

U.S. DEPT OF COMMERCE, NOAA  
 NMFS PERMITS OFFICE, F/SER14  
 263 13th Avenue South  
 St. Petersburg, FL 33701  
 Toll Free 877/376-4877 (8:00 am - 4:30 pm ET)  
 727/824-5326 (8:00 am - 4:30 pm ET)  
 http://sero.nmfs.noaa.gov



## FEDERAL PERMIT APPLICATION FOR VESSELS FISHING IN THE EXCLUSIVE ECONOMIC ZONE (EEZ)

### FOR OFFICE USE ONLY

Reviewer's Initials and Date  
 Permit Check or Money Order Number and Amount  
 Floy Tag Check or Money Order Number and Amount  
 Sanction Case Number if Sanctioned  
 Non Compliance Hold Date  
 Non Compliance Cleared Date  
 Expiration Date(s)


Application ID

FOR OFFICE USE ONLY

**REMEMBER TO SEND A COPY** of your current (not expired) United States Coast Guard (USCG) Certificate of Documentation or a copy of your State Vessel Registration. Do not send your original. If your vessel's state registration does not list all owners of the vessel, also provide a copy of the vessel's title, or other documentation from the appropriate state agency that identifies all vessel owners.

### SECTION 1 - VESSEL INFORMATION

OFFICIAL NUMBER FROM USCG CERTIFICATE OF DOCUMENTATION (if the vessel is documented)

STATE REGISTRATION NUMBER (as applicable)

VESSEL NAME

HULL IDENTIFICATION or IMO NUMBER

HAILING PORT CITY

HAILING PORT COUNTY OR PARISH

HAILING PORT STATE

**USCG DOCUMENTED VESSELS ONLY**

GROSS TONS

NET TONS

**PASSENGER CAPACITY DATA FOR CHARTER/ HEADBOAT VESSELS ONLY**

UNINSPECTED VESSEL - "6-PACK"

USCG INSPECTED VESSEL (Specify Passenger Capacity as listed on the USCG Certificate of Inspection, not including Capt. and Crew)

*col info removed*

**This vessel is used MOSTLY for (select only 1)**

- Commercial Fishing
- Headboat
- Charter

For Shark and Swordfish Directed and Incidental Permit Applicants Only: Does your vessel fish with, or carry onboard, either longline or gillnet gear?

- Yes
- No

Reminder: If yes, include a copy of your "Protected Species Release, Disentanglement, and Identification Workshop Certificate".

YEAR BUILT

LENGTH (FEET)

TOTAL HORSEPOWER

Crew Size - Including the Captain

HOLD or FISH BOX CAPACITY (Pounds of Harvest) How many pounds of product can you bring to the dock when full?

**HULL MATERIAL**

- FIBERGLASS
- STEEL
- WOOD
- CEMENT
- OTHER

**FUEL DATA**

- DIESEL
- GASOLINE
- OTHER (DESCRIBE)

**FUEL CAPACITY - TOTAL GALLONS**

**PRODUCT STORAGE (check all that apply)**

- ON ICE IN HOLD, FISH BOX, ICE CHEST, COOLER ETC.,
- FREEZER
- LIVE WELL

## SECTION 2. OPEN ACCESS PERMITS and ENDORSEMENTS



Payment Reminder: All applications must include payment of a non-refundable application fee in the form of a check or money order made payable to the U.S. Treasury. The fee is \$25.00 for the first permit and \$10.00 for each additional permit or endorsement requested on this application.

**FEE SCHEDULE FOR PERMITS AND ENDORSEMENTS:**

1 permit: \$25    2: \$35    3: \$45    4: \$55    5: \$65    6: \$75    7: \$85    8: \$95    9: \$105    10: \$115    11: \$125    12: \$135

**INSTRUCTIONS:** Find the permits in the left column and mark the check box beside that fishery to indicate the transaction(s) you want.

<b>OPEN ACCESS COMMERCIAL PERMITS</b>	<b>NEW</b>	<b>RENEWAL</b>
COMMERCIAL ATLANTIC DOLPHIN/ WAHOO (ADW)	<input type="checkbox"/>	<input type="checkbox"/>
SPINY LOBSTER (LC) (Not required for the EEZ off Florida)	<input type="checkbox"/>	<input type="checkbox"/>
SPINY LOBSTER TAILING (LT) (You must have an LC permit OR provide your FL SPL information below to obtain this permit)	<input type="checkbox"/>	<input type="checkbox"/>
SPANISH MACKEREL (SM)	<input type="checkbox"/>	<input type="checkbox"/>
ROCK SHRIMP (CAROLINAS ZONE) (RSCZ)	<input type="checkbox"/>	<input type="checkbox"/>
SOUTH ATLANTIC PENAEID SHRIMP (SPA)	<input type="checkbox"/>	<input type="checkbox"/>
GULF ROYAL RED SHRIMP ENDORSEMENT (GRRS) (You must have a valid Gulf of Mexico Shrimp (SPGM) permit to obtain this endorsement.)	<input type="checkbox"/>	<input type="checkbox"/>
HMS COMMERCIAL CARIBBEAN SMALL BOAT PERMIT (CCSB)	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>

**FOR LOBSTER TAILING PERMIT APPLICANTS ONLY:**

**LOBSTER TAILING APPLICANTS:** To obtain a lobster tailing permit you must possess a Florida SPL with Restricted Species and Crawfish endorsements. If you do not have a Florida SPL with Restricted Species and Crawfish Endorsements, you must possess or simultaneously obtain a valid Federal Spiny Lobster (LC) permit.

You must provide a copy of your Florida SPL if you do not have a Federal Spiny Lobster (LC) permit

Saltwater Products License Number

Crawfish Endorsement Number

Saltwater Products License Expiration date

<b>OPEN ACCESS CHARTER/HEADBOAT PERMITS</b>	<b>NEW</b>	<b>RENEWAL</b>
ATLANTIC CHARTER/ HEADBOAT FOR DOLPHIN/ WAHOO (CDW)	<input type="checkbox"/>	<input type="checkbox"/>
SOUTH ATLANTIC CHARTER/ HEADBOAT FOR COASTAL MIGRATORY PELAGICS (CHS)	<input type="checkbox"/>	<input type="checkbox"/>
SOUTH ATLANTIC CHARTER/ HEADBOAT FOR SNAPPER-GROUPER (SC)	<input type="checkbox"/>	<input type="checkbox"/>



**SECTION 4. INDIVIDUAL VESSEL OWNER(S) AND LESSEE INFORMATION**

Copy this page as needed to provide the required information on all persons that own or lease this vessel.

Does your USCG Documentation or State Registration show the vessel owner as a person or persons?

YES - fill out section 4a and if needed, 4b.

NO-Fill out vessel owner information in section 5a

**Section 4a - Vessel Owner as shown on the USCG Certificate of Documentation, or for Undocumented vessels, the State Registration.**

If the Documentation or State Registration shows one person's name as the owner, list it here.

MAILING RECIPIENT - All mail about this permit will go to the person listed in this section.

