

Supporting Statement for Paperwork Reduction Act Submission

Title: Fellowship Placement Pilot Program

OMB Control #: 2528-0272

A. Justification

1. Explain the circumstances that make the collection of information necessary. Identify any legal or administrative requirements that necessitate the collection. Attach a copy of the appropriate section of each statute and regulation mandating or authorizing the collection of information.

In 2011, the Office of Policy Development and Research of the U.S. Department of Housing and Urban Development published a Request for Qualifications for the Fellowship Placement Pilot Program which was authorized under section 7(k)(1) of the Department of Housing and Urban Development Act (42 U.S.C. 3535(k)(1)).

2. Indicate how, by whom and for what purpose the information is to be used. Except for a new collection, indicate the actual use the agency has made of the information received from the current collection.

The grantee of the Fellowship Placement Pilot Program will conduct surveys that will be used to inform a final report. This program report will describe the goals, activities and outcomes of the fellowship program.

3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, e.g., permitting electronic submission of responses, and the basis for the decision for adopting this means of collection. Also describe any consideration of using information technology to reduce burden.

The surveys will be sent and returned electronically.

4. Describe efforts to identify duplication. Show specifically why any similar information already available cannot be used or modified for use for the purposes described in Item 2 above.

This program does not duplicate any existing government program. No similar information is available.

5. If the collection of information impacts small businesses or other small entities (Item 5 of OMB Form 83-I) describe any methods used to minimize burden.

This program does not involve small businesses.

6. Describe the consequence to Federal program or policy activities if the collection is not conducted or is conducted less frequently, as well as any technical or legal obstacles to reducing burden.

None.

7. Explain any special circumstances that would cause an information collection to be conducted in a manner:

- requiring respondents to report information to the agency more than quarterly;
- requiring respondents to prepare a written response to a collection of information in fewer than 30 days after receipt of it;
- requiring respondents to submit more than an original and two copies of any document;
- requiring respondents to retain records other than health, medical, government contract, grant-in-aid, or tax records for more than three years;
- in connection with a statistical survey, that is not designed to produce valid and reliable results than can be generalized to the universe of study;
- requiring the use of a statistical data classification that has not been reviewed and approved by OMB;
- that includes a pledge of confidentiality that is not supported by authority established in statute or regulation, that is not supported by disclosure and data security policies that are consistent with the pledge, or which unnecessarily impedes sharing of data with other agencies for compatible confidential use; or
- requiring respondents to submit proprietary trade secret, or other confidential information unless the agency can demonstrate that it has instituted procedures to protect the information's confidentiality to the extent permitted by law.

There is none required under this program.

8. If applicable, provide a copy and identify the date and page number of publication in the Federal Register of the agency's notice, required by 5 CFR 1320.8(d), soliciting comments on the information collection prior to submission to OMB. Summarize public comments received in response to that notice and describe actions taken by the agency in response to these comments. Specifically address comments received on cost and hour burden.

- * Describe efforts to consult with persons outside the agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions and recordkeeping

disclosure, or reporting format (if any) and the data elements to be recorded, disclosed, or reported.

- * Consultation with representatives of those from whom information is to be obtained or those who must compile records should occur at least once every 3 years -- even if the collection of information activity is the same as in prior periods. There may be circumstances that preclude consultation in a specific situation. These circumstances should be explained.

The 60-day notice was published in the Federal Register. A copy is attached to this submission. HUD received one comment regarding the general mission of HUD that was not relevant to the subject matter, and therefore, did not require further action.

HUD also consulted with the fellowship program grantees to obtain their view on the surveys. The grantees were as follows: Joe Schilling, Virginia Tech; Kathy Hexter, Cleveland State University; and Brent Riddle, German Marshall Fund.

9. Explain any decision to provide any payment or gift to respondents, other than re-enumeration of contractors or grantees.

None

10. Describe any assurance of confidentiality provided to respondents and the basis for assurance in statute, regulation or agency policy.

None

11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private. This justification should include the reasons why the agency considers the questions necessary, the specific uses to be made of the information, the explanation to be given to persons from whom the information is requested, and any steps to be taken to obtain their consent.

None.

12. Provide estimates of the hour burden of the collection of information. The statement should:

- * Indicate the number of respondents, frequency of response, annual hour burden, and an explanation of how the burden was estimated. Unless directed to do so, agencies should not conduct special surveys to obtain information on which to base hour burden estimates. Consultation with a sample (fewer than 10) of potential respondents is desirable. If the hour burden on respondents is expected to vary widely because of differences in activity, size, or complexity, show the range of estimated hour burden, and explain the reasons for the variance. Generally estimates should not include burden hours for customary and usual business practices;
- * If this request covers more than one form, provide separate hour burden estimates for each form and aggregate the hour burdens in Item 13 of OMB Form 83-I; and
- * Provide estimates of annualized cost to respondents for the hour burdens for collections of information, identifying and using appropriate wage rate categories. The cost of contracting out or paying outside parties for information collection activities should not be included here. Instead this cost should be included in Item 13.

