

**Supporting Statement for Form SSA-1383 and SSA-1383-FC**  
**Student Reporting Form**  
**20 CFR 404.352(b)(2), 404.367, 404.368, 404.415, 404.434, 422.135**  
**OMB No. 0960-0088**

**A. Justification**

**1. Introduction/Authoring Laws and Regulations**

The Social Security Administration (SSA) uses Forms SSA-1383 and SSA-1383-FC, Student Reporting Form, when a student beneficiary reports a change in circumstances that could affect continued entitlement to and receipt of benefits (per Section 20 *CFR* 422.135 of the *Code of Federal Regulations*). The authority to collect the information requested on form SSA-1383 is contained in the *Social Security Act* in sections 202(d), 203(f) and 202(x)(1), which sets forth how earnings, marriage, change in school attendance, and incarceration affect a child's continuing entitlement to benefits if the child is a student. If (1) annual earnings exceed a prescribed amount and (2) the student beneficiary either earned over the monthly limit or rendered substantial services in self-employment, SSA may reduce or suspend benefits per 20 *CFR* 404.415 and 404.434. Section 20 *CFR* 404.352(b) (2) states that marriage will terminate the student's benefits, except in rare instances. Sections 20 *CFR* 404.367, and 404.368 provide that a student beneficiary must be in full-time attendance at an educational institution to qualify for benefits. This OMB clearance request is a renewal without changes to an existing collection.

**2. Description of Collection**

The purpose of the collection is legally mandated, as 20 *CFR* 422.135 requires beneficiaries to report the occurrence of certain events that may result in the suspension or termination of benefits. The collection is voluntary for respondents, as they are not required to complete the collection in order to obtain or keep benefits. SSA employees will administer the information collection (IC) during an in-office interview, by telephone interview, or through receipt of a mailed form. SSA employees may collect information on forms SSA-1383 and SSA-1383-FC. Modalities include, paper, telephone or interview. SSA employees may assist respondents in completing any forms. Respondents may provide information personally or solicit information from others to complete the IC. Generally, we conduct this information collection one time. The respondents are individuals who receive a title II student benefit per 20 *CFR* 404.367 and 404.368.

**3. Use of Information Technology to Collect the Information**

Form SSA-1383 and SSA-1383-FC, Student Reporting Form, is paper only. SSA has not pursued an electronic format of the IC because of scarce systems resources. However, in accordance with the agency's Government Paperwork Elimination Act (GPEA) plan, the Form SSA-1383 is available as a print-only PDF on SSA's website. In addition, during in-office interviews, SSA employees enter the information into the

Post-entitlement Online System (POS) or the Manual Adjustment, Credit and Award Data Entry (MACADE) system. We do not collect statistical data for the percentage of respondents who complete the information collection during in-office interviews.

**4. Why We Cannot Use Duplicate Information**

The nature of the information we are collecting and the manner in which we are collecting it preclude duplication. SSA does not use another collection instrument to obtain similar data.

**5. Minimizing Burden on Small Respondents**

This collection does not affect small businesses or other small entities.

**6. Consequence of Not Collecting Information or Collecting it Less Frequently**

If we did not use form SSA-1383 and SSA-1383-FC, the public would have fewer methods for reporting events that may result in the suspension or termination of benefits per *20 CFR 422.135*. Since we collect the information on an as-needed basis, we cannot collect it less frequently. There are no technical or legal obstacles to burden.

**7. Special Circumstances**

There are no special circumstances that would cause SSA to conduct this information collection in a manner inconsistent with *5 CFR 1320.5*.

**8. Solicitation of Public Comment and Other Consultations with the Public**

The 60-day advance Federal Register Notice published on December 31, 2013, at 78 FR 79723, and we received no public comments. SSA published the second Notice on March 28, 2014, at 79 FR 17632. If we receive comments in response to the 30-day Notice, we will forward them to OMB. We did not consult with the public in the revision of this form.

**9. Payment or Gifts to Respondents**

SSA does not provide payments or gifts to the respondents.

**10. Assurances of Confidentiality**

SSA protects and holds confidential the information it collects in accordance with *42 U.S.C. 1306*, *20 CFR 401* and *402*, *5 U.S.C. 552* (Freedom of Information Act), *5 U.S.C. 552a* (Privacy Act of 1974), and OMB Circular No. A-130.

**11. Justification for Sensitive Questions**

The information collection does not contain any questions of a sensitive nature.

**12. Estimates of Public Reporting Burden**

Approximately 75,000 respondents take 6 minutes each to complete form SSA-1383 each year. Accordingly, the burden is 7,500 hours. This figure represents burden hours, and we did not calculate a separate cost burden.

<b>Modality of Completion</b>	<b>Number of Respondents</b>	<b>Frequency of Response</b>	<b>Average Burden Per Response (minutes)</b>	<b>Total Annual Burden (hours)</b>
SSA-1383	74,887	1	6	7,489
SSA-1383-FC	113	1	6	11
<b>Total</b>	<b>75,000</b>			<b>7,500</b>

**13. Annual Cost to the Respondents (Other)**

This collection does not impose a known cost burden on the respondents.

**14. Annual Cost To Federal Government**

The annual cost to the Federal Government is approximately \$115,500. This estimate is a projection of the costs for printing and distributing the collection instrument and for collecting the information.

**15. Program Changes or Adjustments to the Information Collection Request**

There are no changes to the public reporting burden.

**16. Plans for Publication Information Collection Results**

SSA will not publish the results of the information collection.

**17. Displaying the OMB Approval Expiration Date**

OMB granted SSA an exemption from the requirement to print the OMB expiration date on its program forms. SSA produces millions of public-use forms with life cycles exceeding those of an OMB approval. Since SSA does not periodically revise and reprint its public-use forms (e.g., on an annual basis), OMB granted this exemption so SSA would not have to destroy stocks of otherwise useable forms with expired OMB approval dates, avoiding Government waste.

**18. Exceptions to Certification Statement**

SSA is not requesting an exception to the certification requirements at 5 *CFR* 1320.9 and related provisions at 5 *CFR* 1320.8(b)(3).

**B. Collections of Information Employing Statistical Methods**

SSA does not use statistical methods for this information collection.