

Expiration Date: XX/XX/XXXX

Burden: 1.25 hours

### Quarterly Survey of Non-Electric Sector Coal Data Form EIA-3

### YOUR RESPONSE IS REQUIRED BY LAW

This report is mandatory under the Federal Energy Administration Act of 1974 (Public Law 93-275). Failure to comply may result in criminal fines, civil penalties, and other sanctions as provided by law. Title 18 USC 1001 makes it a criminal offense for any person knowingly and willingly to make to any Agency or Department of the United States any false, fictitious, or fraudulent statements as to any matter within its jurisdiction.

All companies operating coke plants within the United States during the reporting quarter must submit form EIA-3. All other sites that use in excess of 1,000 short tons of anthracite, bituminous, subbituminous, lignite, or refined coal during the sum of the current reporting quarter and the previous three reporting quarters must submit form EIA-3.

Submit a separate form EIA-3 for each site consuming or using the coal.

### **PURPOSE**

The U.S. Energy Information Administration's (EIA) Form EIA-3, *Quarterly Survey of Non-Electric Sector Coal Data*, collects data from U.S. manufacturing plants, coal transformation/processing plants, coke plants, and commercial and institutional users of coal. The information collected includes basic statistics concerning coal consumption, prices, quality, and stocks. These data appear in the *Annual Coal Report*, *Quarterly Coal Report, Monthly Energy Review*, and *State Energy Profiles*. In addition, the EIA uses the data for coal demand analyses and in short-term modeling efforts, which produce forecasts of coal demand that may be presented to Congress. The forecast data appear in the *Short-Term Energy Outlook* and *Annual Energy Outlook* as well.

#### **DUE DATE**

Submit form EIA-3 no later than **30 days** after the end of the reporting quarter. The reporting quarters are as follows: First Quarter, January 1- March 31; Second Quarter, April 1 - June 30; Third Quarter, July 1 - September 30; Fourth Quarter, October 1 - December 31.

### **HOW TO FILE A RESPONSE**

Respondents can submit data by the Internet using an encrypted web system, email, fax, or mail. With the Internet-based option, EIA uses security protocols to protect the information against unauthorized access during transmission. Commonly used email, fax transmissions, and mail, including files attached to email messages, are not considered secure electronic methods of transmitting survey data.

#### **Secure Communication Method**

By Internet: <a href="https://signon.eia.doe.gov/ssoserver/login">https://signon.eia.doe.gov/ssoserver/login</a>

### **Unsecured Communication Methods**

By email: EIA-3@eia.gov

By fax: (202) 287-1944 Attn: Form EIA-3

By mail: Attn: EIA-3

U.S. Energy Information Administration, EI-24

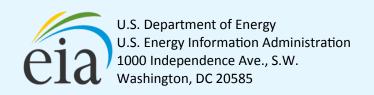
Office of Energy Statistics U.S. Department of Energy 1000 Independence Avenue, SW

Washington, DC 20585

### **QUESTIONS**

For questions or additional information regarding the Form EIA-3, contact the Survey Manager:

Name: Tejasvi Raghuveer Telephone: (202) 586-8926 Email: tejasvi.raghuveer@eia.gov



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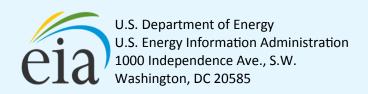
AR	T 1: COMPANY  Respondent ID:		INFORM	ATION	FIA-023	Site ID:					
	·										
	Reporting for C	Quarter:			Calenda	ar Year:					
0	What is the na	me and loc	ation for t	his <u>site</u> ?							
	Site Name:										
	City Name:							State:	Zip Co	ode:	
2	What is the co	ntact inforn	nation for	the pre	parer for this	site?					
	Preparer's Nam	ne:						Title:			
	Street Address										
	City:							State:	Zip C	ode:	
	Preparer's Tele	phone:	(	)	_		Prepa	arer's Fax: (	)	_	
	Preparer's Ema		(	,					,		
	riepaiei 3 Eilia	111.									
B	What is the co	ntact inform	nation for	the nrei	narer's suner	visor for th	is site?				
	Supervisor's Na			uic pi c	our or o oupor		.o <u>o.co</u> .	Title:			
	Street Address										
		•						<b>.</b> .			
	City:							State:	Zip C	ode:	
	Supervisor's Te	lephone:	(	)	_		Superv	isor's Fax: (	)	-	
	Supervisor's En	nail:									
4	The compare the information of the information	tact informa ny contact p ation reporte	ntion for the person must ed on this s	e compar t be able urvey.	ny that owns t	ne informati		urvey is being co ed and answer a			erning
	Company's Nar	me:									
	Street Address	:									
	City:						:	State:	Zip C	ode:	
	Company Cont	act Person:						Title:			
	Contact Person	ı's Telephor	ne: (	)	_	C	ontact Per	son's Fax: (	)	-	
	Contact Person	ı's Email:									

