Appendix B: Omnibus NSF Engineering Longitudinal Survey Instrument Time 2

	Respondent	Questions and Skip Logic			
A.1.	Non-I-Corps PIs only	Survey Screener Have you ever received an I-Corps Team award from the National Science Foundation (NSF)? • Yes [Exit Survey] • No [proceed to A.2.]			
A.2.	Non-I-Corps PIs only	 Have you ever received an I-Corps Team award from the National Institutes of Health (NIH)? Yes [Exit Survey] No [proceed to A.3.] 			
A.3.	Non-I-Corps PIs only	 Have you ever explored opportunities to commercialize any aspect of your NSF-funded research? Yes [proceed to A.4.] No [proceed to A.4.] 			
A.4.	Non-I-Corps PIs only	When exploring the commercialization of your NSF-funded research, did you ever: [If no to A.3.] Have you ever done any of the following? 4a Participate in entrepreneurial training? o Yes o No 4b Apply for governmental or institutional grants to promote commercialization? o Yes o No 4c Seek advice on commercializing my research? o Yes o No 4d Explore commercialization opportunities in other ways? o Yes (Please specify) o No			
B.1.	Non-I-Corps PIs only	Your NSF-funded research In this survey, we will ask about your experience pursuing commercialization of NSF-funded research. You will need to identify one NSF-funded project with the most commercial potential or one that you spent most time in commercializing. In the remainder of the survey, we will refer to this project as "your NSF project." Thinking back to your NSF grant(s), identify one research project that led you to explore related opportunities to commercialize products, services or technologies. If you have multiple projects that meet these criteria, please focus on the one that you think has advanced the most in terms of commercialization. Project Name:			

		Project Start Year:		
		Project End Year:		
B.2.	Non-I-Corps PIs only	In which year did you start the effort to commercialize your NSF project? YYYY		
		Indicate how many times you participated in you did not have any prior experience:	n each of the following exp	eriences. Enter zero (0) if
			Prior to the start of the effort to commercialize your NSF project	After to the start of the effort to commercialize your NSF project
		Contributed ideas utilized by a company to improve a product, service, or process		
		Presented a business idea to investors Generated trade secrets		
B.3.	Non-I-Corps PIs only	Served on a corporate advisory board Founded or cofounded a venture (for- profit, nonprofit, not-for-profit) that developed or sold products or services based on your research		
		Participated in the technology transfer process at your university Disclosed an invention to the university		
		Licensed your research/technology Received royalties for your work		
		Participated in industry funded research Issued a patent Interviewed potential customers about		
		a product, service, or technology Conducted market research		
		Applied for a patent as an inventor or co-inventor		
		What activities did you undertake when you (Select all that apply)		on of your NSF project?
B.4. Non-I-Corps PIs only		 Led efforts to conduct outreach with prospective customers Provided advice based on prior entrepreneurial background Contributed to R&D of product/technology Sought support from university entrepreneurial office Sought support from university technology transfer office 		
C.1.	Non-I-Corps PIs only	 Represented or acted as a potential Your Commercialization Effort Did you develop a formal written business s project? 		ts to commercialize your
		o Yes o No		

		[If yes to 4.a] Please identify the types of en	ntrepreneurial trai	ning you received, the number of
		times you participated and for what total an		
			How many times?	Total cumulative time for all occurrences?
		I-Corps Courses (offered at I-Corps Site	o Never	 Less than 1 day
		or Node programs)	o Once	• At least 1 day but less
		0	 More than 	than 1 week
			once	 At least 1 week but less
				than1 month
				o At least 1 month but less
				than 1 semester
				 At least 1 semester but
				less than 1 year
				o 1 year or more
		Courses using Customer Discovery or	o Never	 Less than 1 day
		the Business Model Canvas	o Once	 At least 1 day but less
			 More than 	than 1 week
			once	• At least 1 week but less
				than1 month
				• At least 1 month but less
	Non-I-Corps			than 1 semester
C.2.	Pls only			• At least 1 semester but
				less than 1 year
		Asselsustas Deseusaria (s. s. Task Stava an	Neuro	o 1 year or more
		Accelerator Programs (e.g. Tech Stars or	o Never	• Less than 1 day
		Y Combinator)	o Once	 At least 1 day but less than 1 week
			 More than 	
			once	 At least 1 week but less than1 month
				 At least 1 month but less
				than 1 semester
				 At least 1 semester but
				less than 1 year
				o 1 year or more
		Other Entrepreneurial educational	o Never	 Less than 1 day
		Programs	o Once	• At least 1 day but less
		- 0	 More than 	than 1 week
			once	• At least 1 week but less
				than1 month
				• At least 1 month but less
				than 1 semester
				• At least 1 semester but
				less than 1 year
				o 1 year or more

C.3.	Non-I-Corps Pls only		
D.1.	Non-I-Corps Pis only	Your Team In this section, we will obtain information about the individuals who you worked closely with in your efforts to commercialize your NSF project. By this we mean those who supported your effort in a direct capacity (e.g., were brought on to help you navigate any difficulties you might experience in advancing your commercialization efforts). We will refer to these individuals as members of your team. When exploring the commercial opportunities associated with your NSF project, how many pee have you worked closely with? 0 1 0 1 0 2 0 3 0 4 0 5+	
D.2.	2. Non-I-Corps PIs only PIs ON		
D.3.	Non-I-Corps PIs only	 Are you still working with this person on the commercialization effort? Yes No 	
D3.a.	Non-I-Corps PIs only	[if no] when did you stop working with this person on the commercialization effort? mm/yyyy	
D.4.	D.4. Non-I-Corps PIs only 0 Yes 0 No		