Is this person a United States Citizen or permanent resident alien?  YES  NO

Mr/Mrs/Ms	Last Name	First Name	Middle Name	Suffix - JR,SR,etc.
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Tax ID # (SSN)	Date of Birth (MM/DD/YYYY)	Area Code	Phone Number
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Mailing Address	Apt/Suite #	City	State	County/Parish	Zip Code	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Street Address (PO Box not acceptable)	Apt/Suite #	City	State	County/Parish	Zip Code	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Check box if same as Mailing Address

**Answer questions 1 and 2 before moving on to section 5.**

1. Does your USCG Documentation or State registration show more than one person as the vessel owner?

YES - Fill out both sections 4a and 4b.

NO - Do not fill out section 4b unless the vessel is leased.

2. Is a person or persons leasing this vessel from the vessel owner?

YES - Fill out Section 4b for the vessel lessee(s) information.

NO - The lessee is a business, Skip Section 4b and fill out the lessee information in Section 5b.

NO - Skip Section 4b.

**Section 4b - Vessel Lessee OR Additional Vessel Owner as shown on the USCG Certificate of Documentation or for undocumented vessels, the State Registration.**

If the USCG Documentation, State Registration or title shows more than one person as the owner, provide the second person's information here. If there are more than two persons, photocopy this blank page to provide additional information.

For LEASED Vessels: Lease Start Date:  Lease End Date:

MAILING RECIPIENT - All mail about this permit will go to the person listed in this section. Mail is automatically sent to the lessee as required by regulations.

Is this person a United States Citizen or permanent resident alien?  YES  NO

Mr/Mrs/Ms	Last Name	First Name	Middle Name	Suffix - JR,SR,etc.
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Tax ID # (SSN)	Date of Birth (MM/DD/YYYY)	Area Code	Phone Number
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Mailing Address	Apt/Suite #	City	State	County/Parish	Zip Code	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Street Address (PO Box not acceptable)	Apt/Suite #	City	State	County/Parish	Zip Code	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Check box if same as Mailing Address



**SECTION 5. BUSINESS VESSEL OWNER AND LESSEE INFORMATION**

Copy this page as needed to provide the required information on all businesses that own or lease this vessel.

Does your USCG Documentation or State Registration show a business as the vessel owner?

YES - Fill out section 5a for the vessel owner(s).

NO - Fill out section 4a (and 4b if needed).

Is a business leasing this vessel from the vessel owner?

YES - Fill out Section 5b for the vessel lessee(s).

NO - There is no lease involved - skip Section 5b.

**Section 5a - Vessel Owner as shown on the USCG Certificate of Documentation, or for Undocumented vessels, the State Registration.**

If the Documentation or State Registration shows one business name as the owner, list it here. If there are two business's that own the vessel, list the managing business in Section 5a and the second business in Section 5b.

MAILING RECIPIENT - All mail about this permit will go to the business listed in section 5a.

Is this business a U.S. Corporation, a U.S. partnership, or other business entity established under the laws of the United States or any State of the United States?  YES  NO

Registered Name of Business

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Tax ID # (FEIN)	Date Business Formed (MM/DD/YYYY)	Area Code	Phone Number			
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>			
Mailing Address	Apt/Suite #	City	State	County/Parish	Zip Code	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Street Address (PO Box not acceptable)	Apt/Suite #	City	State	County/Parish	Zip Code	Country
<input type="checkbox"/> Check box if same as Mailing Address	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**Section 5b - Vessel Lessee or Additional Vessel Owner as shown on the USCG Certificate of Documentation or for undocumented vessels, the State Registration.**

If the Documentation, State Registration or Title shows more than one business as the owner, provide the second owner's information here. If there are more than two businesses, photocopy the blank page to provide additional information.

For LEASED Vessels: Lease Start Date:  Lease End Date:

MAILING RECIPIENT - All mail about this permit will go to the business listed in section 5b, as required by regulations.

Is this business a U.S. Corporation, a U.S. partnership, or other business entity established under the laws of the United States or any State of the United States?  YES  NO

Registered Name of Business

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Tax ID # (FEIN)	Date Business Formed (MM/DD/YYYY)	Area Code	Phone Number			
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>			
Mailing Address	Apt/Suite #	City	State	County/Parish	Zip Code	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Street Address (PO Box not acceptable)	Apt/Suite #	City	State	County/Parish	Zip Code	Country
<input type="checkbox"/> Check box if same as Mailing Address	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**SECTION 6 . OFFICER/SHAREHOLDER INFORMATION FOR BUSINESS(ES) THAT OWN OR LEASE THE VESSEL**

This page must be filled out if the owner or the lessee of the vessel is a business.

Copy this page as needed to provide information on all persons that are officers/shareholders of the business(es) shown in Section 5.

Owner or lessee of the vessel:  Owner  Lessee

Business name:

Federal Tax ID #

**Position held - check ALL that apply**

President/CEO  Vice President  Secretary  Treasurer  Director/Manager  Shareholder  Other

Percent (%) of Corporation Held

Is this person a United States Citizen or permanent resident alien?  YES  NO

Mr/Mrs/Ms  Last Name  First Name  Middle Name  Suffix - JR,SR,etc.

Mailing Address  Apt/Suite #  City  State  County/Parish  Zip Code  Country

Street Address (PO Box not acceptable)  Apt/Suite #  City  State  County/Parish  Zip Code  Country

Check box if same as Mailing Address

Tax ID # (SSN)  Date of Birth  Area Code  Phone Number

**Position held - check ALL that apply**

President/CEO  Vice President  Secretary  Treasurer  Director/Manager  Shareholder  Other

Percent (%) of Corporation Held

Is this person a United States Citizen or permanent resident alien?  YES  NO

Mr/Mrs/Ms  Last Name  First Name  Middle Name  Suffix - JR,SR,etc.

Mailing Address  Apt/Suite #  City  State  County/Parish  Zip Code  Country

Street Address (PO Box not acceptable)  Apt/Suite #  City  State  County/Parish  Zip Code  Country

Check box if same as Mailing Address

Tax ID # (SSN)  Date of Birth  Area Code  Phone Number

**Minor Shareholder Information**

MINOR SHAREHOLDERS - Check here if one or more shareholders individually holds shares that is less than 1% of the total shares of the business(es)

TOTAL PERCENTAGE (%) of the business shares held by minor shareholder(s)

## SECTION 7 - HISTORICAL CAPTAIN OR DESIGNATED OPERATOR (INCOME QUALIFIER)

This person is a (check all that apply):

- Historical Captain for Gulf of Mexico Charter/Headboat for Reef Fish  
 Historical Captain for Gulf of Mexico Charter/Headboat for Coastal Migratory Pelagic Fish  
 Designated Operator (Income Qualifier other than Permit Holder) for:

A Historical Captain **MUST** sign Section 9 as the applicant.

A Designated Operator **MUST** sign Section 9 as the operator along with the applicant.