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# PART 2: COMPANY AND SITE INFORMATION

IJ			nerican Industry Classi roducts and/or service	•	NAICS) code and	a brief product d	lescription of the	
	NA	ICS code:						
	Pro	duct Description:						
2	0 0	Manufacturing fa Institutional entit Commercial entiti	es — Skip to 4			ict and/or service	es? Select only one.	
3	_	ich plant type bes	ion/Processing plants -	_		only one.		
	0	Coking plant Gasification plant Liquefaction plant						
	0	Refining plant						
4	Doc Not	Generator namepla production equipm	coal-fired electric generate capacity (installed): The ent under specific condited in megawatts (MW) are	he maximum rated tions designated by	output of a genera the manufacturer.	tor, prime mover, o Installed generator	r nameplate capacity i	
	0	Yes No						



**5** Does this <u>site</u> use another fuel for co-firing?

Notes:

Form Approved XX/XX/XXXX OMB No. 1905-0167

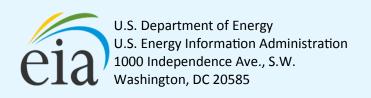
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## PART 2 CONTINUED: COMPANY AND SITE INFORMATION

Co-firing is the simultaneous use of two or more fuels by a single combustion system.

<ul> <li>Please indicate unit of measurements for quantity of fuel and average here.</li> <li>Exclude:</li> <li>The limited use of a second fuel for start-up or flame stabilization.</li> </ul>	eat content.						
O Yes — What is the additional fuel source?							
What is the quantity of additional fuel used during this quar	rter? Unit of Measurement:						
What is the average heat content of the fuel used during thi	nis quarter? Unit of Measurement:						
O No							
Does this <u>site</u> have carbon capture equipment?  Note:  Scrubbers are an example of carbon capture equipment.							
○ Yes — What is the amount of carbon captured during this quarter?	?						
O No	short tons						



