

Instructions for Application Data Sheet 37 CFR 1.76

Updated May 12, 2008

Contents

1. PDF Fillable form Instructions
2. Detailed Instructions for Fields
 - a. Applicant Information
 - b. Correspondance Information
 - c. Application Information
 - d. Representative Information
 - e. Domestic Benefit/National Stage Information
 - f. Foreign Priority Information
 - g. Assignee Information
 - h. Signature
3. [Country Code Table](#)
4. [WIPO ST.3 Table](#)
5. [State/Province List](#)
6. [Active Military Descriptions List](#)

1. Fillable form Instructions

Overview

USPTO fillable PDF forms use the features provided with Adobe Acrobat products. There is some validation of the information you enter but you are still responsible for entering all required information (instructions may require some information to be handwritten on the form).

Software Requirements

To view, complete and print USPTO fillable PDF forms you will need the free [Adobe Acrobat Reader](#) software installed on your computer. Version 7.0.8, 7.0.9, 8.1.1, or 8.1.2 of Adobe Reader is recommended.

Opening the Form

Your web browser may be configured with an Adobe Reader plug-in to automatically open the file within your browser's window upon download. To download the file directly to disk, right click the form title link then select "Save Target/Link As..." from the menu. Use Adobe Reader to open the file.

Completing the Form

When positioning the cursor on a fillable area or element, the cursor will change appearance. The I-beam pointer allows you to type text. The hand pointer allows you to select a check box or radio button.

Enter the appropriate data in each box or field. To move from one field to the next, press the Tab key. You can also use your cursor to move from field to field. Place your cursor in the field you want to fill in, then left-click.

Some fields limit the maximum number of characters you can enter and may automatically advance to the next field.

For additional help with fillable forms, see the Adobe Reader's online help information.

Tips:

- Use the latest version of Adobe Reader in order to ensure the form's full functionality
- This fillable form permits the entered data to be automatically loaded into the Office's electronic systems, such as PALM when electronically submitted. Printing the form, scanning it to create a PDF and submitting the scan of the printed form will require Office Personnel to key in the information by hand.
- **Do not use this ADS form as a Supplemental ADS.** This form does not comply with the requirements for a Supplemental Application Data Sheet (ADS). See 37 CFR 1.76(c). Supplemental ADS papers must be labeled Supplemental ADS or Supplemental Application Data Sheet and identify the information that is being changed.
- Save a copy of your work to avoid loss.
- The USPTO is only accepting certain types of applications electronically. Visit www.uspto.gov for more details

1. Detailed Form Instructions by Field

Applicant Information:

| | |
|---|---|
| Secrecy Order Checkbox | Rule 37 C.F.R. 5.2, states that if an invention has been determined to be a matter of national security, the Director of Patents & Trademarks has the right to keep the invention secret. Under the same rule, applicants are obligated to notify the Office of any related cases that the Commissioner has kept secret. Note that documents associated with applications under Secrecy Order may not be filed electronically. |
| Applicant Authority | Select the radio button associated with the type of authority one has to file this patent application from Inventor, Legal Representative under 35 U.S.C 117, and Party of Interest under 35 U.S.C. 118. |
| Inventor | One who contributes to the conception of an invention. The patent law of the United States of America requires that the applicant in a patent application must be the inventor. |
| Legal Representative under 35 U.S.C. 117 | 35 U.S.C. 117 Legal representatives of deceased inventors and of those under legal incapacity may make application for patent upon compliance with the requirements and on the same terms and conditions applicable to the inventor. |
| Party of Interest under 35 U.S.C. 118 | 35 U.S.C. 118 Whenever an inventor refuses to execute an application for patent, or cannot be found or reached after diligent effort, a person to whom the inventor has assigned or agreed in writing to assign the invention or who otherwise shows sufficient proprietary interest in the matter justifying such action, may make application for patent on behalf of and as agent for the inventor on proof of the pertinent facts and a showing that such action is necessary to preserve the rights of the parties or to prevent irreparable damage; and the Director may grant a patent to such inventor upon such notice to him as the Director deems sufficient, and on compliance with such regulations as he prescribes. |
| Inventor Status Drop Down | In case of the death of the inventor, the legal representative (executor, administrator, etc.) of the deceased inventor may make the necessary oath or declaration, and apply for and obtain the patent. Where the inventor dies during the time intervening between the filing of the application and the granting of a patent thereon, the letters patent may be issued to the legal representative upon proper intervention. When the inventor is insane or legally incapacitated. In case an inventor is insane or otherwise legally incapacitated, the legal representative (guardian, conservator, etc.) of such inventor may make the necessary oath or declaration, and apply for and obtain the patent. Filing when an inventor refuses to sign or cannot be reached: (a) If a joint inventor refuses to join in an application for patent or cannot be found or reached after diligent effort, the application may be made by the other inventor on behalf of himself or herself and the nonsigning inventor. |
| Prefix | Enter any name prefix of the inventor. (Optional) |
| Given Name | Enter the first or given name of the inventor. If the inventor has only one name, enter a period in this field. |
| Middle name | Enter any middle name of the inventor. (Optional) |
| Family Name | Enter the last or family name of the inventor. |
| Suffix | Enter any name suffix of the inventor; II., III., IV., Jr., Sr. (Optional) |
| Residence Information | Select the radio button that describes the inventor's residence from US Residency, Non US Residency and Active US Military Service. |
| City | Enter the city of the inventor's residence. REQUIRED if the inventor is a U.S. resident or Non-U.S. resident. |
| State / Province | Enter the state of the inventor's residence. REQUIRED if the inventor is a U.S. |

