

transactions, as well as, certain banks, broker-dealers, and domestic affiliates thereof. The ICR was approved by OMB under OMB Control Number 1210-0111 and is scheduled to expire on June 30, 2014.

*Agency:* Employee Benefits Security Administration, Department of Labor.

*Title:* Delinquent Filer Voluntary Compliance Program.

*Type of Review:* Extension of a currently approved collection of information.

*OMB Number:* 1210-0089.

*Affected Public:* Businesses or other for-profits.

*Respondents:* 12,322.

*Responses:* 12,322.

*Estimated Total Burden Hours:* 616.

*Estimated Total Burden Cost*

*(Operating and Maintenance):* \$676,712.

*Description:* The Secretary of Labor has the authority, under section 502(c)(2) of ERISA, to assess civil penalties of up to \$1,000 a day against plan administrators who fail or refuse to file complete and timely annual reports (Form 5500 Series Annual Return/Reports) as required under section 101(b)(4) of ERISA-related regulations. Pursuant to 29 CFR 2560.502c-2 and 2570.60 *et seq.*, EBSA has maintained a program for the assessment of civil penalties for noncompliance with the annual reporting requirements. Under this program, plan administrators filing annual reports after the date on which the report was required to be filed may be assessed \$50 per day for each day an annual report is filed after the date on which the annual report(s) was required to be filed, without regard to any extensions for filing.

Plan administrators who fail to file an annual report may be assessed a penalty of \$300 per day, up to \$30,000 per year, until a complete annual report is filed. Penalties are applicable to each annual report required to be filed under Title I of ERISA. The Department may, in its discretion, waive all or part of a civil penalty assessed under section 502(c)(2) upon a showing by the administrator that there was reasonable cause for the failure to file a complete and timely annual report.

The Department has determined that the possible assessment of these civil penalties may deter certain delinquent filers from voluntarily complying with the annual reporting requirements under Title I of ERISA. In an effort to encourage annual reporting compliance, therefore, the Department implemented the Delinquent Filer Voluntary Compliance (DFVC) Program (the Program) on April 27, 1995 (60 FR 20873). Under the Program,

administrators otherwise subject to the assessment of higher civil penalties are permitted to pay reduced civil penalties for voluntarily complying with the annual reporting requirements under Title I of ERISA.

This ICR covers the requirement of providing data necessary to identify the plan along with the penalty payment. This data is the means by which each penalty payment is associated with the appropriate plan. With respect to most pension plans and welfare plans, the requirement is satisfied by sending a photocopy of the delinquent Form 5500 annual report that has been filed, along with the penalty payment.

Under current regulations, apprenticeship and training plans may be exempted from the reporting and disclosure requirements of Part 1 of Title I, and certain pension plans maintained for highly compensated employees, commonly called "top hat" plans, may comply with these reporting and disclosure requirements by using an alternate method by filing a one-time identifying statement with the Department. The DFVC Program provides that apprenticeship and training plans and top hat plans may, in lieu of filing any past due annual reports and paying otherwise applicable civil penalties, complete and file specific portions of a Form 5500, file the identifying statements that were required to be filed, and pay a one-time penalty. The ICR was approved by OMB under OMB Control Number 1210-0089 and is scheduled to expire on July 31, 2014.

## II. Focus of Comments

The Department is particularly interested in comments that:

- Evaluate whether the collections of information are necessary for the proper performance of the functions of the agency, including whether the information will have practical utility;
- Evaluate the accuracy of the agency's estimate of the collections of information, including the validity of the methodology and assumptions used;
- Enhance the quality, utility, and clarity of the information to be collected; and
- Minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, e.g., by permitting electronic submissions of responses.

Comments submitted in response to this notice will be summarized and/or included in the ICRs for OMB approval

of the extension of the information collection; they will also become a matter of public record.

Dated: November 15, 2013.

**Joseph S. Piacentini,**

*Director, Office of Policy and Research,  
Employee Benefits Security Administration.*

[FR Doc. 2013-28568 Filed 11-27-13; 8:45 am]

**BILLING CODE 4510-29-P**

## DEPARTMENT OF LABOR

### Mine Safety and Health Administration

[OMB Control No. 1219-0143]

#### Proposed Information Collection; Qualification/Certification Program Request for MSHA Individual Identification Number (MIIN)

**AGENCY:** Mine Safety and Health Administration, Labor.

**ACTION:** Request for public comments.

**SUMMARY:** The Department of Labor, as part of its continuing effort to reduce paperwork and respondent burden, conducts a pre-clearance consultation program to provide the general public and Federal agencies with an opportunity to comment on proposed and continuing collections of information in accordance with the Paperwork Reduction Act of 1995, 44 U.S.C. 3506(c)(2)(A). This program helps to assure that requested data can be provided in the desired format, reporting burden (time and financial resources) is minimized, collection instruments are clearly understood, and the impact of collection requirements on respondents can be properly assessed. Currently, the Mine Safety and Health Administration (MSHA) is soliciting comments concerning the extension of the information collection for updating Qualification/Certification Program Request for MSHA Individual Identification Number (MIIN).

**DATES:** All comments must be postmarked or received by midnight Eastern Standard Time on January 28, 2014.

**ADDRESSES:** Comments concerning the information collection requirements of this notice may be sent by any of the methods listed below.

- *Federal E-Rulemaking Portal:* <http://www.regulations.gov>. Follow the on-line instructions for submitting comments for docket number [MSHA-2013-0030].

- *Regular Mail:* Send comments to MSHA, Office of Standards, Regulations, and Variances, 1100 Wilson Boulevard, Room 2350, Arlington, VA 22209-3939.