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# PART 3: COAL CONSUMPTION AND COST AT THIS SITE

Report the following disposition at this site during the rep	orting quarter	•
Ending Coal Stocks from Previous Quarter		short tons
Quantity of Coal Received During Quarter  • Note: This value should match the Total entered in  Part 4 , Item 1, Column B (Quantity of Coal Received from this Origin)	+	short tons
Quantity of Coal Consumed During Quarter	-	short tons
<ul> <li>Report any changes in stocks during the reporting quarter due to any stock losses, reassessments, transfers to or from other sites owned by the same parent company, or sales of coal stocks to other companies.</li> <li>Enter a "+" or "-" sign to indicate whether the adjustment is an addition or reduction to your site's stocks.</li> </ul>		
• If a value is entered, explain adjustment below		short tons
Ending Coal Stocks	=	short tons
	<b>he reporting c</b> t the site).	quarter?
What was the cost of the coal received at this <u>site</u> during t Include insurance, freight and taxes (i.e., the delivered cost a Total Cost of Coal Received During Quarter	he reporting o	
<ul> <li>What was the cost of the coal received at this site during temperature.</li> <li>Include insurance, freight and taxes (i.e., the delivered cost at a total Cost of Coal Received During Quarter.</li> <li>Adjustments to Total Cost</li> <li>Report any changes in total costs during the reporting quarter due to any stock losses, storage, demurrage, reassessments, transfers to or from other sites owned by the same parent company, or sales of coal stocks to other companies.</li> <li>Enter a "+" or "-" sign to indicate whether the</li> </ul>	<b>he reporting c</b> t the site).	quarter?
<ul> <li>What was the cost of the coal received at this site during t</li> <li>Include insurance, freight and taxes (i.e., the delivered cost a</li> <li>Total Cost of Coal Received During Quarter</li> <li>Adjustments to Total Cost         <ul> <li>Report any changes in total costs during the reporting quarter due to any stock losses, storage, demurrage, reassessments, transfers to or from other sites owned by the same parent company, or sales of coal stocks to other companies.</li> </ul> </li> </ul>	<b>he reporting c</b> t the site).	quarter?
Total Cost of Coal Received During Quarter	<b>he reporting c</b> t the site).	.00
<ul> <li>What was the cost of the coal received at this site during temperature.</li> <li>Include insurance, freight and taxes (i.e., the delivered cost at a total Cost of Coal Received During Quarter.</li> <li>Adjustments to Total Cost</li> <li>Report any changes in total costs during the reporting quarter due to any stock losses, storage, demurrage, reassessments, transfers to or from other sites owned by the same parent company, or sales of coal stocks to other companies.</li> <li>Enter a "+" or "-" sign to indicate whether the adjustment is an addition or reduction to your site's stocks.</li> <li>If a value is entered, explain adjustment below</li> </ul>	he reporting of the site). \$	quarter?
<ul> <li>What was the cost of the coal received at this site during t</li> <li>Include insurance, freight and taxes (i.e., the delivered cost a</li> <li>Total Cost of Coal Received During Quarter</li> <li>Adjustments to Total Cost</li> <li>Report any changes in total costs during the reporting quarter due to any stock losses, storage, demurrage, reassessments, transfers to or from other sites owned by the same parent company, or sales of coal stocks to other companies.</li> <li>Enter a "+" or "-" sign to indicate whether the adjustment is an addition or reduction to your site's stocks.</li> </ul>	he reporting of the site). \$	quarter?

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### PART 4: CHARACTERISTICS OF COAL RECEIPTS AT THIS SITE

What are the characteristics of the coal receipts at this site during the reporting quarter?

#### Notes:

. . . .

- Origin of Coal (A) and Rank of Coal (D): See page 13 for codes.
- MSHA ID of Coal Mine (C): Refer to http://www.msha.gov/drs/drshome.htm#MID for assistance in finding the MSHA ID.
- Is this a Spot-Market or Contract Purchase? (E): Coal received from spot-market purchases and from contract purchases must be reported separately. Data on coal received under each purchase order or contract from the same supplier must be reported separately. Coal purchases can be aggregated when supplier, purchase type, contract data, rank of coal, mode of transportation, costs, fuel quality, and all mine information are identical.
- Is this a Spot-Market or Contract Purchase Codes (E): S—Spot-Market; C—Contract Purchase.
- If you need additional space, make copies of pages 6 and 7.

. ... ( . . .

Each line runs across pages 6 and 7. After entering column (F) data on page 6 for any line, continue with column (G) on page 7 for the same line.

. . . . .

	Line Number	Origin of Coal State or Country	Quantity of Coal Received from this Origin	MSHA ID of Coal Mine	Rank of Coal	Is this a Spot-Market or Contract Purchase?	<b>Heat Content</b> BTU per Pound	Continue with Column (G) on Page 7
	Line N	(A)	(B)	(C)	(D)	(E)	(F)	Contin
<b>EXAMPLES</b>	0	AL	<b>20,000</b> short tons	103321	BIT	С	12,715	<b>→</b>
EXAN	00	VA	<b>5,000</b> short tons	4407258	BIT	S	12,950	<b>→</b>
	1		short tons					<b>→</b>
	2		short tons					<b>→</b>
	3		short tons					<b>→</b>
	4		short tons					<b>→</b>
	5		short tons					<b>→</b>
	6		short tons					<b>→</b>
	7		short tons					<b>→</b>
	8		short tons					<b>→</b>
	9		short tons					<b>→</b>
	10		short tons					<b>→</b>
	11		short tons					<b>→</b>
	12		short tons					→

**Total:** short tons

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### PART 4 CONTINUED: CHARACTERISTICS OF COAL RECEIPTS AT THIS SITE

What are the characteristics of the coal receipts at this <u>site</u> during the reporting quarter?