D.5.	Non-I-Corps PIs only	 What activities did this person undertake when working on the commercialization effort of your NSF project? (Select all that apply) Led efforts to conduct outreach with prospective customers Provided advice based on prior entrepreneurial background Contributed to R&D of product/technology Sought support from university entrepreneurial office Sought support from university technology transfer office Represented or acted as a potential investor
D.5.a.		Are you familiar with the Osterwalder "Business Canvas Model'? • Yes • No
0	I-Corps Teams only	Project Status [Displayed for all] This survey asks about your experiences as a(n) [ROLE] with the [TEAM NAME] team from [INSTITUTION] in the [COURSE NAME] I-Corps course, the same team you updated us on in the first I-Corps Longitudinal Outcomes Survey. Please note: if you have participated in other I-Corps teams in addition to the team above, there will be space at the end of the survey to share your other experiences. Please fill out all other questions for the [TEAM NAME] team.
1	I-Corps Teams only	Since you last updated us in 2014, have you been involved with the I-Corps project? If you continued involvement, please describe how you were involved in the comment box. • Yes, I continued to be involved with the project • No, I stopped being involved with the project. Make a comment on your choice here:
2	I-Corps Teams only	[If item 1 (involvement with I-Corps project) response is "No, I stopped being involved with the project."] If you know who is the most knowledgeable person to contact about the project right now, please provide details below. Name: Role in project:
3	I-Corps Teams only	[If item 1 (involvement with I-Corps project) response is "yes, I continued to be involved with the project"] Is this project still active? • Yes • No • Uncertain

4	I-Corps Teams only[If item 3 (whether project is active) response is "Uncertain"] Select any of the reasons listed below that explain why you are uncertain.oWe have not yet identified a large enough market for our product/technology ooThe time to get the technology into market may be too greatoWe are encountering difficulties with our technology itselfoWe have not yet obtained needed financing/investment to continueoWe are having problems with intellectual propertyoWe are having problems with our teamoOther			
5	I-Corps Teams only	[If item 3 (whether project is active) response is "No"]Why is this project no longer active?••We successfully commercialized our project••We decided to discontinue pursuing commercialization for our project•••<		
6	I-Corps Teams only	 [If item 1 (involvement with I-Corps project) is "yes" AND item 3 (whether project is active) response is "No"] We were not able to identify a large enough market for our product/technology The time to get the technology into market was too great We had problems with our intellectual property We encountered difficulties with the technology or product We could not obtain necessary financing/ investment to continue The company was acquired We had problems with our team 		
7	ALL	If item 1 (involvement with I-Corps project) is "yes" AND item 3 (whether project is active) response is "Yes" Which of the following best describes your project's current area of research or technology? Advanced Materials and Instrumentation (MI) Advanced Manufacturing and Nanotechnology (MN) Biological Technologies (BT) Chemical and Environmental Technologies (CT) Electronic Hardware, Robotics and Wireless Technologies (EW) Educational Technologies and Applications (EA) Information and Communication Technologies (IC) Semiconductors (S) and Photonic (PH) Devices and Materials Smart Health (SH) and Biomedical Technologies (BM) Other: Please Specify		
8	ALL	Business Creation and Dissolution [If no company in VW database (via intake/post responses, news forwarded from NSF project officer, etc.)] Our records indicate that no company has been incorporated based on your I-Corps project. Is this still correct? o No company has been incorporated o One or more companies have been incorporated For non-I-Corps] Has a company been incorporated based on your NSF project?		

		o Yes
		o No
		We have the following company information associated with your team.
		Company Name: [COMPANY NAME FROM VW DATABASE] Incorporation date: [COMPANY INCORPORATION DATE FROM VW DATABASE] Current status: [ACQUIRED OR ACTIVE - Time 1 and VW COMPANY DATABASE] Website: [COMPANY WEBSITE FROM VW DATABASE]
9	I-Corps	If any information needs to be updated, please Multiple choice.
		If existing company in VW database (via intake/post responses, news forwarded from NSF project officer, etc.) • Name is incorrect • Incorporation date is incorrect or needs to be added • Website is incorrect or needs to be added
		[If response to item 8 (company creation) is "one or more companies have been incorporated" or
10	ALL	"No" for non-I-Corps] What is the name of this company? If you are unsure, please type "unsure." Please note that if there are multiple companies, we ask that you respond only for the company that was last active. Text: open
11	ALL	[If response to item 8 (company creation) is "one or more companies have been incorporated"] What is the URL of the company's website, if any?
12		[If response to item 8 (company creation) is "one or more companies have been incorporated"] When was the company incorporated? (mm/dd/yyyy)
13		[If response to item 8 (company creation) is "one or more companies have been incorporated" OR If existing company in VW database (via intake/post responses, news forwarded from NSF project officer, etc.)]
13	ALL	Is this company still operational? • Yes • No
		["If response to item 13 (whether companis active) is "yes"]
27	ALL	 What sector best describes this company? Agriculture Education Energy & Materials Environment Healthcare/Medical
		 Information Technology and Communication