| | |
|---|--|
| | resident. See State/Province List for choices. |
| Active US Military Service | Select if the inventor is in Active U.S. Military service. REQUIRED if the inventor is in the active U.S. military service. |
| Active Military Service in | If the Active U.S. military service is selected, indicate the region of the world where the inventor is stationed. See Active Military Service Table for options. |
| Country of Residence | Enter the country of residence of the inventor. If US Residency is selected, the Country of Residence will default to US. REQUIRED. See Country Code Table for complete listing, along with abbreviation. |
| Citizenship under 1.41 (b) | Enter the citizenship of the inventor. See the Country Code Table for a complete listing, along with abbreviation. |
| Address-1 | Enter the first line of the inventor's address. |
| Address-2 | Enter the second line of the inventor's address. |
| City | Enter the city of the inventor's address. |
| State / Province | If the inventor's address is in the United States or in a U.S. territory, enter the state of the inventor's address. See State/Province List for choices. |
| Postal Code | Enter the 5 - 9 digit postal code of the inventor's address. Entry of a postal code for foreign countries is optional. |
| Country | Enter the country of the inventor's address. See Country Code Table for complete listing, along with abbreviation. |
| Additional Inventors | Joint inventors must apply for a patent jointly and each must make the required oath or declaration: neither of them alone, nor less than the entire number, can apply for a patent for an invention invented by them jointly, except as provided in § 1.47. (b) Select the Add button to add additional inventor information. |
| Remove | Choose this button to remove the adjacent Inventor section. |
| If the representative for the inventor is an Organization check here. | Check this box if the inventor's representative is an organization. |
| Organization Name | Enter the name of the organization or if the representative is an individual provide name information for the representative is the Inventor representative's name fields. |
| Prefix | Enter any name prefix of the inventor's representative |
| Given Name | Enter the first or given name of the inventor's representative. If the representative has only a single name, enter a period in this field. |
| Middle name | Enter any middle name of the inventor's representative. |
| Family Name | Enter the last or family name of the inventor's representative. |
| Suffix | Enter any name suffix of the inventor's representative. |
| City | Enter the city of the representative's residence |
| State / Province | Enter the state of the representative's residence. REQUIRED if the representative is a U.S. resident. See State/Province List for choices. |
| Active US Military Service | Select if the representative is in Active U.S. Military service. |
| Region of Military Service | If the Active U.S. military service is selected, indicate the region of the world where the inventor is stationed. See Active Military Service Table for options. |
| Country of Residence | Enter the country of residence of the representative. See Country Code Table for complete listing, along with abbreviation. |
| Citizenship under 1.41 (b) | Enter the citizenship of the representative. See Country Code Table for complete listing, along with abbreviation. |
| Address-1 | Enter the first line of the representative's address. |
| Address-2 | Enter the second line of the representative's address. |

| | |
|------------------|---|
| City | Enter the city of the representative's address |
| State / Province | Enter the state of the representative's address if the representative's address is in the United States or a U.S. territory. See State/Province List for choices. |
| Postal Code | Enter the 5 - 9 digit postal code of the representative's address. Entry of a postal code for foreign countries is optional. |
| Country | Enter the country of the representative's address. See Country Code Table for complete listing, along with abbreviation. |

Correspondence Information:

| | |
|--|--|
| An Address is being provided for the correspondence Information of this application. | <p>Select this checkbox to have correspondence in this application sent to an address that is not associated with a specific customer number.</p> <p>When filing an application, a correspondence address must be set forth in either an application data sheet (§ 1.76), or elsewhere, in a clearly identifiable manner, in any paper submitted with an application filing. If no correspondence address is specified, the Office may treat the mailing address of the first named inventor (if provided, see §§ 1.76(b)(1) and 1.63(c)(2)) as the correspondence address. The Office will direct all notices, official letters, and other communications relating to the application to the correspondence address. The Office will not engage in double correspondence with an applicant and a registered attorney or agent, or with more than one registered attorney or agent except as deemed necessary by the Director. If more than one correspondence address is specified, the Office will establish one as the correspondence address. For the party to whom correspondence is to be addressed, a daytime telephone number should be supplied in a clearly identifiable manner and may be changed by any party who may change the correspondence address.</p> |
| Customer Number | <p>Enter the customer number associated with the address where correspondence in this application should be sent.</p> <p>A number assigned by the Office that is used to simplify the submission of an address change, to appoint a practitioner, or to designate the fee address for a patent. <i>Customer numbers</i> are primarily used by attorneys and law firms, and must be requested using the "Request for Customer Number" form (PTO/SB/125). (Previously referred to as "payor number") -</p> |
| Provide a customer address | If a customer number is not entered, the customer correspondence address must be provided. |
| Name - 1 | Enter the name for the correspondence address. |
| Name -2 | Enter any additional name information for the address. |
| Address-1 | Enter the first line of the correspondence address. |
| Address-2 | Enter the second line of the correspondence address. |
| City | Enter the city for the correspondence address. |
| State / Province | If the correspondence address is located in the United States or in a U.S. territory, enter the state for the correspondence address. REQUIRED for a correspondence address that is in the United States or U.S. territories. See State/Province List for choices. |
| Country | Enter the country of the correspondence address. See Country Code Table for complete listing, along with abbreviation. |
| Postal Code | Enter the 5 - 9 digit postal code for the correspondence address. Entry of a postal code for foreign countries is optional. |
| Phone number | Enter the telephone number for the correspondence address. |
| Fax number | Enter the fax number for the correspondence address. |
| Email address | Enter the e-mail address for the correspondence address. |
| Add Email | Select the Add Email button to add an additional email address. You may enter up |

| | |
|--------------|--|
| | to 3 email addresses. |
| Remove Email | Select the Remove Email button to remove the email address associated with the button. |

