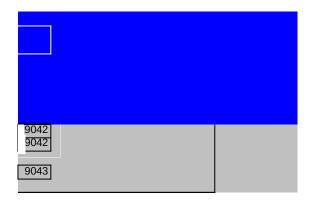
(2-88) - 12 -

Name of Company:	Employer ID No:	NFA ID No:
0	0	0
Exchange Su NFA Financial Requiremen	M 1-FR-FCM pplementary nts Section 16 Information 2/30/1899	
Did the firm act as counterparty to a forex transaction with any Eligible (Contract Participants (ECPs)?	○ Yes ○ No
If yes, indicate the number of ECPs that the firm acted as a counterpart	y to a forex transaction(s).	

(2-88) - 13 -

8800 8810 8820 8830				
8850 8860				
8870				
8880 8890				
		#DIV/0!	8900	
	0		8910	
	0000		8911	
	Ö		8921	
	○ Yes ○ No		8925 8925	
8930 8940				
8950 8960			8950 8960	
8970 8980				
8990 9000			8990 9000	
9010 9020				
9030			9031 9041	

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(2-88) - 15 -

Enter the bo	x number, reason and change amount b	elow	
Box Number	Reason	Amount	

01/28/2021

13:25:15

Other Payables Itemization (Box 2210)				
	0			
Description	Payable Amount			

FREEDOM OF INFORMATION ACT ("FOIAct") NOTICE

Certain statements and schedules in financial reports received by the Commission from futures commission merchants ("FCMs") are considered by the Commission to be a public record, copies of which may be obtained upon written request to the Commission's Freedom of Information, Privacy and Sunshine Acts compliance staff in Washington, D.C. Such financial reports include CFTC Form 1-FR-FCM and Securities and Exchange Commission Financial and Operational Combined Uniform Single Report ("FOCUS report"). Pursuant to Section 1.10(g) of the Commission's regulations, the following portions of these reports will be considered public and be made available pursuant to requests received under the FOIAct:

- (1) the Statement of Financial Condition;
- (2) the Statement of the Computation of the Minimum Capital Requirements (the schedule of guaranteed introducing brokers that is to be included with this statement in Form 1-FR-FCM will be considered public);
- (3) the Statement of Segregation Requirements and Funds in Segregation for Customers Trading on U.S. Commodity Exchanges;
- (4) the Statement of Segregation Requirements and Funds in Segregation for Customers' Dealer Options Accounts:
- (5) the Statement of Secured Amounts and Funds Held in Separate Accounts for Foreign Futures and Foreign Options Customers;
- (6) supplemental schedules that explain or provide additional details concerning any line item for which a supplemental schedule is specifically called for by the statements set forth in (1) through (5) above; and
- (7) the independent public accountant's opinion, if the report is audited.

These statements will be considered public whether filed in electronic or in hard copy form. All other statements or schedules included in form 1-FR-FCM or the FOCUS report, and notes to audited financial reports will be deemed non-public.

Generally, the Commission may disclose to third parties portions of the "nonpublic" information in the report under the following circumstances: (1) in connection with matters in litigation; (2) in connection with Commission investigations; (3) where the information is furnished to regulatory, self-regulatory and law enforcement agencies to assist them in meeting responsibilities assigned to them by law; and (4) where disclosure is required under the FOIAct.

Petitions for confidential treatment filed by an FCM with respect to any of the public information contained in the Form 1-FR-FCM or the FOCUS report will not be processed by Commission staff. However, if the applicant or registrant believes that the placing of any other information submitted on or with its report in the Commission's public files would constitute an unwarranted invasion of the applicant's or registrant's personal privacy or would reveal sensitive business information, the registrant or applicant may petition the Commission to treat such other information as nonpublic pursuant to Section 145.9 in response to requests under the Freedom of Information Act. Section 145.9 of the Commission's regulations affords the applicant or registrant the right to notice and a right to appeal any Commission staff decision to disclose non-public information pursuant to a request for information under the FOIAct.

National Futures Association Supplemental Schedule FCM

A) Capital Requirements and Restrictions

This schedule provides additional information and calculations which are required by National Futures Association but are not provided for on the Form 1-FR. All FCMs (including securities brokers/dealers) must complete Sections A & B.

		1	2	3	4
				Equity	Suspended
		Minimum Capital	Early Warning	Withdrawal	Repayment
		Requirement	Requirement	Restriction	Restriction
A. Minimum dollar amount		1,000,000	1,500,000	#VALUE!	#VALUE
B. Calculation based on branch offices					
# branch offices					
# guaranteed IBs					
# branch offices of guaranteed IBs					
=	0	0	0	0	C
C. Calculation based on associated					
persons					
# associated persons					
# associated persons of guaranteed IBs					
=	0	0	0	0	(
D. Calculation based on customer funds					
Customer funds required to be					
segregated and foreign futures/					
options secured amount, less market					
value of options up to the amount					
of funds in the customer's account		0	0	0	C
E. Forex Dealer Members ONLY					
8. Gross revenue from Forex transactions					
with retail customers					
Total net aggregate notional value of all					
open Forex transactions in retail customer					
and non-customer (not proprietary)					
accounts		0	0	0	(
10. Total aggregate retail forex assets					
[Reference CFTC Regulation 5.1(b)]	0				
11. Total amount of retail forex obligation					
[Reference CFTC Regulation 5.1(I)]	0	0			
F. Calculation based on Risk Margin		0	0	0	C
Greatest of A to F		1,000,000	1,500,000	#VALUE!	#VALUE
	+ Subordinate	ed debt maturing	g in next 6 mos.		(
		pital withdrawals			
			Total	#VALUE!	(

	2,000,000	2,000,000	# *, *LOL!	# T7 (202)
+ Subordinate	ed debt maturinç	g in next 6 mos.		0
+ Expected ca	pital withdrawals	s in next 6 mos.		
		Total	#VALUE!	0
			·	

National Futures Association Supp B) Equity Capital Ratio Equity capital must be at I			
Ownership Equity	0	Ownership Equity	0
+ Qualifying subordinated debt	0	+ Total subordinated debt	0
= Equity Capital	0	·	
		= Required Total	0
	Equity Capital/Re	equired Total: 0.0000%	

* - 5% if collateral is h	nedged but not registere	d as deliverable; 20% if unhe	edged		
Receivable Amount	Description	Market Value	Charge *	Net	NonCurrent Rece
					0
					0
					0
					0
					0
					0
					0
					0
					0
					0
					0

D) Advances Paid on Cash Commodities (Net Capital Computation Line 7) Collateral Advance Amount Description Market Value Charge Net Capital Charge 95% 0 95% 0 95% 0	National Futures Association Supplemental Schedule FCM						
Advance Amount Description Market Value Charge Net Capital Charge 95% 0 0 95% 0	\$0						
95% 0 95% 0							
95% 0	е						
3570	0						
95%	0						
3370	0						
95%	0						
95%	0						
95% 0	0						
95% 0	0						
95% 0	0						
95% 0	0						
95% 0	0						
95% 0	0						

	Ę	5% charge items	
	10	0% charge items	(
	20	0% charge items	(
	To	tal Market value	
Description	Market Value	Charge Pct	Charge Amount

			Total Charg	<mark>e:</mark>
Account Number	Maint. Margin	Equity Securities	Current Margin Call	Charge Amou

National Futures Association Supplemental Schedule FCM						
G) Proprieta		0				
	Account Number	Maint. Margin	Charge Pct	Charge Amount		