		 Infrastructure/Building Sanitation/Water Other
15	ALL	[If response to item 13 (whether company is operational) is "No"] Approximately when did this company close or dissolve? (mm/yyyy)
14	ALL	[If response to item 13 (whether company is operational) is "No"] Why did the company close/dissolve? • We were not able to identify a large enough market for our product/technology • The time to get the technology into market was too great • We had problems with our intellectual property • We encountered difficulties with the technology or product • We could not obtain necessary financing/investment to continue • The company was acquired • Other
17	I-Corps	[If existing company in VW database (via intake/post responses, news forwarded from NSF project officer, etc.) AND Time 1 response to this question.] Our records indicate that your company is a {Time 1 response to legal form}. Is this still correct? Yes No
19	I-Corps	[If response to item 8 (new company creation) is "one or more companies have been incorporated" OR If response to item 17 (whether Time 1 response needs to be updated) is "Yes"]Which of the following best describes how the business was started.oA new business, branch or subsidiary owned by an existing businessoA business inherited from someone elseoA new, Independent business created by a single person or a team of peopleoThe purchase of an existing businessoAn organization designed for social and charitable objectives and legally established as a not-for-profitoOther:
18	I-Corps	[If existing company in VW database (via intake/post responses, news forwarded from NSF project officer, etc.) AND Time 1 response to this question.] In 2014, you told us that: The business was started as [STARTING BUSINESS FORM AT Time 1]. The business's current legal form is a(n) [CURRENT BUSINESS FORM AT Time 1]. If it had another legal form, the business was previously a(n) [OTHER LEGAL FORM AT Time 1]. Is this still correct? * • Yes • No
20	I-Corps	[If response to item 8 (new company creation) is "one or more companies have been incorporated"OR If response to item 18 (whether Time 1 company info is correct) is "No"]

		Which forms of level status dependences provide a survey to be used
		 Which form of legal status does your business currently have? Sole Proprietorship: A type of business ownership in which a business is owned and managed by one individual and no sub-chapter S-corporation or C-corporation has been established. Limited Liability Company: A cross between a corporation and a partnership, and offering some of the benefits of both. Similar to S corporations, income produced by a limited liability company flows through the owners (known as "members") who pay their own taxes as individuals. Unlike S corporations, however, limited liability companies are not subject to as many government restrictions. Sub-chapter S-Corporation: Corporations in which all profits and losses are passed through to shareholders, just as they are passed through to legal partners in a partnership. C-Corporation: Legal entities separate from their owners that may engage in business, make contracts, own property, pay taxes, and sue and be sued by others. General Partnership: An association of two or more people who co-own a business for the purpose of making a profit and no sub-chapter S-corporation or C-Corporation has been established. Limited Partnership: A partnership in which one or more partners who manage the business and others are limited partners who invest in the business but forgo any right to manage the company. Other:
21	I-Corps	[If response to item 8 (new company creation) is "one or more companies have been incorporated" OR If response to item 18 (whether Time 1 company info is correct) is "No"] Since you incorporated, were the business operations ever conducted under one of the other legal forms listed above? • Yes • No
22	I-Corps	[If response to item 8 (new company creation) is "one or more companies have been incorporated"ORIf response to item 18 (whether Time 1 company info is correct) is "No"] Which form of legal status did the business previously have?
23	I-Corps	Business Info [If existing company in VW database (via intake/post responses, news forwarded from NSF project officer, etc.) AND Time 1 responses to this group of questions. If more than one company, showing active one only] We have the following CEO information for [COMPANY NAME FROM VW DATABASE]: Role on I-Corps team: [Time 1 RESPONSE] Industry type, if not from I-Corps team: [Time 1 RESPONSE] Is this still correct? o Yes o No

24	ALL	[If response to item 8 (new company creation) is "one or more companies have been incorporated" OR "no" for non-I-Corps OR If response to item 23 (whether Time 1 CEO info is correct) is "No"] Who is the CEO of your company? If you would like to explain your answer further, please use the comment box. The I-Corps PI • The I-Corps Mentor • Other: [For non-I-Corps] • You • Someone else (please specify).		
25	All	[If response to item 24 (CEO of company) was "Other"] Please indicate what industry or type of business the CEO is from. Text box		
26	I-Corps	[If response to item 8 (new company creation) is "one or more companies have been incorporated" OR If existing company in VW database (via intake/post responses, news forwarded from NSF project officer, etc.)] Which companies do you consider to be your major competitors and why?		
27	ALL	["If response to item 8 (new company creation) is "one or more companies have been incorporated" OR If existing company in VW database (via intake/post responses, news forwarded from NSF project officer, etc.)] What sector best describes the industry of your major competitors? • Agriculture • Education • Energy & Materials • Environment • Healthcare/Medical • Information Technology and Communication • Infrastructure/Building • Sanitation/Water • Other		
28	I-Corps	[If response to item 8 (new company creation) is "one or more companies have been incorporated" OR If existing company in VW database (via intake/post responses, news forwarded from NSF project officer, etc.)] Which company size best describes your major competitors? 1-10 employees 11-50 employees 51-200 employees 201-500 employees 501-1000 employees More than 1000 employees Other 		

