

U.S. DEPARTMENT OF EDUCATION  
OFFICE OF ELEMENTARY AND SECONDARY EDUCATION  
WASHINGTON, DC 20202-6335

# INDIAN EDUCATION PROGRAMS

## Application for Formula Grants to Local Educational Agencies

CFDA Number: 84.060

### Formula Grant EASIE (Electronic Application System for Indian Education) Part II



**Disclaimer:**

This is not a paper application but a hard-copy representation of the EASIE online system. Applicants must apply on EASIE unless they qualify for and receive a paper application.

**PAPERWORK BURDEN STATEMENT**

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for Formula Grant EASIE (Electronic Application System for Indian Education) is 1810-0021. The time required to complete the information collection is estimated to average 7.3094 hours per response, inclusive of both Part I and Part II, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have any comments concerning the accuracy of the time estimate or suggestions for improving this form, please write to: U.S. Department of Education, Washington, D.C. 20202-4700. If you have comments or concerns regarding the status of your individual submission of this form, write directly to: Office of Indian Education, U.S. Department of Education, Lyndon B. Johnson Building, 400 Maryland Avenue, S.W., Room 3E205, Washington, DC 20202-6335.

## U.S. DEPARTMENT OF EDUCATION Office of Indian Education

This package contains instructions and forms for Part II of the grant applications for the Formula Grants to Local Educational Agencies Program (CFDA 84.060). The purpose of the Indian Education Formula Grant program, authorized in Part A, Subpart 1, of Title VII of the Elementary and Secondary Education Act (the Act), is to assist eligible applicants to provide Indian students with the opportunity to meet the same challenging state standards as all other students and meet the unique educational and culturally related academic needs of American Indian and Alaska Native students. The Act and the instructions in this application package are to be used in developing Part II of your application. Your application provides funds for your school year (SY) 20~~14-15~~<sup>13-14</sup> project.

### General Instructions

#### Applying for Funds

Applicants for grants from the U.S. Department of Education (ED) must compete for limited funds. Deadlines assure that all applicants are treated fairly, equally, and without last minute haste.

The rules, including the deadline, for applying for each grant are published, individually, in the *Federal Register*. A one-year subscription to the *Federal Register* may be ordered from the U.S. Government Printing Office, Washington, D.C. 20402-9371. Order from <http://bookstore.gpo.gov>. The *Federal Register* is also available free on the Web at <http://www.federalregister.gov>.

The instructions in the *Federal Register* must be followed exactly. Do not accept any other advice you may receive. No ED employee is authorized to extend any deadline published in the *Federal Register*.

Questions regarding submission of applications may be addressed to:

U.S. Department of Education  
Office of Indian Education  
400 Maryland Ave., SW  
Washington, D.C. 20202-6335

**Formula Grant EASIE.** The method for applying for funds for this program is Web-based, using the Formula Grant Electronic Application System for Indian Education (Formula Grant EASIE). Please see <http://www.EASIE.org/> to register for access to Formula Grant EASIE. If you need assistance, please contact our Partner Support Center:

U.S. Department of Education Partner Support Center  
Telephone: 877-457-3336 (877-HLP-EDEN)  
Fax: 888-329-3336 (888-FAX-EDEN)  
TTY/TDD: 888-403-3336 (888-403-EDEN)  
[eden\\_oie@ed.gov](mailto:eden_oie@ed.gov)

**Paper submission.** Paper submission is permitted **with pre-arrangements** for districts that are unable to use Web-based submission because they have no Internet connection or inadequate Web access. For paper submission, contact the U.S. Department of Education EDEN Partner Support Center as shown above.

If you are a district that made pre-arrangements and are submitting a paper form, please follow the directions in the *Federal Register* notice for submitting your paper application. Use the forms that you received in response to your request for paper submission, not the sample forms attached to these instructions.

#### Two Parts of the Application; Deadlines

Applications submitted must address all required information in order to be funded.

- Part I of the application consists of the Indian Student Count. **The deadline for Part I is ~~March 8,~~ February 28, 2014.**
- If Part I is submitted by the closing date, you will complete an application for Part II. The deadline for Part II is May 30, 2014. Part II of the application contains five sections:
  - Section 1. General Applicant Information: requests identifying information about the entity or entities applying for funds.

- **Section 2. Coordination of Services for American Indian/Alaska Native Students:** requests information about your LEA's comprehensive program, which may be funded through a combination of local, State, and/or federal funds.
- **Section 3. Project Description:** requests information about the objectives, activities, and evaluation of your proposed Indian Education formula grant project.
- **Section 4. Budget Information-Information for Indian Education Formula Grant Funds:** requests information about how you plan to spend the Indian Education formula grant funds.
- **Section 5. Forms and Additional Information Other Project Information Required of all Applicants:** contains Program Assurances and certification document
- **Section 6.** contains: Waiver Request and Waiver Use Report Forms, information for Applications Under 7116, Integration of Services, -Parent Committee Approval form, Sample Consortium Agreement form, Sample Agreement for Tribes Applying in Lieu of LEAs, and comment box for any additional information.

- If Part I is submitted by the closing date, you will complete an application for Part II in which you will describe the performance of students in your school system, identify your program objectives and how they will be measured, and provide details on the budget for your project. **The deadline for Part II is May 14, 2013.**

### Late Applications

Late applications will not be accepted ~~for the 2013-14 school year and all subsequent years.~~ All applicants must meet the pre-established deadlines for both Part I and Part II to be eligible for funding.

### Transmittal Instructions for Formula Grant EASIE

**Using Formula Grant EASIE.** If you use the online Formula Grant EASIE, application information is transmitted electronically to the Department. **Be sure that you complete the certification screen by clicking the [I CERTIFY] button.** If you don't click the [I CERTIFY] button, your application is not complete and is not transmitted. After you certify, click [Continue] and download the PDF file of your application to your computer or to a disk. You must do this before the due date of the application period. This is your permanent record.

## Specific Instructions for Part II

**Contact Information, Allocation, and Student Count.** This information will be pre-entered for you based on the information you provided with your Part I application.

**Required Items.** This application package includes [26-28](#) numbered items [in 6 sections](#); each numbered item consists of one or more questions or one or more tables. Which items you must complete and submit is determined by several factors.

Type of application (your response to item 1)

- If you are applying as a regular formula grant program, then you must complete and submit items 1 – [20b](#). Item [20b](#) requires the original signature of an authorized official from your LEA.
- If you are applying as a formula grant project consolidated with a Title I school-wide program, then you must complete and submit items 1-[85](#), [40](#), [19](#), and [2018-20b](#). Item [20b](#) requires the original signature of an authorized official from your entity.
- If you are applying for integration of services under ESEA section 7116, then you must complete and submit items 1-[85](#), [40](#), [19](#), [20](#), [2318-20a](#), [23](#) and 24. Item [20b](#) requires the original signature of an authorized official from your LEA.

Single entity applicant or multi-entity applicant

- If you are a single-entity applicant (a single LEA, or a single BIE school, or a tribe applying in lieu of a single LEA), then you must complete each of the required items once for your project, using data for that entity.
- If you are a multi-entity applicant (a consortium of LEAs, a consortium of BIE schools, or a tribe applying in lieu of multiple LEAs) then use the following guidelines for submitting required items.
  - Complete the following items **once for each participating LEA** in your project: items [3–81-5](#).
  - Complete the remaining required items **once for your project**; the information covers all participating LEAs.
  - Complete the [Sample Consortium Agreement form](#), [item on page 27](#), inclusive of all participating LEAs and submit on or before the EASIE part II deadline.

Administrative Costs

- If your planned budget includes administrative costs of more than 5%, then you must complete and submit item 21.
- If your most recent prior-year grant award included a waiver of the administrative cap, then you must complete and submit item 22.

Parent Committee Requirements

- If you are not a Bureau of Indian Education entity or a tribe applying in lieu of an LEA(s), then you must complete and submit item 25. This item must be signed by representatives of the Parent Committee and submitted with your application.
- If you are a Bureau of Indian Education entity or a tribe applying in lieu of an LEA(s), then you do not need to complete and submit item 25.
- The Parent Committee form must be received on or before the EASIE Part II deadline to be considered eligible for funding.