Application Information:

| | |
|----------------------------------|--|
| Title of the Invention | The title of the invention may have up to 500 characters and it must be as short and specific as possible. The approved characters include: A-Z,0-9,standard keyboard characters plus <i>f</i> ,..., € ,™,œ,ÿ,ı,ç,£,¤,¥,§,©, ^a ,«,¬,®, ^o ,±, ² , ³ ,μ, ¹ , ^o ,»,¼,½,¾,¿,À,Á,Â,Ã,Ä,Å,Æ,Ç,È,É,Ê,Ë,Ì,Í,Î,Ï,Ñ,Ò,Ó,Ô,Õ,Ö,Ø,Ù,Ú,Û,Ü,Ý,ß,à,á,â,ã,ä,å,æ,ç,è,é,ê,ë,ì,í,î,ï,ñ,ò,ó,ô,õ,ö,÷,ø,ù,ú,û,ü,ý,ÿ, NOTE: Please see the EFS-Web FAQ's regarding using special characters in Title of Invention and in all the Named fields for Inventors I |
| Attorney Docket Number | This field is optional. It may be used to enter an internal reference number associated with this submission. This field is limited to 25 characters. |
| Entity Status Small | For purposes of small entity determination per MPEP 509.02 – small entity means an independent inventor, a small business concern, or a nonprofit organization eligible for reduced patent fees. |
| Application Type | An application may be either provisional, non-provisional, or a reissue. At this time EFS-Web does not accept reissue applications. Select the application type from the drop-down list. |
| Subject Matter | An application may be either a utility application, a design application, or a plant application. At this time EFS-Web does not accept plant applications. Select the subject matter of the application from the drop-down list. |
| Suggested Classification | Enter a suggested classification for the application. Optional. |
| Sub Class | Enter a suggested subclass for the application. Optional. |
| Suggested Technology Center | Enter a suggested technology center for the application. Optional. |
| Total Number of Drawing Sheets | Enter the total number of drawing sheets in the application. Optional. |
| Suggested Figure for Publication | Enter a suggested figure for publication. Optional. |
| Latin Name | Enter the Latin Name of the plant described in the submission as required by UPOV. |
| Variety Denomination Name | Enter the Variety denomination of the plant described in the submission as required by UPOV. |
| Request Early Publication | Request for early publication – Select if this application should be published as soon as possible. |
| Request Not to Publish | Check this box if a request for non-publication under 35 U.S.C. 122(b) and 37 CFR 1.213 is being made. |

Representative Information:

| | |
|---------------------------|--|
| Customer Number Selection | Check this box if you want to assign a Customer Number for patent practitioners associated with this application. |
| Customer Number Entry | If Customer number is selected enter the customer number associated with patent practitioner information for this application. |
| Patent Practitioner | Check this box if you want to input a US Patent Practitioner name. |
| Prefix | Enter any name prefix of the patent practitioner. Choose the desired prefix from the drop-down menu. |
| Given Name | Enter the first or given name of the patent practitioner. |
| Middle Name | Enter any middle name of the patent practitioner. |
| Family Name | Enter the last or family name of the patent practitioner. REQUIRED. |

| | |
|--------------------------------|--|
| Suffix | Enter any name suffix of the patent practitioner. |
| Registration number | Enter the USPTO registration number of the patent practitioner. |
| Add Additional Representatives | If patent practitioner is selected, enter the name and registration information for each patent practitioner and select the Add button when the information is complete. Repeat for each patent practitioner. A maximum of 10 patent practitioners can be added. |
| Remove | If you want to delete a patent practitioner select the Remove button next to the patent practitioner you wish to remove. |
| Limited Recognition | Check this box if you want to input a name under Limited Recognition (37 CFR 11.9). |
| Prefix | Enter any name prefix of the patent practitioner. Choose the desired prefix from the drop-down menu. |
| Given Name | Enter the first or given name of the patent practitioner. |
| Middle Name | Enter any middle name of the patent practitioner. |
| Family Name | Enter the last or family name of the patent practitioner. REQUIRED. |
| Suffix | Enter any name suffix of the patent practitioner. |
| Registration number | Enter the USPTO registration number of the patent practitioner. |
| Add Additional Representatives | If patent practitioner is selected, enter the name and registration information for each patent practitioner and select the Add button when the information is complete. Repeat for each patent practitioner. A maximum of 10 patent practitioners can be added. |
| Remove | If you want to delete a patent practitioner select the Remove button next to the patent practitioner you wish to remove. |
| | |

Domestic Benefit/National Stage Information:

| | |
|-------------------------------|---|
| Application Number | If this field is left blank, it will signify "This Application is a" to refer to the application being submitted. You may also enter any application number that is already referred to in the Prior Application Number fields on the current form. |
| Continuity Type | Select the relationship between patent applications by selecting one of seven available relationship buttons. The relationships are continuation, division, continuation-in-part, 371 of international, substitution, reissue and non-provisional. |
| Prior Application Number | Provide the application serial number for the related application. |
| Filing Date | Select the filing date of the prior related application from the drop-down menu, which converts into a calendar. The date should be in the format YYYY-MM-DD. |
| Application Status | Select the status of the related application from Issued, Pending, Abandoned, or Expired. |
| Add Domestic Priority Data | Add the information to the continuity information list by selecting the Add button. |
| Remove Domestic Priority Data | Delete the information to the continuity information list by selecting the Remove button. |