	ALL	to racial or ethnic prejuc	eelow that are true of y majority owner(s), es socially disadvantag dice or cultural bias bec	your business.	in leadership role(s) se who have been subjected as a member of a group
	I-Corps	 Yes, and our 8(a Yes, and we interview Yes, and we do 	(whether company is a	8(a) certification? Yes cess ertification r 8(a) certification	s, and we are 8(a) certified
29	I-Corps	from NSF project officer Who is the majority or p o The I-Corps PI o The I-Corps EL T o The I-Corps me	ng company in VW dat , etc.)] rincipal shareholder of	tabase (via intake/post f the company?	anies have been t responses, news forwarded
30	I-Corps	[If existing company in V project officer, etc.) ANE In 2014, you told us that [Time 1 RESPONSE] Indiv [Time 1 RESPONSE] own [Time 1 RESPONSE] own [Time 1 RESPONSE] full t [Time 1 RESPONSE] part Is this information still co o Yes o No	Company name 1 responses to COMPANY NAME FRO viduals or entities who er(s) who actively help time employees time employees	this set of items] OM VW DATABASE] ha owned shares in the b	ad the following:
31	I-Corps	are still correct) is "No"]	oonse to item 30 (whe	ther Time 1 ownership	anies have been and employees responses d shares in the business?

32	I-Corps	 [If response to item 8 (new company creation) is "one or more companies have been incorporated"ORIF response to item 30 (whether Time 1 ownership and employees responses are still correct) is "No"] Of the owner or owners since participation in I-Corps, how many owners actively helped to run the business? [By" helped to run the business" we mean that they provided regular assistance or advice with day-to-day operations of the business, rather than providing only money or occasional operating assistance.] 	
33	ALL	[If response to item 8 (new company creation) is "one or more companies have been incorporated" OR If response to item 30 (whether Time 1 ownership and employees responses are still correct) is "No"] Not counting owner(s), how many people currently work for the business? Full time employees*** Part time employees *** Full time: working 35 hours or more per week. Please <u>exclude</u> contract workers who work for the business either full- or part-time but are not on the business' official payroll.	
34	ALL	Licensing [If item 1 (involvement with I-Corps project) response is "yes, I continued to be involved with the project" AND If response to item 30 (whether Time 1 ownership and employees responses are still correct) is "No"] After the I-Corps course ended, did you engage in any licensing activities?/ [For non-I-Corps] Have you engaged in any licensing activities associated with your NSF project? • Yes • No	
35	I-Corps [If Time 1 response] In 2014, you told us that {TOKEN:ATTRIBUTE_30} employee(s) worked areas related to product development or other activities arising from your I-Corps participed is that still correct? I-Corps Is that still correct? Is that still correct? Is that still correct? Image: No No		
36	I-Corps	[If response to item 34 (licensing activities) is "Yes" OR If response to item 35 (whether Time 1 employees in product development is still correct) is "No"] How many employees work in areas related to product development or other activity arising from your I-Corps participation?	

37	I-Corps	[If Time 1 response]In 2014, you told us that your business had:[Time 1 response] invention(s) disclosed[Time 1 response] patent application(s)[Time 1 response] patent(s) granted[Time 1 response] patent(s) in use[Time 1 response] invention(s) producing royalties[Time 1 response] software copyright(s)[Time 1 response] company trademark(s)[Time 1 response] non-disclosure agreement(s) (NDA)[Time 1 response] materials transfer agreement(s) (MTA)Are these totals still correct?oYesoNo		
38	ALL	[If response to item 34 (licensing activities) is "Yes" OR If response to item 37 (whether Time 1 responses to patents, etc. were still correct) is "No"] How many of the following does the business have? Enter "0" if none. Inventions Disclosed Licensing Agreements Patent Applications Patents Granted Inventions Producing Royalties Inventions Producing Royalties		
39	Image: Construction of the end of t			

40	ALL	[If response to item 34 (licensing activities) is "Yes" OR If response to item 39 (if Time 1 licensing correct) is "No"] B. [Since participation in the NSF-funded project], how many of the following has the business licensed out?*** Enter "0" if none. Patents	
41	I-Corps	[If response to item 34 (licensing activities) is "Yes" OR If response to item 39 (if Time 1 licensing correct) is "No"] In 2014, you told us that your business had the following licensed in** since participating in I-Corps: {TOKEN:ATTRIBUTE_42} patents {TOKEN:ATTRIBUTE_32} copyrights {TOKEN:ATTRIBUTE_33} trademarks Are these totals still correct? Yes No **Licensing in is acquiring the right to use intellectual property such as patents, copyrights, or trademarks created by someone outside the business through a licensing agreement.	
42	ALL	[If response to item 34 (licensing activities) is "Yes" OR If response to item 39 (if Time 1 licensing correct) is "No"] How many patents, copyrights, or trademarks has the business <u>licensed in **</u> since participating in the NSF-funded project? Enter "0" if none. Patents Copyrights Trademarks Trade Secrets ** Licensing in is acquiring the right to use intellectual property such as patents, copyrights, or trademarks created by someone outside the business through a licensing agreement. 	
43		Funding Routing [If item 1 (involvement with I-Corps project) response is "yes, I continued to be involved with the project"] Did you seek funding for this I-Corps project from any of the following sources? Please Multiple choice. o Federal government funding o State or regional government funding o Non-government funding o I did not seek funding	