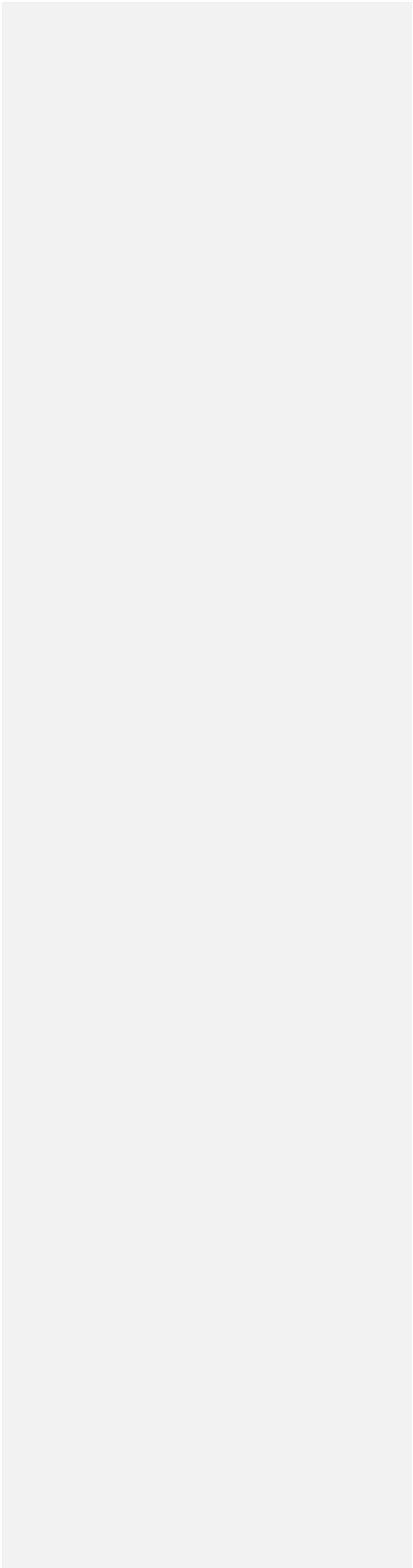
Comments to the Office of Indian Education

- Item 26 is available to any applicant that wishes to provide brief explanatory information about their application.

Please be certain to **complete all required items** and to **include all required forms** in your submission package. Also be sure to **provide a copy to your state education agency**, as specified in the general instructions on p. 3 and to **keep a file copy** for yourself.

**Item-by-Item Instructions.** Instructions for each item are contained in the form. Consult the FAQ document for additional explanatory information. To access the FAQ document, go to the main page of EASIE and click on Getting Started. The first page of Getting Started provides a button to download the FAQ document. If you don't have access to the Internet, you can obtain the FAQ document by calling the Partner Support Center; see contact information provided on p. 2 of this application package.

This application contains a very limited amount of free narrative response. Where you are asked to select items from a list to enter into a table, please use only those items. Other responses will not be accepted.



**Application for SY ~~2013—14~~2014-15 Funds Under the  
Indian Education Formula Grants to Local Educational Agencies  
Part II**

**PROJECT IDENTIFYING INFORMATION SECTION 1: GENERAL APPLICATION INFORMATION**

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**1. PROJECT IDENTIFYING INFORMATION**

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Alignment: Left + Aligned at: 0.25" + Indent  
at: 0.5"

**Please fill in -the following information:**

Applicant Name:
DUNS #
PR #
Address:
Contact Name:
Telephone:
E-mail:
Allocation:
Allocation was based on student count of:

**42. Application Type.** Identify the type of application you are submitting for SY ~~2013—14~~2014-15 (check exactly one):

Regular formula grant program (complete items 1 – 20b; complete items 21 and/or 22 if they apply for a waiver; and complete item 25 unless you are a school funded by the Bureau of Indian Education or a tribe applying in lieu of an LEA; complete item 26 if needed.)

Formula grant project consolidated with a Title I school-wide program (complete items 1-~~58, 40, 19, and 20~~and 18-20b; complete item 25 unless you are a school funded by the Bureau of Indian Education or tribe applying in lieu of an LEA; complete item 26 if needed.)

Integration of services under ESEA section 7116 (complete items 1-~~58, 18-20b-40, 19, 20,~~ 23, and 24; complete item 25 unless you are a school funded by the Bureau of Indian Education or a tribe applying in lieu of an LEA; complete item 26 if needed.)

**2. Award start date.** The date for your SY ~~2013-2014 – 14~~515 grant award to begin is July 1, ~~2013~~2014. The end date of the award period will automatically be June 30, ~~2014~~2015.

**LEA ASSESSMENT INFORMATION**

Complete items 3 – 8 for each LEA participating in your project. If your project includes only one LEA, then use the forms below. If your project includes multiple LEAs, then duplicate the forms for items 3–8 and complete a set for each LEA. In this case, write the name of the LEA at the top of **each** page that contains data for that LEA.

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**3. Identify the LEA.**

\_\_\_\_ Name: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_ City: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_ State: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_ NCES Number: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_ DUNS Number: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_ Check here if this is the lead  of a consortium: \_\_\_\_\_

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**43. Grades Offered in SY 2011–2012 – 142.** Indicate the grade levels offered by this LEA. Check all that apply. *Grades offered* refers to the grades at which instruction is offered to students by the LEA or BIE school. This is unrelated to whether any Indian students are in that grade or whether your Title VII project will provide activities for that grade.

\_\_\_ PK \_\_\_ K \_\_\_ 1 \_\_\_ 2 \_\_\_ 3 \_\_\_ 4 \_\_\_ 5 \_\_\_ 6 \_\_\_ 7 \_\_\_ 8 \_\_\_ 9 \_\_\_ 10 \_\_\_ 11 \_\_\_ 12

**5. State Assessment Data for 2011–12.** Provide the results for Indian students and all students in this LEA for SY 2011–12 state assessments for **all grades and subjects** covered by state assessments. This is unrelated to whether any Indian students are in that grade or whether your Title VII project will provide activities for that grade or subject. Use the tables for which your state has assessments; if your state does not assess in all of these subjects, then you will leave some tables blank. If your state does not assess at every grade level, then you will leave some rows blank. Use a zero to indicate that no students in your LEA were assessed or that no students were proficient; do NOT use a zero where your state did not give an assessment.

In the tables that follow, the number of students “assessed” should include ONLY those students who completed the assessment and had a valid score. If a student left the test in the middle, or for some reason his assessment could not be scored (was lost or mutilated or any other reason), then that student should not count as a student who was assessed.

**Section 2: Coordination of Services for American Indian/Alaska Native Students**

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**54. Coordination of Services with Formula Grant Programs.**

Please indicate which of the following LEA programs: [a] were available in your district during the last school year (2013-2014); and [b] if that program is currently coordinated with Title VII services.

Programs	[a] Is this program available?		[b] Is this program coordinated with Title VII services?	
	YES	NO	YES	NO
-				



<b><u>Department of Education Formula Grant Programs</u></b>				
<u>Title I</u>	-	-	-	-
<u>Homeless Children and Youth</u>	-	-	-	-
<u>Title III: English Language Acquisition</u>	-	-	-	-
<u>School Improvement Grants</u>	-	-	-	-
<u>Rural and Low-Income School Program</u>	-	-	-	-
<u>Impact Aid</u>	-	-	-	-
<u>Migrant Education</u>	-	-	-	-
<u>Other:</u>	-	-	-	-
<u>Other:</u>	-	-	-	-
<b><u>Other Federal, tribal, State, or local Programs (please specify):</u></b>	-	-	-	-
<u>BIE: Johnson O'Malley</u>	-	-	-	-
<u>Other:</u>	-	-	-	-
<u>Other:</u>	-	-	-	-

**565.- Description of Coordinated Services for American Indian/Alaska Native Students.**

Each application must include a description of the applicant's comprehensive program for meeting the culturally related academic needs of Indian children, including their language and cultural needs.

Describe how the Coordination of Services for AI/AN Students (using a variety of funding sources) will meet the needs of AI/AN students, including their language and cultural needs with an explanation of specific programs and activities. In your explanation, also include programs from the chart above in item 4 (Coordination of Services with Formula Grant Programs) that currently coordinate with your Title VII project, or which will coordinate with Title VII during the grant year.