Foreign Priority Information:

| | |
|--------------------|--|
| Application Number | Enter the Foreign Document Number of an associated foreign-filed application in the Application Number field. PCT number format: PCT/aaYY/xxxxx or PCT/aaYYYY/xxxxx where aa is valid foreign receiving office/country code YY or YYYY is year and xxxxx is the five-digit number. |
| Country | Enter the country where the application was filed. See WIPO ST. 3 Table for complete listing, along with abbreviation. |
| Parent Filing Date | Enter the foreign filing date in the Date field. The date should be in the format |

| | |
|-------------------------|--|
| | YYYY-MM-DD |
| Priority Claimed Yes/No | Indicate whether or not foreign priority is claimed by selecting the appropriate radio button. |
| Add | Add additional information to the Foreign Priority Information list by selecting the Add button. |
| Remove | Delete information to the Foreign Priority Information list by selecting the Remove button. |

Publication Assignee Information:

| | |
|--|--|
| If the Assignee is an Organization check here. | Check this box if the assignee is an organization. |
| Organization Name | If the application is assigned to an organization, enter the name of the organization. |
| Prefix | Enter any name prefix of the assignee. Choose the desired prefix from the drop-down menu. |
| Given Name | If the application is assigned to an individual or group of individuals, enter the first or given name of the assignee. If the assignee has only one name, enter a period into one of the name fields. |
| Middle Name | Enter any middle name of the assignee. |
| Family Name | Enter the name of the assignee that USPTO is to publish on the front page of the patent application publication. |
| Suffix | Enter any name suffix of the assignee |
| Address 1 | Enter the first line of the address of the assignee that USPTO is to publish on the front page of the patent application publication. |
| Address 2 | Enter the second line of the assignee's address. |
| City | Enter the city of the assignee's address |
| Postal Code | Enter the 5 - 9 digit postal code of the assignee. Entry of a postal code for foreign countries is optional |
| State / Province | Enter the state of the assignee's address. See State/Province List for choices. |
| Country | Enter the country of the assignee's address. See Country Code Table for complete listing, along with abbreviation. |
| Phone Number | Enter the telephone number of the assignee. |
| Fax Number | Enter the fax number of the assignee. |
| Email Address | Enter the e-mail address of the assignee. |
| Add Button | Additional Assignee information Blocks may be generated. |
| Remove Button | Assignee information Blocks may be removed. |

Signature:

| | |
|---------------------|---|
| Signature | This signature is an electronic legal signature. The individual named MUST add a signature. Electronic signature should be in the format /####/ where # is alpha-numeric, space or punctuation (but not / or ?), it cannot just have space or punctuations. Example: "/John Doe/". For more information see 37 CFR 1.4(d)(3). |
| Date | Enter the date that the submission is being signed. Or, select the date from the drop-down menu, which converts into a calendar. The date format should be in the form YYYY-MM-DD. |
| Name / Print | Type or write the name of the filer. |
| Registration Number | Please enter a Registration Number if you are a patent practitioner. |

Country Code Table

| COUNTRY | CODE |
|--------------------------------|------|
| AFGHANISTAN | AF |
| ALBANIA | AL |
| ALGERIA | DZ |
| ANDORRA | AD |
| ANGOLA | AO |
| ANGUILLA | AI |
| ANTARCTICA | AQ |
| ANTIGUA AND BARBUDA | AG |
| ARGENTINA | AR |
| ARMENIA | AM |
| ARUBA | AW |
| AUSTRALIA | AU |
| AUSTRIA | AT |
| AZERBAIJAN | AZ |
| BAHAMAS | BS |
| BAHRAIN | BH |
| BANGLADESH | BD |
| BARBADOS | BB |
| BELARUS | BY |
| BELGIUM | BE |
| BELIZE | BZ |
| BENIN | BJ |
| BERMUDA | BM |
| BHUTAN | BT |
| BOLIVIA | BO |
| BOSNIA AND HERZEGOVINA | BA |
| BOTSWANA | BW |
| BOUVET ISLAND | BV |
| BRAZIL | BR |
| BRITISH INDIAN OCEAN TERRITORY | IO |
| BRUNEI DARUSSALAM | BN |
| BULGARIA | BG |
| BURKINA FASO | BF |
| BURUNDI | BI |
| CAMBODIA | KH |
| CAMEROON | CM |
| CANADA | CA |
| CANTON AND ENDERBURY ISLANDS | CT |
| CAPE VERDE | CV |
| CAYMAN ISLANDS | KY |
| CENTRAL AFRICAN REPUBLIC | CF |
| CHAD | TD |
| CHILE | CL |
| CHINA | CN |
| CHRISTMAS ISLAND | CX |
| COCOS (KEELING) ISLANDS | CC |
| COLOMBIA | CO |

| | |
|---|----|
| COMOROS | KM |
| CONGO | CG |
| CONGO, THE DEMOCRATIC REPUBLIC OF THE | CD |
| COOK ISLANDS | CK |
| COSTA RICA | CR |
| COTE D'IVOIRE | CI |
| CROATIA | HR |
| CUBA | CU |
| CYPRUS | CY |
| CZECH REPUBLIC | CZ |
| CZECHOSLOVAKIA | CS |
| DENMARK | DK |
| DJIBOUTI | DJ |
| DOMINICA | DM |
| DOMINICAN REPUBLIC | DO |
| DRONNING MAUD LAND | NQ |
| EAST TIMOR | TP |
| ECUADOR | EC |
| EGYPT | EG |
| EL SALVADOR | SV |
| EQUATORIAL GUINEA | GQ |
| ERITREA | ER |
| ESTONIA | EE |
| ETHIOPIA | ET |
| FALKLAND ISLANDS (MALVINAS) | FK |
| FAROE ISLANDS | FO |
| FIJI | FJ |
| FINLAND | FI |
| FRANCE | FR |
| FRENCH GUIANA | GF |
| FRENCH POLYNESIA | PF |
| FRENCH SOUTHERN AND ANTARCTIC TERRITORIES | FQ |
| FRENCH SOUTHERN TERRITORIES | TF |
| GABON | GA |
| GAMBIA | GM |
| GEORGIA | GE |
| GERMAN DEMOCRATIC REPUBLIC | DD |
| GERMANY | DE |
| GHANA | GH |
| GIBRALTAR | GI |
| GREECE | GR |
| GREENLAND | GL |
| GRENADA | GD |
| GUADELOUPE | GP |
| GUATEMALA | GT |
| GUINEA | GN |
| GUINEA-BISSAU | GW |
| GUYANA | GY |