Check all that apply:     Commercial King Mackerel     Commercial Spiny Lobster     Spanish Mackerel

<b>Mr/Mrs/Ms</b>	<b>Last Name</b>	<b>First Name</b>	<b>Middle Name</b>	<b>Suffix - JR,SR,etc.</b>			
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>			
<b>Mailing Address</b>		<b>Apt/Suite #</b>	<b>City</b>	<b>State</b>	<b>County/Parish</b>	<b>Zip Code</b>	<b>Country</b>
<input type="text"/>		<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<b>Street Address (PO Box not acceptable)</b>		<b>Apt/Suite #</b>	<b>City</b>	<b>State</b>	<b>County/Parish</b>	<b>Zip Code</b>	<b>Country</b>
<input type="text"/>		<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="checkbox"/>	Check box if same as Mailing Address						
<b>Tax ID # (SSN)</b>	<b>Date of Birth (MM/DD/YYYY)</b>	<b>Area Code</b>	<b>Phone Number</b>				
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>				

## SECTION 8 - SEA BASS POTS OR GOLDEN CRAB TRAPS

**COMPLETE THIS SECTION ONLY IF YOU HAVE SEA BASS POTS OR IF YOU HAVE GOLDEN CRAB TRAPS. TAGS ARE REQUIRED FOR ALL POTS AND/OR TRAPS.**

Tag cost is \$1.80 per tag made payable by check or money order to Floy Tag, Inc.

I need tags for:     Sea Bass Pots                       Golden Crab Traps

What color is your Buoy for Sea Bass Pots or Golden Crab Traps?                      Color   

List an existing buoy color code for ANY other trap or pot fishery.                      Color   

**South Atlantic Sea Bass Pot/Golden Crab Trap Information**                      Number of Pots/Traps        You are allowed a **MAXIMUM** of 35 Sea Bass Pots.

Pot or Trap Height (inches) <input type="text"/>	Pot or Trap Length (inches) <input type="text"/>	Pot or Trap Width (inches) <input type="text"/>	Mesh size Height (inches) <input type="text"/>	Mesh size Width (inches) <input type="text"/>
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## SECTION 9 - SIGNATURE FOR APPLICATION - REQUIRED

The undersigned certifies under penalty of perjury that the foregoing information is true and correct (28 USC 1746; 18 USC 1621; 18 USC 1001, 16 USC 1857). Further, the undersigned certifies that if a spiny lobster tailing permit is requested, the applicant routinely fishes commercially in Federal waters on trips of up to 48 hours or more and that such fishing activity requires the separation of the tail and carapace to maintain quality product.

Please note: If the vessel listed in Section 1 is leased, the applicant who signs below must be an individual named as a lessee in Section 4, or an officer or shareholder of the lessee as listed in Section 5 with information listed in section 6. If the vessel listed in Section 1 is not leased, the applicant must be an individual named as an owner in Section 4, or an officer or shareholder of the owner as listed in Section 6.

Applicant Signature		Position in Business		Date	
Print Name		Designated Operator Signature		Date	

## SECTION 10 - INCOME QUALIFICATION AFFIDAVIT FOR INCOME QUALIFIED PERMITS

An Income Qualification Affidavit is accepted as proof of meeting permit income qualification requirements. This signed Income Qualification Affidavit is required with every application to renew or transfer an income qualified permit (as listed below).

Knowingly supplying false information or willfully overvaluing any fishing income for the purpose of obtaining a permit is a violation of Federal law punishable by a fine and/or imprisonment.

### Commercial Spiny Lobster

50CFR622.400 An applicant must provide the following information: (vi) A sworn statement by the applicant for a vessel permit certifying that at least 10 percent of his or her earned income was derived from commercial fishing, that is, sale of the catch, during the calendar year preceding the application.

### King Mackerel

50CFR622.370 To obtain or renew a commercial vessel permit for king mackerel, at least 25 percent of the applicant's earned income, or at least \$10,000, must have been derived from commercial fishing (i.e., harvest and first sale of fish) or from charter fishing during one of the 3 calendar years preceding the application.

### Spanish Mackerel

50CFR622.370 To obtain or renew a commercial vessel permit for Spanish mackerel, at least 25 percent of the applicant's earned income, or at least \$10,000, must have been derived from commercial fishing (i.e., harvest and first sale of fish) or from charter fishing during one of the 3 calendar years preceding the application.

The following information applies to my income qualification for the following fisheries:

- Commercial Spiny Lobster     
  King Mackerel     
  Spanish Mackerel

I, \_\_\_\_\_, hereby declare under penalty of perjury that the foregoing information is true and correct. (28 USC 1746; 18 USC 1621; 18 USC 1001; 16 USC 1857). I agree to provide the necessary documentation to prove that I met the earned income requirement when so requested by the National Marine Fisheries Service.

Executed on \_\_\_\_\_ (date signed).

Printed Name \_\_\_\_\_ Signature \_\_\_\_\_

Business Name (if Applicable) \_\_\_\_\_ Type of business (If Applicable) \_\_\_\_\_

Position In Business (if Applicable) \_\_\_\_\_

Public reporting burden for this collection of information is estimated to average 20 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to: PRA Officer, National Marine Fisheries Service, F/SER26, 263 13th Avenue South, St. Petersburg, FL 33701.

The National Marine Fisheries Service requires this information for the conservation and management of marine fishery resources. The data reported will be used to develop, implement, and monitor fishery management activities for a variety of other uses. Responses to this collection are required to obtain or retain a fisheries permit under the Magnuson - Stevens Act. Name and address information will be released via a NOAA website. All other data submitted will be handled as confidential material in accordance with NOAA Administrative Order 216-100, Protection of Confidential Fishery Statistics. Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subjected to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.





# Instructions for the Federal Permit Application for Vessels Fishing in the Exclusive Economic Zone (EEZ)

Rev 06/03/2013

## **General Instructions:**

**In addition to the instructions provided herein, applicants with specific questions are encouraged to contact the Permits Office at (727) 824-5326 or toll free at (877) 376-4877 between 8:00 a.m. and 4:30 p.m. ET, and to consult the U.S. Code of Federal Regulations whose guidance for application requirements, permit eligibilities, and related information will always prevail. (Incomplete or illegible applications will be returned.)**