Enter narrative response here...(Please limit to 1,000 characters):

LEA Name: \_\_\_\_\_

**SY 2011—12 Mathematics State Assessment Results for This LEA**

a	b	c	d	e	f	g
Grade	Total number of American Indian and Alaska Native students assessed*	Number of American Indian and Alaska Native students who scored Proficient or Above	% Proficient or Above of American Indian and Alaska Native students (col c ÷ col b) x 100	Total number of all students assessed*	Number of all students who scored Proficient or Above	% Proficient or Above of all students. (col f ÷ col e) x 100
PK						
K						
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						

**SY 2011—12 Reading (Language Arts/English) State Assessment Results for This LEA**

a	b	c	d	e	f	g
Grade	Total number of American Indian and Alaska Native students assessed*	Number of American Indian and Alaska Native students who scored Proficient or Above	% Proficient or Above of American Indian and Alaska Native students (col c ÷ col b) x 100	Total number of all students assessed*	Number of all students who scored Proficient or Above	% Proficient or Above of all students. (col f ÷ col e) x 100
PK						
K						
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						

\* Definition: Number of students "assessed" should include ONLY those students who completed the assessment and had a valid score. If a student left the test in the middle, or for some reason his assessment could not be scored (was lost or mutilated or any other reason), then that student should not count as a student who was assessed.

LEA Name: \_\_\_\_\_

SY 2011 – 12 Writing State Assessment Results for This LEA						
a	b	c	d	e	f	g
Grade	Total number of American Indian and Alaska Native students assessed*	Number of American Indian and Alaska Native students who scored Proficient or Above	% Proficient or Above of American Indian and Alaska Native students (col c ÷ col b) x 100	Total number of all students assessed*	Number of all students who scored Proficient or Above	% Proficient or Above of all students: (col f ÷ col e) x 100
PK						
K						
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						

SY 2011 – 12 Science State Assessment Results for This LEA						
a	b	c	d	e	f	g
Grade	Total number of American Indian and Alaska Native students assessed*	Number of American Indian and Alaska Native students who scored Proficient or Above	% Proficient or Above of American Indian and Alaska Native students (col c ÷ col b) x 100	Total number of all students assessed*	Number of all students who scored Proficient or Above	% Proficient or Above of all students: (col f ÷ col e) x 100
PK						
K						
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						

\* Definition: "Number of students assessed" should include ONLY those students who completed the assessment and had a valid score. If a student left the test in the middle, or for some reason his assessment could not be scored (was lost or mutilated or any other reason), then that student should not count as a student who was assessed.

LEA Name: \_\_\_\_\_

**6. Attendance for SY 2011 – 12.** Provide average daily attendance figures for this LEA. Average daily attendance for all students is required. Average daily attendance for Indian students is required if you select attendance as an objective for your project; (you will select objectives in item 9).

Definitions. (See the FAQs for calculations. ADA is not a percentage.)

(1) Average Daily Attendance (ADA) – The average number of students in daily attendance computed according to state law or regulation, or if no state definition exists, the aggregate number of days of attendance by students divided by the number of days of enrollment of students during the reporting period.

(2) Average Daily Attendance of Indian Students – The average number of Indian students in daily attendance computed according to state law or regulation, or if no state definition exists, the aggregate number of days of attendance by students divided by the number of days of enrollment of students during the reporting period.

Average daily attendance for all students: \_\_\_\_\_

Average daily attendance for Indian students: \_\_\_\_\_

**7. Graduation Data for SY 2011 – 12.** Provide graduation rates for this LEA. Graduation rates for all students and for Indian students are required if your LEA offers grade 12. Enter the value as a percentage to one decimal place (e.g. 57.4%).

Definitions.

(1) Graduation Rate for All Students – The percentage of students who graduated from high schools with a regular diploma. The calculation of the percentage rate is defined in the state's accountability plan in accordance with Title I, Section 200.19.

(2) Graduation Rate for Indian Students – The percentage of Indian students who graduated from high schools with a regular diploma. The calculation of the percentage rate is defined in the state's accountability plan in accordance with Title I, Section 200.19.

Graduation rate for all students: \_\_\_\_\_

Graduation rate for Indian students: \_\_\_\_\_

LEA Name: \_\_\_\_\_

**8. Non-ESEA Assessment Data for 2011–12.** Provide the results for Indian students and all students in this LEA on Non-ESEA SY 2011–12 assessments; this may be required as explained in the next paragraph.

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In item 9 of this application, you will be asked to set objectives for your project. If you decide to select mathematics, reading, science, attendance, and/or graduation as objectives, then your progress will be measured by the ESEA assessment data that you entered in items 4–7. If you decide in item 9 to select any other objectives (non-ESEA objectives such as technology or dropouts), then you must complete this table for each LEA for each objective to provide assessment data that can be used to measure your progress. For any row you enter data, you must provide the information for both Indian students and all students.

Permissible values. For each row of the table, write the code for the objective in column a, write the code for the assessment source in column b, and write the grade level in column c. Choose these from the following table of permissible values. Use only values in the chart; do not use any other values.

Permissible Values for Table 8		
For each row, choose one of these for column a:	For each row, choose one of these for column b	For each row, choose one of these for column c
DQ = Dropout Hist = History Math = Mathematics Rdg = Reading/language arts/ English SS = Social Studies Subs = Substance Abuse Tech = Technology Wr = Writing O = Other	CD = Curriculum Designed Tests EOC = End-of-Course Exams Exit = High School Exit Exam Stnd = LEA-Selected Standardized Test Pert = Student Portfolios O = Other Formal Assessment Base = This is a first-year objective; we will collect baseline data this year.	PK K 1 2 3 4 5 6 7 8 9 10 11 12
(If you select "Other" enter "Other" in column "a" of a row and also specify the topic of that objective in the item immediately above the table.)		

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Enter whole numbers into columns d, e, g, and h.

Enter percents rounded to a whole number in columns f and i.

Use a different row for each grade level of each assessment that you wish to report.

If you select "Other" as an objective, specify that objective here: \_\_\_\_\_

SY 2011–12 Other Assessment Information for This LEA								
a	b	c	d	e	f	g	h	i
Objective	Source	Grade	Total number of American Indian and Alaska-Native students assessed	Number of American Indian and Alaska-Native students who scored Proficient or Above	% Proficient or Above of American Indian and Alaska-Native students (col e ÷ col d) x 100	Total number of all students assessed	Number of all students who scored Proficient or Above	% Proficient or Above of all students (col h ÷ col g) x 100

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If you need more rows, you may duplicate this table.

## Section 3: Indian Education Project Description

Applicants submitting as a Title VII project consolidated with a Title I school-wide program should skip to Section 4

Applicants submitting under section 7116 (Integrated Services) should skip items 9 and 10 and go to item 23.

### 96. Indian Education Formula Grant Objectives.

Identify your specific project objectives towards the goal of providing culturally-responsive education for AI/AN students to meet their academic needs and help them meet State achievement standards. The objectives listed in the table align to the allowable activities and services as specified in Section 7115(b) of the ESEA.

#### Permissible Values for Item 96

Objective: The goal of providing culturally-responsive education for AI/AN students to meet their academic needs and help them meet State achievement standards.	Column a Type(s) of supplemental activity or service	Column b Grade levels served by activity or service
<ul style="list-style-type: none"> <li>• <u>Increase school readiness</u></li> <li>• <u>Increase integration of Indian specific content into curriculum</u></li> <li>• <u>Increase academic achievement</u></li> <li>• <u>Increase knowledge of cultural identity and awareness</u></li> <li>• <u>Enhance problem solving and cognitive skills development</u></li> <li>• <u>Increase school attendance rate</u></li> <li>• <u>Decrease school dropout rate</u></li> <li>• <u>Increase graduation rate</u></li> <li>• <u>Increase career readiness skills (e.g., technology skills, leadership skills)</u></li> <li>• <u>Increase college enrollment</u></li> <li>• <u>Increase substance abuse prevention</u></li> <li>• <u>Increase parent participation</u></li> </ul>	<ul style="list-style-type: none"> <li>• <u>Culturally-responsive early childhood programs and activities</u></li> <li>• <u>Culturally-responsive professional development</u></li> <li>• <u>Curriculum development integrating local knowledge, language and culture</u></li> <li>• <u>Indian education (e.g., language, history)</u></li> <li>• <u>Cultural enrichment (e.g., events, field trips, clubs)</u></li> <li>• <u>Culturally-responsive academic support (e.g., study skills, homework support)</u> <ul style="list-style-type: none"> <li>○ <u>overall</u></li> <li>○ <u>in reading or ELA</u></li> <li>○ <u>in mathematics</u></li> <li>○ <u>in science</u></li> <li>○ <u>in other subjects (e.g., social studies)</u></li> </ul> </li> <li>• <u>Culturally-responsive academic enrichment (e.g., after school programs, projects)</u></li> <li>• <u>College preparation (e.g., ACT or SAT preparation, exploration, advanced placement classes or testing)</u></li> <li>• <u>Career preparation (e.g., technology skills, internships)</u></li> <li>• <u>Gifted and talented programs</u></li> <li>• <u>Student advocacy or leadership</u></li> <li>• <u>Culturally-responsive mentoring</u></li> </ul>	<ul style="list-style-type: none"> <li>• <u>Pre-K</u></li> <li>• <u>Elementary school grades</u></li> <li>• <u>Middle school grades</u></li> <li>• <u>High school grades</u></li> </ul>