#### Notes:

- Mercury Content (I): If lab tests of the coal receipts do not include the mercury content, enter the amount specified in the contract with the supplier. If mercury content information is not available from these sources, please enter "0" for mercury content.
- Commodity Cost (J): Commodity cost is free-on-board and does not include freight, insurance, and taxes.
- Average Cost of Delivered Coal (K): Report Commodity Cost (J), plus insurance, freight, and taxes.
- Mode of Transportation (L, M): The Primary Mode of Transportation refers to the longest leg from the mine. The Secondary Mode of Transportation refers to the second longest leg from the mine. See page 13 for additional instructions on Mode of Transportation.
- Mode of Transportation Codes (L, M): TR—Truck; RR—Railroad; BG—Barge; GL—Great Lakes and tidewater barges and colliers; PC—Pipelines, slurry pipelines, conveyors and tramways; OV—Ocean vessel.

Line Number	Percent by weight to nearest 0.01%	Ash Content Percent by weight to nearest 0.01%	Mercury Content Parts per million on a dry basis to nearest .001	( <b>Report</b>	nodity Cost : Cost of Coal Only) llars per nort ton	Con	verage Cost of Delivered Coal (Report nmodity Cost (J) + Insurance, ight, and Taxes) Dollars per short ton	Primary Mode of Transportation From Mine with- in United States	of Transportation From Mine within United States  (M)
	(G)	(H)	(1)		(J)		(K)		
0	1.29 %	11.3 %	.02	\$	<b>95</b> .00	\$	<b>100</b> .00	RR	TR
00	1.25 %	11.2 %	0	\$	<b>100</b> .00	\$	<b>105</b> .00	TR	
1	%	%		\$	.00	\$	.00		
2	%	%		\$	.00	\$	.00		
3	%	%		\$	.00	\$	.00		
4	%	%		\$	.00	\$	.00		
5	%	%		\$	.00	\$	.00		
6	%	%		\$	.00	\$	.00		
7	%	%		\$	.00	\$	.00		
8	%	%		\$	.00	\$	.00		
9	%	%		\$	.00	\$	.00		
10	%	%		\$	.00	\$	.00		
11	%	%		\$	.00	\$	.00		
12	%	%		\$	.00	\$	.00		

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## PART 5: COKING PLANTS ONLY

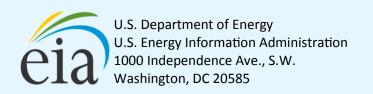
1	Are you reporting	for a	coking	plant?
---	-------------------	-------	--------	--------

- $\bigcirc$  Yes *Continue to* **2**
- O No *Skip to* Part 6
- What were the coke and breeze production, distribution, and ending stocks at this <u>coking plant</u> during the reporting quarter?

	<u>Coke</u>		<u>Breeze</u>	
Ending Stocks Previous Quarter		short tons		short tons
Production	+	short tons	+	short tons
Adjustments	-	short tons	-	short tons
Quantity Purchased / Transferred to this Site	+	short tons	+	short tons
Quantity Used by your Company	-	short tons	-	short tons
Quantity Transferred to Affiliated Companies	-	short tons	-	short tons
Quantity of Commercial Sales	-	short tons	-	short tons
Stocks End of Quarter	=	short tons	=	short tons

**3** What was the total revenue from coke and breeze commercial sales at this <u>coking plant</u> during the reporting quarter?

	<u>(</u>	<u>Coke</u>	<u> </u>	<u>Breeze</u>
Total Revenue from Commercial Sales	\$	.00	\$	.00

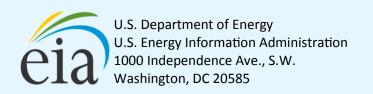


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# PART 6: GASIFICATION PLANTS ONLY

	or a gasification plant?			
○ Yes — <i>Continue</i>	to 2			
$\bigcirc$ No — <i>Skip to</i> Page 1	art 7			
Describe a selficial				
_	on plant gasify coal in-si			
_	p is the coal seam fuelin	g the process? feet		
O No				
<ul> <li>State codes and "</li> </ul>	<b>bution of the gasified c</b> Type of Consumer" codes room, copy page 9.	oal produced at this gasification plant du are on page 14.	ring the report	ing quarter?
Report States Serv	viced by the Pipeline	How much of that gasified coal was distribe	uted or sold?	Type of Consumer
		th	nousand cubic feet	
		th	nousand cubic feet	
		th	nousand cubic feet	
		th	nousand cubic feet	
		th	nousand cubic feet	
		th	nousand cubic feet	
	Total:	t	housand cubic feet	
quarter? What were the bypi	BTU per cubic foot  roducts of the gasified of room, copy page 9.  Unit of	gasified coal produced at this gasification coal produced at this gasification plant du Quantity Produced	uring the repor	
Gasilled Coal	Measurement			

