

## **Caution: *DRAFT—NOT FOR FILING***

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If you wish, you can submit comments about draft or final forms, instructions, or publications on the [Comment on Tax Forms and Publications](#) page on IRS.gov. We cannot respond to all comments due to the high volume we receive, but we will carefully consider each one. Please note that we may not be able to consider many suggestions until the subsequent revision of the product.

**Check if report is being corrected**Corrected report **Part I Identification of Filer****1** Name of Filer (Reporting FI, PFFI, Sponsoring Entity, or Other Withholding Agent)**2** Number, street, and room or suite no. (if P.O. box, see instructions)**3a** City or town**3b** State/Province/Region**3c** Country (including postal code)**4** Global Intermediary Identification Number (GIIN)**5** TIN**6** Name of Sponsored FFI or other Intermediary, if applicable**7** Number, street, and room or suite no. (if P.O. box, see instructions)**8a** City or town**8b** State/Province/Region**8c** Country (including postal code)**9** GIIN**10** TIN**Part II Account Holder or Recipient Information****1** Name of Account Holder or Recipient**2** Number, street, and room or suite no. (if P.O. box, see instructions)**3a** City or town**3b** State/Province/Region**3c** Country (including postal code)**4** TIN**5** If account holder or recipient is an entity, check applicable box to specify the entity's type: Owner-Documented FI with specified U.S. owner(s) Passive Non-Financial Entity with substantial U.S. owner(s) Non-Participating FI Specified U.S. Person**Part III Identifying Information of U.S. Owners that are specified U.S. Persons****1** Name of Owner**2** Number, street, and room or suite no. (if P.O. box, see instructions)**3a** City or town**3b** State/Province/Region**3c** Country (including postal code)**4** TIN of Owner**Part IV Financial Information****1** Account Number**2** Currency Code**3** Account Balance**4a** Interest**4c** Gross proceeds/Redemptions**4b** Dividends**4d** Other

**Part V Pooled Reporting Type**

1 Check applicable Pooled Reporting Type (check only one):

 Recalcitrant account holders with U.S. Indicia Dormant Accounts Recalcitrant account holders that are U.S. persons Recalcitrant account holders without U.S. Indicia Non-participating foreign financial institutions Recalcitrant account holders that are passive NFFEs

2 Number of Accounts

3 Aggregate payment amount

4 Aggregate account balance

5 Currency Code

Form **8966** (2014)

**DRAFT AS OF**  
**August 13, 2013**  
**DO NOT FILE**