44	ALL	Government Funding [If "Federal government funding" is selected in item 43 (types of funding sought)] Please indicate the number of NSF awards you applied for based on your [I-Corps or NSF] project [during or after the I-Corps course]. Small Business Innovation Research (SBIR) Small Business Technology Transfer Program (STTR) Accelerating Innovation Research/Partnership for Innovation (PFI:AIR) Engineering Research Center (ERC) Grant Opportunities for Academic Liaison with Industry (GOALI) Science and Technology Centers (STC)	
45	ALL (*** for non-I- Corps)	 [If "Federal government funding" is selected in item 43 (types of funding sought)] Please enter as much information as you can about your funded NSF proposals: Date Awarded (mm/yyyy) *** Phase, if SBIR/STTR *** Amount Awarded*** Proposal Number Title PI name Company name (if applicable) 	
46	ALL	[If "Federal government funding" is selected in item 43 (types of funding sought)]Which other agencies, if any, did you apply to for SBIR/STTR awards based on your I-Corps project[during or after the I-Corps course (after [COURSE START DATE])]? Multiple choice.Department of AgricultureDepartment of CommerceDepartment of DefenseDepartment of EducationDepartment of EnergyDepartment of Health and Human ServicesDepartment of TransportationEnvironmental Protection AgencyNational Aeronautics and Space AdministrationNational Institute of Standards and TechnologyNational Oceanic and Atmospheric Administration	
47	ALL	 [Follow-up question for each agency selected in item 47 (funding from federal agencies other than NSF)] Please indicate the number of [AGENCY NAME] SBIR/STTR awards you applied for based on your I-Corps project. Total submitted In process (outcome unknown) Declined Funded 	

48	ALL (*** for non-l- Corps)	 [Follow-up question for each agency selected in item 47 (funding from federal agencies other than NSF)] Please enter as much information as you can about your funded SBIR/STTR proposals from [AGENCY NAME], if any. Date Awarded (mm/yyyy) *** Phase *** Amount Awarded *** Proposal Number Title PI name Company name (if applicable) 		
49	ALL	 [If "State or regional government funding" is selected in item 43 (types of funding sought)] Please enter as much information as you can about any additional state or regional government funding you received for your I-Corps project below. Date awarded (mm/yyyy) Amount awarded State Abbreviation, if applicable (MA, NY etc.) Region, if applicable Description 		
50	ALL	[If "State or regional government funding" is selected in item 43 (types of funding sought)] If you received any additional government funding not covered above, please explain: Yes No 		
51	ALL	Private Funding [If "Non-government funding" is selected in item 43 (types of funding sought)] Did you seek funding or support for this I-Corps project from any of the following sources? Self investment in the business entity Bootstrap or crowd-funding Bootstrap or crowd-funding Individual private investment from non-accredited investor(s) (e.g., family, friends, etc.) Incubator or accelerator direct financing or equity exchange Debt financing (e.g., SBA loan or convertible debt instrument) Accredited angel investor or group Non-corporate institutional venture capital/seed stage funding Corporate venture capital/seed stage funding Funding from foundations and non-profits Other		
52	ALL	 [If selected "Self investment in the business entity" in item 51 (types of non-government funding sought)] Please enter as much information as you can about your <u>self-investment</u> in this I-Corps project. Amount Date received (mm/yyyy) Description/Source (optional) 		

53	ALL	[If selected "Bootstrap or crowd-funding Bootstrap or crowd-funding" in item 51 (types of non- government funding sought)] Please enter as much information as you can about your I-Corps project's <u>bootstrap or crowd- funding</u> activities. Amount Date received (mm/yyyy) Description/Source (optional) 	
54	ALL	 [If selected "Individual private investment from non-accredited investor(s) (e.g., family, friends, etc.)" in item 51 (types of non-government funding sought)] Please enter as much information as you can about your I-Corps project's funding from <u>individual private investment from non-accredited investors</u>. Amount Date received (mm/yyyy) Description/Source (optional) 	
55	ALL	 [If selected "Incubator or accelerator direct financing or equity exchange" in item 51 (types of non-government funding sought)] Please enter as much information as you can about your I-Corps project's funding from incubator or accelerator direct financing or equity exchange. Amount Date received (mm/yyyy) Description/Source (optional) 	
56	ALL	[If selected "Debt financing (e.g., SBA loan or convertible debt instrument)" in item 51 (types of non-government funding sought)] Please enter as much information as you can about your I-Corps project's funding from debt ALL financing. Automatical Date received (mm/yyyy) Description/Source (optional)	
57	7 ALL [If selected "Accredited angel investor or group" in item 51 (types of non-government fundition sought)] 7 ALL Please enter as much information as you can about your I-Corps project's funding from accredited angel investors or groups. • Amount • Date received (mm/yyyy) • Description/Source (optional)		
58	ALL	 [If selected "Non-corporate institutional venture capital/seed stage funding" in item 51 (types of non-government funding sought)] Please enter as much information as you can about your I-Corps project's funding from venture capital and/or seed stage sources. Amount Date received (mm/yyyy) Description/Source (optional) 	