<u>Objective: The goal of providing culturally-responsive education for AI/AN students to meet their academic needs and help them meet State achievement standards.</u>	<u>Column a</u> <u>Type(s) of supplemental activity or service</u>	<u>Column b</u> <u>Grade levels served by activity or service</u>
	<ul style="list-style-type: none"> <li>• <u>Substance abuse prevention</u></li> <li>• <u>Culturally-responsive counseling</u></li> <li>• <u>Family literacy with culturally-based materials</u></li> <li>• <u>Parent involvement</u></li> </ul>	

**Instructions for completing the table:**

- (1) Complete a separate table for each objective. You must have at least one objective; however, you may have several.
- (2) Select a permissible value for each objective.
- (3) In column a, identify each activity or service that will be used to address the objective. Use a separate row for each activity or service.
- (4) In column b, identify the grade levels to be served by each activity or service.

<u>Item 96 Proposed Project Objectives for SY 2014 – 15</u>	
<u>Objective #1:</u>	
<u>Column a</u> <u>Type(s) of activity or service</u>	<u>Column b</u> <u>Grade levels served by activity or service</u>

<u>Item 6 Proposed Project Objectives for SY 2014 – 15</u>	
<u>Objective #2:</u>	
<u>Column a</u> <u>Type(s) of activity or service</u>	<u>Column b</u> <u>Grade levels served by activity or service</u>



**407. Program Objectives Data Sources.** Use this section to describe how you will measure progress on each of the objectives.

**Permissible Values for Item 407**

<u>Column a. Objective</u>	<u>Column b. Data source</u>
<ul style="list-style-type: none"> <li>• <u>Increase school readiness</u></li> <li>• <u>Increase integration of Indian specific content into curriculum</u></li> <li>• <u>Increase academic achievement</u></li> <li>• <u>Increase knowledge of cultural identity and awareness</u></li> <li>• <u>Enhance problem solving and cognitive skills development</u></li> <li>• <u>Increase school attendance rate</u></li> <li>• <u>Decrease school dropout rate</u></li> <li>• <u>Increase graduation rate</u></li> <li>• <u>Increase career readiness skills (e.g., technology skills, leadership skills)</u></li> <li>• <u>Increase college enrollment</u></li> <li>• <u>Increase substance abuse prevention</u></li> <li>• <u>Increase parent participation</u></li> </ul>	<ul style="list-style-type: none"> <li>• <u>School readiness screening</u></li> <li>• <u>Documents (e.g., lesson plans, curriculum)</u></li> <li>• <u>State standardized test</u></li> <li>• <u>District benchmark assessment</u></li> <li>• <u>Other standardized achievement test</u></li> <li>• <u>Non-standardized achievement test</u></li> <li>• <u>Student portfolios</u></li> <li>• <u>Teacher surveys, interviews, or focus groups</u></li> <li>• <u>Classroom observations</u></li> <li>• <u>Parent surveys, interviews, or focus groups</u></li> <li>• <u>Student surveys, interviews, or focus groups</u></li> <li>• <u>Administrator surveys, interviews, or focus groups</u></li> <li>• <u>Attendance data</u></li> <li>• <u>Dropout data</u></li> <li>• <u>Graduation data</u></li> <li>• <u>College application and acceptance data</u></li> <li>• <u>Other data source – If you select “Other data source” enter “Other data source” in column b of a row and also specify the data source</u></li> </ul>

**Instructions for completing the table:**

- (1) In column a, identify the objectives chosen in item 8a. **Use a separate row for each objective.**
- (2) In column b, identify the data source(s) for each objective in column a. **Identify at least one data source for each objective.** If you identify more than one data source for an objective, use a new row for each objective and data source combination.

(3)

<u>Item 7 Data Sources</u>	
<u>Column a Objective</u>	<u>Column b Data source</u>

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## PROJECT DESCRIPTION

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Complete items 9 and 10 for your project (not separately for each participating LEA). The information you enter applies to the entire project including all participating LEAs.

**9. Objectives for SY 2013–14.** Determine your project objectives for SY 2013–14. Complete a separate table for each objective. You must have at least one objective; you may have several.

Note: Applicants submitting as a Title VII project consolidated with a Title I schoolwide program should skip item 9. Applicants submitting under section 7116 (Integrated Services) must complete item 23 in lieu of item 9.

### Permissible values

Choose a permissible value (from the chart below) for your first objective. Enter it into row 1 of your table. In row 2 of your table, check the grade levels which you will target for this objective. In column a of the remaining rows of that table, enter one of the services listed below that you will offer for the purpose of improving results on this objective. In columns b–d for that row, enter the frequency, location, and activity of that service, choosing in each case from the values in the chart below. Use only the values in the chart; do not enter other values. Fill in as many rows as needed to represent all of the services you will provide in support of improving results on that objective. You must complete at least one row. If you choose to have additional objectives, fill in a table for each objective, as described above. If you selected any objectives other than attendance, graduation, mathematics, reading, or science, review item 8 to ensure that you have provided assessment data for each LEA for each objective.

### Permissible Values for Table 9

Permissible Row 1 Values	Permissible Column a, b, c, and d Values			
Row 1: Objective. Pick one and only one for each table.	Col. a: Service Pick one and only one for each row of your table.	Col. b: Frequency Pick one and only one for each row of your table.	Col. c: Location Pick one and only one for each row of your table.	Col. d: Activity Pick one and only one for each row of your table.
Attendance Dropout Graduation History Mathematics Reading Science Social Studies Substance Abuse Technology Writing Other  (If you select "Other" as an objective, enter "Other" in row 1 and also specify the topic of that objective in row 1)	Attendance Dropout Prevention Language Arts and/or Writing Literacy: Family Mathematics: Accelerated Mathematics: Intervention Native American Studies Native Language Instruction Pre-Advanced Placement (AP) Preparation Reading: Accelerated Reading: Enhancement and Writing Reading: Family Literacy Reading: Intervention Reading Recovery Program Reading: Success for All School Readiness Science Social Studies State or End-of-Course Exam Preparation Substance Abuse Prevention Technology: Use and Proficiency Tribal History	Before-School After-School Grading Periods Semester Tri-Semester Evenings Weekends Daily Four Times a Week Three Times a Week Twice a Week Once a Week Bi-Weekly Three Times a Month Twice a Month Once a Month Quarterly Year-Round Summer Special Events	Camps Chapter House Community Centers Historical Sites In-Class LEA Office/Region Other Organizational Designated Sites Pull-outs Residential Hall School Tribal Agencies/Offices Tribal Facilities University Campus	ACT or SAT Preparation and Testing Advanced Placement (AP) Preparation AP Coursework and Testing Career and/or College Guidance Civic Projects Counseling Creative Writing Credit Recovery or Completion Experiential Learning Libraries: Cultural Lending of Materials Multicultural Affairs or Events Storytelling Study Skills Development Student Clubs with Academic Emphasis Tutoring: Skill Development Individually Tutoring: Skill Development in Small Groups Tutoring: Homework Assistance Individually Tutoring: Homework Assistance in Small Groups Youth Leadership: Groups or Clubs

Go to the next page for data entry tables in which to enter your objectives.

