

RCE Filings - Rule 1.97(e)

Welcome

The US Patent and Trademark Office (USPTO) is evaluating the extent to which RCE filings are necessitated by the inability to provide the required statement under 37 CFR 1.97(e) and the prospective impact of certain modifications to the Rule 1.97(e) requirements. Because you are filing an RCE submission including an information disclosure statement, the USPTO requests your assistance in that evaluation by completing this brief survey.

Your response to this survey will remain anonymous, and results will be viewed and reported in aggregate format only. Questions about the survey should be directed to Daniel Sullivan via email (Daniel.Sullivan@USPTO.GOV).

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Expiration Date: 04/30/2014

This information collection contains requirements subject to the Paperwork Reduction Act (PRA). Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subject to penalty for failure to comply with, a collection of information subject to the requirements of the PRA, unless that collection of information displays a currently valid OMB Control Number. The estimated response time for this collection is 3 minutes (0.05 hours). The response time includes the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

Please click the "Next" button below to begin the survey.

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Demographics

1. The RCE you are filing is for an application directed to which of the following technology fields (please choose the closest fit):

- Chemical (Technology Centers 1600 or 1700)
- Electrical (Technology Centers 2100, 2400, 2600, or 2800)
- Mechanical (Technology Centers 3600 or 3700)
- Designs (Technology Center 2900)

2. Including this most recent filing, how many RCE filings have you submitted in the past 6 months?

- One (1)
- Two (2)
- Three (3) or more

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3. What was the status of this application at the time this RCE was filed?

- Final Rejection
- Allowance
- Ex Parte Quayle

4. If the RCE was filed after allowance, had the issue fee been paid?

- Yes
- No

5. Was the filing of this RCE necessitated solely by the inability to provide the required statement under 37 CFR 1.97(e)? For more info about 37 CFR 1.97(e) please see note at the bottom of the page.

- Yes
- No

6. If the answer to 5 above was yes, please indicate whether any of the following statements are true by selecting the appropriate box. Check all that apply.

- It would not have been necessary to file this RCE if 37 CFR 1.97(e)(1) was expanded to include information cited in a counterpart US patent application
- It would not have been necessary to file this RCE if 37 CFR 1.97(e)(1) was expanded to include information cited in a counterpart foreign application more than three months prior to the filing date of the information disclosure statement.
- It would not have been necessary to file this RCE if 37 CFR 1.97(e)(1) was expanded as to include information cited in a counterpart US patent application more than three months prior to the filing date of the information disclosure statement.
- N/A

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7. Do you have any comments you would like to provide about 37 CFR 1.97(e)?

Thank you for completing the survey. Clicking the "Done" button below will submit your response and close the survey window.

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Done