1. Complete all applicable sections of this application form. Incomplete or illegible applications will be returned. Applications should be typed, or hand printed and should be filled out in ink.
2. The application fee is **\$25 for one fishery and \$10 for each additional fishery** and is **non-refundable**. A check or money order payable to the **U.S. TREASURY** must accompany each application. There is a fee schedule on page 8 of the application and in these instructions to assist you in determining the total amount to pay for your permits.
3. Mail the application, payment, and all required supporting documentation to: **National Marine Fisheries Service (F/SER14), 263 13<sup>th</sup> Avenue South, St. Petersburg, FL 33701**. Questions may be telephoned to our toll free number at (877) 376-4877 or 727/824-5326 between 8 am - 4:30pm ET. If you would like your permit and associated documents returned to you overnight, enclose a completed, pre-paid air bill and envelope, complete with your physical street delivery address (non US Post Office services do not deliver to PO Boxes), telephone number, and account number or major credit card number with the expiration date. Please note, using the prepaid overnight delivery option does not expedite permit processing. It only expedites delivery of your completed permit package.
4. If transferring a Gulf of Mexico charter/headboat vessel permit, a copy of your USCG Captian's license or Master's license or a copy of your USCG Certificate of Inspection is not required.
5. Each vessel issued a Rock Shrimp (South Atlantic) or a Rock Shrimp (Carolinas Zone) permit or an Atlantic Dolphin/Wahoo (charter/ headboat or commercial) permit, when at sea or offloading, is required to have on board at least one person who has a Federal operator permit card issued by the NMFS Southeast Regional Office or the NMFS Northeast Regional Office.
6. Requests to renew or transfer permits will not be processed until all required reporting requirements (e.g., logbooks, the MRIP For-Hire telephone survey, etc) have been met. Noncompliance with any reporting requirement will preclude processing of all permit transaction requests. To avoid delays, ensure you comply with all reporting requirements in a timely manner and in advance of any permit application requests. Send your logbook report(s) to **National Marine Fisheries Service, Research Management Division, Logbook Program, P.O. Box 491500, Key Biscayne, FL 33149-9915**. Questions concerning your reporting requirements should be phoned to the Research Management Division at 305/361-4581. We cannot renew or transfer your permit(s) until all reporting requirements (e.g., logbook submission) are met.
7. An Income Qualification Affidavit is now accepted as proof of meeting permit income qualification requirements. A signed Income Qualification Affidavit will be required with every application to renew or transfer an income qualified permit. The blank Income Qualification Affidavit is included on page 8 of this application.
8. Applications to transfer permits for which the Federal Regulations restrict transfers to certain family members or to a vessel with certain characteristics may be required to submit documentation to verify their eligibility for such transfers. The applicable United States Code of Federal Regulations is available on line at <http://sero.nmfs.noaa.gov/regulations/reg.htm>

***In accordance with Federal regulations, any change in your permit information must be reported in writing to NMFS within 30 days of the change.***

**APPLICATION SECTION 1** Unless otherwise exempted by the application form, complete **all** portions of Section 1. Enter the Official Number and the length of the vessel as they appear on the U.S. Coast Guard Certificate of Documentation; or if not documented, the state registration certificate. If applying for a Highly Migratory Species commercial swordfish or shark permit issued without a vessel, write "NO VESSEL" in the field for USCG Official Number. For charter/headboats that are inspected, list the greatest passenger capacity listed on the USCG Certificate of Inspection. A vessel's passenger capacity does not include the captain and crew.

### **APPLICATION SECTIONS 2 AND 3**

Indicate the fishery and transaction type for each permit requested in this application. If you are applying for a Lobster Tailing Permit, you must also have a Spiny Lobster Permit OR a valid (unexpired) State of Florida Saltwater Products License with a valid (unexpired) Crawfish Endorsement. You must provide the information and a copy of your SPL. Your SPL may be issued to either the vessel OR the permit holder.

### **APPLICATION SECTIONS 4 AND 5**

Enter the information about the vessel "owner" as shown on the U.S. Coast Guard Certificate of Documentation or, if not documented, on the state registration certificate. The vessel owner may be a person (or persons) OR a business. If there is more than one vessel owner shown on the USCG documentation or the vessel is titled to more than one person, provide the required information for all listed owners in Section 4. If additional space is needed, photocopy the blank page as many times as is necessary to provide information on all vessel owners and lessees.

Address (mailing AND street address if different) and telephone information is required for all owners and lessees. If the owner is an individual (or individuals), provide the owner's date of birth and enter the Social Security Number (taxpayer ID information) in Section 4. If the entity shown as the "owner" is a business, provide the date that the business was filed or the partnership was formed and the business's Federal Tax ID number (taxpayer ID information) in Section 5. If the business is found to be in an INACTIVE status, permits will not be issued.

If the vessel is leased, also provide information on all vessel lessees. If lessee is an individual, provide the owner's date of birth and enter the Social Security Number (taxpayer ID information) in Section 4. If the "lessee" is a business or partnership, provide the date that the business was filed or the partnership was formed and the business's Federal Tax ID number (taxpayer ID information) in Section 5. If the business is found to be in an INACTIVE status, permits will not be issued.

If the vessel is leased, provide a copy of the signed (both parties) and dated lease agreement (see the Renewal and Initial (New) Issuance of permits section (below) of these instructions for lease requirements).

If any entities named as a vessel owner or for leased vessels named as vessel lessee are also an income qualifier for permits associated with this application, indicate the permits for which their income has been used for income qualification and submit a signed Income Qualification Affidavit.

### **APPLICATION SECTION 6**

If the application is for a vessel that is owned by a business entity, then information on the owner's officers/ shareholders is required. Information on all officers/shareholders associated with the vessel owners and/or lessees is required. If additional space is needed, please photocopy the blank page as many times as is necessary to provide information on all officers/shareholders associated with the vessel owners and lessees.

***PLEASE NOTE:*** you are no longer required to submit articles of incorporation/organization or an annual report for businesses that hold permits, own or lease vessels.

If any individuals named as an officer or shareholder of the vessel owner, or for leased vessels named as an officer or shareholder of the vessel lessee, are also an income qualifier for permits associated with this application, indicate the permits for which their income has been used for income qualification, and submit a signed Income Qualification Affidavit.

If the business holds IFQ shares, indicate what percentage of the business each person listed owns. The percentages reported must equal 100%.

### **APPLICATION SECTION 7**

Complete this section ***only*** for applications that include:

- Gulf of Mexico Charter/Headboat permits with a Historical Captain provision, or
- King Mackerel, Spanish Mackerel, Reef Fish, and/or Commercial Spiny Lobster permit which has been income qualified using the fishing income of a Designated Operator who is neither a vessel owner or lessee as listed in Section 4 or 5 of this application, nor is an officer of a business that owns or leases the vessel, as listed in Section 6 of this application.

For all other applications, this section should remain blank.

**APPLICATION SECTION 8** Complete this section only if you fish with pots in the snapper-grouper fishery or traps in the golden crab fishery off the southern Atlantic states. A separate check or money order for pot or trap tags (\$1.80 per tag) payable to FLOY TAG INC. must be provided. **Pot Tags are required for use in the snapper-grouper fishery.** Trap Tags are optional for the golden crab fishery. Tags will be mailed directly from Floy Tag Inc., and will not be sent with the permit package.

**APPLICATION SECTION 9** The application must be signed and dated by the vessel owner or for leased vessels by the vessel lessee. For vessels owned or leased by a business, an officer or shareholder of the business must sign and date the application. If a Designated Operator is used, that person must also sign in this section.

**APPLICATION SECTION 10** An Income Qualification Affidavit is now accepted as proof of meeting permit income qualification requirements. The affidavit is a signed promise, under penalty of perjury, that the applicant meets the federal income qualification requirements for the income qualified permit(s) and will provide such income documentation if NMFS requests such documentation. You are not required to provide tax or income documents unless we specifically ask for them. A signed Income Qualification Affidavit is required with every application to renew an income qualified permit.