<b>Your Project's Objective for SY 2013--14</b>			
Objective #1: (fill from row 1 list)			
Grades: (check all that apply) <input type="checkbox"/> PK <input type="checkbox"/> K <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5 <input type="checkbox"/> 6 <input type="checkbox"/> 7 <input type="checkbox"/> 8 <input type="checkbox"/> 9 <input type="checkbox"/> 10 <input type="checkbox"/> 11 <input type="checkbox"/> 12			
a (fill from column a list)	b (fill from column b list)	c (fill from column c list)	d (fill from column d list)

<b>Your Project's Objective for SY 2013--14</b>			
Objective #2: (fill from row 1 list)			
Grades: (check all that apply) <input type="checkbox"/> PK <input type="checkbox"/> K <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5 <input type="checkbox"/> 6 <input type="checkbox"/> 7 <input type="checkbox"/> 8 <input type="checkbox"/> 9 <input type="checkbox"/> 10 <input type="checkbox"/> 11 <input type="checkbox"/> 12			
a (fill from column a list)	b (fill from column b list)	c (fill from column c list)	d (fill from column d list)

<b>Your Project's Objective for SY 2013--14</b>			
Objective #3: (fill from row 1 list)			
Grades: (check all that apply) <input type="checkbox"/> PK <input type="checkbox"/> K <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5 <input type="checkbox"/> 6 <input type="checkbox"/> 7 <input type="checkbox"/> 8 <input type="checkbox"/> 9 <input type="checkbox"/> 10 <input type="checkbox"/> 11 <input type="checkbox"/> 12			
a (fill from column a list)	b (fill from column b list)	c (fill from column c list)	d (fill from column d list)

If you need additional tables for more objectives, you may duplicate this page.

10.

**8. Coordination of Services Professional Development.**

Describe the professional development opportunities that will be **provided by your coordination of services** to ensure that teachers and other school professionals who are **new** to the Indian community are prepared to work with Indian children, and **all teachers who will be involved** in programs assisted by this grant, have been properly trained to carry out such programs. The professional development opportunity or opportunities can be funded using other local, State, and/or federal funds. (The specific use of Indian Education formula grant funds will be detailed in Sections 3 and 4.)

Note: The professional development opportunity or opportunities identified in item 68 do not have to be new opportunities or opportunities for which funding is requested. Applicants should include existing professional development opportunities that will continue to be implemented in the school year for which funding is requested, as long as those opportunities are components of the coordinated services program.

**Permissible Values for Item 68**

Pick one of the following to enter in column a <b>(Types of staff)</b>	Pick one of the following to enter in column b <b>(Type of professional development activity)</b>	Pick one of the following to enter in column c <b>(Content)</b>
<ul style="list-style-type: none"> <li>• <u>All teachers and other school professionals</u></li> <li>• <u>Teachers and other school professionals that are <b>new</b> to the Indian community</u></li> <li>• <u>Subset of teachers (please identify or describe how this group is defined)</u></li> <li>• <u>LEA staff (example, Title VII coordinators)</u></li> <li>• <u>Other non-teaching staff (examples: counselors, Parent Committee)</u></li> </ul>	<ul style="list-style-type: none"> <li>• <u>Workshops/Sessions</u></li> <li>• <u>Conferences, including national, regional, state, or LEA-sponsored</u></li> <li>• <u>Pre-service training or orientation</u></li> <li>• <u>School-based coaching or mentoring</u></li> <li>• <u>Other (example: online courses, webinars, Tribal Agency training)--if you select "Other" as a professional development activity, enter "Other" in column b of a row and also specify the activity there.</u></li> </ul>	<ul style="list-style-type: none"> <li>• <u>Integrating Indian- specific content into the general curriculum</u></li> <li>• <u>Indian Education-specific (example: instruction specific to language or specific Indian curricula)</u></li> <li>• <u>Cultural Awareness Education and Sensitivity (example: working with Indian students)</u></li> <li>• <u>Use of Data/ Data-driven decision making (example: examining patterns in Indian Student test data; using data to focus instruction for AI students; identification of exceptional students)</u></li> <li>• <u>Impact of district policies on AI students (example: grading policies, attendance policies, discipline, suspensions, etc.)</u></li> <li>• <u>Other--if you select "Other" as content, enter "Other" in column c of a row and also specify the content there.</u></li> </ul>

**Instructions for completing the table:**

- (1) Using permissible values, enter the types of staff that will be served by professional development activities to support the coordination of services program in column a. Use a new row for each type of staff.
- (2) Using permissible values, in column b enter the type of professional development activity that each type of staff identified in column a will receive.
- (3) Using permissible values, in column c enter the content of each professional development activity entered in column b.

<b>Item 68</b>		
<b>Coordination of Services Program Professional Development Activities for SY 2014 – 15</b>		
Column a Types of staff	Column b Type of professional development activity	Column c Content

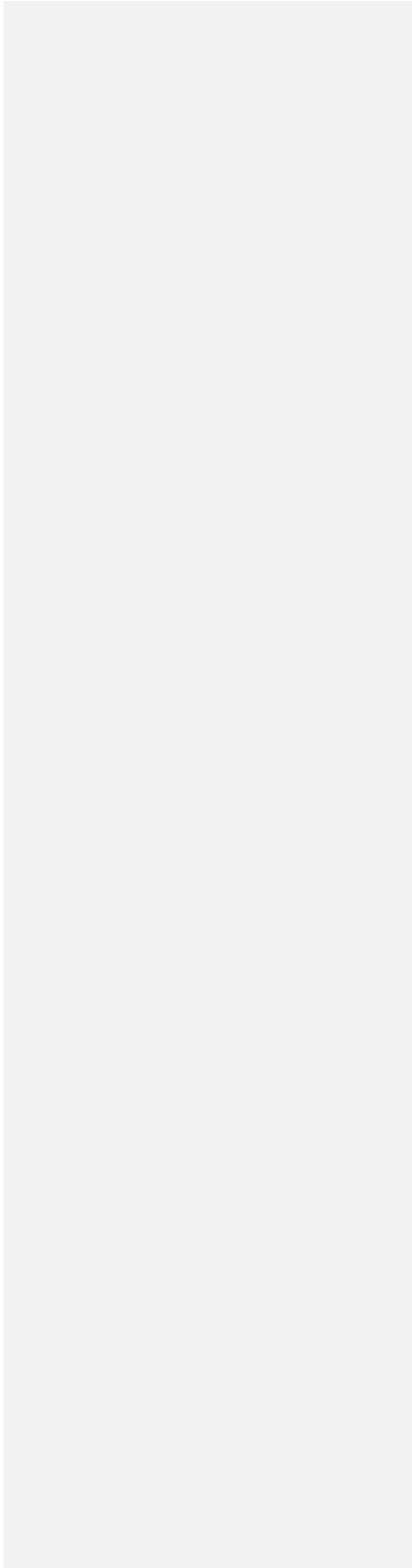
*You may duplicate this table, as needed.*

**Professional Development in Support of Your FY 2013 – 14 project.** Identify the professional development opportunities planned using grant funds.

Permissible values: For each row of the table, write in the type of staff in the first column and the type of opportunity in the second column. Choose these from the following table of permissible values. Use only values in the chart; do not use any other values.

<b>Permissible Values for Table 10</b>	
Pick one of the following to enter in column a.	Pick one of the following to enter in column b
Project staff Teachers and Other School Professionals All LEA staff	Assessments and Related Training LEA Required Training Multi-cultural Education and Sensitivity National Educational Conferences OIE sponsored Workshops/Sessions Orientation on Working with Indian Students Other Conferences Related to Indian Education Program Objective specific and Related Training Regional Educational Conference Standards-based Related Training State sponsored Session or Conferences Subject-specific and Related Training Other  <small>(If you select "Other" as a professional development opportunity, enter "Other" in column b of a row and also specify the opportunity there.)</small>

<b>Your Project's Planned Professional Development for SY 2013 – 14</b>	
a Staff	b Professional Development Opportunity

## SECTION 4: BUDGET INFORMATION

Complete the budget section for your project (not separately for each participating LEA). [The budget must match the objectives you selected for items in section 3.](#) The information you enter covers the entire project including all participating LEAs.

Total costs in your budget cannot exceed your allocation. [If your administrative costs exceed 5% of your allocation, you must reduce those costs to less than 5%, or complete the waiver request form \(item 21 and 22\).](#) ~~If your administrative costs exceed 5% of your allocation, you must complete the waiver request form (item #21)~~

Note: Applicants submitting as a Title VII project consolidated with a Title I schoolwide program should skip [Section 4, items 11–18](#). Applicants submitting under section 7116 (Integrated Services) must complete item 23 in lieu of items 11 – 18.

