



List any Federal advisory committee or any board on which you are currently a member and the number of years you have served on that committee or board.

1. **Have you ever been convicted of a felony? (A felony is defined as any violation of law punishable by imprisonment longer than one year).**  
 Yes – Please explain on the attached continuation sheet.  
 No
  
2. **Are you a US citizen?**  
 Yes  
 No  
    **If no, do you have permanent residency status?**  
 Yes  
 No
  
3. **Have you been a party to a civil or criminal action involving a financial institution or service provider?**  
 Yes – Please explain on the attached continuation sheet.  
 No
  
4. **Are you now or have you in the last year been subject to the registration and reporting requirements of the Lobbying Disclosure Act (2 U.S.C. 1605)?**  
 Yes – Please explain on the attached continuation sheet.  
 No
  
5. **Are you currently engaged in any business before the CFPB?**  
 Yes – Please explain on the attached continuation sheet.  
 No
  
6. **Have you failed to pay any tax, penalty, or interest liability during the current or last three calendar years within forty-five (45) days of the date of which the IRS gave notice of the amount due and request for payment?**  
 Yes – Please explain on the attached continuation sheet.  
 No
  
7. **Have you now or ever been under investigation by the IRS for possible criminal offenses?**  
 Yes – Please explain on the attached continuation sheet.  
 No

## Part II: Affiliations, Representations, and/or Positions with Boards, Advisory Councils, or Similar Groups

Please list all relationships outside of your current employer in which you represent the interests of a party or in which you or your affiliates receive a fee, income, or any other benefit from a party

Additionally, please list all positions and relationships you currently hold or held at any time during the past two years, whether or not you were compensated, and whether or not you currently hold that position. Positions include an officer, director, employee, trustee, general partner, proprietor, representative, executor, member, or consultant of any of the following:

- Corporation, partnership, trust, or other business entity
- Non-profit or volunteer organization
- Educational institution
- Any government or industry advisory board or council

Do not list any position with a:

- Religious entity
- Social entity
- Fraternal entity
- Political entity
- Any position held by your spouse or dependent child

### Positions You Hold or Have Held (List up to 10 relevant position starting with the most recent)

Organization <i>(include city and state where organization is located)</i>	Type of organization	Position	Years Held	Brief Description
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				

### Part III: Institution Background

Please provide basic information about the financial institutions you have worked at, if the information is not listed on your resume

Institution Name	Location	Designation / Type	Approx. Assets (\$)
1			
2			
3			
4			
5			
6			
7			
8			
9			
10			

### Privacy Act Statement

The information that you provide will be used by the Consumer Financial Protection Bureau (CFPB) to determine qualifications, suitability, and availability for service on advisory boards, bodies, panels, committees or other similar groups. The information will be used to conduct background clearances and/or for annual reports on advisory boards, bodies, panels, committees or other similar groups. The information will be used by and disclosed to employees, contractors, agents, and others authorized by the CFPB to receive this information to assist in related activities. The information may also be disclosed to:

- (1) Appropriate agencies, entities, and persons when: (a) the CFPB suspects or has confirmed that the security or confidentiality of information in the system of records has been compromised; (b) the CFPB has determined that, as a result of the suspected or confirmed compromise, there is a risk of harm to economic or property interests, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs (whether maintained by the CFPB or another agency or entity) that rely upon the compromised information; and (c) the disclosure made to such agencies, entities, and persons is reasonably necessary to assist in connection with the CFPB's efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm;
- (2) Another federal or state agency to (a) permit a decision as to access, amendment or correction of records to be made in consultation with or by that agency, or (b) verify the identity of an individual or the accuracy of information submitted by an individual who has requested access to or amendment or correction of records;
- (3) To the Office of the President in response to an inquiry from that office made at the request of the subject of a record or a third party on that person's behalf;
- (4) Congressional offices in response to an inquiry made at the request of the individual to whom the record pertains;
- (5) Contractors, agents, or other authorized individuals performing work on a contract, service, cooperative agreement, job, or other activity on behalf of the CFPB or Federal Government and who have a need to access the information in the performance of their duties or activities;
- (6) The U.S. Department of Justice ("DOJ") for its use in providing legal advice to the CFPB or in representing the CFPB in a proceeding before a court, adjudicative body, or other administrative body before which the CFPB is authorized to appear, where the use of such information by the DOJ is deemed by the CFPB to be relevant and necessary to the litigation, and such proceeding names as a party or interests:
  - (a) The CFPB;
  - (b) Any employee of the CFPB in his or her official capacity;
  - (c) Any employee of the CFPB in his or her individual capacity where DOJ has agreed to represent the employee; or
  - (d) The United States, where the CFPB determines that litigation is likely to affect the CFPB or any of its components;
- (7) To the public in the form of names, affiliations, and other pertinent biographical information of board or committee members; and
- (8) Appropriate agencies, entities, and persons to the extent necessary to obtain information relevant to making a determination of whether an individual is eligible to serve on a CFPB board or committee.

The collection of this information is authorized by Pub. L. No. 111-203, Title X, sections 1011, 1012, 1014, codified at 12 U.S.C. §§ 5491, 5492, 5494. Providing your identifying information is voluntary, but not doing so may result in non-selection of a prospective advisory board, body, panel, committee, or other similar group membership. However, failure to provide your Social Security number may not be the reason for non-selection.

**Paperwork Reduction Act**

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a valid OMB control number. The OMB control number for this collection is 3170-0037. It expires on 07/31/2016. The time required to complete this information collection is estimated to average approximately 45 minutes per response, including the time for reviewing any instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. The obligation to respond to this collection of information is voluntary. Comments regarding this collection of information, including the estimated response time, suggestions for improving the usefulness of the information, or suggestions for reducing the burden to respond to this collection should be submitted to Bureau at the Consumer Financial Protection Bureau (Attention: PRA Office), 1700 G Street NW, Washington, DC 20552, or by email to CFPB\_Public\_PRA@cfpb.gov.

The Bureau will not disclose any personally identifiable information collected except to the extent that it is required to do so by law and as provided in the Privacy Act Statement listed below. Additionally, the Bureau will treat the information collected consistent with its confidentiality regulations at 12 C.F.R. Part 1070, *et seq.*

**Continuation Sheet to Form**

If you need more space for an answer, use this sheet. Please number each answer to correspond to the number on this form. When you have completed your answers, attach to this form.

Name (Last, First, Middle)

Social Security Number

Please save the document as "LASTNAME\_FIRSTNAME\_DATE" before submitting.

**I certify that the statements I have made on this form and all attached statements are true, complete, and correct to the best of my knowledge.**

<b>Signature</b>	<b>Date (mm/dd/yy)</b>
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