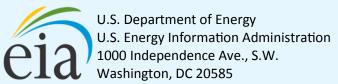


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# PART 7: LIQUEFACTION PLANTS ONLY

**1** Are you reporting for a <u>liquefaction plant</u>?

	○ Yes — <i>Continue to</i>	Ĭ						
	O No — Skip to Part 8	3						
2	<ul> <li>What was the distribut</li> <li>"State or Country who from Plant" codes are</li> <li>If you need more room</li> </ul>	ere the Liquefied Co on page 14.	ed coal produced at to al went to," "Type of Co					
	State or Country where the Liquefied Coal went to:	•	outed or Sold to each or Country	Type of Consumer	Transport	Mode of ation from	Secondary Mode of Transportation from Plant	
			short tons					
			short tons					
			short tons					
			short tons					
			short tons					
			short tons					
	Total	l:	short tons					
3	What was the average the reporting quarter?  · %  What was the average quarter?							
	ВТ	ΓU per barrel						
<b>5</b>	• If you need more room	•	ed coal produced at t	this <u>liquefac</u>	tion plant o	luring the re	eporting quarter?	
	Byproduct of the Liquefied Coal	Unit of Measurement	Quantity	Produced		Quantity	Distributed or Sold	
ge 10					Farms	FIA 2 Quartarly Cu	rvey of Non-Electric Sector Coal Da	o.t.



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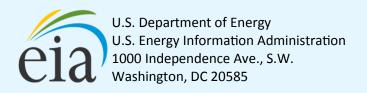
AR	T 8: COAL REFINING PLA	NTS ONLY					
0	Are you reporting for a continue to 2  ○ Yes — Continue to 2  ○ No — Skip to Part 9	<del></del>					
2	What was the disposition	n of the refined coal stocks at this <u>coa</u>	al refining p	lant during the reportin	g quarter?		
	Ending Refined Coal Stoc	ks from Previous Quarter	short tons				
	Total Refined Coal Produ	ced During Reporting Quarter +		short tons			
	Refined Coal Stocks at En	d of Reporting Quarter=		short tons			
3	<ul> <li>"State or Country wher Plant" codes are on pag</li> <li>If you need more room</li> </ul>	, copy page 11.	nsumer," and	"Primary/Secondary Mod	e of Transportation from		
	State or Country where the Refined Coal went to:	Quantity Distributed or Sold to each State or Country	Type of Consumer	Primary Mode of Transportation from Plant	Secondary Mode of Transportation from Plant		
		short tons					
		short tons					
		short tons					
		short tons					
		short tons					
		short tons					
	Total:	short tons					
4	What was the average sureporting quarter?	ulfur content as a percentage of the r	efined coal	produced at this <u>coal re</u>	fining plant during the		
6	What was the average as reporting quarter?	sh content as a percentage of the refi	ined coal pr	oduced at this <u>coal refir</u>	ning plant during the		
	%						
6	What was the average he quarter?	eat content of the refined coal produ	ced at this <u>(</u>	coal refining plant durin	g the reporting		
	вти	per pound					

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# **PART 9: COMMENTS**

1 Report any comments in the comment box below and then return the EIA-3 form to EIA.



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### Codes for use when completing the EIA-3 Form.