59	ALL	[If selected "Corporate venture capital/seed stage funding " in item 51 (types of non-government funding sought)] Please enter as much information as you can about your I-Corps project's funding from venture capital and/or seed stage sources. Amount Date received (mm/yyyy) Description/Source (optional) 		
60		 [If selected "Funding from foundations and non-profits" in item 51 (types of non-government funding sought)] Please enter as much information as you can about your I-Corps project's funding from venture capital and/or seed stage sources. Amount Date received (mm/yyyy) Description/Source (optional) 		
61	I-Corps	Planned Funding [If item 1 (involvement with I-Corps project) response is "yes, I continued to be involved with the project"] Will you seek funding for this I-Corps project from any of the following sources in the next 12 months? □ Federal government funding □ State or regional government funding □ Non-government funding		
62	I-Corps	 I will not seek funding [If "Federal government funding" is selected in item 61 (types of funding you will seek)] Which NSF awards, if any, will you apply for based on your I-Corps project in the next 12 months? Small Business Innovation Research (SBIR) Phase I Small Business Innovation Research (SBIR) Phase I Small Business Innovation Research (SBIR) Phase II Small Business Technology Transfer Program (STTR) Phase I Small Business Technology Transfer Program (STTR) Phase II Accelerating Innovation Research/Partnerships for Innovation (AIR/PFI) Engineering Research Center (ERC) Grant Opportunities for Academic Liaison with Industry (GOALI) Science and Technology Centers (STC) 		

63	I-Corps	[If "Federal government funding" is selected in item 61 (types of funding you will seek)]Which other agencies, if any, will you apply to for SBIR/STTR awards based on your I-Corpsproject in the next 12 months?Department of AgricultureDepartment of CommerceDepartment of DefenseDepartment of EducationDepartment of Health and Human Services/National Institutes of HealthDepartment of Homeland SecurityDepartment of TransportationEnvironmental Protection AgencyNational Aeronautics and Space AdministrationNational Institute of Standards and TechnologyNational Oceanic and Atmospheric Administration		
64	I-Corps	[If "Non-government funding" is selected in item 61 (types of funding you will seek)] Will you seek funding or support for this I-Corps project from any of the following sources in the next 12 months? Self-investment in the business entity Bootstrap or crowd-funding Bootstrap or crowd-funding Individual private investment from non-accredited investor(s) (e.g., family, friends, etc.) Incubator or accelerator direct financing or equity exchange Debt financing (e.g., SBA loan or convertible debt instrument) Accredited angel investor or group Non-corporate institutional venture capital/seed stage funding Corporate venture capital/seed stage funding Funding from foundations and non-profits Other:		
65	ALL	Incubator or Accelerator Support [If item 1 (involvement with I-Corps project) response is "yes, I continued to be involved with the project" AND Item 3 (whether project is active) response is "Yes"] Has your [I-Corps] project participated in or received non-financial incubator or accelerator support? • Yes • No		
66	I-Corps	[If response to Item 65 (non-financial incubator or accelerator support) is "yes"] Please list the incubators or accelerators your I-Corps project has been affiliated with. Name Dates affiliated Description 		

67	ALL	[If response to Item 65 (non-financial incubator or accelerator support) is "yes"] Please check the types of support your [I-Corps] project has received from incubators or accelerators. Physical office space Wet labs/bench space Training and/or mentorship Access to investment network(s) Other: 	
68	I-Corps	[If item 1 (involvement with I-Corps project) response is "yes, I continued to be involved with the project" AND Item 3 (whether project is active) response is "No"] Did your I-Corps project participate in or receive non-financial incubator or accelerator support? Yes No 	
69	I-Corps	[If response to item 68 (past non-financial incubator or accelerator support) is "Yes"] Please list the incubators or accelerators your I-Corps project was affiliated with. Name Dates affiliated Description 	
70	I-Corps	[If response to item 69 (past non-financial incubator or accelerator support) is "Yes"] Please check the types of support your I-Corps project received from incubators or accelerators. Physical office space Wet labs/bench space Training and/or mentorship Access to investment network(s) Other:	
71	ALL	Sales of new products, Services, or Processes [If item 1 (involvement with I-Corps project) response is "yes, I continued to be involved with the project"] Are there any products, services, or processes in the market, market ready, or awaiting regulatory approval whose commercialization you can attribute (to some degree) to your [participation in I-Corps/ NSF project]? o Yes o No	
72	ALL	 [If response to item 71 (commercialization) Is "Yes"] Please enter the following information about products, services or processes. Describe Product Status Number of units sold (if any) Amount of sales in last fiscal year Amount of sales since commercialization 	
	I-Corps	Regulatory Approval [If response to company sector is "Healthcare/Medical" and company is active] How many regulatory filings do you have for your I-Corps at NIH technology? Enter "0" if none.	