#### RENEWAL AND INITIAL (NEW) ISSUANCE OF PERMITS

- All permit renewal and first issuance requests must include, as a minimum: an application, the appropriate fees, and a copy of the unexpired U.S. Coast Guard Certificate of Documentation or state registration certificate. Please note - we cannot accept a bill of sale as documentation or registration.
- If the vessel is leased/rented/leased to own, include a copy of the current lease agreement. The lease must state who is leasing what vessel (identified by USCG Documentation or if not documented, then state registration number) to whom, what date the lease begins and what specific date the lease expires. The lease must run for a minimum of 7 months, and if you anticipate a long term arrangement, let the lease run for a number of years. Both the vessel owner(s) and the lessee(s) must sign and date the lease agreement.
- To ensure that your renewal goes as quickly and smoothly as possible, send your logbook report(s) to **National Marine Fisheries Service, Research Management Division, Logbook Program, P.O. Box 491500, Key Biscayne, FL 33149-9916**. Questions concerning your reporting requirements should be phoned to the Research Management Division at 305/361-4581. We cannot renew your permit(s) until all logbook reporting requirements are met.

#### TRANSFERS

- All permit transfer requests must include, as a minimum: an application, the original permits with appropriate signatures on the back, the appropriate fees, and a copy of the unexpired U.S. Coast Guard Certificate of Documentation or state registration certificate.
- **SIGNATURES ON PERMITS:** provide the **valid** (not expired) **ORIGINAL (not a copy)** transferable permit(s) with the signatures of the seller on the reverse of the permit.
  - If the sellers are a joint ownership, ALL persons that sell the permit **MUST** sign the permit.
  - The seller's signature(s) must be notarized.
  - **Print** the name of the new permit holder (individual(s) or business) as the receiving vessel permit owner.
  - If transferring to a different vessel owned by the same entity (person or business), no signatures are required unless the permit(s) is a Gulf of Mexico Charter/Headboat Permit(s).
  - Signatures are required to transfer Gulf of Mexico Charter/Headboat Permit(s) to certify that you have removed the charter/headboat permit sticker from the old vessel.
  - Permits sold by a business must be signed by an officer or shareholder of the business. The correct format must include the signature, the person's position in the business and the company name, for example:  
John Doe, President, ABC Fishing Inc.

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- Upon transfer of a Gulf of Mexico moratorium Coastal Migratory Pelagic Charter/Headboat permit and/or Gulf of Mexico moratorium Reef Fish Charter/Headboat permit, the Federal Charter/Headboat **decals must be removed** from your vessel.
- New owners of Limited Access/Moratorium permits must meet the earned income requirement not later than the first full calendar year after the issuance of the permit.
- Transfer of Atlantic SHARK or SWORDFISH or TUNA permits for swordfish handgear or swordfish and shark directed permits and Atlantic Tuna Long Line permits are subject to vessel size increase limitations.
- An ATLANTIC TUNA LONGLINE permit must be transferred to either a vessel that already has shark and swordfish permits assigned to it, or must be transferred along with shark and swordfish permits to a vessel that has none of these



permits. Any vessel size and horsepower limits will apply.

➤ If the vessel is leased/rented/leased to own, include a copy of the current lease agreement. Both the vessel owner(s) and the lessee(s) must sign and date the lease agreement. The lease must state the beginning and ending dates of the lease term.

➤ The following permits and endorsements are NOT transferable:

Charter

South Atlantic Charter/headboat for Coastal Migratory Pelagics  
 South Atlantic Charter/headboat for Snapper-Grouper  
 Atlantic Charter/headboat for Dolphin/Wahoo

Commercial

Atlantic Dolphin/Wahoo - Commercial  
 Spiny Lobster  
 Spiny Lobster Tailing  
 Spanish Mackerel  
 Rock Shrimp (Carolinas Zone)  
 Gulf of Mexico Royal Red Shrimp Endorsement  
 HMS Caribbean Commercial Small Boat

**GENERAL INFORMATION ABOUT THE SOUTH ATLANTIC GOLDEN CRAB FISHERY**

➤ The South Atlantic EEZ is divided into three fishing zones as follows: (i) northern zone; (ii) middle zone; and (iii) southern zone. Permits have been issued for a single zone. **Effective May 3, 2002, through May 3, 2005, a vessel with a documented overall length greater than 65 ft with a southern zone permit may fish in that zone (except in the subzone – see below), and may also fish in the northern zone without changes to the vessel permit; such vessel may discontinue fishing in the northern zone and return to fish in the southern zone without changes to the vessel permit.** A vessel with a permit to fish for golden crab in the northern zone or the middle zone will continue to be authorized to fish only in that zone. A vessel may possess golden crab only in a zone in which it is authorized to fish under this measure, except that other zones may be transited if the vessel notifies NMFS, Office of Enforcement, Southeast Region, St. Petersburg, FL, by telephone (727-570-5344) in advance of the fishing trip.

➤ **The historical (5,000-lb.) catch requirement for renewing a commercial vessel permit for golden crab is no longer in effect.**

➤ Renewal of your vessel's golden crab permit requires submission of all reports to National Marine Fisheries Service, Research Management Division, Logbook Program, P.O. Box 491500, Key Biscayne FL 33149-9916 as required under the Magnuson-Stevens Act; and you must not be subject to a sanction or denial. **A commercial vessel permit will not be renewed if the permit is revoked or if NMFS does not receive the required application for renewal by June 30 of that year (i.e., within six months after the permit's expiration date of December 31 each year). Your permit's expiration date is shown on the permit.**

➤ **To obtain a permit for the middle or southern zone via transfer, the documented length overall of the replacement vessel may not exceed the documented overall length, or aggregate documented overall lengths, of the replaced vessel(s) by more than 20 percent.** The owner of a vessel permitted for the middle or southern zone who has requested that NMFS transfer that permit to a smaller vessel (i.e., downsized) may subsequently request NMFS transfer that permit to a vessel of a length calculated from the length of the permitted vessel immediately prior to downsizing. **There are no vessel size limitations to obtain a permit for the northern zone via transfer.**

➤ **A small-vessel sub-zone is established within the southern zone bounded on the north by 24°5' North latitude, on the south by 24°07' North latitude, on the east by 81°22' West longitude, and on the west by 81°56' West longitude. No vessel with a documented length overall greater than 65 ft may fish for golden crab in this sub-zone. A vessel with a documented length overall of 65 ft or less that is permitted for the southern zone may fish for golden crab only in this sub-zone.**

**DUPLICATE OR REPLACEMENT PERMITS**

To request replacement of lost permits the USCG Official Number and/or the State Registration Number fields of Section 1 must be completed. Section 2 and/or Section 3 must be completed to indicate which duplicate permit(s) are requested. The application must be signed in Section 9 by a permit owner. A check or money order for a total of \$18 payable to the U.S. Treasury must accompany the application.