### 419. Supplemental Information.

[By checking this box, I will ensure that the Indian Education formula grant funds will supplement and not supplant other funding for the education of Indian children, with the goal of providing culturally-responsive education to meet the academic needs of AI/AN students and to assist those students in meeting State achievement standards. See FAQs for guidance and examples.](#)

**4110. Personnel Budget.** Complete the table for personnel to be funded by the project.

Permissible values. Complete rows ~~4 and 2a-g~~ (Project Director and Project Coordinator) as they are applicable. Complete additional rows for each type of personnel at each certification status and each percentage of time. [Example, if you have some academic advisors who work 20% time on the project and other academic advisors who work 30% time on the project, you would need to complete two rows for academic advisors.]

Choose values for columns ~~a and b~~ from the following table of permissible values.

<b>Permissible Values for Table 11.</b>	
For each row, pick one of the following values for column a.	For each row, pick one of the following values for column b. Use the abbreviation.
Academic Advisors Administrative Assistants College instructors Consultants Counselors, Guidance Counselors, Mental Health Cultural Resource Specialists Curriculum Specialists Data Specialists Home/School Coordinators Instructional Assistants Language Instructors Liaisons, Home/School or Community Nutrition Specialists Reading Coaches or Specialists Social workers Support, Clerical or Secretarial Teachers Tribal Elders Youth Development Specialists Other  (If you select "Other", enter "Other" in column a of a row and also specify the personnel type it represents.	Cert = Certified NC = Not Certified

- | For each row, enter in column b the number of personnel in this group; enter in column d the percent of time that group of personnel will be assigned to and funded by the project; enter dollar values in columns e, f, and g; enter in column h the sum of the values for columns e, f, and g for that row. Use as many rows as necessary.
- | On the last row of your table, enter the column totals for columns e, f, g, and h.



Your Project's Personnel Budget for SY <del>2013-14</del> 2014-15							
a Type of Personnel	b Certification status	eb #	ec % of time	ed Admin Cost (\$)	fe Program Cost (\$)	gf Fringe Cost (\$)	hg Total Cost (\$)
Project Director							
Project Coordinator							
Category Subtotals							

**4211. Travel Budget.** Complete the table for travel to be funded by the project.

Enter the dollar value for each type of travel. Enter row and column totals.

Your Project's Travel Budget for SY <del>2013-14</del> 2014-15			
Type of Travel	Admin Cost (\$)	Program Cost (\$)	Total Cost (\$)
In-district <u>(non-PD)</u>			
Out of district <u>(non-PD)</u>			
Professional development <u>(only)</u>			
Category Subtotals			

**4312. Equipment Budget.** Complete the table for equipment to be funded by the program (defined as a single item with value exceeding \$5,000).

In column a, identify the item in your own words.

In column b, enter the code to identify the purpose as one of the following:

Instr = Instructional Service Delivery

Prog Mgmt = Program Management

In columns c and d, enter dollar values.

Use as many rows as necessary.

Enter row and column totals.

Your Project's Equipment Budget for SY <del>2013-14</del> 2014-15				
a Item	b Purpose	c Admin Cost (\$)	d Program Cost (\$)	e Total Cost (\$)

Category Subtotals				
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**4413. Supplies Budget.** Complete the table for supplies to be funded by the project.

Enter the dollar value for supplies of each listed type. Note that Direct Instructional Materials and Student Consumables have no administrative cost. Enter row and column totals.

Your Project's Supplies Budget for SY <del>2013-14</del> 2014-15			
a Item	b Admin Cost (\$)	c Program Cost (\$)	d Total Cost (\$)
Direct Instructional Delivery			
Student Consumables			
Program Management			
Category Subtotals			

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**4514. Contractual budget.** Complete the table for contractual services to be funded by the project.

Enter the dollar value for contractual services for each listed purpose. Note that Direct Instructional Materials and Student Consumables have no administrative cost. If you use the row for "Other", describe the expense in the column a. Enter row and column totals.

Your Project's Contractual Budget for SY <del>2013-14</del> 2014-15			
a Purpose	b Admin Cost (\$)	c Program Cost (\$)	d Total Cost (\$)
Direct instructional delivery			
Student evaluations			
Program management			
Other. Specify:			
Category subtotals			

**4615. Other Budget.** Complete the table for other expenses to be funded by the project.

Enter the dollar value for other expenses for each listed purpose. Note that Direct Instructional Materials, Student Activities Related to Services, and Student Consumables have no administrative cost. If you use the row for "Other", describe the expense in the column a. Enter row and column totals.

Your Project's Other Budget for SY <del>2013-14</del> 2014-15			
a Purpose	b Admin Cost (\$)	c Program Cost (\$)	d Total Cost (\$)
Direct Instructional Delivery			
Student Activities Related to Services			
Student Consumables			
Program Management			
Professional Development (non-travel related)			
Other. Specify:			
Category subtotals			

**4716. Indirect costs.** Enter your entity's restricted indirect cost rate. The rate should be entered as a percent and may have up to two decimal places (e.g. 27.234.08%). If you choose to budget project funds for indirect costs, enter the total amount of indirect costs associated with the project. You are entitled to budget indirect costs from your Title VII allocation up to a maximum of your indirect cost rate times your allocation. You can budget a lesser amount of your indirect costs from your Title VII allocation. You do not have to budget for indirect costs. In that case, you may leave the table blank.

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Your Project's Indirect Costs for SY <del>2013-14</del> 2014-15	
Rate (%)	Total (\$)

**48a17a. Budget Summary.** Transcribe the "category total" from each budget category to column b of this summary table. The "category total" is the value in the bottom right-hand cell of each category table. Be sure that the values in the summary table match the values in the category tables. Enter the percent for each row and enter column totals.

Your Project's Budget Summary for SY <del>2013-14</del> 2014-15		
a Budget Category	b Category Subtotal	c Percent of Overall Allocation
Personnel		
Travel		
Equipment		
Supplies		
Contractual		
Other		
<u>Total Direct Charges</u>		
Indirect Costs		
Total <u>Costs</u>		

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**48b17b. Administrative Costs Summary.** - Administrative costs cannot exceed 5% of your grant award. Transcribe the category subtotals for administrative costs to column b in the following table. The category subtotals for administrative costs are found as the last row of the administrative cost column of each of the budget category tables except indirect cost. Be sure the values in the administrative cost summary table match the values in the category tables. In the last row, enter the total Administrative Cost in column b. In column c, enter the percent calculated as the last row of column b divided by the LEA's total allocation for the Indian Education formula grant for SY ~~2012-13~~2014-15.

Your Project's Administrative Cost Summary for SY <del>2013-14</del> 2014-15		
a Budget Category	b Administrative Cost Category Total	c Percent of Overall Allocation
Personnel		
Travel		
Equipment		
Supplies		
Contractual		
Other		
Totals		

If the value in the last row of column c is more than 5%, then you are required complete and submit the waiver request (item 21).

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## SECTION 5: OTHER PROJECT INFORMATION REQUIRED OF ALL APPLICANTS

**1918. Dissemination and Use of Assessment Data.** Provide information on how the state assessment data of all Indian students (not just those served) are used. Indicate how you plan to disseminate information to the Indian community and Parent Committee. Check all that apply. All applicants must respond to these items.

Timeframe last assessment(s) was conducted: SY 2011—12

Method of dissemination to Indian community and Parent Committee:

- Public hearing for application
- Parent Committee meeting
- Other open meeting
- Within a written report
- Posted on website
- Sent home with student(s)
- Radio broadcast
- Newsletter

**19. Additional Assessment Data Use.** How are the LEAs responding to findings of previous assessment(s) Indicate how assessment data from the previous school year were used (check all that apply)

- No changes in services/programs
- Modification to services/programs at LEA level Describe: \_\_\_\_\_
- Modification to services/programs within project Describe: \_\_\_\_\_

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**20a. Program Assurances.** The following are the program assurances that are referenced in item 20b and to which the applicant LEA must agree. The signature of the authorizing official in item 20b will indicate agreement to these provisions.