| | |
|---|----|
| HAITI | HT |
| HEARD ISLAND AND MCDONALD ISLANDS | HM |
| HOLY SEE (VATICAN CITY STATE) | VA |
| HONDURAS | HN |
| HONG KONG | HK |
| HUNGARY | HU |
| ICELAND | IS |
| INDIA | IN |
| INDONESIA | ID |
| IRAN, ISLAMIC REPUBLIC OF | IR |
| IRAQ | IQ |
| IRELAND | IE |
| ISRAEL | IL |
| ITALY | IT |
| JAMAICA | JM |
| JAPAN | JP |
| JORDAN | JO |
| KAZAKSTAN | KZ |
| KENYA | KE |
| KIRIBATI | KI |
| KOREA, DEMOCRATIC PEOPLE'S REPUBLIC OF | KP |
| KOREA, REPUBLIC OF | KR |
| KUWAIT | KW |
| KYRGYZSTAN | KG |
| LAO PEOPLE'S DEMOCRATIC REPUBLIC | LA |
| LATVIA | LV |
| LEBANON | LB |
| LESOTHO | LS |
| LIBERIA | LR |
| LIBYAN ARAB JAMAHIRIYA | LY |
| LIECHTENSTEIN | LI |
| LITHUANIA | LT |
| LUXEMBOURG | LU |
| MACAU | MO |
| MACEDONIA, THE FORMER YUGOSLAV REPUBLIC OF | MK |
| MADAGASCAR | MG |
| MALAWI | MW |
| MALAYSIA | MY |
| MALDIVES | MV |
| MALI | ML |
| MALTA | MT |
| MARSHALL ISLANDS | MH |
| MARTINIQUE | MQ |
| MAURITANIA | MR |
| MAURITIUS | MU |
| MAYOTTE | YT |
| MEXICO | MX |
| MIRCRONESIA, FEDERATED STATES OF | FM |
| MOLDOVA, REPUBLIC OF | MD |
| MONACO | MC |

| | |
|-----------------------------------|----|
| MONGOLIA | MN |
| MONTERRAT | MS |
| MOROCCO | MA |
| MOZAMBIQUE | MZ |
| MYANMAR | MM |
| NAMIBIA | NA |
| NAURU | NR |
| NEPAL | NP |
| NETHERLANDS | NL |
| NETHERLANDS ANTILLES | AN |
| NEUTRAL ZONE | NT |
| NEW CALEDONIA | NC |
| NEW ZEALAND | NZ |
| NICARAGUA | NI |
| NIGER | NE |
| NIGERIA | NG |
| NIUE | NU |
| NORFOLK ISLAND | NF |
| NORWAY | NO |
| NOT PROVIDED | XP |
| OMAN | OM |
| PACIFIC ISLANDS (TRUST TERRITORY) | PC |
| PAKISTAN | PK |
| PALAU | PW |
| PANAMA | PA |
| PANAMA CANAL ZONE | PZ |
| PAPUA NEW GUINEA | PG |
| PARACEL ISLANDS | QP |
| PARAGUAY | PY |
| PERU | PE |
| PHILIPPINES | PH |
| PITCAIRN | PN |
| POLAND | PL |
| PORTUGAL | PT |
| QATAR | QA |
| REUNION | RE |
| ROMANIA | RO |
| RUSSIAN FEDERATION | RU |
| RWANDA | RW |
| SAINT HELENA | SH |
| SAINT KITTS AND NEVIS | KN |
| SAINT LUCIA | LC |
| SAINT PIERRE AND MIQUELON | PM |
| SAINT VINCENT AND THE GRENADINES | VC |
| SAMOA | WS |
| SAN MARINO | SM |
| SÃO TOME AND PRINCIPE | ST |
| SAUDI ARABIA | SA |
| SENEGAL | SN |
| SEYCHELLES | SC |

| | |
|--|----|
| SIERRA LEONE | SL |
| SINGAPORE | SG |
| SLOVAKIA | SK |
| SLOVENIA | SI |
| SOLOMON ISLANDS | SB |
| SOMALIA | SO |
| SOUTH AFRICA | ZA |
| SOUTH GEORGIA AND THE SOUTH SANDWICH ISLANDS | GS |
| SPAIN | ES |
| SRI LANKA | LK |
| STATELESS | ZZ |
| SUDAN | SD |
| SURINAME | SR |
| SVALBARD AND JAN MAYEN | SJ |
| SWAZILAND | SZ |
| SWEDEN | SE |
| SWITZERLAND | CH |
| SYRIAN ARAB REPUBLIC | SY |
| TAIWAN | TW |
| TAJKISTAN | TJ |
| TANZANIA, UNITED REPUBLIC OF | TZ |
| THAILAND | TH |
| TOGO | TG |
| TOKELAU | TK |
| TONGA | TO |
| TRINIDAD AND TOBAGO | TT |