**FEES**

Fees for permit or endorsement renewal, initial issuance, and transfers are \$25 for the first permit or endorsement and \$10 for each additional permit or endorsement.

= \$95	9 = \$365	30= \$455	41= \$525	52= \$655	61= \$745	74= \$855
= \$165	16= \$175	17= \$185	18= \$195	19= \$205	20= \$215	21= \$225
= \$235	23= \$245	24= \$255	25= \$265			



**EARNED INCOME OR GROSS SALES REQUIREMENTS FOR COMMERCIAL PERMITS**

	<b>KING MACKEREL</b>	<b>SPANISH MACKEREL</b>	<b>SPINY LOBSTER</b>
Percentage of earned income	At least 25%	More than 25%	At least 10%
Gross sales alternative	More than \$10,000 in sales of fish	More than \$10,000 in sales of fish	N/A
Source of earned income	Commercial, charter, or headboat	Commercial, charter, or headboat	Sale of catch
Time frame for qualification	One of three years prior to application	One of three years prior to application	Year prior to application

The following fisheries permits do not have an income qualification requirement:

**COMMERCIAL**

- Atlantic Dolphin/Wahoo - Commercial
- Atlantic Tuna Longline
- Rock Shrimp (South Atlantic EEZ)
- Rock Shrimp (Carolinas Zone)
- Shark Directed or Incidental
- Swordfish Directed, Incidental, or Handgear
- Spiny Lobster Tailing
- South Atlantic Unlimited Snapper-Grouper excluding Wreckfish
- South Atlantic 225 lb. Trip Limit Snapper-Grouper excluding Wreckfish
- South Atlantic Snapper-Grouper Sea Bass Pot Endorsement
- South Atlantic Snapper-Grouper Golden Tilefish Endorsement
- Gulf of Mexico Shrimp
- Gulf of Mexico Royal Red Shrimp Endorsement
- Gulf of Mexico Commercial Reef Fish
- Eastern Gulf of Mexico Reef Fish Bottom Long Line Endorsement
- South Atlantic Penaeid Shrimp
- Golden Crab
- HMS Commercial Caribbean Small Boat

**CHARTER/HEADBOAT**

- Atlantic Dolphin/Wahoo – Charter/Headboat
- South Atlantic Coastal Migratory Pelagic Fish Charter/Headboat
- South Atlantic Charter for Snapper-Grouper
- Gulf of Mexico Coastal Migratory Pelagic Fish Charter/Headboat
- Gulf of Mexico Reef Fish Charter/Headboat

An Income Qualification Affidavit is now accepted as proof of meeting permit income qualification requirements. A signed Income Qualification Affidavit will be required with every application to renew an income qualified permit.

**KNOWINGLY SUPPLYING FALSE INFORMATION OR WILLFULLY OVERVALUING ANY FISHING INCOME FOR THE PURPOSE OF OBTAINING A PERMIT IS A VIOLATION OF FEDERAL LAW PUNISHABLE BY A FINE AND/OR IMPRISONMENT.**

Public reporting burden for this collection of information is estimated to average 20 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to: PRA Officer, National Marine Fisheries Service, F/SER2, 263 13<sup>th</sup> Avenue South, St. Petersburg, FL 33701.

The National Marine Fisheries Service requires this information for the conservation and management of marine fishery resources. The data reported will be used to develop, implement, and monitor fishery management activities for a variety of other uses. Responses to this collection are required to obtain or retain a fisheries permit under the Magnuson - Stevens Act. Non-confidential information may be released via a NOAA Fisheries website. Non-confidential information means: Name, Street Address, City, State, Zip Code, Effective Date of Permit, Permit Types, Vessel Name, Vessel Identification Number, and in the case of a "for hire" vessel the Passenger Capacity, or individual, corporate and lease holders of permits. All other data submitted will be handled as confidential material in accordance with NOAA Administrative Order 216-100, Protection of Confidential Fishery Statistics. Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subjected to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

**ACTIVITIES FOR WHICH PERMITS ARE REQUIRED**

**OPEN ACCESS PERMITS (New permits may be issued; these permits may NOT be transferred)**

**ATLANTIC DOLPHIN-WAHOO CHARTER VESSEL/HEADBOAT-** For a person aboard a vessel that is operating as a charter vessel/headboat to fish for or possess a dolphin-wahoo in or from Federal waters in the Atlantic, an Atlantic dolphin-wahoo charter vessel/headboat permit must be issued to that vessel. There are no earned income or landing requirements for this permit. An owner of a charter vessel/headboat who desires to sell dolphin is required to obtain a dolphin-wahoo commercial vessel permit

(see below) in addition to the charter vessel/headboat permit. (The sale of wahoo harvested in the Atlantic EEZ by a vessel operating as a charter vessel/headboat is not allowed.) The permit will only be valid if there is someone on the vessel that has a valid Vessel Operator Permit Card issued by the Southeast Regional Office of NMFS or by the Northeast Regional Office of NMFS.

**ATLANTIC DOLPHIN-WAHOO COMMERCIAL** - A vessel must be issued an Atlantic dolphin-wahoo commercial permit to be eligible for exemption from the bag and possession limits for dolphin-wahoo in the Atlantic EEZ or to sell dolphin-wahoo harvested in the Atlantic EEZ. The permit will only be valid if there is someone on the vessel that has a valid Vessel Operator Permit Card issued by the Southeast Regional Office of NMFS or by the Northeast Regional Office of NMFS.

**SOUTH ATLANTIC COASTAL MIGRATORY PELAGIC CHARTER OR SNAPPER-GROUPER CHARTER** - For a person aboard a vessel that is operating as a charter vessel or headboat to fish for or possess a coastal migratory pelagic fish or snapper-grouper in or from Federal waters off the southern Atlantic states, a charter permit must be issued to the vessel and be on board. These permits do NOT allow for the sale of fish caught under these permits.

**SOUTH ATLANTIC PENAEID SHRIMP** - For a person aboard a trawler to fish for Penaeid shrimp (brown, pink, or white shrimp) in the South Atlantic EEZ or possess penaeid shrimp in or from the South Atlantic EEZ, a valid (not expired) commercial vessel permit for South Atlantic penaeid shrimp must have been issued to the vessel and must be on board.

**GULF OF MEXICO ROYAL RED SHRIMP ENDORSEMENT** - For a person aboard a vessel to fish for royal red shrimp in the Gulf of Mexico EEZ or possess royal red shrimp in the Gulf of Mexico EEZ, a valid vessel permit for Gulf of Mexico shrimp and a royal red shrimp endorsement must have been issued to the vessel and must be on board. This endorsement is not transferable.