# Codes for Part 4 Item 1:

"Origin of Coal (A)"

States:

AL—Alabama

AK—Alaska

AR—Arkansas

CO—Colorado

IL-Illinois

IN-Indiana

IA—Iowa

KS-Kansas

EKY—Kentucky, Eastern

WKY-Kentucky, Western

LA-Louisiana

MD-Maryland

MS—Mississippi

MO-Missouri

MT-Montana

NM-New Mexico

ND-North Dakota

OH-Ohio

OK-Oklahoma

PA—Pennsylvania

TN—Tennessee

TX—Texas

UT-Utah

WA—Washington

NWV—West Virginia, Northern

SWV—West Virginia, Southern

WY-Wyoming

### **Countries:**

AU-Australia

CL—Columbia

CN—Canada

IS—Indonesia

MX—Mexico

VZ—Venezuela

OT—Other (please specify)

### "RANK OF COAL (D)"

ANT-Anthracite

BIT—Bituminous

LIG-Lignite

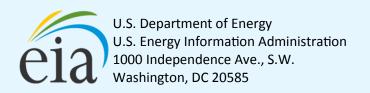
SUB-Subbituminous

RC—Refined Coal

WOC-Waste Coal

# Special instructions for "Primary/Secondary Mode of Transportation from Site (L) (M):

- 1. The Primary Mode of Transportation refers to the longest leg from the mine. The Secondary Mode of Transportation refers to the second longest leg from the mine.
- 2. If only one mode of transportation is used for a shipment, enter the applicable code under the Primary Mode column.
- 3. If more than one method of transportation is used for a single shipment, enter the applicable code for Secondary Mode as well.
- 4. If two methods of transportation are used for a single shipment and both distances are equal, then the Primary Mode shall be considered the method used to deliver coal to the consumer. Enter the other method utilized under Secondary Mode.
- 5. If more than two methods are used in a single shipment, only the Primary and Secondary Modes should be reported.
- 6. Do not report "Truck" as a transportation mode if trucks are used to transport coal exclusively on private roads between the mine and the rail loadout or barge terminal.
- 7. Do not report the transportation modes used entirely within a mine, terminal or power plant (e.g. trucks used to move coal from a mine pit to the mine loadout, conveyors at a power plant used to move coal from the plant storage pile to the plant, etc.).
- 8. For mine mouth coal plants, report "Conveyor" (code "PC") as the Primary Mode if the conveyor feeding the coal to the plant site originates at the mine. Otherwise, report the Primary Mode (typically truck or rail) used to move the coal to the plant site.
- 9. If the coal has a foreign origin and has to be transported from the ocean vessel to a plant site elsewhere, then the Primary and Secondary Transportation Modes are the methods used within the United States. For example, if the coal is unloaded from an ocean vessel and moved from the port to the plant by railroad, then select "RR" as the Primary Transportation Mode.
- 10. If the coal is unloaded from an ocean vessel directly to the plant site, then select "OV" as the Primary Transportation Mode.



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### Codes for use when completing the EIA-3 Form.

Codes for Part 6 Item 3; Part 7 Item 2; Part 8 Item 3; Part 9 Item 2.

States: LA—Louisiana AL-Alabama ME-Maine AK-Alaska MD—Maryland AR—Arkansas MA—Massachusetts MI-Michigan AZ-Arizona CA-California MN-Minnesota CO—Colorado MS-Mississippi CT—Connecticut MO-Missouri

DE—DelawareMT—MontanaVT—VermontDC—District of ColumbiaNE—NebraskaVA—VirginiaFL—FloridaNV—NevadaWA—WashingtonGA—GeorgiaNH—New HampshireWV—West VirginiaHI—HawaiiNJ—New JerseyWI—Wisconsin

ID—Idaho NM—New Mexico WY—Wyoming
IL—Illinois NY—New York
IN—Indiana NC—North Carolina Countries:
IA—Iowa ND—North Dakota CN—Canada

OH-Ohio

KY—Kentucky OK—Oklahoma OS—Overseas (other than Canada or Mexico)

# Codes for Part 7 Item (2); Part 8 Item (3); Part 9 Item (2).

### Type of Customer:

KS-Kansas

AG—Agriculture, Mining and Construction: Companies engaged in agriculture, mining, or construction industries. Exclude transformed coal consumed by companies producing construction materials (such as brick and cement), industrial minerals (such as Bentonite) and agricultural supplies (such as fertilizer) and by companies engaged in processing agricultural products. These distributions or sales are to be included in Manufacturing (MF).

CP—Coke Plants: All plants where transformed coal is carbonized for the manufacture of coke. Shipments of transformed coal to coke plants for use in space heating or electric power generation should be included with Manufacturing (MF).