| | |
|-------------------------------------|----|
| TUNISIA | TN |
| TURKEY | TR |
| TURKMENISTAN | TM |
| TURKS AND CAICOS ISLANDS | TC |
| TUVALU | TV |
| UGANDA | UG |
| UKRAINE | UA |
| UNION OF SOVIET SOCIALIST REPUBLICS | SU |
| UNITED ARAB EMIRATES | AE |
| UNITED KINGDOM | GB |
| UNITED STATES | US |
| URUGUAY | UY |
| UZBEKISTAN | UZ |
| VANUATU | VU |
| VENEZUELA | VE |
| VIET NAM | VN |
| VIET-NAM, DEMOCRATIC REPUBLIC OF | VD |
| VIRGIN ISLANDS, BRITISH | VG |
| WALLIS AND FUTUNA | WF |
| WESTERN SAHARA | EH |
| YEMEN | YE |
| YEMEN, DEMOCRATIC | YD |
| YUGOSLAVIA | YU |
| ZAMBIA | ZM |
| ZIMBABWE | ZW |

WIPO ST.3 Table

LIST OF STATES, OTHER ENTITIES AND INTERGOVERNMENTAL ORGANIZATIONS, IN ALPHABETIC SEQUENCE OF THEIR SHORT NAMES, AND THEIR CORRESPONDING CODES (as of March 22, 2007)

| | |
|--|----|
| AFGHANISTAN | AF |
| AFRICAN INTELLECTUAL PROPERTY ORGANIZATION (OAPI) ⁽¹⁾ | OA |
| AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO) ⁽¹⁾ | AP |
| ALBANIA | AL |
| ALGERIA | DZ |
| ANDORRA | AD |
| ANGOLA | AO |
| ANGUILLA | AI |
| ANTIGUA AND BARBUDA | AG |
| ARGENTINA | AR |
| ARMENIA | AM |
| ARUBA | AW |
| AUSTRALIA | AU |
| AUSTRIA | AT |
| AZERBAIJAN | AZ |
| BAHAMAS | BS |
| BAHRAIN | BH |
| BANGLADESH | BD |
| BARBADOS | BB |
| BELARUS | BY |
| BELGIUM | BE |
| BELIZE | BZ |
| BENELUX OFFICE FOR INTELLECTUAL PROPERTY (BOIP) ⁽²⁾ | BX |
| BENIN | BJ |
| BERMUDA | BM |
| BHUTAN | BT |
| BOLIVIA | BO |
| BOSNIA AND HERZEGOVINA | BA |
| BOTSWANA | BW |
| BOUVET ISLAND | BV |
| BRAZIL | BR |
| BRUNEI DARUSSALAM | BN |
| BULGARIA | BG |
| BURKINA FASO | BF |
| BURUNDI | BI |
| CAMBODIA | KH |
| CAMEROON | CM |
| CANADA | CA |
| CAPE VERDE ... | CV |
| CAYMAN ISLANDS | KY |
| CENTRAL AFRICAN REPUBLIC | CF |
| CHAD | TD |
| CHILE | CL |
| CHINA | CN |
| COMMUNITY PLANT VARIETY OFFICE (EUROPEAN COMMUNITY) (CPVO) | QZ |
| COLOMBIA | CO |
| COMOROS | KM |
| CONGO (see Congo, below; Democratic Republic of the Congo) | |
| CONGO | CG |
| COOK ISLANDS. | CK |
| COSTA RICA | CR |
| CÔTE D'IVOIRE | CI |

| | |
|---|--------|
| CROATIA | HR |
| CUBA | CU |
| CYPRUS | CY |
| CZECH REPUBLIC | CZ |
| DEMOCRATIC PEOPLE'S REPUBLIC OF KOREA | KP |
| DEMOCRATIC REPUBLIC OF THE CONGO | CD |
| DENMARK | DK |
| DJIBOUTI | DJ |
| DOMINICA ... | DM |
| DOMINICAN REPUBLIC | DO |
| ECUADOR | EC |
| EGYPT | EG |
| EL SALVADOR | SV |
| EQUATORIAL GUINEA | GQ |
| ERITREA | ER |
| ESTONIA | EE |
| ETHIOPIA | ET |
| EURASIAN PATENT ORGANIZATION (EAPO) ⁽¹⁾ | EA |
| EUROPEAN COMMUNITY TRADE MARK OFFICE (See Office for Harmonization in the Internal Market) | |
| EUROPEAN PATENT OFFICE (EPO) ⁽¹⁾ | EP |
| FALKLAND ISLANDS (MALVINAS) | FK |
| FAROE ISLANDS | FO |
| FIJI | FJ |
| FINLAND | FI |
| FRANCE | FR |
| GABON | GA |
| GAMBIA | GM |
| GEORGIA | GE |
| GERMANY ⁽³⁾ | DE |
| GHANA | GH |
| GIBRALTAR | GI |
| GREECE | GR |
| GREENLAND ... | GL |
| GRENADA ... | GD |
| GUATEMALA ... | GT |
| GUERNSEY. | GG |
| GUINEA | GN |
| GUINEA-BISSAU | GW |
| GULF COOPERATION COUNCIL (see Patent Office of the Cooperation Council for the Arab States of the Gulf) | |
| GUYANA | GY |
| HAITI | HT |
| HOLY SEE ... | VA |
| HONDURAS | HN |
| HONG KONG (See The Hong Kong Special Administrative Region of the People's Republic of China) | |
| HUNGARY | HU |
| ICELAND | IS |
| INDIA | IN |
| INDONESIA | ID |
| INTERNATIONAL BUREAU OF THE WORLD INTELLECTUAL PROPERTY ORGANIZATION (WIPO) ⁽⁴⁾ | IB, WO |
| IRAN, ISLAMIC REPUBLIC OF | IR |
| IRAQ | IQ |