### **Additional Program Assurances for 84.060 - Indian Education Formula Grants**

1. If the applicant is an LEA, it assures that funds received under this program will be used only to supplement the level of funds that, in the absence of the Federal funds made available under this program, the LEA would make available for the education of Indian children, and not to supplant such funds. (Section 7114(c)(1) of Part A, Title VII)
2. It assures that it will submit a performance report, or, for the last year of a project, a final report, that evaluates at least annually: (a) the grantee's progress in achieving the objectives in its approved application; (b) the effectiveness of the project in meeting the purposes of the program; and (c) the effect of the project on participants being served by the project. (34 CFR 75.590)
3. It assures that it will cooperate in any evaluation of the program by the Secretary. (34 CFR 75.591)
4. It assures that the program for which funds are sought is based on a comprehensive local assessment and prioritization of the unique educational and culturally related academic needs of the American Indian and Alaska Native students for whom the LEA is providing an education. (Section 7114(c)(3) of Part A, Title VII)
5. It assures that it will use the best available talents and resources, including persons from the Indian community. (Section 7114(c)(3) of Part A, Title VII)
6. It assures that it has developed the project for which application is made (a) in open consultation with parents of Indian children and teachers and, if appropriate, Indian students from secondary schools, including through public hearings held to provide a full opportunity to understand the program and to offer recommendations regarding the program; and (b) with the participation of a parent committee selected in accordance with section 7114(c) of the statute; and (c) with the written approval of that parent committee. (Section 7114(c)(3)(4) of Part A, Title VII)

7. It assures, if it is a local educational agency, that the parent committee will adopt and abide by reasonable by-laws for the conduct of the activities of the committee. (Section 7114(c)(4) of Part A, Title VII)

~~8. It assures that the LEA has established policies and procedures, including policies and procedures relating to the hiring of personnel, that will ensure that the program will be operated and evaluated in consultation with, and with the involvement of, parents of the children, and representatives of the area, to be served. (Section 7114(c)(4)).~~

~~8. It assures that the policies and procedures, and sets forth such policies and procedures, including policies and procedures relating to the hiring of personnel, will ensure that the program will be operated and evaluated in consultation with, and with the involvement of, parents of the children, and representatives of the area, to be served. (Section 7114(c)(4) of Part A, Title VII)~~

9. It assures that an application for inclusion of these program funds in a Title I school-wide project, has been approved, in writing, by the parent committee and that the parent committee was allowed to review the application in a timely fashion, has determined that the program will not diminish the availability of culturally related activities for American Indians and Alaska Native students, and has determined that the Title I schoolwide project meets the purpose of the Indian education formula grant program. (Sections 7114(c)(4)(D) and 7115(c) of Part A, Title VII)

10. It assures that it will directly administer or supervise the administration of the project. (34 CFR 75.701)

11. It assures that it will keep records related to grant funds, program compliance and program performance and will afford the Secretary access to these records as the Secretary may find necessary to assure the correctness and verification of reports made by the applicant. (34 CFR sections 75.730-.732)

12. It assures that it has fiscal control and fund accounting procedures that insure proper disbursement of and accounting for Federal funds. (34 CFR 75.702)

13. Pursuant to Section 9306(a) of the Elementary and Secondary Education Act, it assures that:

- The program will be administered in accordance with all applicable statutes, regulations, program plans, and application;
- The control of funds provided under the program and title to property acquired with program funds will be in a public agency or in a nonprofit private agency, institution, organization, or Indian tribe, if the law authorizing the program provides for assistance to those entities and who will administer these funds and property to the extent required by the authorizing statute;
- The applicant will adopt and use proper methods of administering the program, including -- the enforcement of any obligations imposed by law on agencies, institutions, organizations, and other recipients responsible for carrying out the program; and the correction of deficiencies in program operations that are identified through audits, monitoring, or evaluation;
- The applicant will cooperate in carrying out any evaluation of the program conducted by or for the State educational agency (SEA, if the applicant is an LEA), the Secretary or other Federal officials;
- ~~• The applicant will use fiscal control and funds accounting procedures that will ensure proper disbursement of, and accounting for, Federal funds paid to the applicant under this program;~~
- The applicant will make reports, maintain records, provide information, and afford access to the State educational agency and the Secretary as may be necessary to enable the SEA, for LEA applicants, and the Secretary to carry out and perform their duties under this program; and
- Before the application is submitted, the applicant affords a reasonable opportunity for public comment on the application and has considered those comments.

**20b. Certification.** An authorized official must certify as follows.

The applicant certifies ~~by clicking the appropriate checkboxes~~ that by accepting the award for the Indian Education Formula Grant Program, this grantee will comply with all program assurances, agrees to carry out the program and meet all applicable requirements described herein. A copy of the Parent Committee Approval form (if applicable) is uploaded with this certification no later than the EASIE Part II deadline.

Signature: \_\_\_\_\_

Printed Name

Title

\_\_\_\_\_ Date

~~Title~~

**SECTION 6: ITEMS REQUIRED FOR SOME APPLICANTS**

**21. Waiver Request.** If your project's administrative costs for SY ~~2013-14~~2014-15 are budgeted at more than 5% of your allocation, you must complete the following form.

**Waiver Request for SY ~~2013-14~~2014-15  
Indian Education Formula Grant Program**

The administrative costs associated with this application total \_\_\_\_\_%.

Under the authority of Section 9401, a waiver is requested of the following statutory requirement:

- Administrative cap of 5% on grant funds under the Indian Education Formula Grant Program to Local Education Agencies (section 7115(d)).

This waiver is requested by:

LEA Name: \_\_\_\_\_

Mailing Address: \_\_\_\_\_

City/State/Zip \_\_\_\_\_

The waiving of this requirement will increase the quality of instruction for students by:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

The waiving of this requirement will improve the academic achievement of students as follows:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

The methods for measuring and evaluating the educational goals of the program are described within the accompanying application for the Indian Education Formula Grant Program. Approval of this waiver will not affect the population to be served by this application. The students to be served are those students certified as Indian according to the Indian Student Eligibility Form (ED 506 form) and for whom the services of this project are targeted according to the project's objectives.

The duration of this waiver will be for a maximum period of one year.

Authorized signature: \_\_\_\_\_

Printed Name: \_\_\_\_\_ Title: \_\_\_\_\_ Date \_\_\_\_\_  
Title: \_\_\_\_\_

**22. Waiver Use Report.** If you received a waiver of the administrative cap for your prior year's grant, you must complete the following form.

**Waiver Use Report  
Indian Education Formula Grant Program**

For the previous grant year, the following LEA received a waiver of the requirement restricting the use of program funds for administrative purposes to 5%.

LEA Name: \_\_\_\_\_

Mailing Address: \_\_\_\_\_

City/State/Zip \_\_\_\_\_

Complete the following statements:

Under the waiver, the grantee

- Utilized \_\_\_\_% of the total funds for administrative purposes.
- Used these funds for administrative purposes to increase the quality of instruction to students as follows:

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

- Is improving the academic achievement of students as follows:

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_





**25. Parent Committee Approval.** This item must be completed and submitted with LEA applications and LEA consortium applications on or before the certification deadline by upload within the EASIE system, unless you are approved to submit the form outside the EASIE system. The form must contain original signatures of the required members of the Parent Committee (PC) for that applicant. **The applicant and Parent Committee (PC) are to locally determine the number of PC members that are required for PC approval of the application.** Please see the FAQs for more detail about this requirement.

Note: This form is not required of schools funded by the Bureau of Indian Education or a tribe that is applying in lieu of an LEA(s).

**PARENT COMMITTEE APPROVAL OF A TITLE VII FORMULA GRANT PROGRAM**  
Elementary and Secondary Education Act, Title VII, Part A, Subpart 1

Name: \_\_\_\_\_

PR Award #: \_\_\_\_\_

Address \_\_\_\_\_

City/State/Zip \_\_\_\_\_

Check the type of application submitted.

Check only one box:

Regular formula grant program

Title I school-wide program

Integration of Services (Section 7116)

Additional Assurances (Check all that apply):

This certifies that the Parent Committee for the above entity has participated in the development of the application for Title VII funds and approves the proposed project.

The application is for a **regular formula grant** project.

The Parent Committee has established bylaws.

The Parent Committee is composed of parents/guardians of Indian children in the local educational agency's schools; teachers in the schools; and if appropriate, Indian students attending secondary schools of the agency.

The application submitted will include project funds in a **Title I school-wide program**.