• *Hand Delivery*: MSHA, 1100 Wilson Boulevard, Room 2176, Arlington, VA.

**FOR FURTHER INFORMATION CONTACT:**

Sheila McConnell, Deputy Director, Office of Standards, Regulations, and Variances, MSHA, at *McConnell.Sheila.A@dol.gov* (email); 202-693-9440 (voice); or 202-693-9441 (facsimile).

**SUPPLEMENTARY INFORMATION:**

**I. Background**

Section 101(a) of the Federal Mine Safety and Health Act of 1977 (Mine Act) requires the Secretary to develop, promulgate, and revise as may be appropriate, improved mandatory health or safety standards for the protection of life and prevention of injuries in coal or other mines. Under section 103(a)(2), authorized representatives of the Secretary of Labor or Secretary of Health and Human Services must make frequent inspections and investigations in coal or other mines each year for the purpose of gathering information with respect to mandatory health or safety standards.

The Mine Safety and Health Administration (MSHA) issues certifications, qualifications and approvals to the nation's miners to conduct specific work within the mines. Miners requiring qualification or certification from MSHA will register for an "MSHA Individual Identification Number" (MIIN). This unique number is used in place of individual Social Security numbers (SSNs) for all MSHA collections. The MIIN identifier fulfills Executive Order 13402, Strengthening Federal Efforts Against Identity Theft, which requires Federal agencies to better secure government held data.

**II. Desired Focus of Comments**

MSHA is particularly interested in comments that:

- Evaluate whether the collection of information is necessary for the proper performance of the functions of the agency, including whether the information has practical utility;
- Evaluate the accuracy of the MSHA's estimate of the burden of the collection of information, including the validity of the methodology and assumptions used;
- Enhance the quality, utility, and clarity of the information to be collected; and
- Minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated, electronic, mechanical, or other technological collection techniques or other forms of information technology,

e.g., permitting electronic submission of responses.

OMB clearance requests are available on MSHA's Web site at *http://www.msha.gov* under "Federal Register Documents" on the right side of the screen by selecting "New and Existing Information Collections and Supporting Statements". The document will be available on MSHA's Web site for 60 days after the publication date of this notice, and on *http://*

*www.regulations.gov*. Because comments will not be edited to remove any identifying or contact information, MSHA cautions the commenter against including any information in the submission that should not be publicly disclosed.

The public may also examine publicly available documents at MSHA, Office of Standards, Regulations, and Variances, 1100 Wilson Boulevard, Room 2350, Arlington VA.

Questions about the information collection requirements may be directed to the person listed in the **FOR FURTHER INFORMATION** section of this notice.

**III. Current Actions**

This request for collection of information contains provisions for the extension of the Information Collection Request Submitted for Public Comment and Recommendations; Qualification/Certification Program Request for MSHA Individual Identification Number (MIIN). MSHA does not intend to publish the results from this information collection and will display the expiration date on the instrument.

There are no certification exceptions identified and this information collection and the collection of this information does not employ statistical methods.

*Type of Review*: Extension.  
*Agency*: Mine Safety and Health Administration.

*Title*: Qualification/Certification Program Request for MSHA Individual Identification Number (MIIN).

*OMB Number*: 1219-0143.  
*Affected Public*: Business of other for-profit.

*Total Number of Respondents*: 16,000.  
*Frequency*: On occasion.

*Total Number of Responses*: 16,000.  
*Total Burden Hours*: 1,333 hours.  
*Total Annual Respondent or*

*Recordkeeper Cost Burden*: \$752.  
*MSHA Forms*: MSHA Form 5000-46, MSHA Individual Identification Number Request (MIIN).

Comments submitted in response to this notice will be summarized and included in the request for Office of Management and Budget approval of the information collection request; they will also become a matter of public record.

Dated: November 25, 2013.

**George F. Triebisch**,  
*Certifying Officer*.

[FR Doc. 2013-28634 Filed 11-27-13; 8:45 am]

**BILLING CODE 4510-43-P**

**NATIONAL ARCHIVES AND RECORDS ADMINISTRATION**

[NARA-2014-005]

**Advisory Committee on the Records of Congress; Meeting**

**AGENCY**: National Archives and Records Administration.

**ACTION**: Notice of meeting.

**SUMMARY**: In accordance with the Federal Advisory Committee Act, the National Archives and Records Administration (NARA) announces a meeting of the Advisory Committee on the Records of Congress. The committee advises NARA on the full range of programs, policies, and plans for the Center for Legislative Archives in the Office of Legislative Archives, Presidential Libraries, and Museum Services (LPM).

**DATES**: The meeting will be held on December 9, 2013, from 10 a.m. to 11:30 a.m.

**ADDRESSES**: National Archives, 700 Pennsylvania Ave. NW., Room 105

**SUPPLEMENTARY INFORMATION:**

**Agenda**

- (1) Chair's Opening Remarks—Clerk of the U.S. House of Representatives
- (2) Recognition of Co-chair—Secretary of the U.S. Senate
- (3) Recognition of the Archivist of the United States
- (4) Approval of the minutes of the last meeting
- (5) Senate Archivist's report—Karen Paul
- (6) House Archivist's report—Robin Reeder
- (7) Center Update—Richard Hunt
- (8) Other current issues and new business

The meeting is open to the public.

**FOR FURTHER INFORMATION CONTACT:** Center for Legislative Archives (202) 357-5350, Sharon Fitzpatrick for NARA location: *sharon.fitzpatrick@nara.gov*.

Dated: November 25, 2013.

**Patrice Murray**,  
*Acting Committee Management Officer*.

[FR Doc. 2013-28691 Filed 11-27-13; 8:45 am]

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