| | |
|--|----|
| IRELAND | IE |
| ISLE OF MAN | IM |
| ISRAEL | IL |
| ITALY | IT |
| JAMAICA | JM |
| JAPAN | JP |
| JERSEY | JE |
| JORDAN | JO |
| KAZAKHSTAN | KZ |
| KENYA | KE |
| KIRIBATI | KI |
| KOREA (see Democratic People's Republic of Korea; Republic of Korea) | |
| KUWAIT | KW |
| KYRGYZSTAN | KG |
| LAO PEOPLE'S DEMOCRATIC REPUBLIC | LA |
| LATVIA | LV |
| LEBANON | LB |
| LESOTHO | LS |
| LIBERIA | LR |
| LIBYAN ARAB JAMAHIRIYA | LY |
| LIECHTENSTEIN | LI |
| LITHUANIA | LT |
| LUXEMBOURG | LU |
| MACAO | MO |
| MACEDONIA (see The former Yugoslav Republic of Macedonia) | |
| MADAGASCAR | MG |
| MALAWI | MW |
| MALAYSIA ... | MY |
| MALDIVES ... | MV |
| MALI | ML |
| MALTA | MT |
| MAURITANIA | MR |
| MAURITIUS | MU |
| MEXICO | MX |
| MOLDOVA (see Republic of Moldova) | |
| MONACO | MC |
| MONGOLIA | MN |
| MONTENEGRO. | ME |
| MONTserrat | MS |
| MOROCCO | MA |
| MOZAMBIQUE | MZ |
| MYANMAR | MM |
| NAMIBIA | NA |
| NAURU | NR |
| NEPAL | NP |
| NETHERLANDS. | NL |
| NETHERLANDS ANTILLES | AN |
| NEW ZEALAND | NZ |
| NICARAGUA | NI |
| NIGER | NE |
| NIGERIA | NG |
| NORDIC PATENT INSTITUTE (NPI) | XN |
| NORTHERN MARIANA ISLANDS | MP |
| NORWAY | NO |
| OFFICE FOR HARMONIZATION IN THE INTERNAL MARKET (TRADE MARKS AND DESIGNS) (OHIM) | EM |
| OMAN | OM |
| PAKISTAN ... | PK |
| PALAU | PW |

| | |
|--|----|
| PANAMA | PA |
| PAPUA NEW GUINEA | PG |
| PARAGUAY | PY |
| PATENT OFFICE OF THE COOPERATION COUNCIL FOR THE ARAB STATES OF THE GULF (GCC) | GC |
| PERU | PE |
| PHILIPPINES ... | PH |
| POLAND | PL |
| PORTUGAL | PT |
| QATAR | QA |
| REPUBLIC OF KOREA | KR |
| REPUBLIC OF MOLDOVA | MD |
| ROMANIA | RO |
| RUSSIAN FEDERATION | RU |
| RWANDA | RW |
| SAINT HELENA | SH |
| SAINT KITTS AND NEVIS ... | KN |
| SAINT LUCIA ... | LC |
| SAINT VINCENT AND THE GRENADINES | VC |
| SAMOA | WS |
| SAN MARINO | SM |
| SAO TOME AND PRINCIPE | ST |
| SAUDI ARABIA | SA |
| SENEGAL | SN |
| SERBIA | RS |
| SEYCHELLES | SC |
| SIERRA LEONE | SL |
| SINGAPORE | SG |
| SLOVAKIA ... | SK |
| SLOVENIA ... | SI |
| SOLOMON ISLANDS | SB |
| SOMALIA | SO |
| SOUTH AFRICA | ZA |
| SOUTH GEORGIA AND THE SOUTH SANDWICH ISLANDS | GS |
| SPAIN | ES |
| SRI LANKA | LK |
| SUDAN | SD |
| SURINAME | SR |
| SWAZILAND | SZ |
| SWEDEN | SE |
| SWITZERLAND | CH |
| SYRIAN ARAB REPUBLIC | SY |
| TAIWAN, PROVINCE OF CHINA | TW |
| TAJIKISTAN | TJ |
| TANZANIA (see United Republic of Tanzania) | |
| THAILAND ... | TH |
| THE FORMER YUGOSLAV REPUBLIC OF MACEDONIA | MK |
| THE HONG KONG SPECIAL ADMINISTRATIVE REGION OF THE PEOPLE'S REPUBLIC OF CHINA | HK |
| TIMOR-LESTE | TL |
| TOGO. | TG |
| TONGA | TO |
| TRINIDAD AND TOBAGO | TT |
| TUNISIA | TN |
| TURKEY | TR |
| TURKMENISTAN | TM |
| TURKS AND CAICOS ISLANDS | TC |

| | |
|-----------------------------------|----|
| TUVALU | TV |
| UGANDA | UG |
| UKRAINE | UA |
| UNITED ARAB EMIRATES | AE |
| UNITED KINGDOM | GB |
| UNITED REPUBLIC OF TANZANIA | TZ |
| UNITED STATES OF AMERICA | US |
| URUGUAY ... | UY |
| UZBEKISTAN ... | UZ |
| VANUATU ... | VU |
| VATICAN CITY STATE (See Holy See) | |

| | |
|--|--------|
| VENEZUELA | VE |
| VIET NAM | VN |
| VIRGIN ISLANDS, BRITISH | VG |
| WESTERN SAHARA ⁽⁵⁾ | EH |
| WORLD INTELLECTUAL PROPERTY ORGANIZATION (WIPO) (INTERNATIONAL BUREAU OF) ⁽⁴⁾ | WO, IB |
| YEMEN | YE |
| ZAMBIA | ZM |
| ZIMBABWE | ZW |