**SPANISH MACKEREL** - For a person aboard a vessel in Federal waters to be eligible for exemption from the bag limits and to fish under a commercial allocation for Spanish mackerel, a commercial permit must be issued to the vessel and be on board. At time of application income criteria must be submitted. *If applying for a Spanish mackerel permit, earned income or gross sales requirement must be met at time of application. See Earned Income or Gross Sales Requirements for Commercial Permits on page 4 of these instructions.*

**SPINY LOBSTER** - For a person aboard a vessel in Federal waters off of Texas, Louisiana, Mississippi, or Alabama to be exempt from the daily catch and possession limit, a commercial spiny lobster permit must be issued to the vessel and be on board. Florida's requirements apply to a vessel fishing in Federal waters off Florida. The daily bag or possession limit for spiny lobster in or from the EEZ of the southern Atlantic states other than Florida (North Carolina, South Carolina, and Georgia), is two per person for recreational and commercial fishing year round. To possess a separated spiny lobster tail in or from Federal waters, a tail-separation permit must be issued to the vessel and be on board. Tail separation permits may only be obtained for vessels that, due to the nature of the fishing, are required to remain at sea for 48 hours or longer. To obtain a lobster tailing permit, you must possess a Florida Saltwater Products License (SPL) or if you do not have a Florida SPL, you must possess or simultaneously obtain a valid Federal Spiny Lobster (LC) permit. *If applying for a commercial spiny lobster permit, the income requirement must be met at time of application. See Earned Income Requirements for Commercial Permits on page 4 of these instructions.*

**SOUTH ATLANTIC ROCK SHRIMP (CAROLINAS ZONE)** - For a person aboard a fishing vessel to fish for rock shrimp in Federal waters from the Virginia/North Carolina border to the East Coast of Florida, either a Rock Shrimp (South Atlantic EEZ) permit or a South Atlantic Rock Shrimp (Carolinas EEZ) permit is required.

A vessel may not be issued both a Rock Shrimp (South Atlantic EEZ) permit and a Rock Shrimp (Carolinas Zone) permit simultaneously. If a vessel has a Rock Shrimp (South Atlantic EEZ) permit, which is valid (not expired) as of the date of this application, and the vessel owner is applying for a Rock Shrimp (Carolinas Zone) permit on the same vessel, the Rock Shrimp (South Atlantic EEZ) permit must first be transferred from the vessel or surrendered to NMFS.

**CARIBBEAN TUNAS, SWORDFISH and SHARKS** - For a person aboard a vessel LESS THAN OR EQUAL TO 45 feet in registered length to fish for or possess, with the intention to sell, bigeye, albacore, yellowfin, and skipjack tunas; swordfish; and allowable shark species in the U.S. Caribbean EEZ (Puerto Rico and the U.S. Virgin Islands), a valid (not expired) HMS Commercial Caribbean Small Boat (CCSB) commercial vessel permit must have been issued to the vessel and must be on board. The vessel may not have a CCSB permit and be issued any other valid tuna, swordfish and/or shark permits assigned to it at the same time.

**LIMITED ACCESS/MORATORIUM PERMITS (new permits will NOT be issued; UNEXPIRED permits MAY be transferred)**  
Please note that charter permits DO NOT allow you to sell fish caught on a charter.

**SOUTH ATLANTIC ROCK SHRIMP (SOUTH ATLANTIC EEZ)** - For a person aboard a fishing vessel to fish for rock shrimp in Federal waters from Georgia and the east coast of Florida, a Rock Shrimp (South Atlantic EEZ) permit is required. For a person aboard a fishing vessel to fish for rock shrimp in Federal waters from the Virginia/North Carolina border to the East Coast of Florida, either a Rock Shrimp (South Atlantic EEZ) permit or a South Atlantic Rock Shrimp (Carolinas EEZ) permit is required. To transfer a Rock Shrimp (South Atlantic EEZ) permit, the original valid (not expired) permit must be signed and notarized as required, and returned with the application and all applicable documents.

A vessel may not be issued both a Rock Shrimp (South Atlantic EEZ) permit and a Rock Shrimp (Carolinas Zone) permit simultaneously. If a vessel has been issued a Rock Shrimp (Carolinas Zone) permit, which is valid (not expired) as of the date of this application, and the vessel owner is applying for a Rock Shrimp (South Atlantic EEZ) permit on the same vessel, the Rock Shrimp (Carolinas Zone) permit must first be surrendered to the NMFS. Similarly, if a vessel has been issued a Rock Shrimp (South Atlantic EEZ) permit, which is valid (not expired) as of the date of this application, and the vessel owner is applying for a Rock Shrimp (Carolinas Zone) permit on the same vessel, the Rock Shrimp (South Atlantic EEZ) permit must first be transferred from the vessel or surrendered to the NMFS.

**SOUTH ATLANTIC SNAPPER-GROUPER UNLIMITED and 225-LB (EXCLUDING WRECKFISH PERMITS)** - For a person aboard a vessel in Federal waters to be eligible for exemption from the bag limits, a valid commercial permit must be issued to the vessel and be on board. Permits for the South Atlantic snapper-grouper fishery are under a limited entry program. NMFS will not issue new South Atlantic Snapper Grouper Unlimited or 225-lb permits.

- A vessel owner intending to obtain a South Atlantic Snapper Grouper unlimited permit must transfer existing valid (not expired) permit(s) from another vessel. NMFS will transfer a single Snapper Grouper Unlimited permit only to the permit holder's immediate family (e.g. mother, father, brother, sister, son, daughter, or spouse). *A vessel owner intending to obtain a South Atlantic Snapper Grouper unlimited permit from a permit holder who is not in the vessel owner's immediate family must obtain and exchange two such permits for one permit to be issued.*

- South Atlantic snapper-grouper (excluding wreckfish) 225-lb trip limit permits are only transferable to a vessel owned or leased by the same permit holder. To transfer a Snapper Grouper permit, submit the original valid permit along with an application and all applicable documents.

For all South Atlantic Snapper-Grouper permit transfers, the original valid (not expired) permit(s) must be returned with the application and all applicable documents.

**SOUTH ATLANTIC SEA BASS POT ENDORSEMENT** - For a person aboard a vessel in Federal waters to use a South Atlantic Sea Bass pot, in addition to a South Atlantic Snapper Grouper Unlimited permit, an endorsement to the commercial South Atlantic Snapper-Grouper permit must be issued to the vessel and be on board. Endorsements for the South Atlantic Sea Bass pots are under a limited entry program. NMFS will not issue any new endorsements. A vessel owner intending to obtain a South Atlantic Sea Bass Pot Endorsement must transfer an existing valid or renewable endorsement from another vessel. To transfer an endorsement, submit the original valid or renewable endorsement (with the seller's notarized signature) along with an application and all applicable documents.

**SOUTH ATLANTIC GOLDEN TILEFISH ENDORSEMENT** - For a person aboard a vessel in Federal waters to use longline gear for South Atlantic Golden Tilefish, in addition to a South Atlantic Snapper Grouper Unlimited permit, an endorsement to the commercial South Atlantic Snapper-Grouper permit for Golden Tilefish must be issued to the vessel and be on board. Endorsements for the Golden Tilefish are under a limited entry program. NMFS will not issue any new endorsements. A vessel owner intending to obtain a South Atlantic Golden Tilefish Endorsement must transfer an existing valid or renewable endorsement from another vessel. To transfer an endorsement, return the original valid or renewable endorsement (with the seller's notarized signature) along with an application and all applicable documents.