1) Fellow Surveys

HUD estimates that each fellow spends approximately 1 hour completing the survey. The survey is conducted once per year. As a result, fellows will spend 1 hour per year completing the survey. There is no cost to fellows for completing the survey. For 17 fellows who will take the survey, the computation is as follows: **17 surveys X 1 hour = 17 hours.**

2) Local Project Manager Survey

HUD estimates that each local project manager spends approximately 1 hour completing the survey. The survey is conducted once per year. As a result, local project managers will spend 1 hour per year completing the survey. There is no cost to local project managers for completing the survey. For 14 local project managers who will take the survey, the computation is as follows: **14 surveys X 1 hours = 14 hours**

Description of Information Collection	Number of Respondents	Responses per Year	Total Annual Responses	Hrs per Response	Total Hours
Fellow Surveys	17	1	17	1	17
Local Project Manager Survey	14	1	14	1	14
Total	31		31	1	31

13. Provide an estimate of the total annual cost burden to respondents or record keepers resulting from the collection of information (do not include the cost of any hour burden shown in Items 12 and 14).

- * The cost estimate should be split into two components: (a) a total capital and start-up cost component (annualized over its expected useful life); and (b) a total operation and maintenance purchase of services component. The estimates should take into account costs associated with generating, maintaining, and disclosing or providing the information. Include descriptions of methods used to estimate major cost factors including system and technology acquisition, expected useful life of capital equipment, the discount rate(s) and the time period over which costs will be incurred. Capital and start-up costs include, among other items, preparations for collecting information such as purchasing computers and software; monitoring, sampling, drilling and testing equipment; and record storage facilities;
- * If cost estimates are expected to vary widely, agencies should present ranges of cost burdens and explain the reasons for the variance. The cost of purchasing or contracting out information collection services should be a part of this cost burden estimate. In developing cost burden estimates, agencies may consult with a sample of respondents (fewer than 10) utilize the 60-day pre-OMB submission public comment process and use existing economic or regulatory impact analysis associated with the rulemaking containing the information collection, as appropriate.
- * Generally, estimates should not include purchases of equipment or services, or portions thereof made: (1) prior to October 1, 1995, (2) to achieve regulatory compliance with requirements not associated with the information collection, (3) for reasons other than to provide information or keep records for the government, or (4) as part of customary and usual business or private practices.

None

14. Provide estimates of annualized cost to the Federal government. Also, provide a description of the method used to estimate cost, which should include quantification of hours, operational expenses (such as equipment, overhead, printing, and support staff), and any other expense that would not have been incurred without this collection of information. Agencies also may aggregate cost estimates from Items 12, 13, and 14 in a single table.

1) Fellow Survey

17 fellow surveys are expected to be received annually. As part of its grant requirements, HUD has required the grantee to be responsible for implementing the survey and compiling the survey information. Therefore, HUD is not responsible for implementing or compiling survey information. However, one HUD staff may review the results of the survey **after** they have been compiled by the grantee. HUD anticipates that the review of the survey results by one HUD staff would take one hour to conduct. This review is conducted by one HUD staff person, having an average grade of GS-13 at an **hourly rate of \$45.00**. Thus the annual review process takes approximately **1** staff hour.

The computation is as follows: 1 survey review of results by HUD staff x 1 person x 1 hour x \$45.00 = \$45.00.

2) Project Manager Survey

14 project manager surveys are expected to be received annually. As part of its grant requirements, HUD has required the grantee to be responsible for implementing the survey and compiling the survey information. Therefore, HUD is not responsible for implementing or compiling survey information. However, one HUD staff may review the results of the survey **after** they have been compiled by the grantee. HUD anticipates that the review of the survey results would take one hour to conduct. This review is conducted by 1 HUD staff person, having an average grade of GS-13 at an **hourly rate of \$45.00**. Thus the annual review process takes approximately **1** staff hour.

The computation is as follows: 1 survey review of results by HUD staff x 1 person x 1 hour x \$45.00 = \$45.00.

15. Explain the reasons for any program changes or adjustments reported in Items 13 and of the OMB Form 83-I.

None

16. For collection of information whose results will be published, outline plans for tabulation and publication. Address any complex analytical techniques that will be used. Provide the time schedule for the entire project, including beginning and ending dates of the collection of information, completion of report, publication dates, and other actions.

There are no specific plans to publish the survey. However, HUD has required the grantee to submit a final report at the end of the fellowship program as part of its closeout for the grant that will end in December 2014. There is only one final report, and the grantee will incorporate the survey results into this final report.

17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons that display would be inappropriate.

No such approval is sought

18. Explain each exception to the certification statement identified in item 19.

None requested.

B. Collections of Information Employing Statistical Methods

None