- (1) Intergovernmental organizations (regional patent offices) acting for certain Contracting States under the PCT (Patent Cooperation Treaty). In case of the European Patent Office, it also acts as International Searching Authority and International Preliminary Examining Authority under the PCT.
- (2) The Benelux Office for Intellectual Property (BOIP) (former Benelux Trademark and Designs Offices) have replaced the national Offices of Belgium, Luxembourg and the Netherlands with regard to actions relating to marks and industrial designs.
- (3) In the electronic database of the International Register of Marks, the International Bureau of WIPO uses the following additional codes, not part of the active codes of Standard ST.3: "DD" to designate Germany without the territory that, prior to October 3, 1990, constituted the Federal Republic of Germany; "DT" to designate Germany without the territory that, prior to October 3, 1990, constituted the German Democratic Republic.
- (4) The code "WO" is used in relation to the international publication under the Patent Cooperation Treaty (PCT) of international applications filed with any PCT receiving Office, as well as in the publication of international deposits of industrial designs under the Hague Agreement Concerning the International Deposit of Industrial Designs. In this regard, reference is made to INID code (33) given in WIPO Standards [ST.9](#) and [ST.80](#). The code "WO" is also the appropriate code to be used with respect to international registrations of marks under the Madrid Agreement and the Madrid Protocol Concerning the International Registration of Marks. The code "IB" is used in relation to the receipt of international applications under the PCT filed with the International Bureau of WIPO in its capacity as a PCT receiving Office.
- (5) Provisional name.
- (6) Code BF adopted in 1984..
- (7) Code CD adopted in 1997.
- (8) Code MM adopted in 1989.
- (9) Code TL adopted on May 20, 2002.
- (10) Code SY used before 1.1.1978.
- (11) Code DL used before 1.1.1978.
- (12) Subsequent to the country name change of "Yugoslavia" to "Serbia and Montenegro", that took effect on February 4, 2003, and to the decision by the ISO 3166/MA to use the new country name and new two-letter code "CS" (to replace "YU"), which was announced on July 23, 2003, the SCIT Standards and Documentation Working Group agreed, at its fifth session, in November 11, 2004, to recommend that the two-letter code "YU" continue to be used for "Serbia and Montenegro" in the industrial property field because code "CS" raised certain problems due to its former use to represent "Czechoslovakia" until 1993.

1. State/Province List

| U.S. State / U.S. territories / Canadian Provinces | Code |
|---|------|
| ALABAMA | AL |
| ALASKA | AK |
| ALBERTA | AB |
| AMERICAN SAMOA | AS |
| ARIZONA | AZ |
| ARKANSAS | AR |
| Armed Forces in Americas excluding Canada | AA |
| Armed Forces in Europe, the Middle East, Africa, and Canada | AE |
| Armed Forces in the Pacific | AP |
| BAKER ISLAND | 81 |
| BRITISH COLUMBIA | BC |
| CALIFORNIA | CA |
| CHANNEL ISLANDS | CHA |
| COLORADO | CO |
| CONNECTICUT | CT |
| DELAWARE | DE |
| DISTRICT OF COLUMBIA | DC |
| FLORIDA | FL |
| GEORGIA | GA |
| GUAM | GU |
| HAWAII | HI |
| HOWLAND ISLAND | 84 |
| IDAHO | ID |
| ILLINOIS | IL |
| INDIANA | IN |
| IOWA | IA |
| JARVIS ISLAND | 86 |
| JOHNSTON ATOLL | 67 |
| KANSAS | KS |
| KENTUCKY | KY |
| KINGMAN REEF | 89 |
| LOUISIANA | LA |
| MAINE | ME |
| MANITOBA | MB |
| MARYLAND | MD |
| MASSACHUSETTS | MA |
| MICHIGAN | MI |
| MIDWAY ISLANDS | 71 |
| MINNESOTA | MN |
| MISSISSIPPI | MS |

| | |
|--------------------------------------|----|
| MISSOURI | MO |
| MONTANA | MT |
| NAVASSA ISLAND | 76 |
| NEBRASKA | NE |
| NEVADA | NV |
| NEW BRUNSWICK | NB |
| NEW HAMPSHIRE | NH |
| NEW JERSEY | NJ |
| NEW MEXICO | NM |
| NEW YORK | NY |
| NEWFOUNDLAND | NF |
| NORTH CAROLINA | NC |
| NORTH DAKOTA | ND |
| NORTHWEST TERRITORIES | NT |
| NOVA SCOTIA | NS |
| NUNAVUT | NU |
| OHIO | OH |
| OKLAHOMA | OK |
| ONTARIO | ON |
| OREGON | OR |
| PALMYRA ATOLL | 95 |
| PENNSYLVANIA | PA |
| PRINCE EDWARD ISLAND | PE |
| PUERTO RICO | PR |
| QUEBEC | QC |
| RHODE ISLAND | RI |
| SASKATCHEWAN | SK |
| SOUTH CAROLINA | SC |
| SOUTH DAKOTA | SD |
| TENNESSEE | TN |
| TEXAS | TX |
| UNITED STATES MINOR OUTLYING ISLANDS | UM |
| UTAH | UT |
| VERMONT | VT |
| VIRGIN ISLANDS, U.S. | VI |
| VIRGINIA | VA |
| WAKE ISLAND | 79 |
| WASHINGTON | WA |
| WEST VIRGINIA | WV |
| WISCONSIN | WI |
| WYOMING | WY |
| YUKON TERRITORY | YT |

2. Active Military Descriptions List

| | |
|----|---|
| AE | The inventor is serving in Europe or Africa |
| AP | The inventor is serving in the Pacific |

| | |
|----|--|
| AA | The inventor is serving in the Americas excluding Canada |
|----|--|