	[If response to # of	regulatory	filings is >0]					
	-	Select the options that best describe the regulatory approval process of the technology from your						
	I-Corps at NIH cours	se.						
	PI	anned	Submitted	Approved	Rejected	Not applicable		
	Premarket							
	Approval							
	(PMA)							
	501(k)							
	Premarket							
	Notification							
	Investigational							
	Device							
	Exemption							
	(IDE)							
I-Corps	Biologics License							
1-corps	Application							
	(BLA)							
	Investigational							
	New Drug							
	Application							
	(IND)							
	New Drug							
	Application							
	(NDA)							
	FDA facility							
	regulations							
	European							
	Conformity							
	(CE) Mark							
	Other							
I-Corps	[If response to # of Please describe the course has applied	other type	s of regulatory a	pproval your teo	chnology from t	he I-Corps at NIH		
	Poimhurcomo	nt Annr	oval					
	Reimburseme							
	[If response to # of							
L Corne		Select the options that best describe the reimbursement approval status of the technology you						
I-Corps	worked on in the I-Corps at NIH course.							
	Horizontal scale: Planned, Submitted, Approved, Rejected, Not applicable							
		Centers for Medicare & Medicaid Services (CMS)						
	Private payer							
	Clinical Trials							
I-Corps	[If response to com	[If response to company sector is "Healthcare/Medical" and company is active]						
	How many clinical t	rials has the	e technology you	u worked on in t	he I-Corps at NI	H course had?		
I-Corps	[If response to com	pany sector	r is "Healthcare/	Medical" and co	mpany is active	1		
	Please check the bo							
	worked on in your I							
		-	I					
		Planned	Ongoi	ng Co	ompleted	Not applicable		
	Phase I clinical trial							

		Phase II clinical trial
	I-Corps	[If response to company sector is "Healthcare/Medical" and company is active] Please describe clinical trials you have conducted for the technology you worked on in your I- Corps at NIH course.
	I-Corps	Publications ["If response to item 13 (whether company is active) is "yes"] Please indicate the number of times the company name has been affiliated with a peer-reviewed publication: Numeric: open
	I-Corps	[If response to the number of times the company name has been affiliated with a peer-reviewed publication is >0] Have any of these publications resulted from development of the technology supported by I-Corps? • Yes • No
74	ALL	Licensing [If item 1 (involvement with I-Corps project) response is "yes, I continued to be involved with the project"] [After your I-Corps course ended], was licensing revenue generated from the product, service or process you developed? o Yes o No
75	ALL	[If response to item 74 (any licensing revenue generated) is "Yes"] Please estimate the amount of licensing revenue generated from products, services or processes. Numeric field
76	ALL	[If response to item 75 (licensing revenue amount) is >0] Please estimate the percentage of total revenue gained from licensing. Numeric Field

	I-Corps	New Ventures [If item 1 (involvement with I-Corps project) response is "yes, I continued to be involved with the project" AND Item 3 (whether project is active) response is "Yes"] Did your team's work on your I-Corps technology result in a spin-off or spin-offs? Help text: A spin-off is when a company splits off a portion of itself to form a new company. • Yes • No		
	I-Corps	[If response to whether the team's work resulted in spin-offs is "yes"]Please share some details on any spin-offs from your I-Corps technology below.NameWebsiteIncorporation dateSpin-off 1Spin-off 2Spin-off 3Spin-off 4		
77	ALL	Are you currently pursuing a new venture? • Yes • No		
78	I-Corps	[If response to item 77 (new venture) is "Yes"] What is the name of this new venture? Text box		
79	I-Corps	[If response to item 77 (new venture) is "Yes"] Are you using Osterwalder's Business Model Canvas in your new venture? • Yes • No		
80	I-Corps	[If response to item 77 (new venture) is "Yes"] Are you using the customer discovery process in your new venture? • Yes • No		
81	I-Corps	Other Outcomes [If Time 1 response] In 2014, you gave us the following information on entrepreneurial curricula you had developed since participating in the I-Corps course: Course number: [TIME 1 RESPONSE] Course title: [TIME 1 RESPONSE] Course description: [TIME 1 RESPONSE] Have you developed any additional entrepreneurial curricula? • Yes • No		

82	ALL	[For all respondents with no Time 1 response to this question.] [Since participating in the I-Corps course], have you developed any entrepreneurial curricula? Yes No 		
83	I-Corps	[If response to item 82 (entrepreneurial curricula developed) is "Yes" Or updating Time 1 response] Please share the course number (if possible), title, and brief description of the course(s). Course number Course title Organization Course description		
84	ALL	Did the [I-Corps/ NSF] award lead to any collaborations between you and the external community? Industry Investors Local or state government Non-profit organization Other		
85	ALL	[If response is "Yes "for "Other" in item 84 (individual collaborations)] Please identify any other collaborations between you and the external community.		
86	ALL	[If response is "Yes "for "Industry" in item 84 (individual collaborations)] Please describe your industry collaboration(s).		
87	ALL	 [If response is "Yes "for "Industry" in item 82 (individual collaborations)] What do you gain from your collaborations with industry? Opportunities to advance the commercialization of your research Opportunities to advance your research agenda Neither of the above 		
88	ALL	Did the [I-Corps/NSF] award lead to any collaborations between the university you are currently affiliated with and the external community? • Yes • No • I am no longer affiliated with a university		
89	ALL	[If response is "Yes" for item 86 (university collaborations)] Which of the following collaborations between the university you are currently affiliated with and the external community did the [I-Corps/ NSF] award lead to? Industry Investors Local or state government Non-profit organization Other 		