The Parent Committee determined that the program will not diminish the availability of culturally related activities for American Indian and Alaska Native students.

The Parent Committee also certifies that it had an opportunity to review the program in a timely fashion and it is consistent with the purpose of the formula grant program for American Indian and Alaska Native students.

The written approval of the parent committee must be obtained before Part II closes and must be uploaded within the EASIE system. The Parent Committee locally determines the number of PC members that are required for PC approval of the application. Parent committee members' signatures, titles and date are required.

\_\_\_\_\_  
 Name Date

Title: (Choose one)

Parent/Guardian

Teacher

High School Student

Other

\_\_\_\_\_  
 Name Date

Title: (Choose one)

Parent/Guardian

Teacher

High School Student

Other

Parent Committee Approval of a  
 Title VII Formula Grant Application

**26. Comment.**

Use the space below (limited to 1,000 characters) to provide additional information that will assist the Department in analyzing your application.

If not needed for the above reasons this comment item can be left blank.

Use the space below (limited to 1000 characters) to provide additional information, including the following:

(a) If you selected "Other" from any permitted list, define it here if you did not have adequate space to define it within the application forms.

(b) If needed, provide an explanation of any anomalies that will assist the Department in analyzing your application.

If not needed for the above reasons, this comment item can be left blank.

Applicant Comment:

**U.S. Department of Education  
Office of Indian Education  
SAMPLE Consortium Agreement**

For the purpose of applying for an Indian Education Formula Grant application as consortium members, we, the undersigned local educational agencies (LEAs) agree to adhere to the requirements under 34 CFR §75.127–129 (attached). Each LEA also certifies that it is not submitting a separate application as an individual LEA for this same grant. In addition,

**1. General Agreement**

It is agreed that the lead consortium member LEA will be \_\_\_\_\_ School District, which is designated to act on behalf of all consortium member LEAs. As a consortium member we understand that this agreement binds each member of the group to every statement and assurance made by the applicant in the application. The applicant for the group is the grantee and is legally responsible for --

- (A) The use of all grant funds;
- (B) Ensuring that the project is carried out by the group in accordance with Federal requirements; and
- (C) Ensuring that indirect cost funds are determined as required under 34 CFR §75.564(e).

**2. Legal Responsibility**

We also understand that, as an LEA member of the consortium, we are each legally and individually responsible to --

- (A) Carry out the activities we agree to perform; and
- (B) Use the funds that we receive under the agreement in accordance with Federal requirements that apply to the grant, including the parent consultation and committee requirements below.

**3. Parent Consultation and Committee requirements**

(A) The local program for which we seek funding ("Indian Education program") was developed in open consultation with parents of Indian children and teachers, and, if appropriate, Indian students from secondary schools, including through public hearings held to provide individuals in each member LEA a full opportunity to understand the program and to offer recommendations regarding the program.

(B) [Each member LEA developed the Indian Education program with the participation and written approval of a Parent Committee composed and selected in accordance with section 7114(c) of the ESEA, Title VII, Part A]

Or

[The Indian Education program was developed with the participation and written approval of a Parent Committee composed and selected in accordance with section 7114(c) of the ESEA, Title VII, Part A, including parents from each member LEA proportionate to the school population of each LEA]

(C) The Parent Committee has set forth such policies and procedures, including policies and procedures relating to the hiring of personnel, as will ensure that the Indian Education program will be operated and evaluated in consultation with, and with the involvement of, parents of the children in each LEA, and representatives of the area, to be served.

**4. Comprehensive Program**

These grant funds will be used to carry out, in each member LEA, a comprehensive program for meeting the needs of Indian children, including their language and cultural needs, consistent with ESEA section 7115 (20 USC 7425). The particular activities for this grant will be:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

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Signed by the following authorized representatives of the member LEAs:  
*(copy additional sign-off spaces as needed)*

\_\_\_\_\_  
Name and Title School District Date

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\_\_\_\_\_  
Name and Title School District Date

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**Group Applications: From Code of Federal Regulations (34 CFR Part 75)**

**~~§ 75.127—Eligible parties may apply as a group.~~**

- ~~(a) Eligible parties may apply as a group for a grant.~~
  - ~~(b) Depending on the program under which a group of eligible parties seeks assistance, the term used to refer to the group may vary. The list that follows contains some of the terms used to identify a group of eligible parties:
    - ~~(1) Combination of institutions of higher education.~~
    - ~~(2) Consortium.~~
    - ~~(3) Joint applicants.~~
    - ~~(4) Cooperative arrangements.~~~~
- ~~(Authority: 20 U.S.C. 1221e-3 and 3474)~~

**~~§ 75.128—Who acts as applicant; the group agreement.~~**

- ~~(a) If a group of eligible parties applies for a grant, the members of the group shall either:
    - ~~(1) Designate one member of the group to apply for the grant; or~~
    - ~~(2) Establish a separate, eligible legal entity to apply for the grant.~~~~
  - ~~(b) The members of the group shall enter into an agreement that:
    - ~~(1) Details the activities that each member of the group plans to perform; and~~
    - ~~(2) Binds each member of the group to every statement and assurance made by the applicant in the application.~~~~
  - ~~(c) The applicant shall submit the agreement with its application.~~
- ~~(Authority: 20 U.S.C. 1221e-3 and 3474)~~

**~~§ 75.129—Legal responsibilities of each member of the group.~~**

- ~~(a) If the Secretary makes a grant to a group of eligible applicants, the applicant for the group is the grantee and is legally responsible for:
    - ~~(1) The use of all grant funds;~~
    - ~~(2) Ensuring that the project is carried out by the group in accordance with Federal requirements; and~~
    - ~~(3) Ensuring that indirect cost funds are determined as required under §75.564(e).~~~~
  - ~~(b) Each member of the group is legally responsible to:
    - ~~(1) Carry out the activities it agrees to perform; and~~
    - ~~(2) Use the funds that it receives under the agreement in accordance with Federal requirements that apply to the grant.~~~~
- ~~(Authority: 20 U.S.C. 1221e-3 and 3474)~~

**U.S. Department of Education**  
**Office of Indian Education**

**SAMPLE Agreement for Tribes Applying in Lieu of LEAs**

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For the purpose of applying for an Indian Education Grant application as a Tribe Applying in Lieu of a local education agency (LEA), the LEA(s) agree(s) to adhere to the requirements under 34 CFR §75.127-129. The LEA also certifies that it is not submitting a separate application as an individual LEA for this same grant. In addition,

**1. General Agreement**

It is agreed that \_\_\_\_\_ (tribal entity), will apply in lieu of \_\_\_\_\_  
School District.

**2. Legal Responsibility of the LEA**

- The LEA has not established a parent committee according to Section 7114(c)(4).
- All of the Indian students identified by the tribe were enrolled in the LEA's school(s) during the count period indicated by the tribe.

**3. Legal Responsibility of the Tribe applying in lieu of an LEA**

- The tribe has a completed Indian Student Eligibility (ED 506) form on file at the time of the count.
- A list of students, by tribe, being counted for the purposes of this grant and the count period being used by the tribe.
- The applicant Indian tribe represents no less than one-half of the eligible Indian children served by the LEA.

The LEA certifies that the above count represents the number of eligible Indian students enrolled in its school. It is understood that this count will be used to calculate an award of federal assistance and that is subject to audit.

Signed by the following authorized representative of the member LEAs:  
**(Copy additional sign-off spaces as needed)**

\_\_\_\_\_  
Name and Title \_\_\_\_\_ School District \_\_\_\_\_ Date \_\_\_\_\_

\_\_\_\_\_  
Name and Title \_\_\_\_\_ School District \_\_\_\_\_ Date \_\_\_\_\_

\_\_\_\_\_  
Name and Title \_\_\_\_\_ School District \_\_\_\_\_ Date \_\_\_\_\_

The applicant tribe is responsible to carry out the activities agreed to perform; and use the funds received under the agreement in accordance with Federal requirements that apply to the grant. Signed by the following authorized representative of the tribe:  
**(Copy additional sign-off spaces as needed)**

\_\_\_\_\_  
Name and Title \_\_\_\_\_ Indian Organization \_\_\_\_\_ Date \_\_\_\_\_

<u>Name and Title</u>	<u>Indian Organization</u>	<u>Date</u>
<u>Name and Title</u>	<u>Indian Organization</u>	<u>Date</u>