CI—Commercial/Institutional: Wholesale or retail businesses; health institutions (hospitals); social and educational institutions (schools and universities); and Federal, State and local governments (military installations, prisons, office buildings, etc.). Exclude shipments to Federal power projects, such as TVA; and rural electrification cooperatives, power districts and State power projects. These are to be included in Electric Generation (EG).

EG—Electric Generation: All utility and non-utility power producers. All privately owned companies and all publicly owned agencies engaged in the production of electric power for public use. Publicly owned agencies include the following: Municipal electric utilities; Federal power projects, such as the Tennessee Valley Authority

(TVA); and rural electrification cooperatives, power districts, and State power projects.

MX-Mexico

OR-Oregon

PA—Pennsylvania

RI-Rhode Island

SC—South Carolina

SD—South Dakota

TN—Tennessee

TX—Texas

UT-Utah

MF—Manufacturing (except Coke Plants): Those industrial users/ plants, not including coke plants, that are engaged in the mechanical or chemical transformation of materials or substances into new (i.e., finished or semi-finished) products.

SE—Sales to Employees: Transformed coal sold to employees during the reporting year.

OT—Other: Shipments of transformed coal to any other consumer category. In page 11, enter a brief explanation of the buyer's general business.

### Primary/Secondary

#### **Modes of Transportation:**

TR-Truck

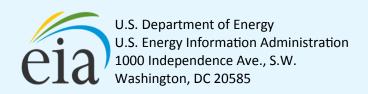
RR-Railroad

BG—Barge

GL—Great Lakes and tidewater barges and colliers

PC—Pipelines, slurry pipelines, conveyors and tramways

OV—Ocean Vessel



Expiration Date: XX/XX/XXXX

Burden: 1.25 hours

#### **DEFINITIONS**

See the EIA Glossary for coal definitions: <a href="http://www.eia.gov/tools/glossary/">http://www.eia.gov/tools/glossary/</a>.

### **SANCTIONS**

The timely submission of Form EIA-3 by those required to report is mandatory under Section 13(b) of the Federal Energy Administration Act of 1974 (FEAA) (Public Law 93-275) as amended (codified at 15 U.S.C. 772). Failure to respond may result in criminal fines, civil penalties, and other sanctions as provided by law. The government may bring a civil action to prohibit reporting violations which may result in a temporary restraining order or a preliminary or permanent injunction without bond. In such a civil action, the court may also issue mandatory injunctions commanding any person to comply with these reporting requirements. Title 18 U.S.C. 1001 makes it a criminal offense for any person knowingly and willingly to make to any Agency or Department of the United States any false, fictitious, or fraudulent statements as to any matter within its jurisdiction.

#### REPORTING BURDEN

Public reporting burden for this collection of information is estimated to average 1.25 hours per response, including the time of reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Energy Information Administration, Office of Survey Development and Statistical Integration, EI-21, Forrestal, 1000 Independence Ave., SW, Washington, DC 20585; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

#### DISCLOSURE OF INFORMATION

The information reported on this form will be protected and not disclosed to the public to the extent that it satisfies the criteria for exemption under the Freedom of Information Act (FOIA), 5 U.S.C. §552, the DOE regulations, 10 C.F.R. §1004.11, implementing the FOIA, and the Trade Secrets Act, 18 U.S.C. §1905.

The Federal Energy Administration Act requires the Energy Information Administration to provide company-specific data to other Federal agencies when requested for official use. The information reported on these forms may also be made available, upon request, to another component of the Department of Energy (DOE); to any Committee of Congress, the Government — Accountability Office, or other Federal agencies authorized by law to receive such information. A court of competent — jurisdiction may obtain this information in response to an order. The information may be used for any non-statistical purposes such as administrative, regulatory, law enforcement, or adjudicatory purposes.

Disclosure limitation procedures are applied to the cost data reported in Parts 3 and 4 to ensure that the risk of disclosure of identifiable information is very small. Disclosure limitation procedures are not applied to the other aggregate statistical and quantity data published from this survey. Thus, there may be some statistics that are based on data from fewer than three respondents, or that are dominated by data from one or two large respondents. In these cases, it may be possible for a knowledgeable person to estimate the information reported by a specific respondent.