90	ALL	[If response is "Yes" for "Other" in item 89 (types of university collaborations)] Please identify any other collaborations between your university and the external community.
91	I-Corps	Opportunities Which, if any, of the following did you personally experience as a result of your interactions during the [COURSE YEAR, SEASON, AND NODE] I-Corps course? Multiple choice o Customer interviews o Instructor engagements o Interactions with your team's EL(s) or PI(s) o Interactions with your team's mentor(s) o Interactions with other teams' ELs or PIs o Interactions with other teams' mentors o Other interactions
92	I-Corps	Please describe:
93	I-Corps	 Which, if any, of the following did your team receive as a result of interactions during the [COURSE YEAR, SEASON, AND NODE] I-Corps course? Multiple choice. Customer interviews Instructor engagements Interactions with your team's EL(s) or PI(s) Interactions with other teams' mentor(s) Interactions with other teams' mentors Other interactions
94	I-Corps	Please describe:
95	I-Corps	Current Employment Do you currently work for a college or university? o Yes o No o Not currently employed
96	I-Corps	 [If response to item 95 (whether currently working for college or university) is "Yes"] Do you currently work for [PERSONAL INSTITUTION AT REGISTRATION]? Yes, I am primarily employed at a college or university. Yes, I work at a college or university as well as a private company. No, I work outside of academia. No, I am not currently employed.

97	I-Corps	[If response to item 95 (whether currently working for college or university) is "Yes, I am primarily employed at a college or university" or "Yes, I work at a college or university as well as a private company."] Which of the following best describes your current role? Multiple choice. • Tenured faculty member • Faculty member on track for Tenure and Promotion • Non-tenured Faculty • Non-traditional university appointment (e.g., Professor of Practice, Visiting Scholar, etc.) • Research scientist • University Leader (Dean, Provost, etc.) • Student • Other:
98	I-Corps	[If response to item 95 (whether currently working for college or university) is "No, I work outside of academia." or "Yes, I work at a college or university as well as a private company."] Which of the following best describes your current employer's primary industry? • Agriculture • Education • Energy & Materials • Environment • Healthcare/Medical • Information Technology and Communications • Infrastructure/Building • Sanitation/Water • Other
99	I-Corps	[If response to item 95 (whether currently working for college or university) is "No, I work outside of academia." or "Yes, I work at a college or university as well as a private company."] Which of the following best describes your current employer's company size? 0 1-10 employees 0 11-50 employees 0 51-200 employees 0 501-1000 employees 0 More than 1000 employees 0 Other
100	I-Corps	[If response to item 95 (whether currently working for college or university) is "Yes, I am primarily employed at a college or university." Or "Yes, I work at a college or university as well as a private company." or "No, I work outside of academia."] Did your work in I-Corps lead to your current employment? o Yes o No o Unsure
101	I-Corps	[If response to item 100 (work in I-Corps leading to current employment) is "Yes" or "Unsure"] Please explain:

102	I-Corps	[If response to item 95 (whether currently working for college or university) is "No" or "Yes" (that is, if respondent indicated that they are employed)] In what ways, if any, has I-Corps influenced your career? Text Box
103	I-Corps	Other I-Corps Experiences Have you participated in another national I-Corps team in addition to [TEAM NAME]? • Yes • No
104	I-Corps	[If response to item 103 (other national team participation) is "Yes"] Please share some information on the other team(s) you have participated in. Team name(s) Team company(ies) Which course(s) attended
105	I-Corps	[If response to item 103 (other national team participation) is "Yes"] Did the team(s) raise any government funding? Please describe, including funding type (SBIR, PFI:AIR TT, etc.)
106	I-Corps	[If response to item 103 (other national team participation) is "Yes"] Did the team(s) raise any private funding? Please describe.
107	ALL	Anything Else Thanks for your responses! Is there anything else you would like us to know about your [I-Corps/ commercialization] experience that wasn't captured by this survey?
	ALL	Demographics What is your current gender identity?
	ALL	What is your date of birth? Date dropdown (month, day, year) Help text: If you prefer not to answer, please leave the field blank.
	ALL	 What is your race? (Select one or more) American Indian or Alaska Native Asian Black or African American Native Hawaiian or Other Pacific Islander White Other Prefer not to answer
	ALL	 Are you of Hispanic, Latino, or Spanish origin? Yes No Prefer not to answer

AL	LL	How do you describe your country of national identification? Country dropdown Help text: National identification is defined as the country with which you primarily associate.
Al	LL	 Have you ever served on active duty in the U.S. Armed Forces, Reserves, or National Guard? Never served in the military Only on active duty for training in the Reserves or National Guard Now on active duty On active duty in the past, but not now Prefer not to answer
	LL	 Please select yes if any of the following apply to you. Deaf or serious difficulty hearing Blind or serious difficulty seeing even when wearing glasses Serious difficulty walking or climbing stairs Other serious disability related to a physical, mental, or emotional condition Yes No Prefer not to answer