**SOUTH ATLANTIC GOLDEN CRAB** - For a person aboard a fishing vessel to fish for Golden Crab in either the Northern Zone, the Middle Zone or the Southern Zone, a Golden Crab (South Atlantic EEZ) permit is required. To transfer a Golden Crab (South Atlantic EEZ) permit, the original valid (not expired) permit must be signed and notarized as required and returned with the application and all applicable documents.

**GULF OF MEXICO CHARTER/ HEADBOAT FOR COASTAL MIGRATORY PELAGICS** - For a person aboard a vessel that is operating as a charter vessel or headboat to fish for or possess a coastal migratory pelagic fish in or from Federal waters in the Gulf of Mexico, a moratorium charter permit must be issued to the vessel and be on board. Permits for Gulf coastal migratory pelagic charter are under a moratorium. No new vessel may be permitted except by transfer of a valid (not expired) permit. To transfer a Gulf of Mexico Charter/Headboat for Coastal Migratory Pelagic fish permit, the original valid permit must be signed and notarized as required, and returned with an application and all applicable documents.

**GULF OF MEXICO CHARTER/ HEADBOAT FOR REEF FISH** - For a person aboard a vessel that is operating as a charter vessel or headboat to fish for or possess a reef fish in or from Federal waters of the Gulf of Mexico, a moratorium charter permit must be issued to the vessel and be on board. Permits for Gulf of Mexico reef fish charter are under a moratorium. No new vessel may be permitted except by transfer of a valid (not expired) permit. To transfer a Gulf of Mexico Charter/ Headboat for Reef Fish permit, the original valid (not expired) permit must be signed and notarized as required and, returned with an application and all applicable documents.

**GULF OF MEXICO COMMERCIAL REEF FISH** - As a prerequisite to sell reef fish in or from Federal waters and to be eligible for exemption from the bag limits, a commercial permit must be issued to the vessel and be on board. Permits for the commercial reef fish fishery are under a limited entry program. No new vessels may be permitted except by transfer of a valid (not expired) permit. To transfer a Gulf of Mexico Reef Fish permit, the original valid (not expired) permit must be signed and notarized as required, and returned with the application and all applicable documents. Please note: Gulf of Mexico Reef Fish Permits may only be renewed or transferred on vessels that have an installed, activated and operational VMS (Vessel Monitoring System).



**EASTERN GULF REEF FISH BOTTOM LONGLINE ENDORSEMENT** - For a person aboard a vessel, for which a valid (not expired) commercial vessel permit for Gulf reef fish had been issued, to use a bottom longline for Gulf reef fish in the Gulf EEZ east of 85°30' W. long., a valid eastern Gulf reef fish bottom longline endorsement must have been issued to the vessel and must be on board. This endorsement must be renewed annually and may only be renewed if the associated vessel has a valid commercial vessel permit for Gulf Reef Fish or both the endorsement and permit are renewed concurrently. The owner of a vessel with a valid reef fish bottom longline endorsement may transfer that endorsement to an owner of a vessel that has a valid commercial vessel permit for Gulf reef fish. To transfer an Eastern Gulf of Mexico Reef Fish Bottom Long Line Endorsement, the original valid endorsement must be signed and notarized as required, returned with the application and all applicable documents.

**GULF OF MEXICO SHRIMP** - As of March 26, 2007, permits for the Gulf of Mexico shrimp fishery are under a moratorium program. For a person aboard a vessel to fish for or shrimp in the Gulf of Mexico EEZ or possess shrimp in or from the Gulf of Mexico EEZ, a valid commercial vessel permit for Gulf of Mexico shrimp must have been issued to the vessel and must be on board. To transfer a Gulf of Mexico shrimp permit, the original valid permit must be signed and notarized as required and, returned with the application and all applicable documents.

**KING MACKEREL** - For a person aboard a vessel in Federal waters to be eligible for exemption from the bag limits and to fish under a commercial allocation for king mackerel, a commercial permit must be issued to the vessel and be on board. Permits for King Mackerel are under a limited entry program. No new vessel may be permitted except by transfer of a valid (not expired) permit. To transfer a King Mackerel permit, the original valid (not expired) permit must be signed and notarized as required, and returned with the application and all applicable documents.

**GILLNET FOR KING MACKEREL** - For a person aboard a vessel in Federal waters to use a run-around gillnet for King Mackerel in the southern Florida west coast subzone, a commercial gillnet permit for King Mackerel must be issued to the vessel and be on board. Gillnet permits for King Mackerel are under a limited entry program. No new vessel may be permitted except by transfer of a valid (not expired) permit. Gillnet permits for King Mackerel may only be transferred to an immediate family member (mother, father, husband, wife, son, daughter, brother, sister). To transfer a gillnet for King Mackerel permit, the original valid (not expired) permit must be signed and notarized as required, and returned with the application and all applicable documents

**SHARK** - As a prerequisite to sell a shark in or from Federal waters and to be eligible for exemption from the bag limits, a commercial permit must be issued to the vessel and be on board. Permits for the shark fishery are under a limited entry program. No new vessels may be permitted except by transfer of a valid (not expired) limited entry permit. To transfer a shark permit, the original valid permit must be signed and notarized as required, and returned with the application and all applicable documents.

**SWORDFISH** - To fish for or possess swordfish in or from the North Atlantic Ocean, or take swordfish as bycatch, whether or not retained, a commercial permit must be issued to the vessel and be on board. Permits for the swordfish fishery are under a limited entry program. No new vessels may be permitted except by transfer of a valid (not expired) limited entry permit. To transfer a swordfish permit, the original valid (not expired) permit must be signed and notarized as required and, returned with the application and all applicable documents.

**ATLANTIC TUNA LONGLINE:** Vessel owners conducting longline operations that may result in the incidental taking of large, medium and giant bluefin or in the directed/incidental taking of other Atlantic tunas must obtain this permit. The number of longline permits is limited, i.e., a vessel owner seeking to obtain a longline permit must obtain a longline permit from a qualified permit holder. To transfer an Atlantic Tuna Longline permit, the original valid permit must be signed and notarized as required, and returned with the application and all applicable documents. Important: A total of 3 permits (swordfish, shark, and tuna longline) must be obtained to use longline to fish for tunas. The shark and swordfish permit may be either directed or incidental. Directed swordfish and shark permits are subject to upgrading and ownership restrictions. For further information on swordfish, shark, or tuna regulations, please call the Highly Migratory Species Management Division at (301) 713-2347.

\*\*\*\*\***IMPORTANT INFORMATION**\*\*\*\*\*

For all transferable permits – an expired permit MAY be transferred to a new permit holder only when the seller(s) has signed over the permit AND the seller's signature(s) was notarized BEFORE the expiration date. Otherwise, an expired permit must be renewed by the permit holder shown on the front of the permit before it can be signed over for transfer to ownership by another entity. Permits that have been expired for more than 1 year and have been terminated will not be transferred or renewed. Only Atlantic Longline Tuna permits and